



# **Fisheries Assessment Plenary**

**May 2015**

**Stock Assessments and Stock Status  
Volume 3: Red Gurnard to Yellow-eyed Mullet**

**Compiled by the Fisheries Science Group**

Ministry for Primary Industries  
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Fisheries Assessment Plenary:  
Stock Assessments and Stock Status

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Fisheries Science Group  
Ministry for Primary Industries  
Pastoral House, 25 The Terrace  
PO Box 2526, Wellington 6140  
New Zealand

Requests for further copies should be directed to:  
Publications Logistics Officer  
Ministry for Primary Industries  
PO Box 2526  
WELLINGTON 6140  
Email: [brand@mpi.govt.nz](mailto:brand@mpi.govt.nz)  
Telephone: 0800 00 83 33  
Facsimile: 04-894 0300

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**RED GURNARD (GUR)**

(*Chelidonichthys kumu*)  
Kumukumu

**1. FISHERY SUMMARY****1.1 Commercial fisheries**

Red gurnard are a major bycatch of inshore trawl fisheries in most areas of New Zealand, including fisheries for red cod in the southern regions and flatfish on the west coast of the South Island (WCSI) and in Tasman Bay. They are also directly targeted in some areas e.g. GUR 2. Some minor target fisheries for red gurnard are known in Pegasus Bay, off Mahia and off the west coast South Island. Red gurnard is also a minor bycatch in the jack mackerel trawl fishery in the South Taranaki Bight. Up to 15% of the total red gurnard catch is taken by bottom longline and setnet.

Red gurnard was introduced into the Quota Management System (QMS) in 1986. The 1986 TACCs were based on 1984 landings for Southland and 1983 landings for other regions. TACCs for GUR 3 and 7 were increased by 76 t (14%) and 137 t (20%) respectively for the 1991–92 fishing year under the Adaptive Management Programme (AMP), to 600 t in GUR 3 and to 815 t in GUR 7. The GUR 7 TACC was reduced to 678t, in 1997–98. For the 2009–10 fishing season, the TACC in GUR 7 was increased from 681 t to 715 t, including an allocation of 10 t for customary, 20 t for recreational use, and 14 t allocation for other sources of mortality. The GUR 7 TACC was further increased to 785t in October 2012. The TACC for GUR 3 was increased, by 300 t (50%) to 900 t, for the 1996–97 fishing year under the AMP, but decreased to 800 t in 2002–03. For the 2009–10 fishing season, the TACC for GUR 3 was increased from 800 t to 900 t, with allocations of 3 t, 5 t, and 45 t for customary, recreational, and other sources of mortality respectively. The GUR 3 TACC was increased to 1100 t in October 2012. This TACC can be seen in Table 1 along with all current allowances, TACCs and TACs. All AMP programmes ended on 30 September 2009.

**Table 1: TACs, TACCs and allowances (t) for Red Gurnard by Fishstock**

Fishstock	TAC	TACC	Customary allowance	Recreational allowance	Other mortality
GUR 1		2 287			
GUR 2		752			
GUR 3	1 163	1 100	3	5	55
GUR 7	855	785	10	20	40
GUR 8		543.2			
GUR 10		10			



# RED GURNARD (GUR)

Reported landings since 1931 are shown in Tables 2 and 3, while an historical record of landings and TACC values for the five main GUR stocks are depicted in Figure 1.

Annual landings of GUR 1 have been relatively stable since 1986–87, generally ranging between 900 and 1300 t; substantially lower than the 2287 t TACC. About 60% of the GUR 1 total is taken from FMA 1, as a bycatch of a number of fisheries including inshore trawl fisheries for snapper, John Dory and tarakihi. The remaining 40% is taken from FMA 9, mainly as a bycatch of the snapper and trevally inshore trawl fisheries.

**Table 2: Reported landings (t) for the main QMAs from 1931 to 1982**

Year	GUR 1	GUR 2	GUR 3	GUR 7	Year	GUR 1	GUR 2	GUR 3	GUR 7
1931–32	67	0	1	16	1957	494	402	737	409
1932–33	42	0	0	13	1958	430	394	745	400
1933–34	67	84	1	20	1959	460	320	806	212
1934–35	50	179	0	2	1960	489	417	1008	421
1935–36	75	147	18	2	1961	559	419	1180	419
1936–37	114	215	37	25	1962	505	592	1244	322
1937–38	205	193	83	21	1963	576	562	1364	367
1938–39	109	118	151	31	1964	977	814	1708	397
1939–40	121	149	147	25	1965	1020	668	1459	400
1940–41	124	222	215	38	1966	1157	754	1178	436
1941–42	107	200	267	38	1967	1051	836	745	522
1942–43	124	332	287	58	1968	1137	583	510	368
1943–44	128	244	294	53	1969	1345	632	487	256
1944	238	292	291	60	1970	1493	823	841	381
1945	360	338	222	94	1971	1225	570	940	379
1946	426	387	290	119	1972	770	347	662	333
1947	376	297	243	162	1973	1278	406	1393	491
1948	385	243	267	226	1974	881	299	1083	586
1949	371	264	316	323	1975	691	199	655	365
1950	306	186	486	332	1976	1055	217	960	545
1951	221	231	750	202	1977	1288	381	975	579
1952	394	378	658	211	1978	1571	519	1106	487
1953	490	494	614	334	1979	1936	382	690	349
1954	496	462	660	382	1980	1845	438	672	253
1955	495	283	652	490	1981	2349	603	438	318
1956	434	312	782	435	1982	2084	454	379	368

Year	GUR 8	Year	GUR 8
1931–32	0	1957	46
1932–33	0	1958	51
1933–34	0	1959	44
1934–35	0	1960	27
1935–36	0	1961	27
1936–37	1	1962	14
1937–38	0	1963	8
1938–39	2	1964	16
1939–40	1	1965	34
1940–41	1	1966	27
1941–42	0	1967	45
1942–43	0	1968	52
1943–44	0	1969	33
1944	0	1970	53
1945	3	1971	37
1946	4	1972	15
1947	10	1973	21
1948	9	1974	41
1949	13	1975	28
1950	13	1976	52
1951	10	1977	45
1952	5	1978	26
1953	3	1979	18
1954	7	1980	34
1955	25	1981	16
1956	29	1982	34

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

# RED GURNARD (GUR)

**Table 3: Reported landings (t) of red gurnard by Fishstock from 1983–84 to 2011–12 and actual TACCs (t) from 1986–87 to 2013–14. The QMS data is from 1986–present.**

Fishstock QMA (s)	GUR 1 1 & 9		GUR 2 2		GUR 3 3, 4, 5 & 6		GUR 7 7	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983–84*	2 099	-	782	-	366	-	468	-
1984–85*	1 531	-	665	-	272	-	332	-
1985–86*	1 760	-	495	-	272	-	239	-
1986–87	1 021	2 010	592	610	210	480	421	610
1987–88	1 139	2 081	596	657	386	486	806	629
1988–89	1 039	2 198	536	698	528	489	479	669
1989–90	916	2 283	451	720	694	501	511	678
1990–91	1 123	2 284	490	723	661	524	442	678
1991–92	1 294	2 284	663	723	539	600	704	815
1992–93	1 629	2 284	618	725	484	601	761	815
1993–94	1 153	2 284	635	725	711	601	469	815
1994–95	1 054	2 287	559	725	685	601	455	815
1995–96	1 163	2 287	567	725	633	601	382	815
1996–97	1 055	2 287	503	725	641	900	378	815
1997–98	1 015	2 287	482	725	477	900	309	678
1998–99	927	2 287	469	725	395	900	323	678
1999–00	944	2 287	521	725	411	900	331	678
2000–01	1 294	2 287	623	725	569	900	571	678
2001–02	1 109	2 287	619	725	717	900	686	681
2002–03	1 256	2 287	552	725	888	800	793	681
2003–04	1 225	2 287	512	725	725	800	717	681
2004–05	1 354	2 287	708	725	854	800	688	681
2005–06	1 113	2 287	542	725	957	800	604	681
2006–07	1 180	2 287	575	725	1 004	800	714	681
2007–08	1 198	2 287	517	725	842	800	563	681
2008–09	1 060	2 287	621	725	939	800	595	681
2009–10	1 075	2 287	853	725	1 018	900	603	715
2010–11	1 046	2 288	587	725	929	900	545	715
2011–12	981	2 288	558	725	915	900	684	715
2012–13	1 103	2 288	603	725	1 168	1 100	763	785
2013–14	1	2 288	555	725	1 223	1 100	837	785

Fishstock QMA (s)	GUR 8 8		GUR 10 10		Total Total	
	Landings	TACC	Landings	TACC	Landings	TACC
1983–84*	251	-	0	-	3 966	-
1984–85*	247	-	0	-	3 047	-
1985–86*	163	-	0	-	2 929	-
1986–87	159	510	0	10	2 403	4 230
1987–88	194	518	0	10	3 121	4 381
1988–89	167	532	0	10	2 749	4 596
1989–90	173	538	0	10	2 745	4 730
1990–91	150	543	0	10	2 866	4 762
1991–92	189	543	0	10	3 390	4 975
1992–93	208	543	0	10	3 700	4 978
1993–94	174	543	0	10	3 142	4 978
1994–95	217	543	0	10	2 969	4 982
1995–96	182	543	0	10	2 927	4 982
1996–97	219	543	0	10	2 796	5 281
1997–98	249	543	0	10	2 532	5 143
1998–99	170	543	0	10	2 284	5 143
1999–00	222	543	0	10	2 429	5 143
2000–01	291	543	0	10	3 348	5 143
2001–02	302	543	0	10	3 429	5 143
2002–03	342	543	0	10	3 831	4 993
2003–04	329	543	0	10	3 508	4 993
2004–05	370	543	0	10	3 974	4 993
2005–06	373	543	0	10	3 589	4 993
2006–07	349	543	0	10	3 822	4 993
2007–08	223	543	0	10	3 344	4 993
2008–09	274	543	0	10	3 489	4 993
2009–10	239	543	0	10	3 789	5 181
2010–11	182	543	0	10	3 289	5 181
2011–12	213	543	0	10	3 351	5 181
2012–13	170	543	0	10	3 807	5 451
2013–14	151	543	0	10	3 769	5 451

\*FSU data.

GUR 2 landings have fluctuated within the range of 400–8530 t since 1991–92, typically well below the TACC. In addition to the target fishery, red gurnard are taken as a bycatch of the tarakihi, trevally and snapper inshore trawl fisheries.

GUR 3 landings regularly exceeded the TACC between 1988–89 and 1995–96. Ageing of fish collected during the east coast South Island trawl (ECSI) surveys suggests that there were 1 or 2 relatively strong

year classes moving through the fishery, which may help explain the overcatches. GUR 3 has been consistently overcaught since 2004.

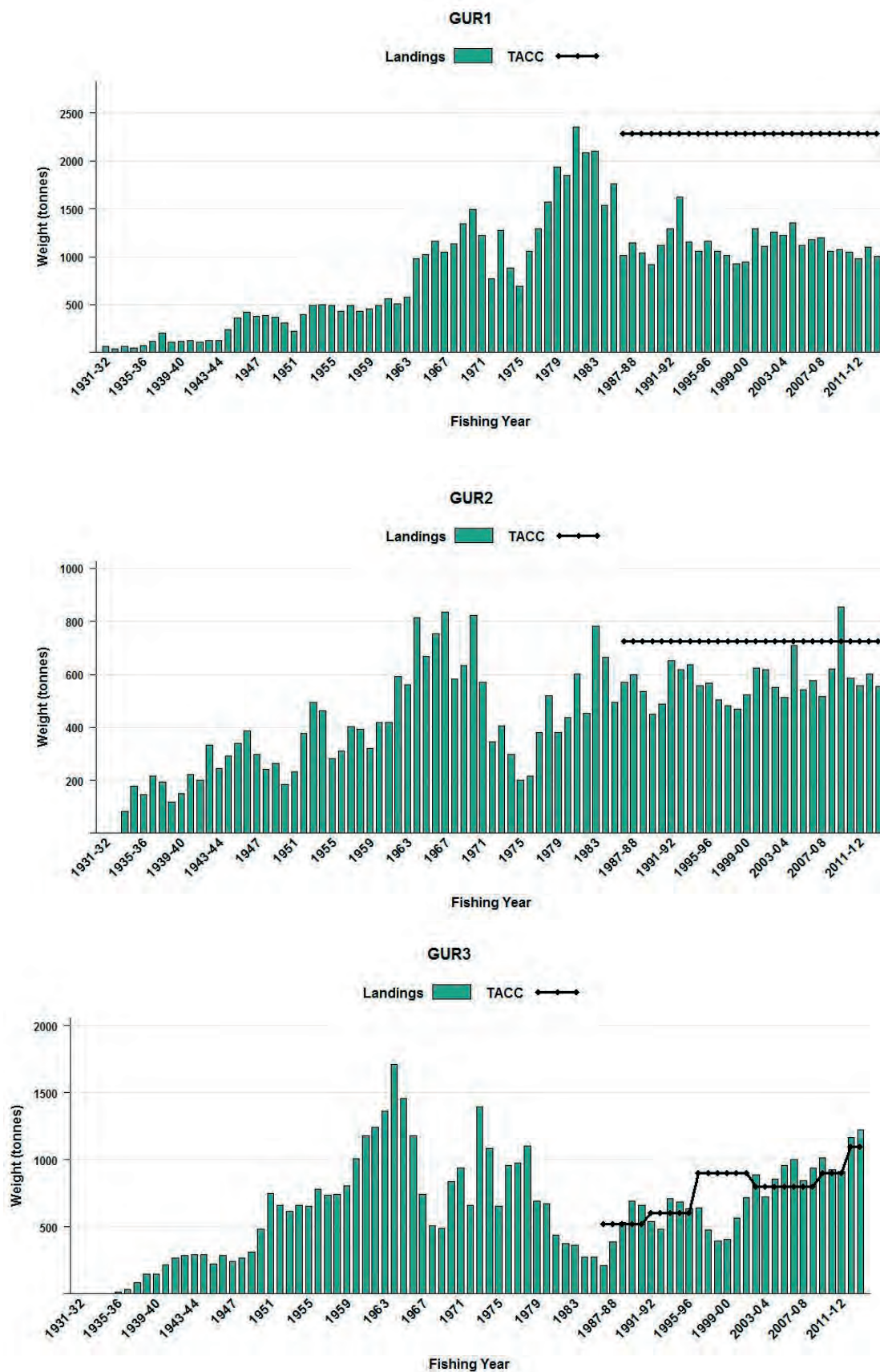


Figure 1: Reported commercial landings and TACCs for the five main GUR stocks. From top to bottom: GUR 1 (Auckland East), GUR 2 (Central East), GUR 3 (South East Coast). [Continued on next page].



## RED GURNARD (GUR)

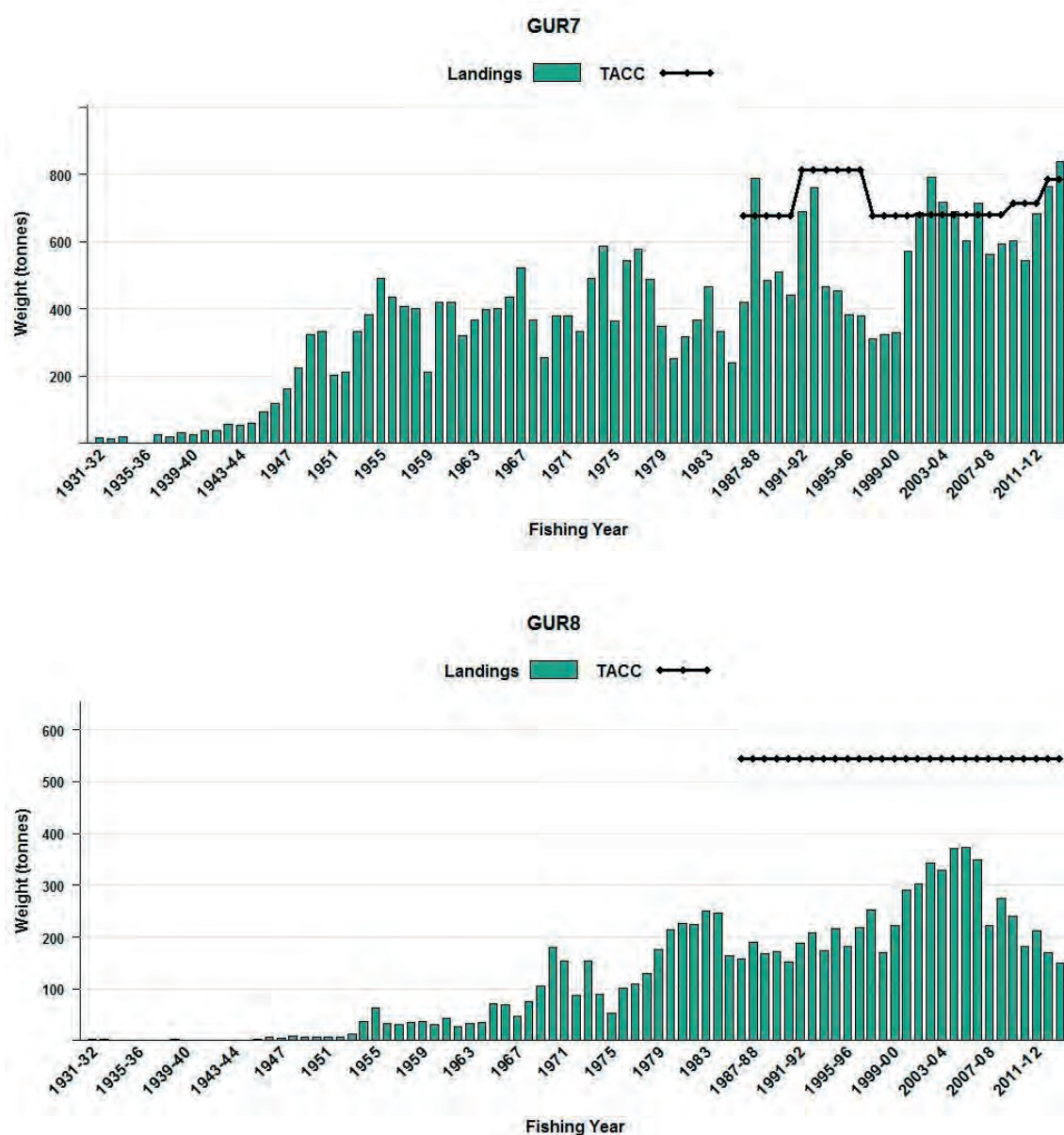


Figure 1 [Continued]: Reported commercial landings and TACCs for the five main GUR stocks. From top to bottom: GUR 7 (Challenger) and GUR 8 (Central Egmont).

GUR 7 landings declined steadily from 761 t in 1992–93, to 309 t in 1997–98, but then increased to a peak of 793 t in 2002–03. They then generally declined to 2010–11, followed by an increased to 2012–13. Landings in GUR 8 have remained well below the levels of the TACC since 1986–87.

### 1.2 Recreational fisheries

Red gurnard is, by virtue of its wide distribution in harbours and shallow coastal waters, an important recreational species. It is often taken by fishers targeting snapper and tarakihi, particularly around the North Island. The allowances within the TAC for each Fishstock are shown in Table 1.

#### 1.2.1 Management controls

The main methods used to manage recreational harvests of red gurnard are minimum legal size limits (MLS), method restrictions and daily bag limits. Fishers can take up to 20 GUR as part of their combined daily bag limit and the MLS is 25 cm.

### 1.2.2 Estimates of recreational harvest

Recreational catch estimates are given in Table 4. There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

The first estimates of recreational harvest for red gurnard were calculated using an offsite approach, the offsite regional telephone and diary survey approach. Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005) and a rolling replacement of diarists in 2001 (Boyd & Reilly 2004) allowed estimates for a further year (population scaling ratios and mean weights were not re-estimated in 2001).

The harvest estimates provided by these telephone diary surveys are no longer considered reliable for various reasons. With the early telephone/diary method, fishers were recruited to fill in diaries by way of a telephone survey that also estimates the proportion of the population that is eligible (likely to fish). A “soft refusal” bias in the eligibility proportion arises if interviewees who do not wish to co-operate falsely state that they never fish. The proportion of eligible fishers in the population (and, hence, the harvest) is thereby under-estimated. Pilot studies for the 2000 telephone/diary survey suggested that this effect could occur when recreational fishing was established as the subject of the interview at the outset. Another equally serious cause of bias in telephone/diary surveys was that diarists who did not immediately record their day’s catch after a trip sometimes overstated their catch or the number of trips made. There is some indirect evidence that this may have occurred in all the telephone/diary surveys (Wright et al 2004).

**Table 4: Recreational harvest estimates for red gurnard stocks. The telephone/diary surveys and earlier aerial-access surveys ran from December to November but are denoted by the January calendar year. The surveys since 2010 have run through the October to September fishing year but are denoted by the January calendar year. Mean fish weights were obtained from boat ramp surveys (for the telephone/diary and panel survey harvest estimates).**

Stock	Year	Method	Number of fish	Total weight (t)	CV
<u>GUR 1</u>	1996	Telephone/diary	262, 000	108	0.07
	2000	Telephone/diary	465,000	223	0.16
FMA 1 only	2005	Aerial-access	-	127	0.14
FMA 1 only	2012	Aerial-access			
	2012	Panel survey	230, 521	98	0.15
<u>GUR 2</u>	1996	Telephone/diary	38, 000	16	0.18
	2000	Telephone/diary	209, 000	127	0.37
	2012	Panel survey	64, 292	37	0.20
<u>GUR 3</u>	1996	Telephone/diary	1, 000	-	-
	2000	Telephone/diary	11,000	5	0.70
	2012	Panel survey	4, 635	2	0.62
<u>GUR 7</u>	1996	Telephone/diary	26, 000	12	0.15
	2000	Telephone/diary	36,000	11	0.23
	2012	Panel survey	23, 692	12	0.24
<u>GUR 8</u>	1996	Telephone/diary	67, 000	28	0.15
	2000	Telephone/diary	99,000	40	0.36
	2012	Panel survey	93, 058	46	0.23

The recreational harvest estimates provided by the 2000 and 2001 telephone diary surveys are thought to be implausibly high for many species, which led to the development of an alternative maximum count aerial-access onsite method that provides a more direct means of estimating recreational harvests for suitable fisheries. The maximum count aerial-access approach combines data collected concurrently from two sources: a creel survey of recreational fishers returning to a subsample of ramps throughout the day; and an aerial survey count of vessels observed to be fishing at the approximate time of peak fishing effort on the same day. The ratio of the aerial count in a particular area to the number of interviewed parties who claimed to have fished in that area at the time of the overflight was used to scale up harvests observed at surveyed ramps, to estimate harvest taken by all fishers returning to all ramps. The methodology is further described by Hartill et al (2007).

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This aerial-access method was first employed and optimised to estimate snapper harvests in the Hauraki Gulf in 2003–04. It was then extended to survey the wider SNA 1 fishery in 2004–05 and to provide estimates for other species, including red gurnard (FMA 1 only for GUR). In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the development and implementation of a national panel survey for the 2011–12 fishing year. The panel survey used face-to-face interviews of a random sample of New Zealand households to recruit a panel of fishers and non-fishers for a full year. The panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews. Note that the national panel survey estimate does not include harvest taken on recreational charter vessels, or recreational harvest taken under s111 general approvals.

### 1.3 Customary non-commercial fisheries

Red gurnard is an important species for customary non-commercial fishing interests, by virtue of its wide distribution in shallow coastal waters. However, no quantitative estimates of customary non-commercial catch are currently available.

### 1.4 Illegal catch

No quantitative information is available.

### 1.5 Other sources of mortality

No quantitative information is available.

## 2. BIOLOGY

Gurnard growth rate varies with location, and females grow faster and are usually larger at age than males. Maximum age ( $A_{MAX}$ ) is about 16 years and maximum size is 55+ cm. Red gurnard reach sexual maturity at an age of 2–3 years and a fork length (FL) of about 23 cm, after which the growth rate slows. An analysis of the age and growth of red gurnard in FMA 7 revealed that young fish 1–4 years old tend to be most common in Tasman and Golden Bays. Three to six year old fish are found on the inshore areas of the West coast South Island and the older fish are predominantly found further offshore (Lyon & Horn 2011).

$M$  was estimated using the equation  $M = \log_e 100/\text{maximum age}$ , where maximum age is the age to which 1% of the population survives in an unexploited stock. Samples from the ECSI suggested an  $A_{MAX}$  of about 16 years for males and 13 years for females, giving estimates for  $M$  of 0.29 and 0.35 respectively. Samples from the WCSI indicate an  $A_{MAX}$  of about 15 years for both sexes, giving an estimate of 0.31 for  $M$ . These samples were not from virgin populations, so  $M$  may be overestimated.

Red gurnard have a long spawning period which extends through spring and summer with a peak in early summer. In the Hauraki Gulf, ripe adults can be found throughout the year. Spawning grounds appear to be widespread, although perhaps localised over the inner and central shelf. Egg and larval development takes place in surface waters, and there is a period of at least eight days before feeding starts. Small juveniles (under 15 cm FL) are often caught in shallow harbours, but rarely in commercial trawls.

Biological parameters relevant to the stock assessment are shown in Table 5.



**Table 5: Estimates of biological parameters for red gurnard.**

Fishstock	Estimate		Source	
<u>1. Natural mortality (<i>M</i>)</u>				
	Female	Males		
GUR 1W & 1E	0.30	0.35	Stevenson (2000)	
GUR 3	0.29	0.35	Sutton (1997)	
GUR 7	0.31	0.31	Sutton (1997)	
<u>2. Weight = a(length)<sup>b</sup> (Weight in g, length in cm fork length).</u>				
	Both Sexes			
	a	b		
GUR 1	0.00998	2.99	Elder (1976)	
GUR 1W & 1 E	0.026	2.775	Stevenson (2000)	
GUR 2	0.0053	3.19	Stevenson (2000)	
<u>3. von Bertalanffy growth parameters</u>				
	Females			
	<i>L</i> <sub>∞</sub>	<i>k</i>	<i>t</i> <sub>0</sub>	
GUR 1	36.4	0.641	0.189	Elder (1976)
GUR 1W	45.3	0.25	-0.88	Stevenson (2000)
GUR 1E	44.5	0.28	-0.76	Stevenson (2000)
GUR 3	48.2	0.44	0.1	Sutton (1997)
GUR 7	45.7	0.40	-0.36	Sutton (1997)
	Males			
	<i>L</i> <sub>∞</sub>	<i>k</i>	<i>t</i> <sub>0</sub>	
GUR 1	28.8	0.569	-0.552	Elder (1976)
GUR 1W	36.5	0.45	-0.30	Stevenson (2000)
GUR 1E	35.2	0.49	-0.24	Stevenson (2000)
GUR 3	42.2	0.49	-0.26	Sutton (1997)
GUR 7	40.3	0.37	-0.96	Sutton (1997)

### 3. STOCKS AND AREAS

There are no data that would alter the current stock boundaries. No information is available on stock separation of red gurnard. For GUR 3 the Working Group noted that spatial information from the CPUE analyses indicated that separate stocks or sub-stocks may exist between the East and South coasts of the South Island.

### 4. STOCK ASSESSMENT

#### 4.1 Biomass estimates

Relative abundance indices have been obtained from trawl surveys of the Bay of Plenty, west coast North Island and Hauraki Gulf within the GUR 1 Fishstock, South Island west coast and Tasman/Golden Bays combined (GUR 7), and South Island east coast (GUR 3) (Table 6). Only the West Coast South Island (WCSI) and East Coast South Island (ECSI) surveys are currently conducted, and these are conducted on a biennial basis.

#### ECSI

The ECSI winter surveys from 1991 to 1996 in 30–400 m were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range, but these were discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007 and this time included additional 10–30 m strata in an attempt to index elephantfish and red gurnard which were included in the list of target species. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

In the 1990s, red gurnard biomass in the east coast South Island winter surveys core strata (30–400 m) averaged 422 t and this increased nearly four-fold to an average of 1646 t from 2007 to 2014 (Table 7, Figure 2). Since 2007 there were indications of an upward trend in biomass, with the estimate for 2014 being 23% higher than in 2012, and also the highest biomass of the time series. The proportion of pre-recruit biomass in the core strata varied greatly among surveys, but was generally low, 2–20%, and in 2014 was 20%. Similarly, the proportion of juvenile biomass (based on the length-at-50% maturity) within the core strata was close to zero for all surveys (Beentjes et al., 2015). These observations

# RED GURNARD (GUR)

**Table 6: Relative biomass indices (I) and coefficients of variation (CV) for gurnard for east coast South Island (ECSI) – summer and winter, west coast South Island (WCSI) and the Stewart-Snares Island survey areas\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. – , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (30 cm). [Continued on next page].**

Region	Fishstock	Year	Trip number	Total		CV (%)	Biomass estimate	Pre-recruit		CV (%)	Recruited	CV (%)	Recruited	CV (%)
				Biomass estimate	Biomass estimate			Pre-recruit	CV (%)					
Bay of Plenty		1983	KAH8303	380	-	23	-	-	-	-	-	-	-	-
		1985	KAH8506	57	-	17	-	-	-	-	-	-	-	-
		1987	KAH8711	410	-	28	-	-	-	-	-	-	-	-
		1990	KAH9004	432	-	12	-	-	-	-	-	-	-	-
		1992	KAH9202	290	-	9	-	-	-	-	-	-	-	-
		1996	KAH9601	332	-	14	-	-	-	-	-	-	-	-
		1999	KAH9902	364	-	14	-	-	-	-	-	-	-	-
North Island west coast	GUR 9	1986	KAH8612	1 763	-	16	-	-	-	-	-	-	-	-
		1987	KAH8715	2 022	-	24	-	-	-	-	-	-	-	-
		1989	KAH8918	1 013	-	12	-	-	-	-	-	-	-	-
		1991	KAH9111	1 846	-	23	-	-	-	-	-	-	-	-
		1994	KAH9410	2 498	-	30	-	-	-	-	-	-	-	-
		1996	KAH9615	1 820	-	14	-	-	-	-	-	-	-	-
North Island west coast	GUR 8	1989	KAH8918	628	-	15	-	-	-	-	-	-	-	-
		1991	KAH9111	817	-	9	-	-	-	-	-	-	-	-
		1994	KAH9410	685	-	22	-	-	-	-	-	-	-	-
		1996	KAH9615	370	-	37	-	-	-	-	-	-	-	-
		1999	KAH9915	2 099 <sup>#</sup>	-	13	-	-	-	-	-	-	-	-
Hauraki Gulf		1984	KAH8421	595	-	15	-	-	-	-	-	-	-	-
		1985	KAH8517	49	-	44	-	-	-	-	-	-	-	-
		1986	KAH8613	426	-	36	-	-	-	-	-	-	-	-
		1987	KAH8716	255	-	15	-	-	-	-	-	-	-	-
		1988	KAH8810	749	-	19	-	-	-	-	-	-	-	-
		1989	KAH8917	105	-	29	-	-	-	-	-	-	-	-
		1990	KAH9016	141	-	16	-	-	-	-	-	-	-	-
		1992	KAH9212	330	-	9	-	-	-	-	-	-	-	-
		1993	KAH9311	177	-	17	-	-	-	-	-	-	-	-
		1994	KAH9411	247	-	19	-	-	-	-	-	-	-	-
		1997	KAH9720	242	-	14	-	-	-	-	-	-	-	-
		2000	KAH0012	24	-	46	-	-	-	-	-	-	-	-

\* Assuming areal availability, vertical availability and vulnerability equal 1.0. Biomass is only estimated outside 10 m depth except for COM9901 and CMP0001. Note: because trawl survey biomass estimates are indices, comparisons between different seasons (e.g., summer and winter ECSI) are not strictly valid  
# FMAs 8 and 9 combined

**Table 6 [Continued]: Relative biomass indices (t) and coefficients of variation (CV) for gurnard for east coast South Island (ECSI) - summer and winter, west coast South Island (WCSD) and the Stewart-Snares Island survey areas\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. -, not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (30 cm).**

Region	Fishstock	Year	Trip number	Total		Biomass estimate		CV (%)		Pre-recruit		CV (%)		Pre-recruit		CV (%)		Recruited		CV (%)	
				Biomass estimate	CV (%)	Biomass estimate	CV (%)	Pre-recruit	CV (%)	Pre-recruit	CV (%)	Recruited	CV (%)	Recruited	CV (%)	Recruited	CV (%)	Recruited	CV (%)	Recruited	CV (%)
South Island west coast and Tasman/Golden Bays		1992	KAH9204	572	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1994	KAH9404	559	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1995	KAH9504	584	19	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1997	KAH9704	471	13	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2000	KAH0004	625	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2003	KAH0304	270	20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2005	KAH0503	442	17	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2007	KAH0704	553	17	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2009	KAH0904	651	18	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2011	KAH1004	1 070	17	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2013	KAH1305	754	12	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1993	KAH9304	439	44	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1994	KAH9402	871	16	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
North Island east coast		1995	KAH9502	178	26	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1996	KAH9605	708	29	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
ECSI (winter)	GUR 3	1991	KAH9105	763	33	-	-	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
		1992	KAH9205	142	30	-	-	21	58	-	-	121	30	-	-	-	-	-	-	-	-
		1993	KAH9306	576	31	-	-	26	45	-	-	551	31	-	-	-	-	-	-	-	-
		1994	KAH9406	123	34	-	-	2	42	-	-	121	34	-	-	-	-	-	-	-	-
		1996	KAH9606	505	27	-	-	8	44	-	-	496	26	-	-	-	-	-	-	-	-
		2007	KAH0705	1 453	35	2 048	27	298	40	494	32	1 155	35	1 554	27	-	-	-	-	-	-
		2008	KAH0806	1 309	34	-	-	100	59	-	-	1 210	33	-	-	-	-	-	-	-	-
		2009	KAH0905	1 725	30	-	-	62	34	-	-	1 663	30	-	-	-	-	-	-	-	-
		2012	KAH1207	1 680	28	3 515	17	193	40	742	31	1 487	27	2 773	16	-	-	-	-	-	-
		2014	KAH1402	2 063	25	3 215	17	409	45	585	32	1 654	23	2 630	16	-	-	-	-	-	-
ECSI (summer)	GUR 3	1996-97	KAH9618	765	13	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1997-98	KAH9704	317	16	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1998-99	KAH9809	493	13	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1999-00	KAH9917	202	20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2000-01	KAH0014	146	34	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

\*Assuming areal availability, vertical availability and vulnerability equal 1.0. Biomass is only estimated outside 10 m depth except for COM9901 and CMP0001. Note: because trawl survey biomass estimates are indices, comparisons between different seasons (e.g., summer and winter ECSI) are not strictly valid



## RED GURNARD (GUR)

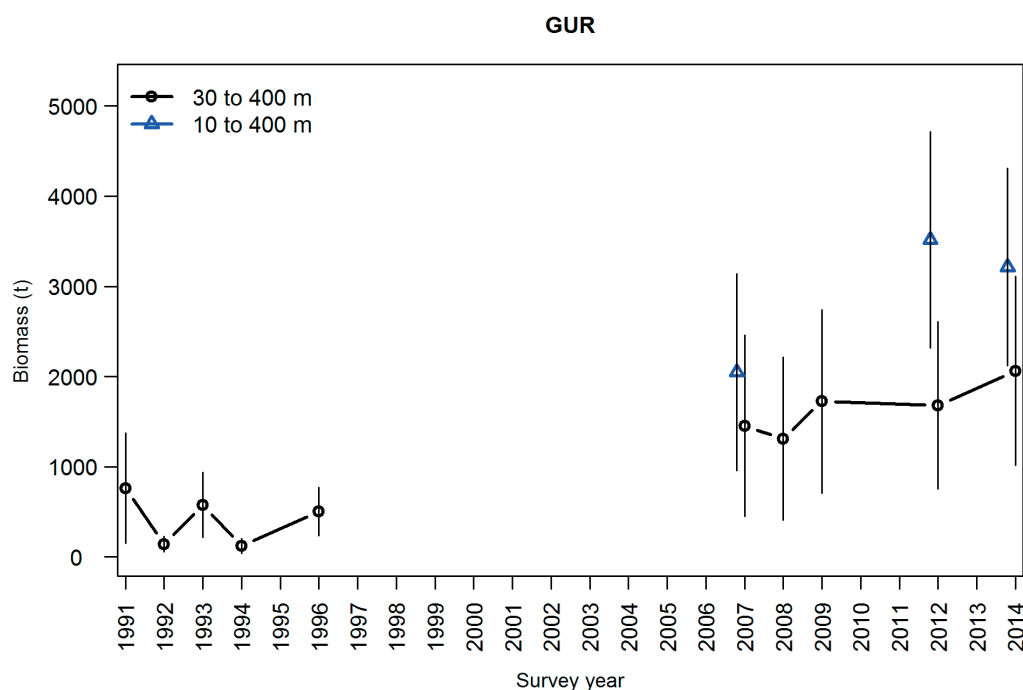
indicate that the core strata survey (30–400 m) may not be shallow enough to provide an index for sub-mature gurnard.

The additional red gurnard biomass captured in the 10–30 m depth range accounted for 29%, 52% and 36% of the biomass in the core plus shallow strata (10–400 m) for 2007, 2012, and 2014 respectively, indicating that it is essential to survey the shallow strata to reliably monitor red gurnard biomass.

The addition of the 10–30 m depth range had no significant effect on the length frequency distributions in 2007 and 2014, but in 2012 there was a strong 1+ cohort in 10–30 m, which was poorly represented in the core strata (Beentjes et al., 2015). Based on the three surveys that included the 10–30 m strata, there are generally more pre-recruit fish in the shallow strata, suggesting the core plus shallow strata (10 to 400 m) survey is probably indexing red gurnard abundance, including juveniles. The distribution of red gurnard hot spots varies, but overall this species is consistently well represented over the entire survey area from 10 to 100 m, but is most abundant in the shallow 10 to 30 m strata.

## WCSI

The relative total biomass index (pre-recruit and recruited fish) calculated for the entire GUR 7 stock (West coast and Tasman Bay combined) was stable from 1992 to 2000, was relatively low in 2003 and has steadily increased from 2003 to 2013 (Figure 3). Length frequency trends for the West Coast South Island red gurnard catch show that there were substantial numbers of 20–25 cm fish in 1997 and 2000. Fish of this size did not appear in large numbers in 2003 or 2005, but high numbers were caught again in 2007, 2009 and 2013 (MacGibbon and Stevenson 2013).



**Figure 2: Red gurnard total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m), and core plus shallow strata (10–400 m) in 2007, 2012, and 2014.**

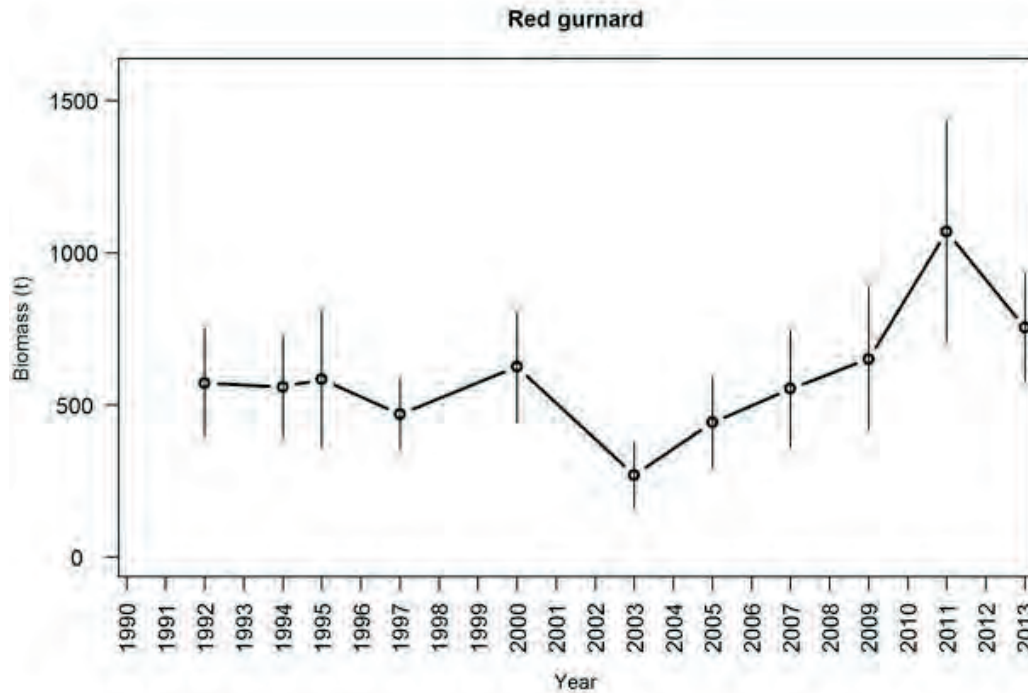


Figure 3: Red gurnard biomass trends  $\pm$  95% CI (estimated from survey CVs assuming a lognormal distribution) and the time series mean (dotted line) from the West Coast South Island trawl surveys.

### 4.3 CPUE Analyses

#### GUR 1

In 2012, Kendrick & Bentley (in prep) updated CPUE analyses for GUR 1W, GUR 1E, and GUR 1BP (Figures 4 and 5). For each substock, positive catches from single bottom trawl targeted at gurnard, snapper, trevally, tarakihi or John dory were standardised using data from selected core vessels.

The analyses were based on tow based CPUE reported on TCEPR and TCER forms because adequate time series are available in the northern inshore trawl fisheries from 1995–96. Stratum based analyses were also done for each substock that included CELR forms and aggregated data to a common vessel-date-target-area stratum (Table 7). This produced longer time series (from 1989–90) that give an historical perspective to the recent trends.

For each CPUE analysis the suitability of alternative assumptions about the distributions of GLM errors were examined. The distribution which produced the lowest AIC when fitted using a simple, preliminary model was chosen.

## RED GURNARD (GUR)

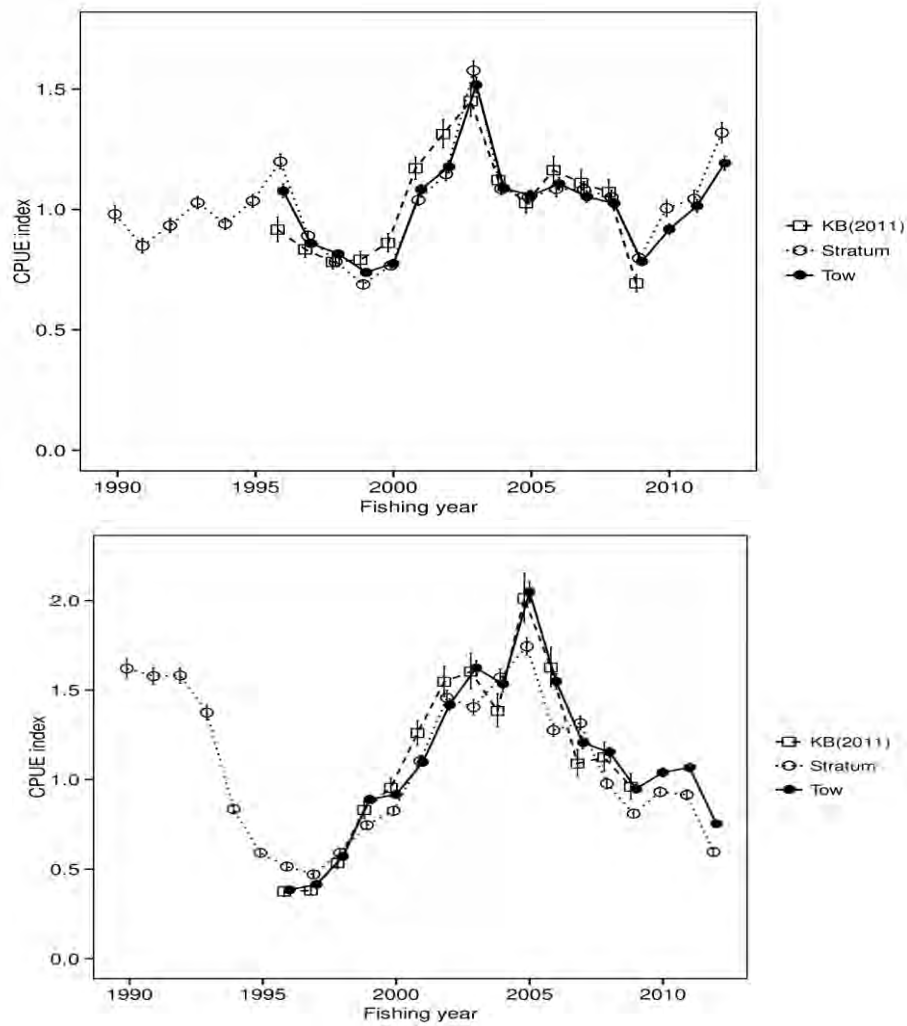


Figure 4: Comparison of indices for GUR 1W (upper) and GUR 1E (lower) for bottom trawl based on TCEPR/ TCE format data (tow) with a longer time series (stratum) that includes CELR data, and also with the previous analysis (Kendrick & Bentley 2011). Error bars are  $\pm 1$  s.e.

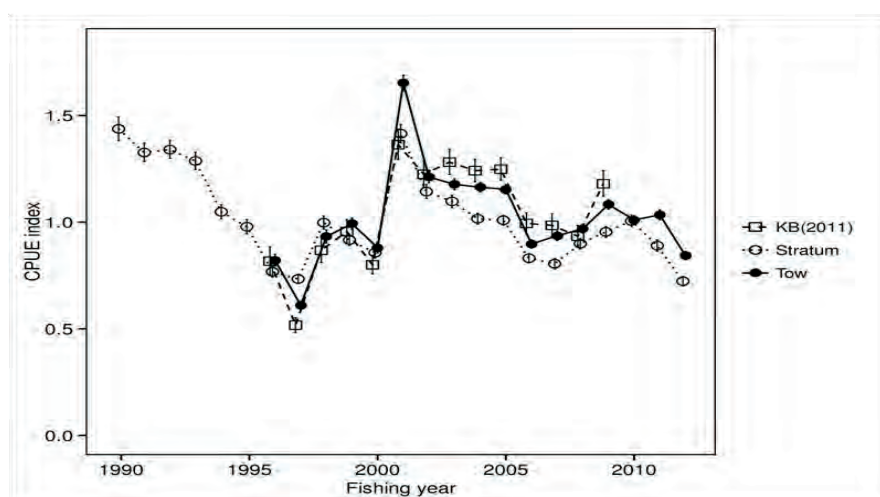


Figure 5: Comparison of indices for GUR 1 BoP for bottom trawl based on TCEPR/ TCE format data (tow) with a longer time series (stratum) that includes CELR data, and also with the previous analysis (Kendrick & Bentley 2011). Error bars are  $\pm 1$  s.e.



**Table 7: Details of CPUE analyses for each substock of red gurnard in GUR 1.**

	Criteria (trips, years)	Core vessels		Error distribution
		Number	Catch (%)	
<u>West coast</u>				
Tow	3, 3	34	93	Gamma
Stratum	3, 3	46	97	Weibull
<u>East coast</u>				
Tow	3, 3	41	98	log-logistic
Stratum	3, 3	64	96	log-logistic
<u>Bay of Plenty</u>				
Tow	3, 3	44	98	log-logistic
Stratum	3, 3	61	97	weibull

All three series show strong cyclical fluctuations with a strong recovery from low levels reached between 1995 and 1999 to a peak in the early 2000s followed by a subsequent decline but with bigger magnitude changes evident in the east coast substock than in the other two. The series also differ with respect to the specific years for the nadir and the peak, as well as the nature of the trajectory after the peak in the early 2000s; each is currently near the mean for the series, but the west coast is increasing, while East coast and Bay of Plenty series are in a downward phase.

The Working Group accepted the tow-based series for ongoing monitoring of each substock.

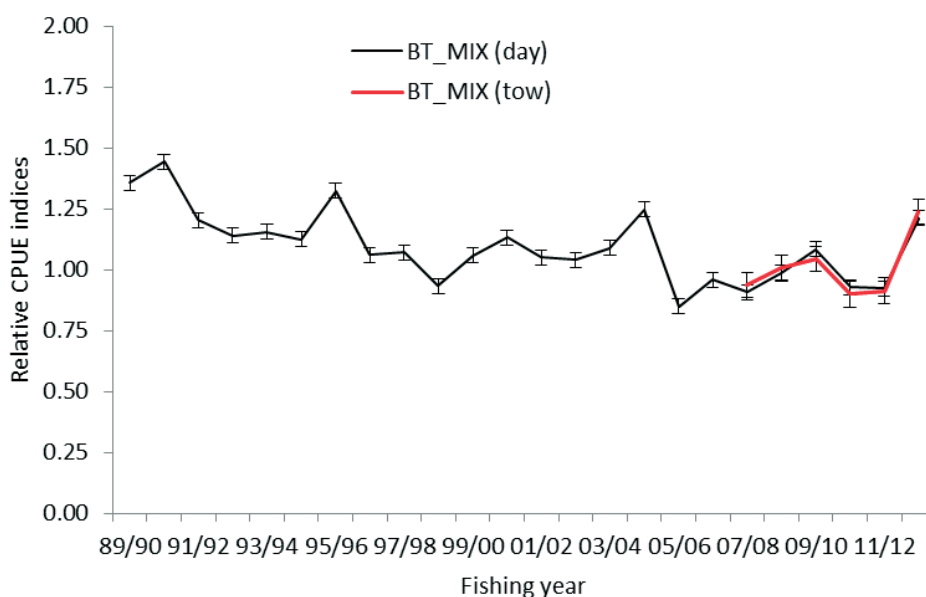
## GUR 2

GUR 2 is monitored using the bottom trawl fishery targeting gurnard, snapper or trevally and standardised CPUE is based on a model of positive catches from statistical areas 011–015.

In 2014, Kendrick & Bentley (in prep) updated CPUE analyses for GUR 2 (Figure 4) using a gamma error distribution, and a core fleet of 60 vessels that had completed at least five trips per year in at least five years. Landings were allocated to daily aggregated effort using methods described by Langley (2014) to improve the comparability between the data collected from two different statutory reporting forms (CELR and TCER). The model adjusted for the recent positive influences of shifts in duration, vessel, an area x month interaction term, and target species, and accounted for 51% of the variance in catch. A shorter time series based on TCEPR and TCER format data available since 2007–08, and analysed at tow by tow resolution closely resembles the mixed form series for the years in common (Figure 6).

The series describes a long gradual decline to the lowest point of the series in 2005–06 that is followed by six years of relative stability and the suggestion of an increase in the most recent year to above the mean for the series. An alternative analysis based on bycatch from the deeper tarakihi fishery also corroborated the overall trends.

## RED GURNARD (GUR)



**Figure 6:** Comparison of standardised catch per unit effort (CPUE) indices for GUR 2 from bottom trawling targeting gurnard, snapper and trevally (GUR.BT.MIX) combined over all form types, and more recently from data based on TCEPR/ TCE (tow) format data only (Kendrick & Bentley, in prep. Both series are scaled relative the geometric mean of the years they have in common. Fishing years are labelled according to the second calendar year e.g 1990 = 1989–90. In both standardisation models a gamma error distribution was assumed.

Chapman and Robson estimates of total mortality ( $Z$ ) for GUR 2, based on the age composition of bottom trawl landings in 2009–10, were 0.518 (SE = 0.0159, CV=3.1%) and 0.632 (0.0196, 3.1), depending on whether the age of full recruitment was 2 or 3 years (Parker & Fu 2012). Assuming an instantaneous rate of natural mortality of 0.307, fishing mortality was estimated to be 0.189 or 0.303.

Although it was not possible to produce reliable estimates of spawner biomass per recruit based targets of  $F$  (due to unreliable estimates of growth rate and size at maturity), estimates of  $F$  from this study were either lower or approximately equal to the estimate of natural mortality (depending on the age at full recruitment assumed). Assuming that the fishery is sampling the age structure of the population, and given that catches and standardised CPUE have been reasonably constant over the last decade, these results suggest that GUR 2 was not over-exploited in 2010, and that the stock is likely to be at or above  $B_{MSY}$ .

### Establishing $B_{MSY}$ compatible reference points

The Working Group accepted mean CPUE from the (BT(MIX)) model for the period 1990–91 to 2009–10 as an  $B_{MSY}$ -compatible proxy for GUR 2. The Working Group accepted the default Harvest Strategy Standard definitions that the Soft and Hard Limits would be one half and one quarter the target, respectively.

## GUR 3

In 2012, the Working Group accepted two standardised CPUE series for GUR 3 with both series based on the bycatch of red gurnard in bottom trawl fisheries defined by different target species combinations from fishing within the inshore statistical areas of GUR 3 (018, 020, 022,024, 026, 025, 030). The BT(MIX) index included fishing effort targeting RCO, STA, BAR, TAR, GUR while the BT(FLA) index was comprised of FLA target trawls only (Starr & Kendrick 2013).

In 2014, the two CPUE analyses were updated with data from 1989–90 to 2012–13 (Langley 2014). The analysis also included several refinements to improve the comparability between the data collected from two statutory reporting forms (CELR and TCER) which collect data at different levels of detail (daily and by tow), including the approach used to apportion red gurnard landed catches from individual fishing trips to the associated fishing effort records and the daily aggregation of fishing effort. These refinements in data processing resulted in no appreciable change in the resulting CPUE indices for the corresponding period. The 2014 CPUE analyses used the equivalent model formulations to the previous

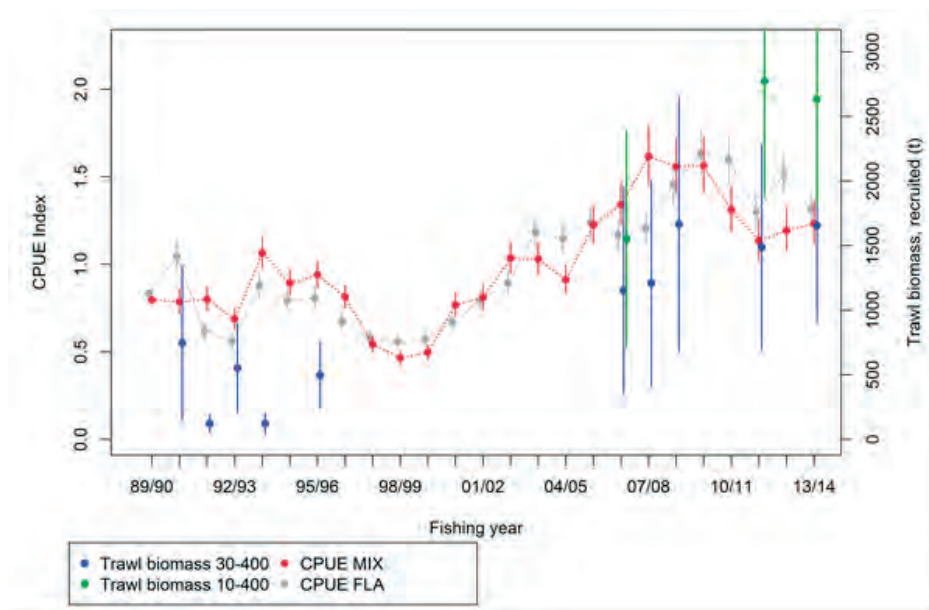
analyses (dependent and explanatory variables and Weibull error structure following Starr & Kendrick 2013).

The two sets of indices were updated in 2015 to include data from 2013–14. The time-series of CPUE indices from the two fisheries are very similar. The indices were at a relatively low level in 1997–98 to 1999–2000 and increased steadily to a peak during 2007–08 to 2010–11 (Figure 7). Both sets of indices were lower than the peak level in 2011–12 to 2013–14, although the indices remained well above the longer term average level from the entire time-series (Figure 7).

The longer term trends in the CPUE indices are similar to the increase in estimates of recruited biomass (defined as fish at least 30 cm T.L.) from the time series of winter ECSI inshore trawl surveys (Figure 7), although the magnitude of the overall increase in the trawl survey biomass is greater than the overall increase in the CPUE indices. Since 2007, the trawl survey biomass estimates have increased and there is no indication of the recent reduction in the CPUE indices from 2011–12 to 2013–14.

#### Establishing $B_{MSY}$ compatible reference points

In 2012, BT(MIX+FLA), the mean of the BT(MIX) and BT(FLA) series in each year, was accepted by the Working Group as the series for monitoring GUR 3. These fisheries cover different aspects of gurnard distribution, both by depth and spatially, but still have very similar trajectories, providing some confidence that these series are likely to be tracking abundance. The mean from 1997–98 to 1999–00 of BT(MIX+FLA) was selected as the Soft Limit because it was a well-defined low point in the series, along with the observations that both catch and CPUE increased simultaneously from that point. The Working Group accepted the default Harvest Strategy Standard definitions that the target “ $B_{MSY}$ -compatible proxy” for GUR 3 would be twice the Soft Limit and the Hard Limit was one-half the Soft Limit.



**Figure 7:** Standardised CPUE indices for two east coast South Island bottom trawl fisheries [BT(MIX) and BT(FLA)] compared to trawl survey estimates of recruited ( $\geq 30$  cm T.L.) biomass for red gurnard from the winter ECSI inshore trawl survey for two survey areas (30–400 m and 10–400 m). Error bars show  $\pm 95\%$  confidence intervals.

#### GUR 7

In 2011, the Working Group accepted four standardised CPUE series for GUR 7 based on the bycatch of red gurnard in bottom trawl fisheries defined by different target species combinations in two different sub-areas: west coast South Island (statistical areas 033, 034, 035, 036) and Tasman Bay/Golden Bay and Cook Strait (038, 017, 018 and 039) (Kendrick et al. 2011). The four CPUE data sets are defined in Table 8.

## RED GURNARD (GUR)

**Table 8: Names and descriptions of the four red gurnard GUR 7 bottom trawl CPUE series accepted by the Working Group in 2011. Also shown is the error distribution that had the best fit to the distribution of standardised residuals for the fitted model.**

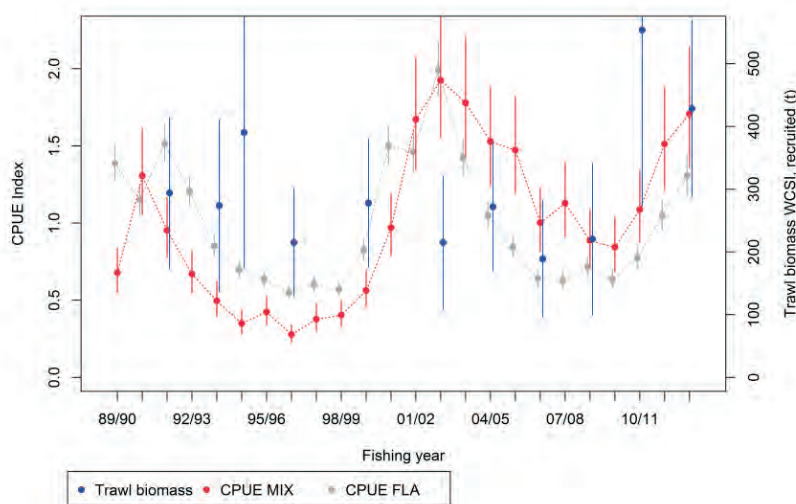
Name	Code	Statistical areas	Target species	Best distribution
GUR 7 WCSI mixed target species	WCSI_BT_MIX	033, 034, 035, 036	RCO, STA, BAR, TAR, WAR	Lognormal
GUR 7 WCSI flatfish target	WCSI_BT_FLA	033, 034, 035, 036	FLA	Lognormal
GUR 7 Tasman Bay/Golden Bay flatfish target	TBGB_BT_FLA	038, 017	FLA, RCO	Lognormal
GUR 7 Tasman Bay/Golden Bay and Cook Strait mixed target	TBCS_BT_FLA	038, 017, 018, 039	BAR, TAR, WAR	Lognormal

In 2014, these four CPUE analyses were updated with data from 1989–90 to 2012–13 (Langley 2014). These analyses also included several refinements to improve the comparability between the data collected from two statutory reporting forms (CELR and TCER) which collect data at different levels of detail (daily and by tow), including the approach used to apportion red gurnard landed catches from individual fishing trips to the associated fishing effort records and the daily aggregation of fishing effort. These refinements in data processing resulted in no appreciable change in the resulting CPUE indices for the corresponding period.

The 2014 CPUE analyses used the equivalent model formulations to the previous analyses (dependent and explanatory variables and error structure) (Kendrick et al 2011).

The two sets of CPUE indices from the west coast South Island fisheries show similar cyclical trends with relatively high CPUE indices during 1990–91 to 1991–92 and 2001–02 to 2003–04 and relatively low CPUE indices in 1993–94 to 1999–2000 and 2006–07 to 2010–11 (Figure 8). The CPUE indices steadily increased from 2009–10 to a relatively high level in 2012–13.

The trawl survey biomass estimates of recruited (at least 30 cm T.L.) red gurnard from the west coast component of the WCSI Trawl Survey do not exhibit the same cyclical trends as seen in the CPUE indices; however, the high biomass estimates from the two recent trawl surveys (2011 and 2013) are consistent with the recent increase in the CPUE indices (Figure 8).



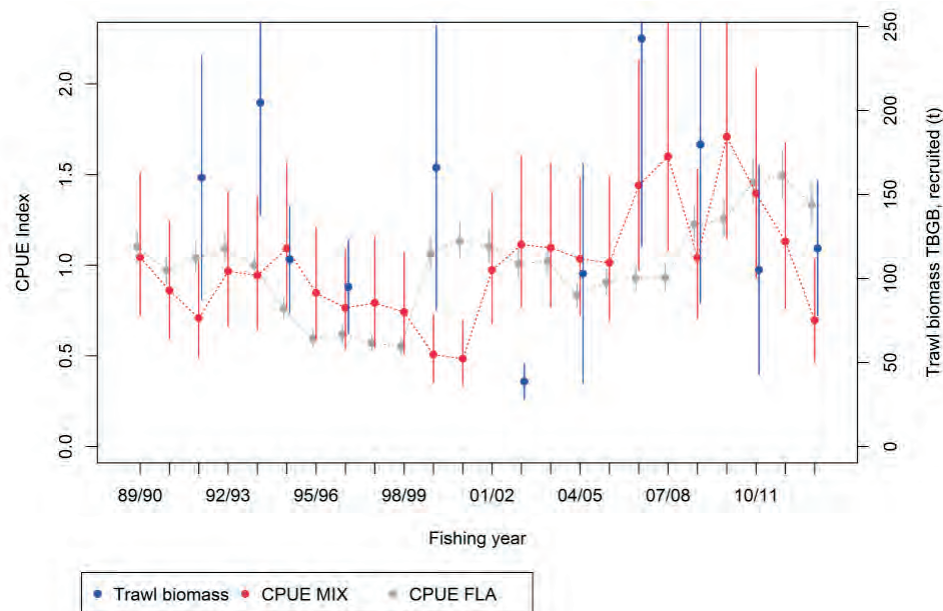
**Figure 8: Comparison of the lognormal indices from two independent CPUE series for GUR 7 from the inshore WCSI trawl fisheries (statistical areas 033, 034, 035, and 036); a) WCSI\_BT\_FLA: bottom trawl, target FLA; b) WCSI\_BT\_MIX: bottom trawl, target, BAR, TAR, WAR, STA, RCO. Trawl survey biomass estimates of recruited ( $\geq 30$  cm T.L.) red gurnard from the WC area of the WCSI inshore trawl survey are also presented. The vertical bars represent the associated 95% confidence intervals.**

The trends in CPUE indices from the northern areas (TB/GB and Cook Strait) of GUR 7 are considerably different from the WCSI CPUE indices (Figure 10 compared to Figure 9). For the northern areas, the TBCS\_BT\_MIX CPUE indices during 1989–90 to 2005–06 tended to follow the trend in the TBGB\_BT\_FLA CPUE indices with a lag of about 2 years (Figure 10). However, in the subsequent years (2006–07 to 2012–13) the two sets of indices have shown divergent trends. There was a marked decline in the level of red gurnard catch from the TBCS mixed trawl fishery between 2006–07 and

2012–13. In 2010–11 to 2012–13 that mixed fishery accounted for a very small proportion of the total GUR 7 catch. Since 2007–08, there was also a marked shift in the spatial distribution of fishing effort in the TBCS fishery with a reduction in the proportion of fishing effort within the areas of higher red gurnard catch rates and a shift towards trawling in deeper waters (Langley 2014). On that basis, the 2014 Working Group rejected the TBCS\_BT\_MIX CPUE index as an index of abundance for GUR 7.

The TBGB\_BT\_FLA CPUE indices were relatively low during 1995–96 to 1998–99, increased in 1999–2000 and remained relatively stable at about that level until 2007–2008. From 2007–08 to 2012–13, the CPUE indices have tended to increase, although the recent increase may be partly attributable to an increase in the proportion of fishing effort within the shallower areas of TB/GB that tend to have a higher catch rate of red gurnard (Langley 2014). Because of this effect and the lack of correspondence with the TBGB WCSI trawl survey results (see next paragraph), the 2014 WG discounted the utility of this CPUE series.

The time series of trawl biomass estimates of recruited (at least 30 cm T.L.) red gurnard from the Tasman Bay/Golden Bay strata of the Challenger survey varies considerably among surveys and the biomass estimates are not well correlated with the corresponding CPUE indices (TBGB\_BT\_FLA) (Figure 9). There is no persistent trend in the trawl survey biomass estimates and recent (2011 and 2013) biomass estimates are at about the average level for the time series.



**Figure 9: Comparison of the lognormal indices from two independent CPUE series for GUR 7 ; a) TBGB\_BT\_FLA: bottom trawl in statistical areas 38, and 17, target FLA or RCO ; b) TBCS\_BT\_MIX: bottom trawl in statistical areas 38, 39, 17 and 18, target, BAR, TAR, WAR. Trawl survey biomass estimates of recruited ( $\geq 30$  cm T.L.) red gurnard from the TBGB area of the Challenger inshore trawl survey are also presented. The vertical bars represent the associated 95% confidence intervals.**

### Establishing $B_{MSY}$ compatible reference points

In 2014, a composite series (WCSI\_BT\_MIX+FLA), which averaged the WCSI\_BT\_MIX and WCSI\_BT\_FLA series in each year, was accepted by the Working Group as the CPUE series for monitoring GUR 7. However, because there was poor agreement between the CPUE series and the relative biomass series from the WCSI trawl survey (also accepted as an index of abundance for GUR 7), the Working Group agreed to use both series to develop  $B_{MSY}$  proxy reference points for GUR 7, with one based on the mean WCSI\_BT\_MIX+FLA series and the other based on relative abundance from the west coast component of the WCSI trawl survey. In each case, the mean of the indices for the complete series (beginning in 1989–90 for the CPUE series and 1992 for trawl survey series; the CPUE series ends in 2012–13 and the trawl survey series ends with the 2013 biomass index) was chosen as a “ $B_{MSY}$  compatible proxy” for GUR 7. The Working Group accepted the default Harvest Strategy



## RED GURNARD (GUR)

Standard definitions that the Soft and Hard Limits would be one half and one quarter the target, respectively.

### 4.4 Other factors

Red gurnard is a major bycatch of target fisheries for several different species, such as snapper and flatfish. The target species may differ between areas and seasons. The recorded landings are influenced directly by changes in the fishing patterns of fisheries for these target species and indirectly by the abundance of these target species. Some target fishing for gurnard also occurs.

## 5. STATUS OF THE STOCKS

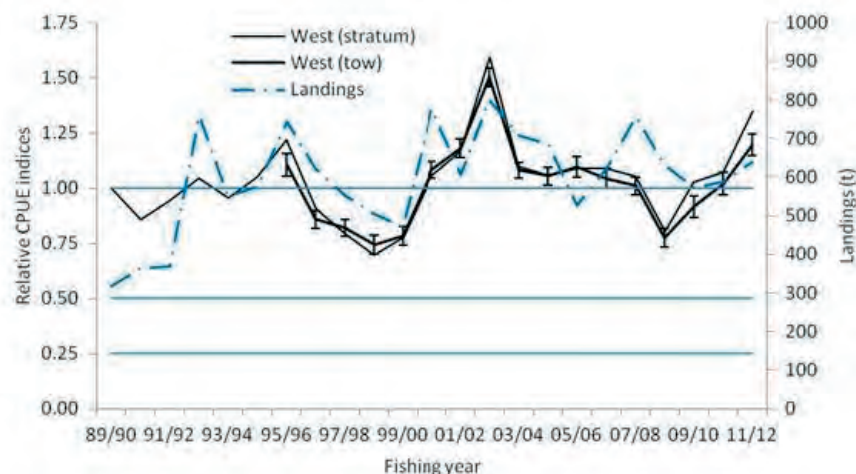
### Stock Structure Assumptions

For the purpose of this summary GUR 1 is considered to be a single stock with three sub-stocks.

#### • GUR 1W

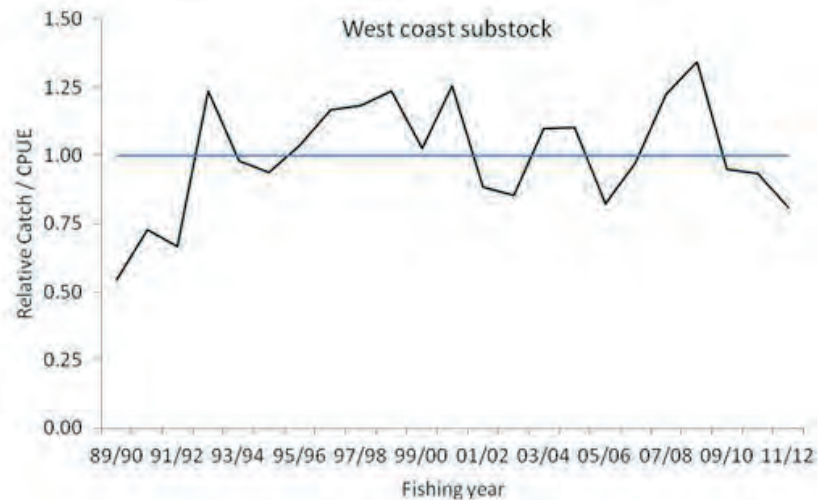
Stock Status	
Year of Most Recent Assessment	2013
Assessment Runs Presented	Standardised CPUE
Reference Points	Target: $B_{MSY}$ -compatible proxy based on the mean CPUE from 1994–95 to 2011–12 of the bottom trawl GUR 1 west (tow) series Soft Limit: 50% of target Hard Limit: 25% of target Overfishing threshold: $F_{MSY}$
Status in relation to Target	About as Likely as Not (40–60%) to be at or above $B_{MSY}$
Status in relation to Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Very Unlikely (< 10%)
Status in relation to Overfishing	Overfishing is Unlikely (< 40%) to be occurring

### Historical Stock Status Trajectory and Current Status



Comparison of standardised CPUE for red gurnard in GUR 1W from models of catch rate in successful bottom trawl trips done for tow by tow data from 1995–96 ( $\pm 2$  s.e.) and at stratum level including CELR data from 1989–90 (Kendrick & Bentley in prep. Also shown is the trajectory of total landed GUR 1 from the sub-stock area. The two CPUE series have been scaled to the mean of each series for the years in common. Horizontal lines represent the target and soft and hard limits.





Annual relative exploitation rate for red gurnard in the GUR 1 west coast sub-stock.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy	The CPUE index cycles over a 4–8 year period consistent with the dynamics of a short lived species with variable recruitment. CPUE suggests that stock size has fluctuated around the long-term average since 1995–96, recovering from lows in 1998–99 and 2008–09. The CPUE has increased since 2008–09 and in 2011–12 was slightly above the long-term mean.
Recent Trend in Fishing Intensity or Proxy	Relative exploitation rate has fluctuated without trend since 1991–92.
Other Abundance Indices	The GUR 1 West (stratum) series is slightly longer than the GUR 1 West (tow) series, but has a similar trend for the overlapping period.
Trends in Other Relevant Indicators or Variables	-

### Projections and Prognosis

Stock Projections or Prognosis	Without information on recruitment, it is not possible to predict how the stock is going to respond in the next few years.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unlikely if the catch remains at current levels Hard Limit: Unlikely if the catch remains at current levels Unknown whether catch at the level of the TACC would cause decline below both the soft and hard Limits
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Probability of TACC causing overfishing to occur or commence: Unlikely if the catch remains at current levels Unknown whether catch at the level of the TACC would cause overfishing

### Assessment Methodology and Evaluation

Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	Standardised CPUE based on positive catches from bottom trawl	
Assessment Dates	Latest assessment: 2013	Next assessment: 2018

**RED GURNARD (GUR)**

Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	Catch and effort data	1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	The accepted CPUE index is now a tow based index, rather than trip-stratum based.	
Major Sources of Uncertainty		

**Qualifying Comments**

As the red gurnard fishery in FMAs 1 and 9 has a long history, it is difficult to infer stock status from recent abundance trends. The abundance of all three sub-stocks appears to be cyclical, probably in response to recruitment variation, and in two sub-stocks trends are currently downward. This makes it difficult to predict future trends without recruitment information. Given that the catch levels observed from 1986–87 to 2011–12 has been relatively consistent (averaging 1129 t for all of GUR 1) and that red gurnard are mainly taken as bycatch, current catch levels are unlikely to compromise the long-term viability of this stock.

As the TACC is substantially higher than the current catch, it is not possible to evaluate potential impacts if catches increased to the level of the TACC.

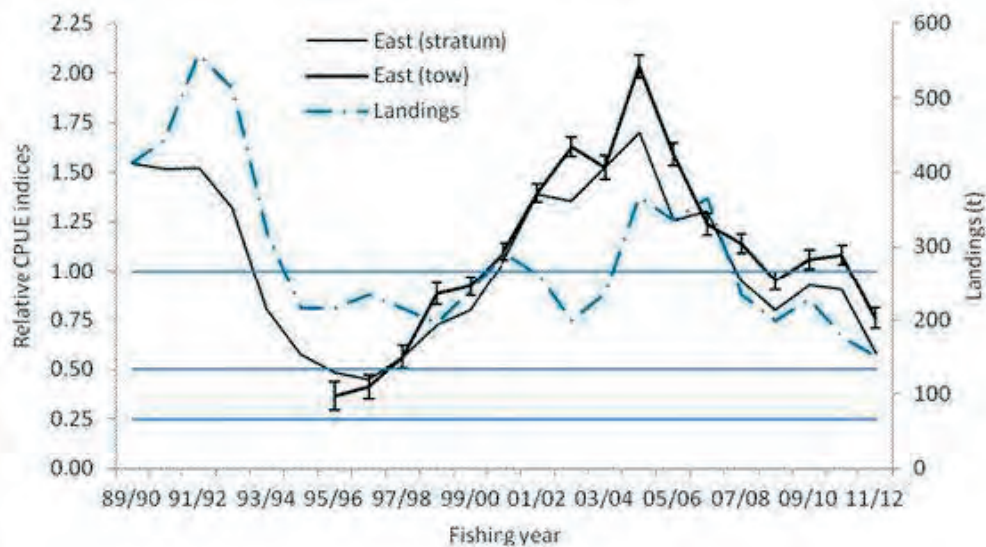
**Fishery Interactions**

Red gurnard is taken on the west coast by bottom trawl targeted at snapper and trevally. Incidental captures of seabirds occur and there is a risk of incidental capture of Maui's dolphins.

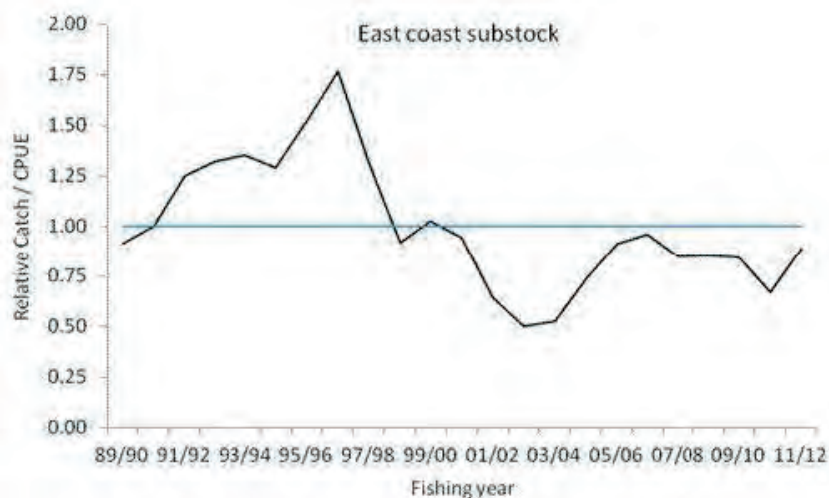
**GUR 1E**

<b>Stock Status</b>	
Year of Most Recent Assessment	2013
Assessment Runs Presented	Standardised CPUE
Reference Points	Target: $B_{MSY}$ -compatible proxy based on the mean CPUE from 1995–96 to 2011–12 for the bottom trawl GUR 1 East (tow) series Soft Limit: 50% of target Hard Limit: 25% of target Overfishing threshold: $F_{MSY}$
Status in relation to Target	About as Likely as Not (40–60%) to be at or above $B_{MSY}$
Status in relation to Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Very Unlikely (< 10%)
Status in relation to Overfishing	Unknown whether Overfishing is occurring

### Historical Stock Status Trajectory and Current Status



Comparison of standardised CPUE for red gurnard in GUR 1E from models of catch rate in successful bottom trawl trips done for tow by tow data from 1995–96 ( $\pm 2$  s.e.) and at stratum level including CELR data from 1989–90 (Kendrick & Bentley in prep. Also shown is the trajectory of total landed GUR 1 from the substock area. The two CPUE series have been scaled to the mean of each series for the years in common. Horizontal lines represent the target and the soft and hard limits.



Annual relative exploitation rate for red gurnard in the GUR 1 east coast sub-stock.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy

The CPUE index fluctuates in a way that is consistent with the dynamics of a short lived species with variable recruitment, although the period is longer than that for other gurnard stocks. An increase from the lowest levels in 1995–96 was sustained over eight consecutive years, peaked in 2004–05 and has since declined to slightly below the target in 2011–12.

Recent Trend in Fishing Intensity or Proxy

Relative exploitation rate increased from 1989–90 to 1996–97, declined to 1998–99 and has since then fluctuated without trend below the long-term average.

**RED GURNARD (GUR)**

Other Abundance Indices	The GUR 1East (stratum) series is slightly longer than the GUR 1 East (tow) series, but has a similar trend for the overlapping period.
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Without information on recruitment, it is not possible to predict how the stock is going to respond in the next few years.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unknown Hard Limit: Unknown
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown if the catch remains at current levels Unknown whether catch at the level of the TACC would cause overfishing.

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	Standardised CPUE based on positive catches from bottom trawl	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	- Catch and effort data	1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	The accepted CPUE index is now a tow based index, rather than trip-stratum based.	
Major Sources of Uncertainty	-	

<b>Qualifying Comments</b>
<p>As the red gurnard fishery in FMAs 1 and 9 has a long history, it is difficult to infer stock status from recent abundance trends. The abundance of all three sub-stocks appears to be cyclical, probably in response to recruitment variation, and in two sub-stocks trends are currently downward. This makes it difficult to predict future trends without recruitment information. Given that the catch levels observed from 1986–87 to 2011–12 has been relatively consistent (averaging 1129 t for all of GUR 1) and that red gurnard are mainly taken as bycatch, current catch levels are unlikely to compromise the long-term viability of this stock.</p> <p>As the TACC is substantially higher than the current catch, it is not possible to evaluate potential impacts if catches increased to the level of the TACC.</p>

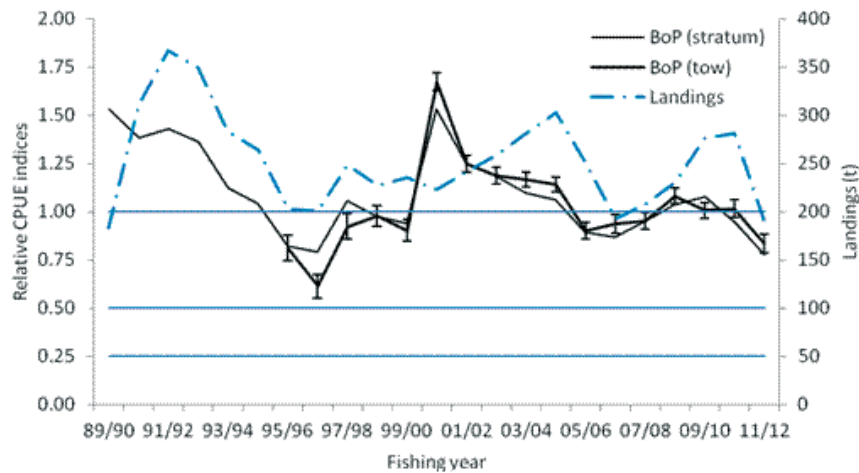
<b>Fishery Interactions</b>
Red gurnard is taken as a bycatch on the east coast mainly by bottom longline targeted at snapper, with the balance taken almost equally by bottom trawl and Danish seine targeting snapper and John dory. Incidental captures of seabirds occur.

- GUR 1 Bay of Plenty**

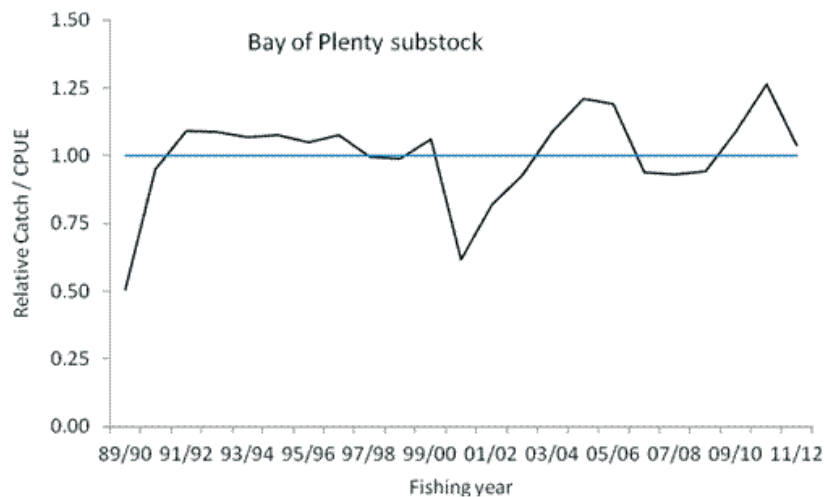
<b>Stock Status</b>	
Year of Most Recent Assessment	2013
Assessment Runs Presented	Standardised CPUE

Reference Points	Target: $B_{MSY}$ -compatible proxy based on the mean CPUE from 1994–95 to 2011–12 for the bottom trawl GUR 1 BoP (tow) series Soft Limit: 50% of target Hard Limit: 25% of target Overfishing threshold: $F_{MSY}$
Status in relation to Target	About as Likely as Not (40–60%) to be at or above $B_{MSY}$
Status in relation to Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Very Unlikely (< 10%)
Status in relation to Overfishing	Unknown whether Overfishing is occurring

### Historical Stock Status Trajectory and Current Status



Comparison of standardised CPUE for red gurnard in GUR 1BoP from models of catch rate in successful bottom trawl trips done for tow by tow data from 1995–96 ( $\pm 2$  s.e.) and at stratum level including CELR data from 1989–90 (Kendrick & Bentley in prep. Also shown is the trajectory of total landed GUR 1 from the substock area. The two CPUE series have been scaled to the mean of each series for the years in common. Horizontal lines represent the target and the soft and hard limits.



Annual relative exploitation rate for red gurnard in the Bay of Plenty.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy	The CPUE index fluctuates in a way that is consistent with the dynamics of a short lived species with variable recruitment. An increase from the lowest levels in 1995–96 to a peak in 2000–01, and has since declined to slightly below the target in 2011–12.
Recent Trend in Fishing Mortality or Proxy	Relative exploitation rate has fluctuated without trend around the long-term mean since 1991–92

**RED GURNARD (GUR)**

Other Abundance Indices	The GUR 1 BoP (stratum) series is slightly longer than the GUR 1 BoP (tow) series, but has a similar trend for the overlapping period.
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Without information on recruitment, it is not possible to predict how the stock is going to respond in the next few years.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unknown
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown if the catch remains at current levels Unknown whether catch at the level of the TACC would cause overfishing.

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	Standardised CPUE based on positive catches from bottom trawl	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	- Catch and effort data	1 – High Quality
Data not used (rank)	-	
Changes to Model Structure and Assumptions	The accepted CPUE index is now a tow based index, rather than trip-stratum based.	
Major Sources of Uncertainty	-	

<b>Qualifying Comments</b>
<p>As the red gurnard fishery in FMAs 1 and 9 has a long history, it is difficult to infer stock status from recent abundance trends. The abundance of all three sub-stocks appears to be cyclical, probably in response to recruitment variation, and in two sub-stocks trends are currently downward. This makes it difficult to predict future trends without recruitment information. Given that the catch levels observed from 1986–87 to 2011–12 has been relatively consistent (averaging 1129 t for all of GUR 1) and that red gurnard are mainly taken as bycatch, current catch levels are unlikely to compromise the long-term viability of this stock.</p> <p>As the TACC is substantially higher than the current catch, it is not possible to evaluate potential impacts if catches increased to the level of the TACC.</p>

<b>Fishery Interactions</b>
Red gurnard is taken as a bycatch in the Bay of Plenty mainly by bottom longline targeted at snapper, with the balance taken almost equally by bottom trawl and Danish seine targeting snapper and John dory. Incidental captures of seabirds occur.

- GUR 2**

**Stock Structure Assumptions**

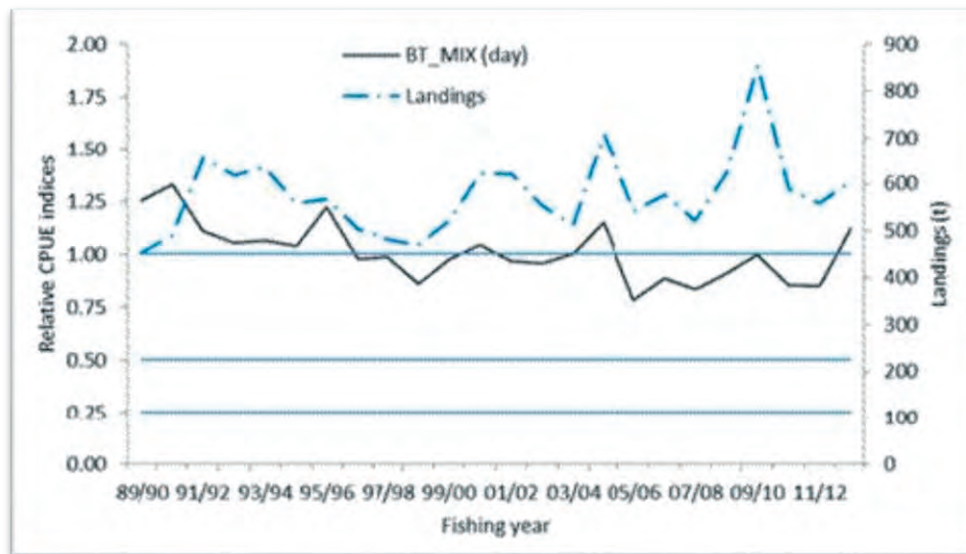
For the purpose of this summary GUR 2 is considered to be a single stock.

<b>Stock Status</b>	
Year of Most Recent Assessment	2014
Assessment Runs Presented	Standardised CPUE for BT.MIX

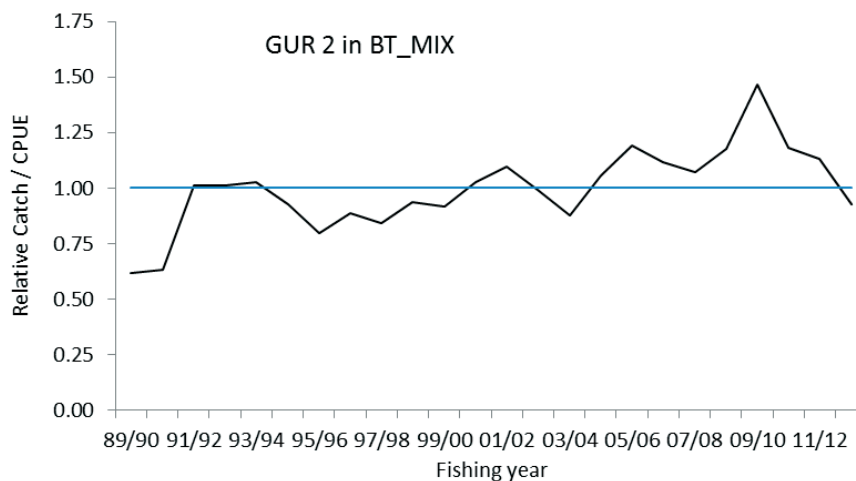


Reference Points	Target: $B_{MSY}$ -compatible proxy based on the mean CPUE (BT(MIX)) for period 1990–91 to 2009–10 Soft Limit: 50% of target Hard Limit: 25% of target Overfishing threshold: $F_{MSY}$
Status in relation to Target	About as Likely as Not (40–60%) to be at or above the target
Status in relation to Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Very Unlikely (< 10%)
Status in relation to Overfishing	Overfishing is Unlikely (< 40%) to be occurring (based on estimates of $Z$ )

### Historical Stock Status Trajectory and Current Status



Standardised catch per unit effort (CPUE) indices for GUR 2 from bottom trawling targeting gurnard, snapper and trevally (GUR.BT.MIX) that combines all form types at a daily aggregation, and for a shorter time series that uses only tow based data (Kendrick & Bentley in prep). Scaling is relative to the years in common. In both standardisation models, a gamma error distribution was assumed. Horizontal lines are the target and the soft limits.



Annual relative exploitation rate (catch/CPUE) for red gurnard in GUR 2.

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	CPUE indices declined between 1990 and 1998 and then fluctuated without trend until 2012, with an increase in 2013. Standardised CPUE in 2012–13 is above the target.
Recent Trend in Fishing Mortality or Proxy	Relative exploitation rate increased gradually from 1989–90 to 2009–10 and then dropped to the long-term average by 2012–13.
Other Abundance Indices	Tow based analysis of 2007–08 to 2012–13 data closely resembles the mixed form type analysis. CPUE index (BT.TAR) has also followed similar trends to the CPUE BT.MIX index.
Trends in Other Relevant Indicators or Variables	Catch curve analysis indicated that fishing mortality was at or below M in 2010 (depending on the age at full recruitment).

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Without information on recruitment, it is not possible to predict how the stock is going to respond in the next few years.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unlikely (<40%) Hard Limit: Very Unlikely (<10%) Unknown whether catch at the level of the TACC would cause decline below both the soft and hard Limits.
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unlikely (< 40%) if the catch remains at the average of 2000–2013 levels Unknown whether catch at the level of the TACC would cause overfishing

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	1. Standardised CPUE. 2. Estimates of total mortality (Z) using Chapman-Robson Estimator	
Assessment Dates	Latest assessment: 2014	Next assessment: 2017
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	- Catch and effort data - Catch-at-age	1 – High Quality 1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	- Gamma instead of lognormal error structure for CPUE analysis	
Major Sources of Uncertainty	- Uncertainty in estimate of M	

<b>Qualifying Comments</b>
As the TACC is substantially higher than the current catch, it is not possible to evaluate potential impacts if catches increased to the level of the TACC.

<b>Fishery Interactions</b>
Red gurnard is taken in FMA 2 by the bottom trawl fishery targeting snapper, gurnard and trevally and as a bycatch in bottom trawl fisheries targeting flatfish and tarakihi. Incidental captures of seabirds occur and there is a risk of incidental capture of Hector's dolphins at the southern end of the QMA.

- **GUR 3**

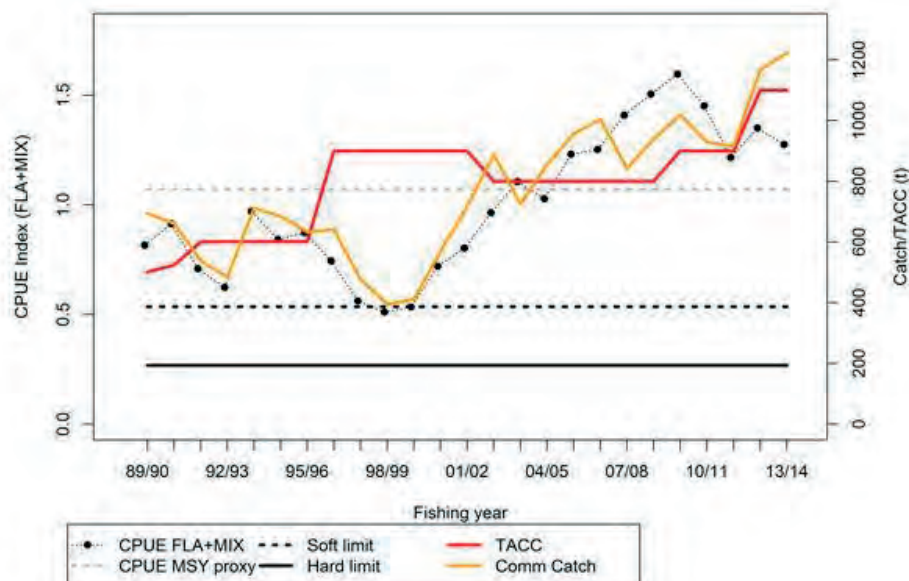
**Stock Structure Assumptions**

No information is available on the stock separation of red gurnard. The Fishstock GUR 3 is treated in this summary as a unit stock.

<b>Stock Status</b>	
Year of Most Recent Assessment	2015
Reference Points	Target: $B_{MSY}$ -compatible proxy based on CPUE is twice the soft limit Soft Limit: Mean from 1997–98 to 1999–00 of BT(MIX+FLA) series, as defined in Starr & Kendrick (2012) Hard Limit: 50% of soft limit Overfishing threshold: $F_{MSY}$
Status in relation to Target	Likely (> 60%) to be above the target
Status in relation to Limits	Soft Limit: Very Unlikely (< 10%) to be below Hard Limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	About as Likely as Not (40–60%) to be overfishing

**Historical Stock Status Trajectory and Current Status**

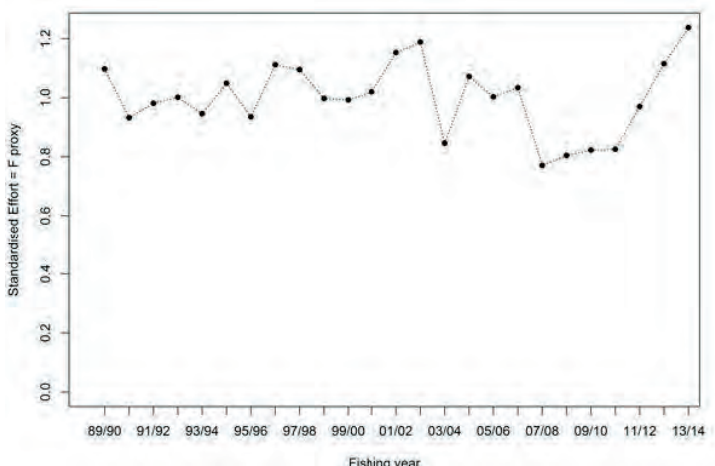
East coast South Island winter trawl survey, CPUE, Catch and TACC Trajectories



Comparison of east coast South Island winter trawl survey recruited biomass and CPUE indices (average FLA and MIX) and the trajectories of catch and TACCs from 1989–90 to 2013–14. The horizontal grey line represents the MSY proxy relative to the CPUE series. The black dotted and solid lines represent the soft and hard limits, respectively.

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Two bottom trawl CPUE series (one targeted at flatfish and the other at red cod), which are considered to be an index of stock abundance, increased steadily from the late 1990s to 2009–10, and then declined, remaining above the target level.

## RED GURNARD (GUR)

Recent Trend in Fishing Intensity or Proxy	 <p>Fishing mortality proxy is Standardised Fishing Effort = Total catch/CPUE (normalised). Fishing mortality proxy increased sharply from 2010–11 to 2013–14 to above the series mean in 2011–12 and 2013–14.</p>
Other Abundance Indices	<p>ECSI winter survey (30–400m) shows a substantial increase since the early 1990s.</p> <p>The expanded survey (10–400m) shows a marked increase from 2007–2014 (n = 3).</p>
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	Quantitative stock projections are unavailable.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	<p>Soft Limit: Very Unlikely (&lt; 40%)</p> <p>Hard Limit: Very Unlikely (&lt; 10%)</p> <p>Current abundance is at historically high levels and is unlikely to decline below limits in 3–5 years.</p>
Probability of Current Catch or TACC causing Overfishing to continue or to commence	<p>GUR is mostly taken as a bycatch (about 10% targeted). The correspondence between relative abundance and catch suggests a constant exploitation rate. The current catch is therefore Unlikely (&lt; 40%) to cause overfishing.</p>

Assessment Methodology and Evaluation	
Assessment Type	Level 2: Partial Quantitative Stock Assessment
Assessment Method	Agreed standardised CPUE series and trawl survey biomass indices
Assessment Dates	Latest assessment: 2015      Next assessment: 2017
Overall assessment quality rank	1 – High Quality
Main data inputs (rank)	<p>-Trawl survey biomass indices and associated length frequencies</p> <p>1– High Quality</p> <p>- Catch and effort data</p> <p>1– High Quality</p>
Data not used (rank)	N/A
Changes to Model Structure and Assumptions	-
Major Sources of Uncertainty	Prior to 2007 the ECSI trawl survey did not cover the entire depth range for red gurnard. Variable proportion of the population in the previously unsurveyed 10–30m

	depth range suggests that survey catchability varies between years in the core survey area (30–400m).
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#### Qualifying Comments

Red gurnard are relatively short-lived and reasonably productive. They exhibit cyclic fluctuations and were at low levels in the mid-1990s. Stock size has increased substantially since then and commercial fishers indicate that they find it difficult to stay within the TACC despite the low level of targeting on this species.

Two independent CPUE series and the winter trawl survey corroborate that stock size for GUR 3 has increased since the late 1990s.

There are potentially sufficient data to undertake a quantitative stock assessment for GUR 3. This would allow the estimation of  $B_{MSY}$  and other reference points.

#### Fishery Interactions

Red gurnard in GUR 3 are taken almost entirely by bottom trawl in fisheries targeted at red cod, barracouta and flatfish. Some gurnard are also taken in the target tarakihi and stargazer bottom trawl fisheries. The level of targeting on this species is low, averaging less than 10% of the total landed catch since 1989–90.

Incidental captures of seabirds occur and there is a risk of incidental capture of Hector's dolphins

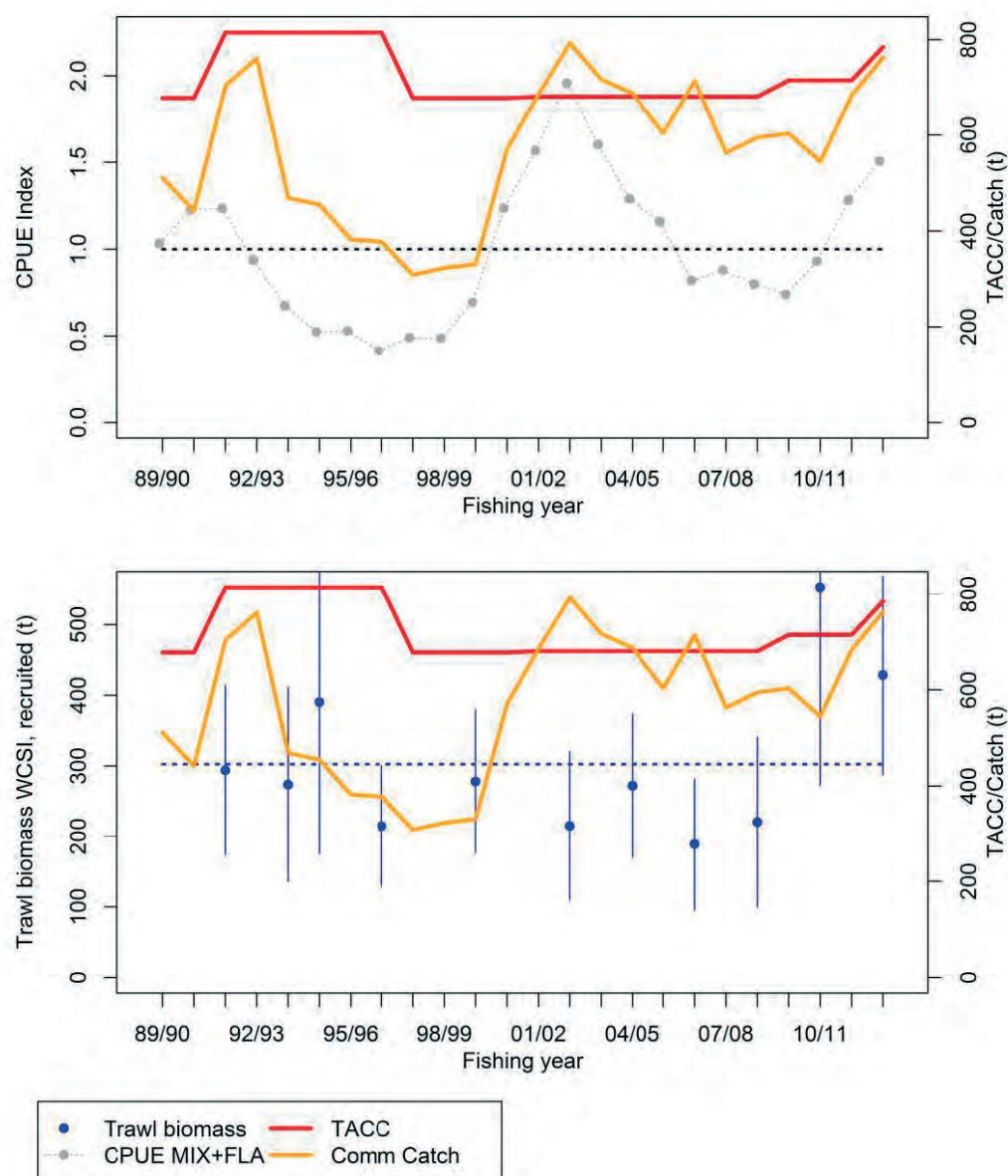
### • GUR 7

#### Stock Structure Assumptions

Stock boundaries are unknown, but for the purpose of this summary, GUR 7 is considered to be a single management unit.

Biomass trends differ between the west coast South Island and Tasman Bay/Cook Strait areas; however, the former area accounts for the largest proportion of the catch (about 65% in recent years). Because the WG has discounted the value of both CPUE series from TBGB, and the TBGB trawl survey indices are skewed towards juveniles, advice for GUR 7 is largely based on abundance indices for the west coast portion of the QMA.

Stock Status	
Year of Most Recent Assessment	2013 (West Coast South Island trawl survey); 2014 CPUE analysis
Reference Points	Target1: $B_{MSY}$ -compatible proxy based on the WCSI Trawl Survey is the mean from 1992 to 2013 for the west coast region Target2: $B_{MSY}$ -compatible proxy based on CPUE is the mean from 1989–90 to 2012–13 of the average BT(MIX+FLA) west coast series, as defined in Langley (2014). Soft Limit: 50% Target Hard Limit 25% Target Overfishing threshold: $F_{MSY}$
Status in relation to Target	About as Likely as Not (40–60%) to be at or above the target
Status in relation to Limits	Soft limit: Unlikely (< 40%) to be below Hard Limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	Overfishing is Unlikely (< 40%) to be occurring

**Historical survey biomass, Catch and TACC Trajectories**

Standardised CPUE indices for GUR 7 from a composite west coast inshore trawl fishery index series (top panel), and WCSI trawl survey biomass indices for recruited ( $\geq 28$  cm T.L.) red gurnard in the west coast area (bottom Panel). The vertical bars represent the associated 95% confidence intervals for the trawl survey. The GUR 7 annual catches and TACCs are also presented.

**Fishery and Stock Trends**

Recent trend in Biomass or Proxy	The West Coast South Island trawl survey relative biomass indices from 2011 and 2013 were the highest of the entire time series. WCSI CPUE indices increased steadily from 2009–10 to 2012–13; CPUE indices for the Tasman Bay fishery also remained high in recent years.
Recent trend in Fishing Mortality or Proxy	Unlikely (< 40%) that overfishing is occurring. Biomass has increased considerably since 2009–10 while there was only a moderate increase in annual catches.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	Estimates of pre-recruit fish from the Challenger trawl survey indicate moderate recruitment in recent years. These year classes will continue to sustain the commercial fishery over the next few years.



<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Recent catches and the TACC are likely to be sustainable, at least in the short-term. Quantitative stock projections are unavailable.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Very Unlikely (< 10%) Current abundance is at historically high levels and is unlikely to decline below limits in 3–5yrs
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unlikely (< 40%)

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2: Partial Quantitative Stock Assessment	
Assessment Method	West Coast South Island trawl survey biomass - Survey length frequency - Standardised CPUE indices	
Assessment Dates	Latest assessment: 2014	Next assessment: 2015
Overall assessment quality rank	1 – High Quality	
Main data inputs	- Survey biomass and length frequencies - CPUE indices	1 – High Quality  1 – High Quality
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

<b>Qualifying Comments</b>
Red gurnard are a survey target of the West Coast South Island trawl survey and the Southern Inshore Working Group regards the series as a reliable index of abundance.
Trends in CPUE indices are not consistent with trends in trawl survey biomass. The selectivity of the commercial fishery is unknown and it is unknown whether the two sets of indices are monitoring the same component of the stock. However, the CPUE indices for a mixed target species bottom trawl fishery and the flatfish target bottom trawl fishery have similar trends and have been averaged to obtain a composite series.

<b>Fishery Interactions</b>
Red gurnard are primarily taken in conjunction with the following QMS species: barracouta, stargazer, red cod, tarakihi and other species in the West Coast South Island target bottom trawl fishery.
Incidental captures of seabirds occur and there is a risk of incidental capture of Hector's dolphins.

## 7. FOR FURTHER INFORMATION

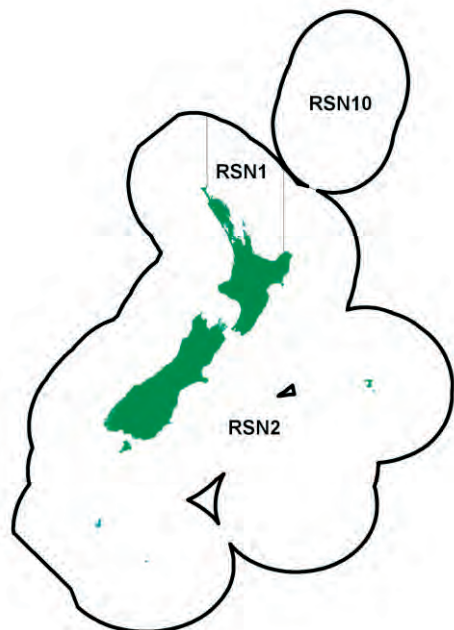
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## RED GURNARD (GUR)

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**RED SNAPPER (RSN)***(Centroberyx affinis)*

Kaorea

**1. FISHERY SUMMARY**

Red snapper was introduced into the Quota Management System on 1 October 2004 with the TACs, TACCs and allowances as shown in Table 1. These have not changed.

**Table 1: Recreational and customary non-commercial allowances, TACCs and TACs of red snapper.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other sources of mortality	TACC	TAC
RSN 1	13	2	1	124	140
RSN 2	2	1	1	21	25
RSN 10	1	1	1	1	4
Total	16	4	3	146	169

**1.1 Commercial fisheries**

Small commercial catches of red snapper in New Zealand have almost certainly been made for decades, but would have been included among “assorted minor species” in reported landings. Historical estimated and recent reported red snapper landings and TACCs are shown in Tables 2, 3 and 4, while Figure 1 shows the historical and recent landings and TACC values for the main red snapper stocks. Reported annual landings increased to a peak of 186t in 1996/97, and declined continuously since then (Tables 2 and 3, Figure 1).

Red snapper is mostly taken as a bycatch of 1) the longline fishery for snapper off east Northland, 2) the trawl fisheries for tarakihi off east and west Northland, and 3) the setnet fishery for snapper and trevally in the Bay of Plenty.

**1.2 Recreational fisheries**

The National Marine Recreational Fishing surveys in 1994, 1996, and 2000 do not provide an estimate of the recreational catch of red snapper. However, it is likely that recreational fishers will periodically catch red snapper while line fishing on deep reefs in Northland, the outer Hauraki Gulf, and Bay of Plenty.

## RED SNAPPER (RSN)

### 1.3 Customary Fisheries

There is no quantitative information available to allow the estimation of the amount of red snapper taken by customary non-commercial fishers.

**Table 2: Reported landings (t) for the main QMAs from 1931 to 1982.**

Year	RSN 1	RSN 2	Year	RSN 1	RSN 2
1931-32	0	0	1957	0	0
1932-33	0	0	1958	0	0
1933-34	0	0	1959	0	0
1934-35	0	0	1960	0	0
1935-36	0	0	1961	0	0
1936-37	0	0	1962	0	0
1937-38	0	0	1963	0	0
1938-39	0	0	1964	0	0
1939-40	0	0	1965	0	0
1940-41	0	0	1966	0	0
1941-42	0	0	1967	0	0
1942-43	0	0	1968	0	0
1943-44	0	0	1969	0	0
1944	0	0	1970	0	0
1945	0	0	1971	0	0
1946	0	0	1972	0	0
1947	0	0	1973	0	0
1948	0	1	1974	0	1
1949	0	1	1975	0	0
1950	0	13	1976	0	4
1951	0	47	1977	0	7
1952	0	57	1978	0	4
1953	0	35	1979	0	1
1954	0	23	1980	0	9
1955	0	18	1981	0	3
1956	0	18	1982	0	3

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns; Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings.

**Table 3: Reported landings (t) by commercial fishers of red snapper by FMA from 1989–90 to 2003–04. Data are derived from the landing section of CELRs and CLRs.**

	FMA 1	FMA 2	FMA 3	FMA 4	FMA 7	FMA 8	FMA 9	FMA 10	Unknown	Total
1989–90	67.9	3	3.1	0	1.8	0.9	0	0	0.0	76.7
1990–91	107.3	1.2	2.8	0	0.6	0.7	0	0	0.0	112.7
1991–92	89.1	0.7	1.1	0	0	1.6	0	0.6	0.0	93.2
1992–93	98.2	2.1	0.4	0	0	0.6	0	0	0.3	101.6
1993–94	78.2	2.6	0.3	0.1	0.4	0.4	0.2	0	0.0	82.4
1994–95	78.2	1.8	0.3	0	0.2	0.6	0.5	0	1.0	82.6
1995–96	126.7	2.1	0.8	0.2	1.2	0.2	1	0	1.3	133.4
1996–97	186.4	17.4	0.9	0	1	0.3	2.9	0.2	2.8	211.8
1997–98	159.1	3.4	0.3	0	0.2	0.7	3.6	0	0.8	168.2
1998–99	134.4	1.5	0.4	0.1	0.3	1	4.7	0	0.4	142.8
1999–00	108.1	1.3	0.8	0	0.1	21.3	25.4	0	0.7	157.7
2000–01	140.0	1.1	2.3	0.8	0	0.8	51.5	0	0.0	196.5
2001–02	109.7	1.5	2.2	0.1	0	0.4	12.3	0	0.6	126.7
2002–03	117.5	2.2	0.3	0	0	0.6	37.5	0	14.2	172.5
2003–04	40.9	1.8	0.2	0	0.3	1.3	6.7	0	0	51.3

**Table 4: Reported domestic landings (t) of red snapper Fishstock and TACCs from 2004–05 to 2013–14.**

	RSN 1		RSN 2		RSN 10		Total	
	FMA 1		FMA 2–9		FMA 10			
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
2004–05	43	124	11	21	0	1	54	146
2005–06	41	124	8	21	0	1	49	146
2006–07	44	124	10	21	0	1	53	146
2007–08	70	124	17	21	0	1	87	146
2008–09	30	124	12	21	0	1	42	146
2009–10	22	124	9	21	0	1	31	146
2010–11	27	124	8	21	0	1	35	146
2011–12	23	124	5	21	0	1	27	146
2012–13	38	124	7	21	0	1	45	146
2013–14	38	124	25	21	0	1	63	146

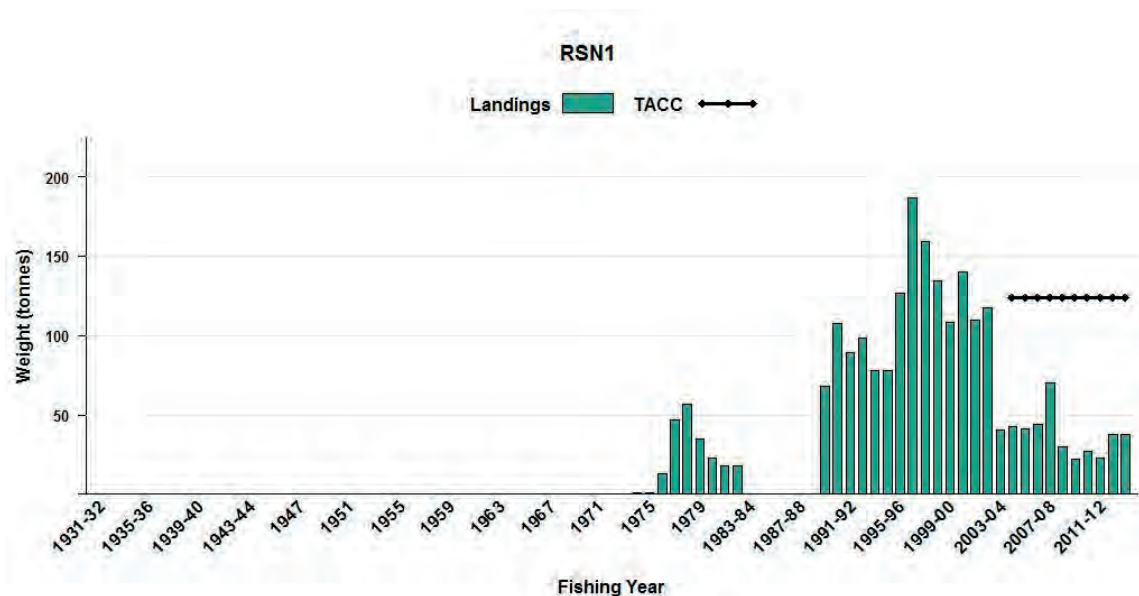


Figure 1: Reported commercial landings and TACC for the main RSN stock, RSN 1 (Auckland).

## 2. BIOLOGY

The red snapper (*Centroberyx affinis*) is present throughout New Zealand coastal waters, but is generally rare south of East Cape and Cape Egmont. In southeastern Australia (known as redfish) it occurs from Brisbane to Melbourne, and off northern Tasmania.

Red snapper occur in association with deep coastal reefs, in particular caves and overhangs, as well as in open water, to depths of about 400 m. Their relative abundance within this depth range is unknown. The southeastern Australian target fishery operates at depths of 100–250 m (Rowling 1994).

There have been no formal ageing studies of New Zealand red snapper, but Leachman et al (1978) reported a maximum ring count of 80, based on examination of a few broken and burned otoliths. These rings were not, however, validated. Work in Australia, based on tagging and thin otolith sections suggest unvalidated ages of at least 35 (Rowling 1994) and 40 years (Smith & Robertson 1992). Radiocarbon analysis supported an age of at least 37 years (Kalish 1995).

Red snapper attain 55 cm in New Zealand but average 30–40 cm. Nothing is known of their reproductive biology.

## 3. STOCKS AND AREAS

There has been no research to determine if there are separate biological stocks of red snapper.

## 4. STOCK ASSESSMENT

There has been no scientific stock assessment of the biomass that can support the Maximum Sustainable Yield ( $MSY$ ) for red snapper.

## 5. STATUS OF THE STOCK

The reference or current biomass is not known for any red snapper stock. It is not known if the recent catch levels are sustainable. The status of RSN 1, 2 and 10 relative to  $B_{MSY}$  is unknown.

## RED SNAPPER (RSN)

TACCs and reported landings by Fishstock, for the 2013–14 fishing year, have been summarised in Table 5.

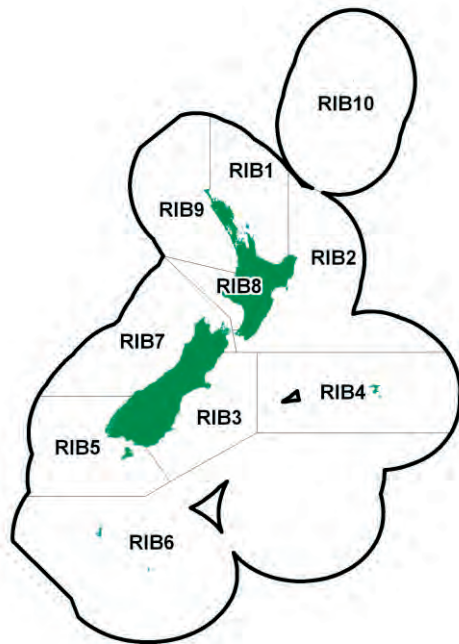
**Table 5: Summary of TACCs (t) and reported landings (t) of red snapper for the 2013–14 fishing year.**

Fishstock		FMA	2013–14 Actual TACC	2013–14 Reported landings
RSN 1	Auckland (East)	1	124	38
RSN 2	Auckland (West), South east, Southland, Sub-Antarctic, Central, Challenger	2,3,4,5,6, 7,8&9	21	25
RSN 10	Kermadec	10	1	0
Total			146	63

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**RIBALDO (RIB)***(Mora moro)***1. FISHERY SUMMARY****1.1 Commercial fisheries**

In New Zealand ribaldo is caught mainly on bottom longlines and as a bycatch of trawling. About 4500 t catch was reported in 1977 by Japanese and Korean longline vessels target fishing for ling on the Chatham Rise and east coast of the South Island in the 1970s. Since 1982–83, overall reported catch has been mainly from the Chatham Rise and east coast South Island (QMAs 3 and 4) but has declined somewhat from these areas since being introduced into the QMS in the 1998–99 fishing year. Since entering the QMS, a similar decline in reported ribaldo catch is seen in other QMAs with the exception of RIB 7 where reported catches increased to 2008–09 but then halved. The reasons for these changes in catch levels are not well understood as ribaldo is mainly taken as bycatch. Levels of discarding and unreported catch are likely to have changed with the introduction of ribaldo into the QMS. Ribaldo are caught throughout the New Zealand Exclusive Economic Zone by a variety of fishing methods in different target fisheries but mainly as bycatch in bottom trawls targeting hoki (*Macruronus novaezelandiae*), hake (*Merluccius australis*) and ling (*Genypterus blacodes*) and bottom longlines for ling.

There is no seasonality of catch other than on the west coast South Island where catch is related to target fishing of hoki and hake during the winter spawning season. Catches by Japanese and Korean longliners in the mid 1970s are shown in Table 1. Landings from 1982–83 onwards are shown in Table 2, while Figure 1 shows the landings and TACC values for the main RIB stocks since the introduction of the QMS.

**Table 1: Japanese and Korean longline catch (t) of ribaldo (“deep-sea cod”) from New Zealand waters, probably mostly Chatham Rise and east coast South island, by calendar year from 1975 to 1977.**

Year	1975	1976	1977
Japan	2 417	4 920	4 283
Korea	-	-	286

1. Reported as “cods” but considered to be mainly ribaldo. The Korean fleet began fishing in April 1977.

Ribaldo was introduced into the QMS from 1 October 1998, no customary, recreational or other mortality allowances have been set. Historical catch limits up to the most recent fishing year (2013–14) are shown in Table 2. TACCs were increased from 1 October 2006 in RIB 6 to 231 t and in RIB 7 1016

## RIBALDO (RIB)

to 330 t. In these stocks landings were above the TACC for a number of years and the TACCs were increased to the average of the previous seven years plus an additional 10%. Current levels of reported catch are well below TACCs in most areas.

**Table 2: Reported landings (t) of ribaldo by QMA for fishing years 1983–84 to 2012–13 and TACCs (t). QMA 10 has no landings and a TACC of 0. Total includes catches from outside the NZ EEZ.**

	RIB 1		RIB 2		RIB 3		RIB 4		RIB 5	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982–83	0		8		15		33		111	
1983–84	0		3		24		21		68	
1984–85	0		4		17		61		21	
1985–86	1		1		26		13		35	
1986–87	4		1		44		20		41	
1987–88	19		4		65		31		56	
1988–89	1		2		33		41		6	
1989–90	8		9		23		28		6	
1990–91	15		15		177		119		34	
1991–92	95		40		160		169		73	
1992–93	131		54		217		228		67	
1993–94	87		70		217		186		23	
1994–95	116		136		437		303		68	
1995–96	121		168		286		253		26	
1996–97	114		188		365		843		64	
1997–98	78		122		141		375		80	
1998–99	24	121	55	176	161	394	290	357	71	52
1999–00	22	121	89	176	264	394	347	357	80	52
2000–01	5	121	107	176	269	394	306	357	78	52
2001–02	7	121	53	176	198	394	370	357	62	52
2002–03	12	121	98	176	211	394	183	357	50	52
2003–04	12	121	120	176	175	394	299	357	50	52
2004–05	28	121	127	176	156	394	379	357	44	52
2005–06	49	121	137	176	126	394	202	357	47	52
2006–07	39	121	125	176	149	394	312	357	49	52
2007–08	53	121	135	176	134	394	173	357	43	52
2008–09	45	121	74	176	216	394	216	357	31	52
2009–10	28	121	63	176	213	394	162	357	27	52
2010–11	42	121	67	176	348	394	137	357	30	52
2011–12	29	121	27	176	174	394	304	357	32	52
2012–13	16	121	74	176	182	394	234	357	35	52
2013–14	29	121	80	176	104	394	492	357	41	52

	RIB 6		RIB 7		RIB 8		RIB 9		Total	
	Landing	TACC	Landing	TACC	Landing	TACC	Landing	TACC	Landing	TACC
1982–83	0		58		0		0		225	
1983–84	1		25		0		0		142	
1984–85	13		18		0		0		134	
1985–86	2		37		0		0		115	
1986–87	10		6		0		0		126	
1987–88	12		68		0		0		255	
1988–89	6		69		1		10		169	
1989–90	13		21		0		0		108	
1990–91	106		55		0		0		521	
1991–92	98		40		0		0		675	
1992–93	96		106		0		0		899	
1993–94	92		42		1		0		718	
1994–95	122		39		2		6		1 231	
1995–96	109		62		0		0		1 025	
1996–97	158		77		1		0		1 824	
1997–98	262		110		1		1		1 214	
1998–99	223	124	243	55	1	1	0	2	1 081	1 282
1999–00	237	124	300	55	< 1	1	< 1	2	1 359	1 282
2000–01	191	124	275	55	< 1	1	< 1	2	1 242	1 282
2001–02	322	124	254	55	0	1	< 1	2	1 311	1 282
2002–03	172	124	338	55	< 1	1	1	2	1 209	1 282
2003–04	205	124	364	55	< 1	1	2	2	1 302	1 282
2004–05	105	124	307	55	< 1	1	2	2	1 240	1 282
2005–06	62	124	336	55	0	1	4	2	1 018	1 282
2006–07	61	231	404	330	0	1	9	2	1 162	1 664
2007–08	80	231	356	330	< 1	1	14	2	992	1 664
2008–09	63	231	456	330	< 1	1	10	2	1 111	1 664
2009–10	104	231	137	330	< 1	1	21	2	755	1 664
2010–11	67	231	198	330	3	1	20	2	913	1 664
2011–12	76	231	177	330	3	1	12	21	835	1 683
2012–13	66	231	180	330	2	1	10	21	799	1 683
2013–14	133	231	291	330	2	1	22	31	1 194	1 683

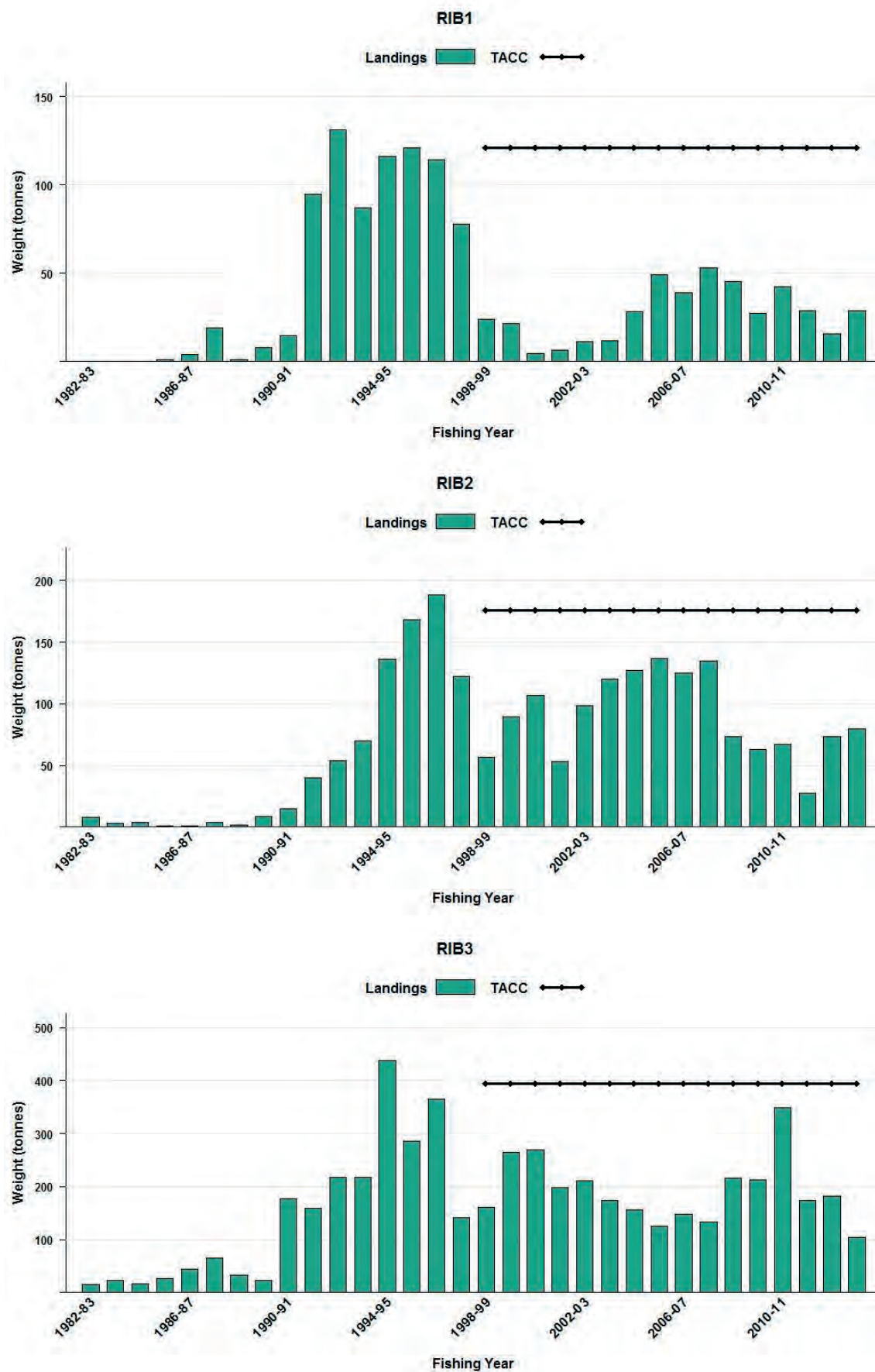


Figure 1: Reported commercial landings and TACC for the seven main RIB stocks. From top to bottom: RIB 1 (Auckland East), RIB 2 (Central East), RIB 3 (South East Coast). [Continued on next page]

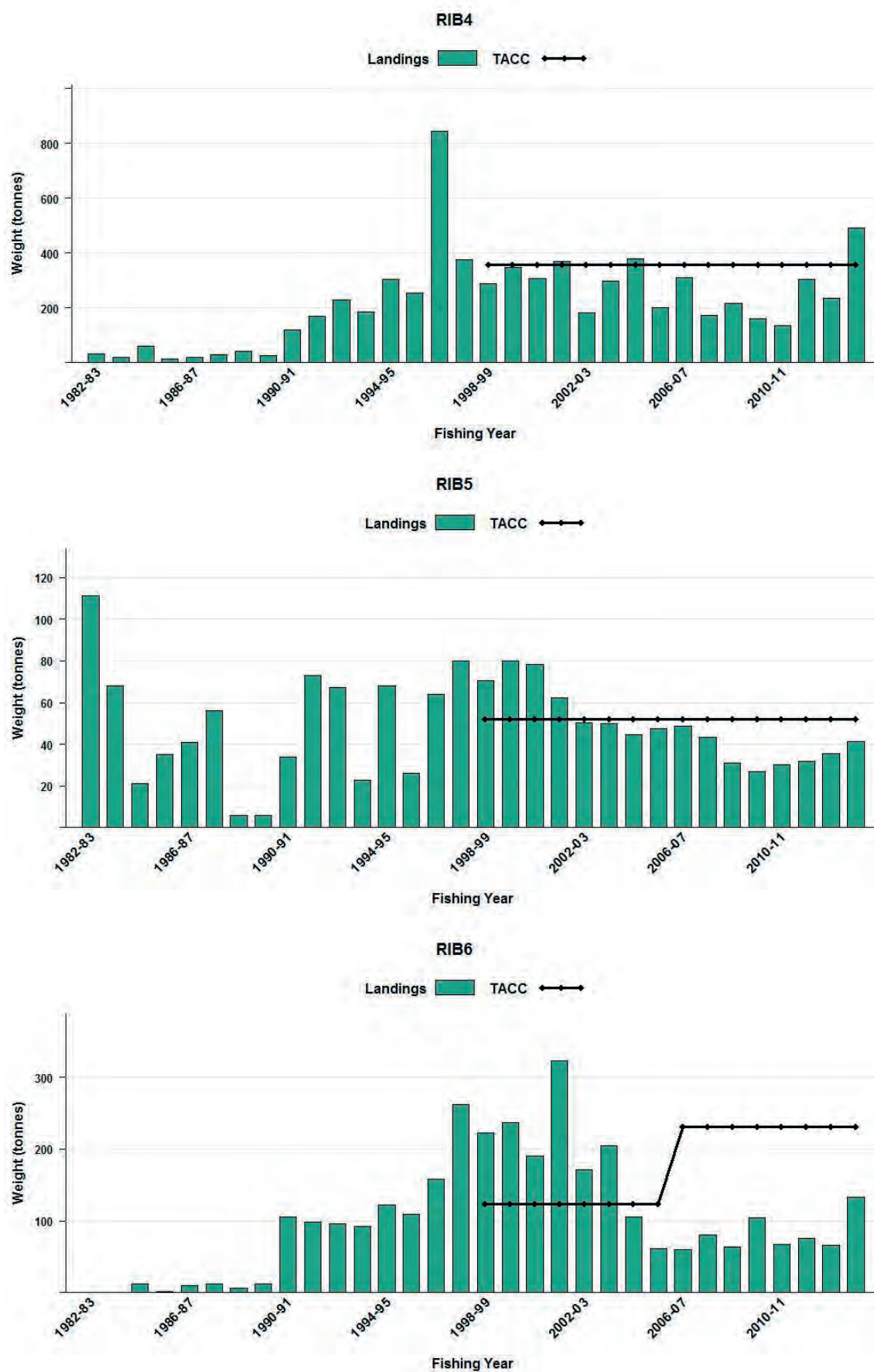


Figure 1: Reported commercial landings and TACC for the seven main RIB stocks. From top to bottom: RIB 4 (South East Chatham Rise), RIB 5 (Southland), RIB 6 (Sub-Antarctic). [Continued on next page].

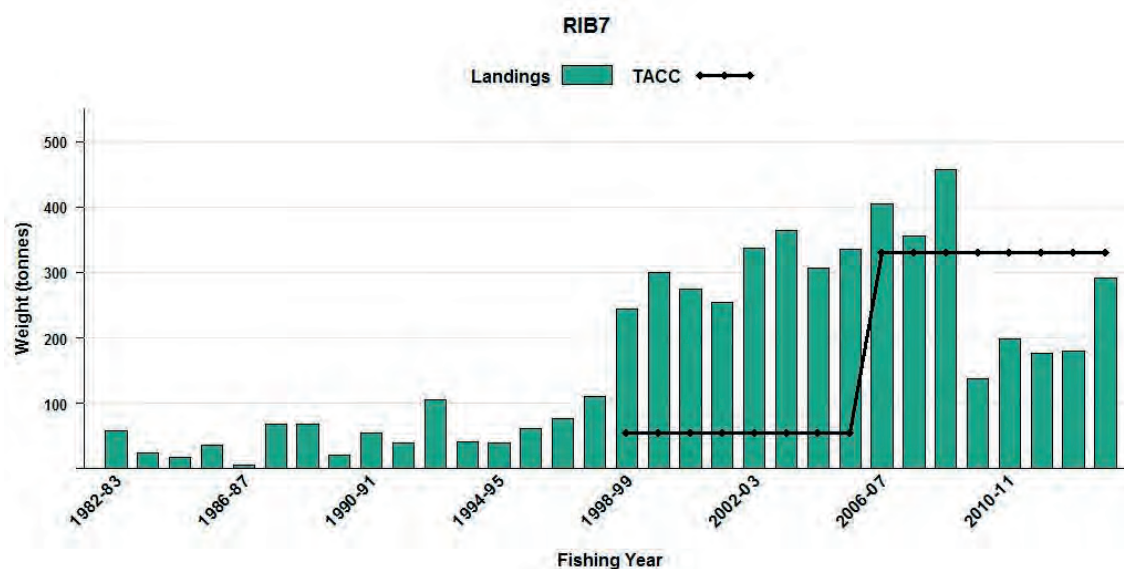


Figure 1 [Continued]: Reported commercial landings and TACC for the seven main RIB stocks. RIB 7 (Challenger).

In RIB 1, ribaldo are taken as bycatch primarily in the ling and to a lesser extent bluenose bottom longline fisheries. There is also some direct targeting of ribaldo by bottom longline. In RIB 2, ribaldo are taken as bycatch primarily in the ling and bluenose bottom longline fisheries and to a lesser extent the hoki and orange roughy bottom trawl fisheries. There is also some direct targeting of ribaldo by bottom longline. In RIB 9 very small amounts of ribaldo are taken as bycatch in orange roughy, cardinal and alfonsino target trawl fisheries and in the ling bottom longline fishery. In all areas, a variety of other fishing methods and target fisheries also report catching ribaldo but only in negligible amounts. The majority of the ribaldo catch is taken in RIB 3–7. Fisheries interactions for these areas are described in the Status of the Stocks tables in Section 5.

## 1.2 Recreational fisheries

Recreational catches are likely to be negligible given the depth and location of ribaldo.

## 1.3 Customary non-commercial fisheries

Customary catches are likely to be negligible given the depth and location of ribaldo.

## 1.4 Illegal catch

Estimates of illegal catch are not available. Given the low value of ribaldo illegal catch is likely to be negligible.

## 1.5 Other sources of mortality

There is no quantitative information on the level of other sources of mortality.

# 2. BIOLOGY

Ribaldo is known from the North Atlantic Ocean from Iceland to West Africa, the western Mediterranean Sea, the Indian Ocean south of Madagascar and the Pacific Ocean from Australia, New Zealand and Chile. In New Zealand it is widespread and has been caught by research trawl at depths from 200 to 1300 m. It appears to be most common at 500–1000 m. The relatively high catch by bottom longline suggests that it favours rough bottom habitats.

Ribaldo reach maximum fork lengths (FL) of about 75 cm and 65 cm for females and males respectively. Most research trawls have caught fish ranging from 30 to 70 cm FL. The 50% length at sexual maturity has been estimated at 45 cm total length for New Zealand ribaldo (O'Driscoll et al 2003). Analysis of data on female gonad development, collected by the Ministry of Fisheries Observer Programme, indicated a winter/early spring spawning season. Fish do not appear to form

## RIBALDO (RIB)

large spawning aggregations. Locations at which spawning fish have been observed are the upper North Island (extending outside the EEZ), north-east and west Chatham Rise, the area between the Snares and Auckland Islands shelves, and the west coast of the South Island. Early life history is largely unknown but a few individuals less than 10 cm FL were captured in plankton nets in the upper 200 m of the water column over bottom depths of about 1000 m at the south west end of Chatham Rise. The distribution of juveniles under 28 cm is similar to that of observed spawning females. Juveniles up to 35 cm have been observed in all fished areas of the EEZ except for the Bounty Islands.

Ageing by zone counts of otoliths has been validated using radiometric techniques (Sutton et al 2010) using ribaldo caught on Chatham Rise trawl surveys by *Tangaroa* from 2001 to 2005. Maximum observed ages were 37 and 39 years for females and males respectively. Von Bertalanffy growth parameters are presented in Table 3, estimates of natural mortality ( $M$ ) are presented in Table 4 and length-weight parameters in Table 5.

Ribaldo are caught in low numbers both in research trawl surveys and in observed commercial fisheries making tracking of cohorts by length frequencies difficult. Analyses of trawl survey and observer data has shown that the biomass of females is usually greater than that of males on the Chatham Rise although sex ratios by number are about 1:1. In the Sub-Antarctic and west coast South Island the biomass and numbers of females are significantly greater than males, often over 10:1. Sex ratios elsewhere in the EEZ are less clear.

**Table 3: Von Bertalanffy growth parameter values for ribaldo. Source: Sutton et al 2010.**

Von Bertalanffy growth parameters	$K$	$t_0$	$L_\infty$
RIB 3 & 4 females	0.135	0.221	67.526
RIB 3 & 4 males	0.072	-5.246	61.444
RIB 3 & 4 combined sexes	0.14	-0.287	60.47

**Table 4: Estimates of natural mortality ( $M$ ). Source: Sutton et al 2010.**

	Females	Males
Natural mortality ( $M$ )	0.106	0.112

**Table 5: Length-weight parameter values for ribaldo.**

Fishstock	Estimate				Source
Weight = $a(\text{length})^b$ (Weight in g, length in cm total length)					
	Females		Males		
	a	b	a	b	
RIB 3 & 4	0.0037	3.27	0.0053	3.18	Sutton et al (2010)
RIB 5 & 6	-	-	-	-	
	Sexes combined				
	a	b			
RIB 3 & 4	0.004289	3.237753			Sutton et al (2010)
RIB 5 & 6	0.0039	3.15			Bagley et al (unpublished data)

## 3. STOCKS AND AREAS

It is not known whether different regional stocks of ribaldo occur in New Zealand waters but it is possible that there are separate stocks based on natural bathymetric boundaries. The Working Group had previously agreed on five fishstocks based on the four main fishing areas plus the Kermadec area, i.e., the east coast of the North Island (QMAs 1 and 2), Chatham Rise and east coast South Island (QMAs 3 and 4), Southland and Sub-Antarctic (QMAs 5 and 6), the west coast of New Zealand (QMAs 7, 8 and 9) and QMA 10. Reviews of all available information in 2010 and 2014 indicated that the main fishing areas are still as found previously. The reviews also indicated spawning activity in all areas, except RIB 8 and RIB 10 (for which there is no information). This is not inconsistent with the management of the fishery by the current 10 FMAs. Highly skewed sex ratios in the Sub-Antarctic and west coast South Island have unknown implications for stock structure.



## 4. STOCK ASSESSMENT

### 4.1 Estimates of fishery parameters and abundance

The Middle Depths Working Group agreed in February 2011 that relative biomass estimates of ribaldo from middle depth trawl surveys on the Chatham Rise and the Sub-Antarctic were suitable for monitoring major changes in ribaldo abundance for RIB 3 & 4 and RIB 5 & 6 respectively. The west coast South Island trawl survey on *Tangaroa* may provide an index of abundance but with just three years of data points (2000, 2012, 2013) there is insufficient data with which to draw any conclusions. It is not certain that standardised CPUE indices from the hoki bottom trawl fisheries in RIB 3 & 4, and in RIB 5 & 6 track abundance. Standardised CPUE indices for these two areas are flat and indices from the corresponding trawl surveys are also flat, making it difficult to validate CPUE. CPUE indices from the spawning hoki and hake target fisheries in RIB 7 show a possible steady decline but with just three data points in the corresponding trawl survey and a lack of any other information it is not possible to validate the indices. There are no stock monitoring indices available for RIB 1, 2, 8 or 9.

### 4.2 Biomass estimates

Estimates of biomass are given in Table 6.

### 4.3 Yield estimates and projections

MCY cannot be estimated.

CAY cannot be estimated.

### 4.5 Other yield estimates and stock assessment results

No information is available.

**Table 6: Biomass indices (t) and coefficients of variation (CV) of ribaldo from *Tangaroa* trawl surveys (Assumptions: areal availability, vertical availability and vulnerability = 1). NB: estimates are for the core strata only for the respective time series.**

Chatham Rise	Vessel	Trip code	Date	Biomass (t)	%CV
	<i>Tangaroa</i>	TAN9106	Dec 91–Feb 92	417	12.2
		TAN9212	Dec 92–Feb 93	336	17.2
		TAN9401	Jan 94	602	10.8
		TAN9501	Jan–Feb 95	406	19.7
		TAN9601	Dec 95–Jan 96	470	18.2
		TAN9701	Jan 97	333	21.3
		TAN9801	Jan 98	510	14.3
		TAN9901	Jan 99	395	18
		TAN0001	Dec 99–Jan 00	387	20.8
		TAN0101	Dec 00–Jan 01	762	18.3
		TAN0201	Dec 01–Jan 02	417	13.2
		TAN0301	Dec 02–Jan 03	455	18.1
		TAN0401	Dec 03–Jan 04	535	15.6
		TAN0501	Dec 04–Jan 05	491	14.2
		TAN0601	Dec 05–Jan 06	313	16.9
		TAN0701	Dec 06–Jan 07	380	15
		TAN0801	Dec 07–Jan 08	479	14.3
		TAN0901	Dec 08–Jan 09	463	12.7
		TAN1001	Jan 10	416	19.9
		TAN1101	Jan 11	396	16.7
		TAN1201	Jan 12	469	14.6
		TAN1301	Jan 13	428	15.7
		TAN1401	Jan 14	477	18
Sub-Antarctic	<i>Tangaroa</i>	TAN9105	Nov–Dec 91	1 035	11.2
		TAN9211	Nov–Dec 92	389	18.6
		TAN9310	Nov–Dec 93	996	12.8
		TAN0012	Nov–Dec 00	873	14
		TAN0118	Nov–Dec 01	1 017	17.2
		TAN0219	Nov–Dec 02	656	17.5
		TAN0317	Nov–Dec 03	653	18.9
		TAN0414	Nov–Dec 04	951	16.5
		TAN0515	Nov–Dec 05	721	14.6
		TAN0617	Nov–Dec 06	780	16.4
		TAN0714	Nov–Dec 07	1 062	13.5
		TAN0813	Nov–Dec 08	658	18

Table 6 [Continued]

Sub-Antarctic	Vessel	Trip code	Date	Biomass (t)	%CV
	<i>Tangaroa</i>	TAN0911	Nov-Dec 09	1 056	13.4
		TAN1117	Nov-Dec 11	1 017	17.2
		TAN1215	Nov-Dec 12	787	16.7
		TAN1412	Nov-Dec 14		
		TAN9204	Apr-May 92	768	17.1
		TAN9304	May-Jun 93	1 162	15.1
		TAN9605	Mar-Apr 96	989	16.7
		TAN9805	Apr-May 98	837	14.2

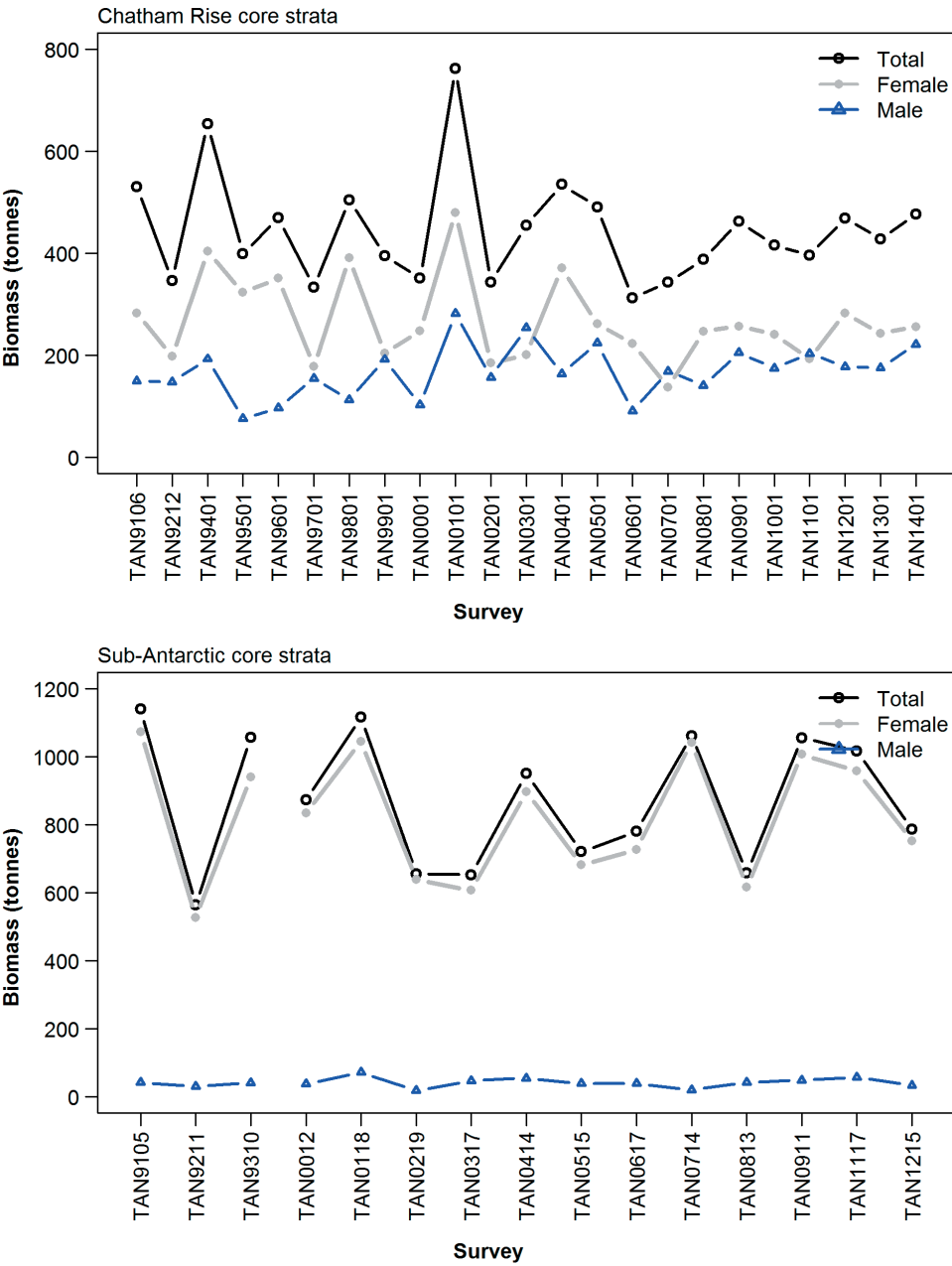


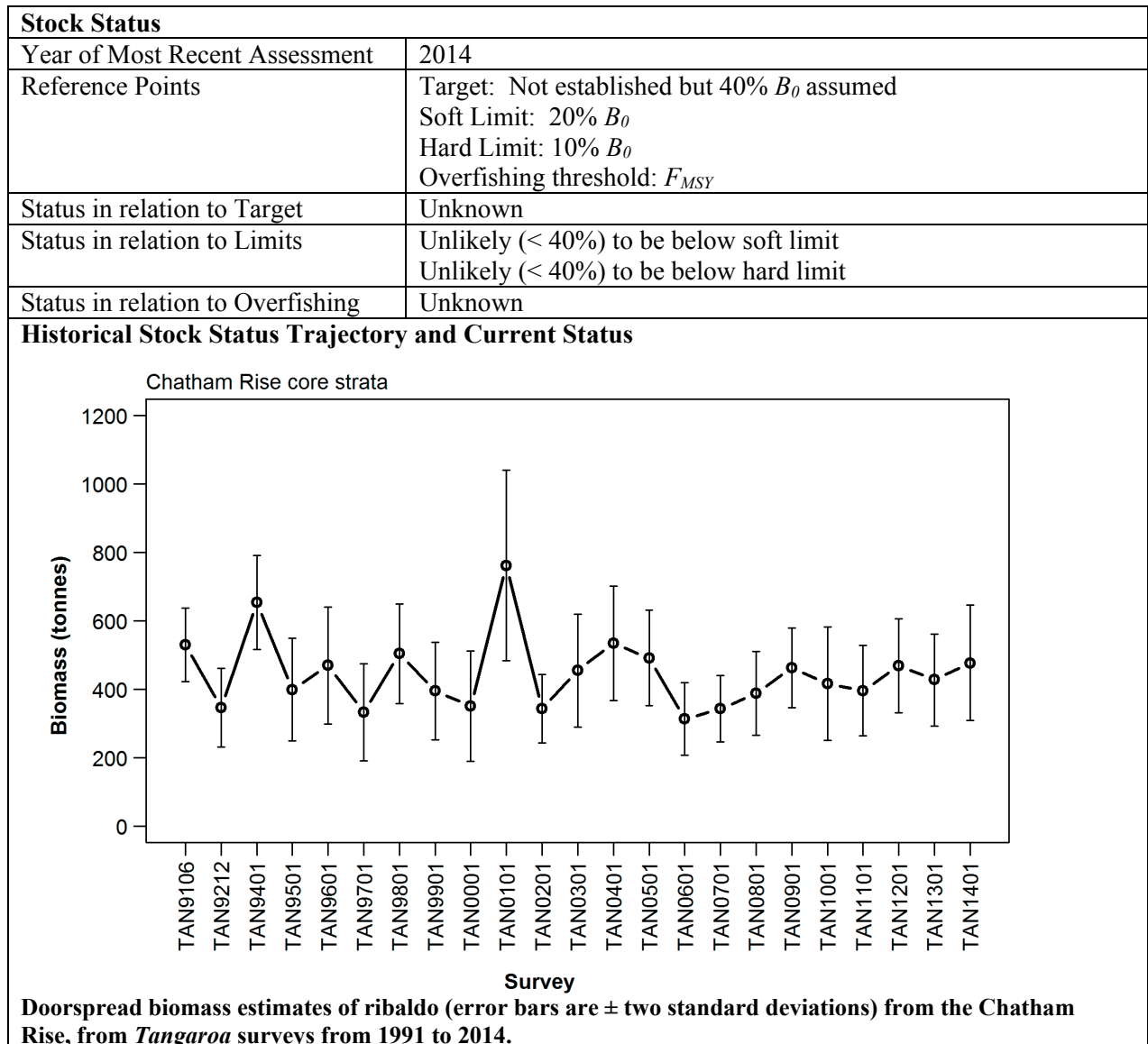
Figure 2: Doorspread biomass estimates of ribaldo by sex from the Chatham Rise 1991 to 2014 (upper) and Sub-Antarctic 1991 to 1993 and 2000 to 2012 (lower), from *Tangaroa* trawl surveys.

## 5. STATUS OF THE STOCKS

### • RIB 1, 2, 7, 8 and 9

There are no accepted stock monitoring indices available for RIB 1, 2, 7, 8 or 9.

### • RIB 3 & 4



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	The relative biomass index of ribaldo from summer middle depth trawl surveys of the Chatham Rise is relatively flat. Precision is generally good in this time series (< 20%). Although numbers of individual ribaldo caught are low the Working Group considered this index to be suitable to monitor major trends in this stock.
Recent Trend in Fishing Mortality or Proxy	-
Other Abundance Indices	-
Trends in Other Relevant Indicators of Variables	-

**RIBALDO (RIB)**

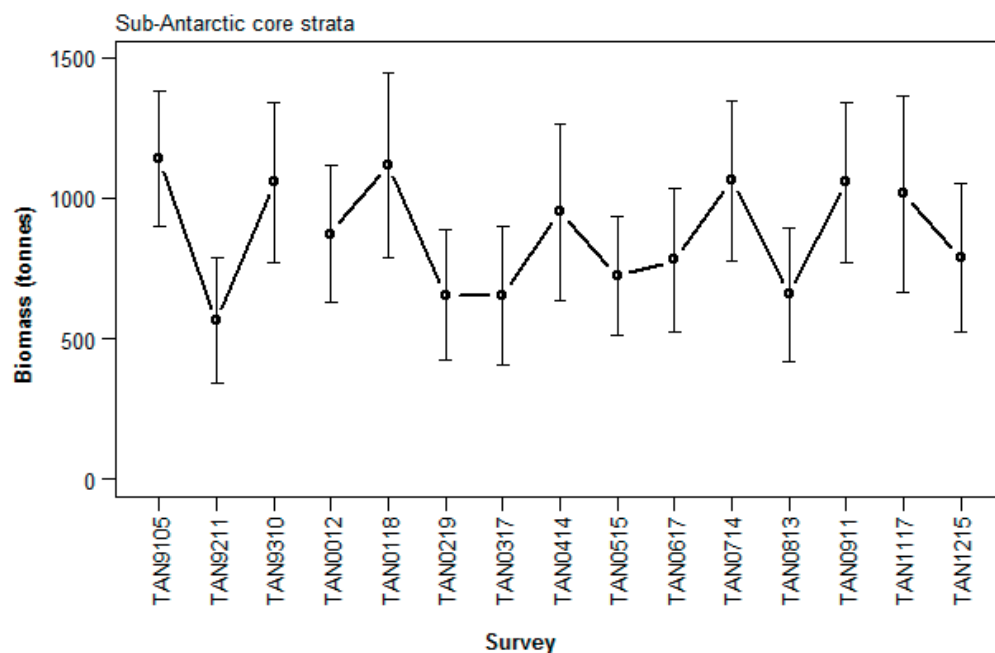
<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Stock size is Likely (> 60%) to remain near current levels under recent catches, that were well below the current TACC before 2013-14
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft limit: Unlikely (< 40%) for recent catches Hard limit: Unlikely (< 40%) for recent catches
Probability of Current Catch or TACC causing Overfishing to continue or commence	Unknown as catches increased in 2013-14

Assessment Methodology		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Evaluation of agreed trawl survey indices thought to index RIB 3 & 4 abundance	
Assessment Dates	Latest assessment: 2014	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	Data collected on trawl surveys	1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	- Low numbers of individuals caught on trawl surveys.	
Qualifying Comments		
-		
Fishery Interactions		
In RIB 3 & 4, ribaldo are taken as bycatch primarily in the ling and hoki bottom trawl fisheries and ling bottom longline fishery.		

- RIB 5 & 6**

<b>Stock Status</b>	
Year of Most Recent Assessment	2014
Reference Points	Target: Not established but 40% $B_0$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{MSY}$
Status in relation to Target	Unknown
Status in relation to Limits	Unlikely (< 40%) to be below Unlikely (< 40%) to be below
Status in relation to Overfishing	Unknown

### Historical Stock Status Trajectory and Current Status



Doorspread biomass estimates of ribaldo (error bars are  $\pm$  two standard deviations) from the Sub-Antarctic, from *Tangaroa* surveys from 1991 to 1993, and 2000 to 2012.

#### Fishery and Stock Trends

Recent Trend in Biomass or Proxy	Relative biomass estimates of ribaldo from summer middle depth surveys of the Sub-Antarctic show a relatively flat index. CVs are consistently low in this time series ( $< 20\%$ ). Although numbers of individual ribaldo caught are low the Working Group considered this index to be suitable to monitor major trends in this stock.
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Variables of Indicators	-

#### Projections and Prognosis

Stock Projections or Prognosis	Stock size is Likely ( $> 60\%$ ) to remain near current levels under current catches and TACCs
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft limit: Unlikely ( $< 40\%$ ) Hard limit: Unlikely ( $< 40\%$ )
Probability of Current Catch or TACC causing Overfishing to continue or commence	Unknown

#### Assessment Methodology

Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	Evaluation of agreed trawl survey indices thought to index RIB 5 & 6 abundance	
Assessment Dates	Latest assessment: 2014	Next assessment: 2017
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	- Data collected on trawl surveys	1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	Low numbers of individuals caught on trawl surveys; and	

## RIBALDO (RIB)

	unknown implications of highly skewed sex ratios (females usually make up > 90% of biomass) for stock structure. Observer data also shows skewed sex ratios in favour of females.
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### Qualifying Comments

-

### Fishery Interactions

In RIB 5 & 6, ribaldo are mainly caught as bycatch in hoki and ling bottom trawl fisheries and ling bottom longline fisheries.

TACCs and reported landings for the 2013–14 fishing year are summarised in Table 7.

**Table 7: Summary of TACCs (t) and reported landings (t) of ribaldo for the most recent fishing year.**

			2013–14 Actual TACC	2013–14 Estimated landings
Fishstock		QMA		
RIB 1	Auckland (East)	1	121	29
RIB 2	Central (East)	2	176	80
RIB 3	South-east (Coast)	3	394	104
RIB 4	South-east (Chatham)	4	357	492
RIB 5	Southland	5	52	41
RIB 6	Sub-Antarctic	6	231	133
RIB 7	Challenger	7	330	291
RIB 8	Central (West)	8	1	2
RIB 9	Auckland (West)	9	21	22
RIB 10	Kermadec	10	0	0
Total			1 683	1 194

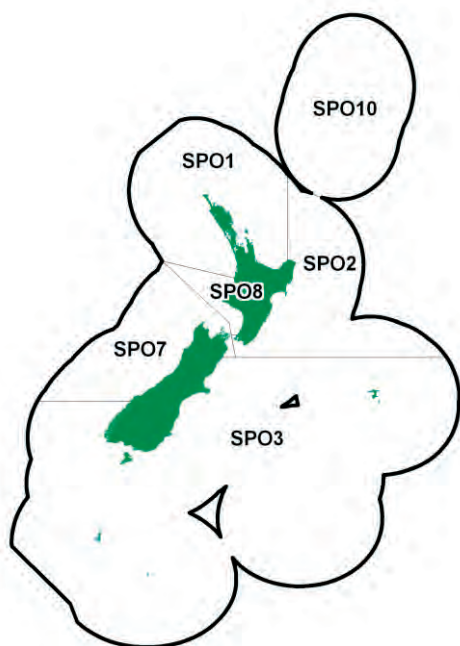
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**RIG (SPO)**

*(Mustelus lenticulatus)*  
Pioke, Makoo



## 1. FISHERIES SUMMARY

Rig was introduced into the Quota Management System on 1 October 2004 with the following TACs, TACCs and allowances (Table 1).

**Table 1: TACs (t), TACCs (t) and allowances (t) for rig.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other sources of mortality	TACC	TAC
SPO 1	25	20	15	692	752
SPO 2	10	5	7	108	130
SPO 3	60	20	30	600	710
SPO 7	29	15	5	221	270
SPO 8	-	-	-	310	401
SPO 10	-	-	-	10	10
Total	124	60	57	1941	2273

### 1.1 Commercial fisheries

Rig are caught in coastal waters throughout New Zealand. Most of the catch is taken in water less than 50 m deep during spring and summer, when rig aggregate inshore. Before the introduction of the QMS in 1986, 80% of the commercial catch was taken by bottom setnet and most of the remainder by trawl. Total reported landings of rig increased rapidly during the 1970s, and averaged about 3200 t per year during the late 1970s and early 1980s (Table 2). Since then, a larger proportion has been taken by trawlers as bycatch. The most important bottom setnet fisheries are at 90-Mile Beach, Kaipara Harbour, Manukau Harbour, South Taranaki Bight – Tasman/Golden Bay, Canterbury Bight, Kaikoura and Hauraki Gulf. Due to a decline in CPUE, the TACC for SPO 7 was decreased to 221 t on 1 October 2006. SPO was introduced into the 6<sup>th</sup> Schedule on the 1<sup>st</sup> of May 2012, which means that rig that are alive and likely to survive can be released (but must be reported as Destination “X”). Figure 1 shows the historical landings and TACC values for the main SPO stocks.

## RIG (SPO)

**Table 2: Reported total New Zealand landings (t) of rig for the calendar years 1965 to 1985. Sources: MAF and FSU data.**

Year	Landings	Year	Landings	Year	Landings	Year	Landings	Year	Landings
1965	723	1970	930	1975	1 841	1980	3 000	1985	3 222
1966	850	1971	1 120	1976	2 610	1981	3 006		
1967	737	1972	1 011	1977	3 281	1982	3 425		
1968	677	1973	–	1978	3 300	1983	3 826		
1969	690	1974	2 040	1979	2 701	1984	3 562		

Following the introduction of rig to the QMS in 1986, landings declined to less than half those of the previous decade in response to the TACCs. Since 1986–87, landings have generally increased in response to TACC increases (Table 4). TACCs for all Fishstocks except SPO 10 were increased by 20% for the 1991–92 fishing year under the Adaptive Management Programme (AMP). Another TACC increase (from 454 t to 600 t) was implemented in SPO 3 for the 2000–01 fishing year. The TACCs for SPO 1, SPO 2 and SPO 8 reverted to the pre-AMP levels in the 1997–98 fishing year, when these Fishstocks were removed from the AMP in July 1997. The TACC for SPO 2 was increased from 72 t to 86 t from 1 October 2004 under the low knowledge bycatch framework (Table 6). In 2011–12 the SPO 2 TACC was further increased to 108 t.

In October 1992, the conversion factors for headed and gutted, and dressed, rig were both reduced from 2.00 to 1.75. They were each further reduced to 1.55 in 2000–01. Landings and TACCs prior to 2000–01 have not been adjusted for the changes in the conversion factor. All AMP programmes ended on 30 September 2009.

Commercial landings of rig in SPO 1 have declined consistently since 1991–92. Although changes to the conversion factors mean that landings prior to 2000–01 are overestimated, catches since that time have continued to decline.

The Banks Peninsula Marine Mammal Sanctuary was established in 1988 by the Department of Conservation under the Marine Mammal Protection Act 1978, for the purpose of protecting Hector's dolphins. The sanctuary extends 4 nautical miles from the coast from Sumner Head in the north to the Rakaia River mouth in the south. Prior to 1 October 2008, no setnets were allowed within the sanctuary from 1 November to the end of February. For the remainder of the year, setnets were allowed; but could only be set from an hour after sunrise to an hour before sunset, be no more than 30 metres long, with only one net per boat which was required to remain tied to the net while it was set.

Voluntary setnet closures were implemented by the SEFMC from 1 October 2000 to protect nursery grounds for rig and elephantfish and to reduce interactions between commercial setnets and Hector's dolphins in shallow waters. The closed area extended from the southernmost end of the Banks Peninsula Marine Mammal Sanctuary to the northern bank of the mouth of the Waitaki River. This area was closed permanently for a distance of 1 nautical mile offshore and for 4 nautical miles offshore for the period 1 October to 31 January.

From 1 October 2008, a suite of regulations intended to protect Maui's and Hector's dolphins was implemented for all of New Zealand by the Minister of Fisheries.

For SPO 1, there have been two changes to the management regulations affecting setnet fisheries which target school shark off the west coast of the North Island. The first was a closure to setnet fishing from Maunganui Bluff to Pariokariwa Point for a distance of 4 nautical miles on 1 October 2003. This closure was extended by the Minister to 7 nautical miles on 1 October 2008. An appeal was made by affected fishers who were granted interim relief by the High Court, allowing setnet fishing beyond 4 nautical miles during daylight hours between 1 October and 24 December during three consecutive years: 2008–2010.

For SPO 3, commercial and recreational set netting was banned in most areas from 1 October 2008 to 4 nautical miles offshore of the east coast of the South Island, extending from Cape Jackson in the Marlborough Sounds to Slope Point in the Catlins. Some exceptions were allowed, including an exemption for commercial and recreational set netting to only one nautical mile offshore around the

Kaikoura Canyon, and permitting setnetting in most harbours, estuaries, river mouths, lagoons and inlets except for the Avon-Heathcote Estuary, Lyttelton Harbour, Akaroa Harbour and Timaru Harbour. In addition, trawl gear within 2 nautical miles of shore was restricted to flatfish nets with defined low headline heights. Commercial and recreational setnetting was banned in most areas to 4 nautical miles offshore, extending from Slope Point in the Catlins to Sandhill Point east of Fiordland and in all of Te Waewae Bay. An exemption permitted setnetting in harbours, estuaries and inlets. In addition, trawl gear within 2 nautical miles of shore was restricted to flatfish nets with defined low headline heights.

For SPO 7, both commercial and recreational setnetting were banned to 2 nautical miles offshore, with the recreational closure effective for the entire year and the commercial closure restricted to the period 1 December to the end of February. The closed area extends from Awarua Point north of Fiordland to the tip of Cape Farewell at the top of the South Island. There is no equivalent closure in SPO 8, with the southern limit of the Maui's dolphin closure beginning north of New Plymouth at Pariokariwa Point. There have been two recent changes to the management regulations affecting setnet fisheries which take school shark off the west coast of the North Island.

**Table 3: Reported landings (t) for the main QMAs from 1931 to 1982.**

Year	SPO1	SPO 2	SPO 3	SPO 7	SPO8	Year	SPO 1	SPO 2	SPO 3	SPO 7	SPO8
1931-32	28	0	0	0	0	1957	115	69	60	108	28
1932-33	30	0	0	0	0	1958	106	73	87	119	34
1933-34	29	0	0	0	0	1959	136	76	98	105	30
1934-35	33	0	0	0	0	1960	118	77	141	153	26
1935-36	31	0	0	0	0	1961	118	98	160	158	27
1936-37	73	0	8	0	0	1962	126	100	269	124	40
1937-38	56	1	5	0	0	1963	142	81	193	126	27
1938-39	32	1	70	0	0	1964	157	78	243	132	24
1939-40	10	1	12	0	0	1965	145	90	360	98	30
1940-41	13	1	54	1	0	1966	171	118	386	141	38
1941-42	18	0	32	0	0	1967	129	108	266	200	33
1942-43	49	1	33	1	0	1968	147	89	236	173	31
1943-44	42	6	44	5	1	1969	145	83	299	141	21
1944	60	10	14	7	4	1970	167	97	436	192	38
1945	56	5	24	10	8	1971	183	95	603	203	37
1946	71	12	8	19	9	1972	139	69	629	138	36
1947	73	27	28	45	7	1973	189	105	775	133	54
1948	51	26	51	43	7	1974	417	134	1118	249	126
1949	57	33	60	49	9	1975	390	146	896	255	157
1950	87	48	62	73	17	1976	629	230	906	610	233
1951	94	46	101	68	22	1977	723	307	1327	541	382
1952	115	41	132	63	21	1978	701	330	1225	638	404
1953	117	56	95	45	20	1979	614	232	1138	349	368
1954	103	68	40	58	39	1980	499	252	2667	470	387
1955	93	49	42	84	47	1981	618	188	1443	413	343
1956	106	54	38	77	29	1982	840	210	1255	629	399

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

**Table 4: Reported landings (t) of rig by Fishstock from 1985–86 to 2013–14 and actual TACCs (t) from 1986–87 to 2012–13. QMS data from 1986–present.**

Fishstock FMA (s)	SPO 1		SPO 2		SPO 3		SPO 7		SPO 8	
	1 & 9		2		3,4,5, & 6		7		8	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1985–86*	845	–	96	–	921	–	367	–	465	–
1986–87	366	540	55	60	312	330	233	240	125	240
1987–88	525	614	66	68	355	347	262	269	187	261
1988–89	687	653	68	70	307	352	239	284	212	295
1989–90	689	687	61	70	292	359	266	291	206	310
1990–91	656	688	63	71	284	364	268	294	196	310
1991–92	878	825	105	85	352	430	290	350	145	370
1992–93	719	825	90	86	278	432	324	350	239	370
1993–94	631	829	96	86	327	452	310	350	255	370

# RIG (SPO)

**Table 4 [Continued]**

Fishstock FMA (s)	SPO 1 1 & 9		SPO 2 2		SPO 3 3,4,5, & 6		SPO 7 7		SPO 8 8	
1995-96	603	829	107	86	408	454	400	350	330	370
1996-97	681	829	99	86	434	454	397	350	277	370
1997-98	621	692	85	72	442	454	325	350	287	310
1998-99	553	692	86	72	426	454	336	350	235	310
1999-00	608	692	86	72	427	454	330	350	219	310
2000-01	554	692	81	72	458	600	338	350	174	310
2001-02	436	692	86	72	391	600	282	350	216	310
2002-03	477	692	86	72	417	600	264	350	209	310
2003-04	481	692	81	72	354	600	293	350	203	310
2004-05	429	692	108	86	366	600	266	350	208	310
2005-06	345	692	110	86	389	600	288	350	163	310
2006-07	400	692	101	86	423	600	265	221	176	310
2007-08	297	692	104	86	472	600	231	221	220	310
2008-09	297	692	106	86	328	600	233	221	222	310
2009-10	302	692	114	86	371	600	229	221	246	310
2010-11	311	692	106	86	395	600	229	221	220	310
2011-12	328	692	119	108	433	600	227	221	198	310
2012-13	369	692	106	108	463	600	226	221	120	310
2013-14	349	692	125	108	489	600	230	221	192	310

FMA (s)	SPO 10 10		Total	
	Landings	TACC	Landings§	TACC
1985-86*	0	—	2 906	—
1986-87	0	10	1 091	1 420
1987-88	0	10	1 395	1 569
1988-89	0	10	1 513	1 664
1989-90	0	10	1 514	1 727
1990-91	0	10	1 467	1 737
1991-92	0	10	1 770	2 070
1992-93	< 1	10	1 650	2 072
1993-94	0	10	1 619	2 097
1994-95	0	10	1 769	2 098
1995-96	0	10	1 848	2 098
1996-97	0	10	1 888	2 098
1997-98	0	10	1 760	1 888
1998-99	0	10	1 635	1 888
1999-00	0	10	1 670	1 888
2000-01	0	10	1 607	2 034
2001-02	0	10	1 411	2 034
2002-03	0	10	1 453	2 034
2003-04	0	10	1 412	2 034
2004-05	0	10	1 377	2 048
2005-06	0	10	1 295	2 048
2006-07	0	10	1 365	1 919
2007-08	0	10	1 324	1 919
2008-09	0	10	1 186	1 919
2009-10	0	10	1 262	1 919
2010-11	0	10	1 260	1 919
2011-12	0	10	1 305	1 941
2012-13	0	10	1 283	1 941
2013-14	0	10	1 386	1 941

\*FSU data.

§Includes landings from unknown areas before 1986-87

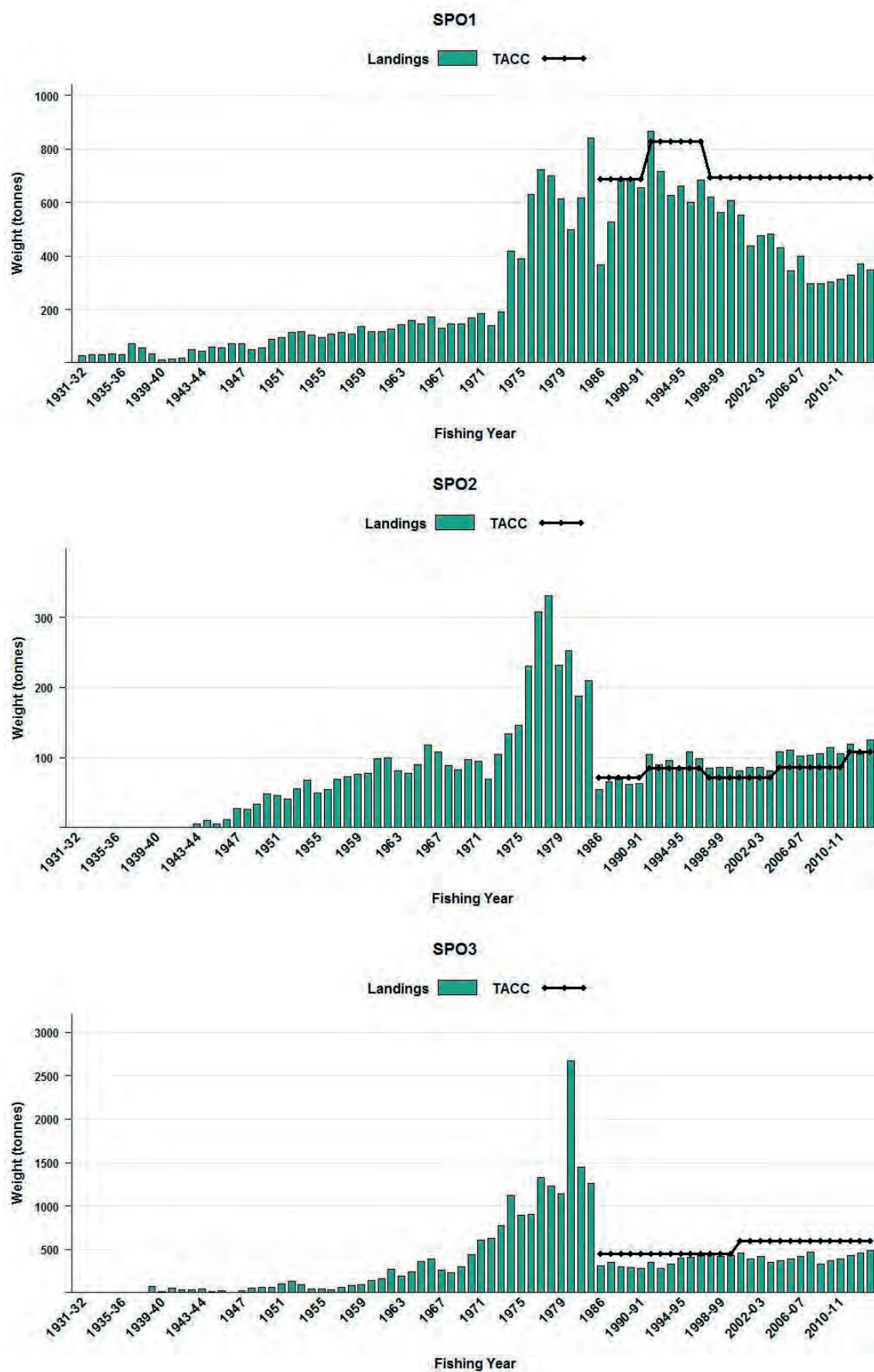


Figure 1: Historical landings and TACCs for the five main SPO stocks. From top to bottom: SPO 1 (Auckland East), SPO 2 (Central East) and SPO 3 (South East Coast).

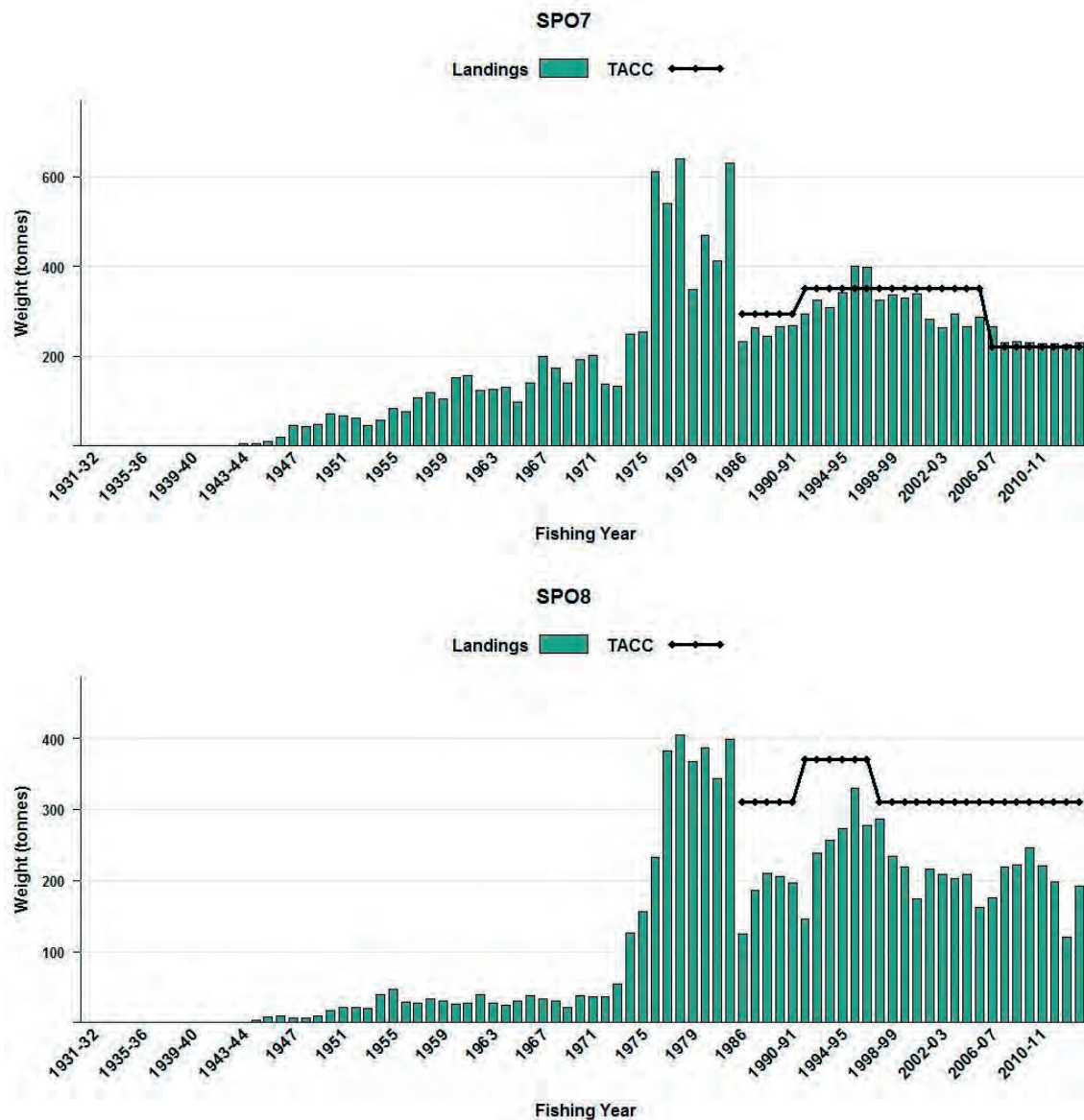


Figure 1 [Continued]: Historical landings and TACCs for the five main SPO stocks. From top to bottom: SPO 7 (Challenger) and SPO 8 (Central Egmont).

SPO 7 is managed under a stakeholder led fisheries plan. This fisheries plan was developed by the Challenger Finfisheries Management Company Limited on behalf of quota owners and includes details of rebuild goals and objectives for the rig fishery in Quota Management Area 7 (SPO 7). It represents part of the commitment made by 93% of the rig quota owners towards improving the value of their property rights and ensuring the future utilisation of the fishery for future generations. This plan was submitted to the Minister of Fisheries for approval pursuant to Section 11(a) of the Fisheries Act 1996. The plan seeks to improve the productivity of the SPO 7 fishstock through implementing area closures and catch reductions.

## 1.2 Recreational fisheries

Rig are the most commonly recreationally caught shark in New Zealand (Wynne-Jones et al 2014). Rig are caught by recreational fishers throughout New Zealand. They are predominantly taken on rod and reel (75.2%) with some taken on longline (16.6%) and less in set net (7.2%). The rod and reel catch is taken predominantly from land (57.5%) and trailer boat (29.6%), highlighting the importance of this species to land

## 1.21 Management Controls

The main method used to manage recreational harvests of rig is daily bag limits. Spatial and method restrictions also apply. Fishers can take up to 20 rig as part of their combined daily bag limit in the Auckland and Kermadec, Central, and Challenger Fishery Management Areas. Fishers can take up to 5 rig as part of their combined daily bag limit in the Fiordland and South-East Fishery Management Areas. Fishers can take up to 3 rig as part of their combined daily bag limit in the Kaikoura Fishery Management Area. Spatial closures for set netting and minimum mesh sizes for rig are also in place in all areas. There is currently no bag limit in place for the Southland Fishery Management Area.

## 1.2.2 Estimates of recreational harvest

There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

The first estimates of recreational harvest for rig were calculated using an offsite approach, the offsite regional telephone and diary survey approach. Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005). The harvest estimates provided by these telephone diary surveys (Table 5) are no longer considered reliable.

In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the development and implementation of a national panel survey for the 2011–12 fishing year (Wynne-Jones et al 2014). The panel survey used face-to-face interviews of a random sample of New Zealand households to recruit a panel of fishers and non-fishers for a full year. The panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews. Note that the national panel survey estimate does not include recreational harvest taken under s111 general approvals. Recreational catch estimates from the national panel survey are given in Table 5.

**Table 5: Recreational harvest estimates for rig stocks. Early surveys were carried out in different years in the regions: South in 1991–92, Central in 1992–93, North in 1993–94 (Teirney et al 1997). Early survey harvests are presented as a range to reflect the considerable uncertainty in the estimates. The telephone/diary surveys ran from December to November but are denoted by the January calendar year. The national panel survey ran through the October to September fishing year but is denoted by the January calendar year.**

Stock	Year	Method	Number of fish	Total weight (t)	CV
SPO 1	1994	Telephone/diary	11 000	5-25	-
	1996	Telephone/diary	28 000	35	0.31
	2000	Telephone/diary	13 000	17	0.30
	2012	Panel survey	7 780	8.5	-
SPO 2	1993	Telephone/diary	5 000	5-15	-
	1996	Telephone/diary	4 000	-	-
	2000	Telephone/diary	16 000	21	0.58
	2012	Panel survey	7 172	7.8	0.26
SPO 3	1992	Telephone/diary	12 000	15-30	0.22
	1996	Telephone/diary	12 000	15	0.20
	2000	Telephone/diary	43 000	57	0.32
	2012	Panel survey	8 142	8.9	0.33
SPO 7	1993	Telephone/diary	8 000	10-25	0.39
	1996	Telephone/diary	19 000	24	0.20
	2000	Telephone/diary	33 000	33	0.38
	2012	Panel survey	19 126	20.9	0.25
SPO 8	1993	Telephone/diary	18 000	20-60	0.43
	1994	Telephone/diary	1 000	0-5	-
	1996	Telephone/diary	7 000	-	-
	2000	Telephone/diary	7 000	9	0.48
	2012	Panel survey	5 499	6	0.45



## RIG (SPO)

### 1.3 Customary non-commercial fisheries

Maori fishers traditionally caught large numbers of "dogfish" during the last century and early this century. Rig was probably an important species, although spiny dogfish and school shark were also taken. The historical practice of having regular annual fishing expeditions, during which thousands of dogfish were sun-dried on wooden frames, is no longer prevalent. However, rig are still caught in small quantities by customary non-commercial fishers in parts of the North Island, especially the harbours of the Auckland region. Quantitative information on the current level of customary non-commercial take is not available.

### 1.4 Illegal Catch

Quantitative information on the level of illegal catch is not available.

### 1.5 Other sources of mortality

Unknown quantities of juvenile rig are caught by setnets placed in harbours and shallow bays. Quantitative information on the level of other sources of mortality is not available.

**Table 6: Total Allowable Catch (TAC, t), Total Allowable Commercial Catch (TACC, t), and recreational, non-commercial customary, and other fishing mortality allowances (t) declared for SPO as of October 2012.**

Fishstock	TAC	TACC	Customary Non-Commercial Catch	Recreational	Other Mortality
SPO 1 (FMA 1 & 9)	752	692	20	25	15
SPO 2	144	108	20	10	6
SPO 3 (FMA 3–6)	710	600	20	60	30
SPO 7	270	221	15	29	5
SPO 8	401	310	0	0	0
SPO 10	10	10	0	0	0

## 2. BIOLOGY

Rig are born at a total length (TL) of 25–30 cm. On the South Island male and female rig attain maturity at 5–6 yrs (about 85 cm) and 7–8 yrs (about 100 cm), respectively (Francis & O'Maolagain 2000). Rig in the Hauraki Gulf mature earlier – 4 yrs for males and 5 yrs for females – and at smaller sizes (Francis & Francis 1992 a & b). Longevity is not known because few large fish have been aged, however, a male rig that was mature at tagging was recaptured after nearly 14 years of liberty, suggesting a longevity of 20 years or longer. Females reach a maximum length of 151 cm and males 126 cm TL.

Rig give birth to young during spring and summer following a 10–11 month gestation period. Most females begin a new pregnancy immediately after parturition, and therefore breed annually. The number of young produced increases exponentially with the length of the mother, and ranges from 2 to 37 (mean about 11). Young are generally born in shallow coastal waters, especially in harbours and estuaries, throughout North and South Islands. They grow rapidly during their first summer, and then disappear as water temperatures drop in autumn–winter. They presumably move into deeper water.

Rig make extensive coastal migrations, with one tagged female moving a least 1160 km. Over half of the tagged rig that were recaptured had moved over 50 km, and over half of the females had moved more than 200 km. Females travel further than males, and mature females travel further than immature females. Biological parameters relevant to stock assessment are shown in Table 7.

**Table 7: Estimates of biological parameters for rig.**

Fishstock		Estimate	Source
<u>1. Natural mortality (<i>M</i>)</u>			
All		0.2–0.3	Francis & Francis (1992a)
<u>2. Weight = <math>a(\text{length})^b</math> (Weight in g, length in cm fork length).</u>			
	Females	Males	
	a      b	a      b	
SPO 3	$3.67 \times 10^{-7}$	$3.54$	Francis (1979)
SPO 7&8	$9.86 \times 10^{-7}$	$3.32$	Blackwell (unpubl. data)
<u>3. von Bertalanffy growth parameters</u>			
	Both Sexes		
	L	k	$t_0$
SPO 3 & 7	147.2	0.119	-2.35

Francis &amp; Ó Maolagáin (2000)

### 3. STOCKS AND AREAS

Information relevant to determining rig stock structure in New Zealand was reviewed in 2009 (Smith 2009, Blackwell & Francis 2010, Francis 2010). These reviews concluded that the existing QMAs are a suitable size for rig management, although the boundaries between biological stocks are poorly defined, especially in the Cook Strait region. Insufficient tagging occurred in SPO 1 to determine whether division of that stock into separate 1E and 1W stocks is warranted.

Genetic, biological, fishery and tagging data were all considered, but the evidence available for the existence and geographical distribution of biological stocks is poor. Some differences were found in CPUE trends at a small spatial scale but stock separation at the indicated spatial scales seems unlikely, and the CPUE differences may have resulted from processes acting below the stock level, such as localised exploitation of different sexes or different size classes of sharks. Genetic and morphological evidence indicate that a separate undescribed species of *Mustelus* occurs at the Kermadec Islands, but it is not known if rig also occurs there.

The most useful source of information was a tagging programme undertaken mainly in 1982–84 (Francis 1988a). However, most tag releases were made around the South Island, so little information was available for North Island rig. Male rig rarely moved outside the release QMA, even after more than five years at liberty. Female rig were more mobile than male rig, with about 30% of recaptures reported beyond the release QMA boundaries within 2–5 years of release. The proportion reported beyond the release QMA increased steadily with time. However, few females moved more than one QMA away from the release point. Because males move shorter distances than females, a conservative management approach is to set rig QMAs at a size appropriate for male stock ranges.

### 4. STOCK ASSESSMENT

#### 4.1 Estimates of fishery parameters and abundance

##### SPO 1

Standardised CPUE indices were calculated for SPO 1 by modelling (GLM) non-zero catches by core vessels targeting rig with setnets and bottom trawl between 1989–90 and 2011–12 (Starr & Kendrick In Prep). This analysis was an update of a similar analysis undertaken by Kendrick & Bentley in 2012. (Kendrick & Bentley 2012). The SPO 1 analyses were complicated by the fact that up to 50% of the setnet landings were accumulated ashore using intermediate destination codes for subsequent landing to a Licensed Fish Receiver, thus breaking the link between effort and landing within a trip. Estimated catches are unreliable in rig fisheries because many fishers report the processed weight rather than the equivalent green weight. Data preparation for the bottom trawl CPUE analyses was performed using the same procedure as used for all other SPO QMAs. However, Kendrick & Bentley (2012) adopted an alternative data preparation procedure for the setnet fishery analyses, in which a “vessel correction factor” (*vcf*), calculated for each vessel and year, is used to correct the estimated

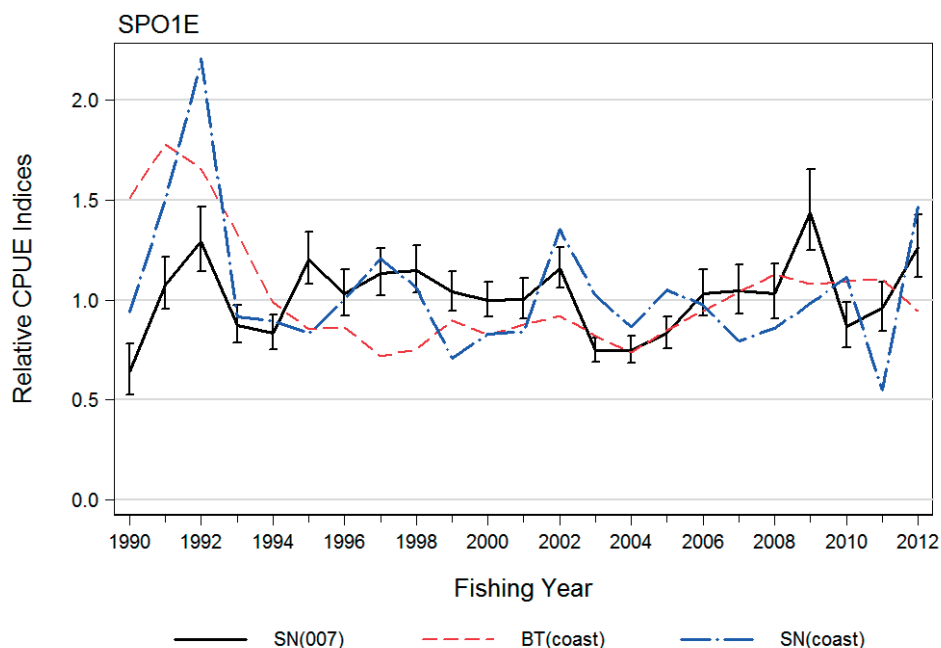
## RIG (SPO)

catch observations. This approach was not accepted by the Northern Inshore Working Group in 2011, because the new methodology required further investigation. However, this approach, also recently adopted for rock lobster, was accepted by the Working Group for SPO 1 in 2013.

### SPO 1E

Three CPUE analyses for SPO 1E were accepted by the Working Group: a) a target shark (NSD, SPO, SHK, SPD) setnet fishery operating in the Firth of Thames (Area 007) [SN(007)]; b) a target shark setnet fishery operating in all the remaining SPO 1E statistical areas (002 to 006 and 008 to 010) [SN(coast)]; and c) a mixed target species (SNA, TRE, GUR, JDO, BAR, TAR) bottom trawl fishery operating in all SPO 1E statistical areas (002 to 010) [BT(coast)]. These three series show broadly similar trends from the mid-1990s, but differ in the early period, with the SN(007) series showing a strong decline in the early portion of the series while the other two series show no trend (Figure 2).

The Southern Inshore Working Group gave the SN(007) series a research rating of 1 because this fishery targets mature female rig and the diagnostics were considered credible. The Working Group gave the BT(coast) series a research rating of 1 because the diagnostics were credible but cautioned that this index does not representatively sample large female rig. The SN(coast) series was given an overall assessment quality rank of 2 because this series was more variable than the other two series and the Working Group noted that the fishing locations were widely dispersed, occupied sporadically and may not be representative of the wider population.



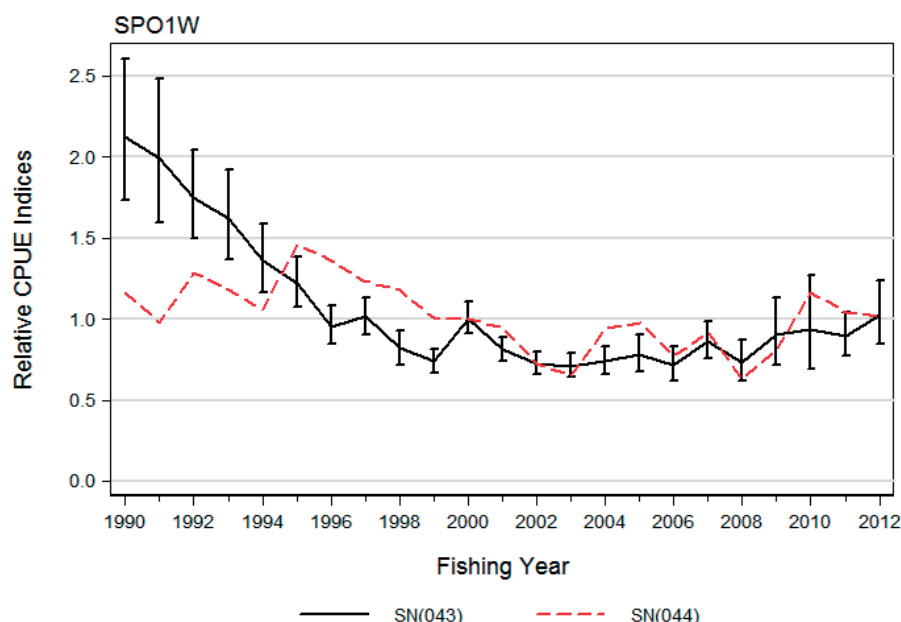
**Figure 2: Comparison of standardised CPUE for SPO 1E in three fisheries: a) target shark setnet in the Firth of Thames (Area 007) [SN(007)]; b) mixed target species bottom trawl in statistical areas 002 to 010 [BT(coast)]; c) target shark (SPO, SCH, SPD or NSD) setnet in all remaining SPO 1E statistical areas [SN(coast)].**

### SPO 1W

Four CPUE analyses for SPO 1W were presented to the Working Group: a) a target shark (NSD, SPO, SHK, SPD) setnet fishery operating in Manukau Harbour (Area 043) [SN(043)]; b) a target shark setnet fishery operating in Kaipara Harbour (044) [SN(044)]; c) a target shark setnet fishery operating in all the remaining SPO 1W statistical areas (042, 045 to 048) [SN(coast)]; and d) a mixed target species (SNA, TRE, GUR, JDO, BAR, TAR) bottom trawl fishery operating in all SPO 1W statistical areas (042, 045 to 048) [BT(coast)] outside the harbours. Only two of these series were accepted by the Working Group, with the two coastal series rejected because of small amounts of data (recent years had the core vessel data sets for SN(coast) with less than 10 t of landed rig while the BT(coast) core data set showed between 15 t and 35 t of rig landed). The two remaining series showed similar

trends from the mid-1990s, but differed in the early period, with the SN(043) series showing a strong decline in the early portion of the series while the SN(044) series showed no trend throughout the 1990s (Figure 3).

The Working Group gave the SN(043) and SN(044) series research ratings of 1 because catches from these fisheries include mature female rig and the diagnostics were considered credible. The Working Group gave the SN(coast) and BT(coast) series overall assessment quality rank of 3 because there were few data, particularly in recent years. The BT(coast) series also showed a doubling and halving of CPUE between 2002 and 2004, a jump that the Working Group did not consider credible.



**Figure 3: Comparison of standardised CPUE for SPO 1W in two fisheries: a) target shark setnet in Manukau Harbour (Area 043) [SN(043)]; b) target shark setnet in Kaipara Harbour (Area 044) [SN(044)].**

## SPO 2

The standardised trip-based bottom trawl CPUE analysis used to monitor the SPO 2 Fishstock in 2009 (Starr & Kendrick 2009, 2011; Bentley & Kendrick in Prep) and 2013 (Starr & Kendrick in prep) was extended by two years in 2015. As done in the previous analyses, the extended analysis was based on complete trips which landed SPO 2 using the bottom trawl method from 1989–90 to 2013–14, adjusted for changes in conversion factors. As noted since 2009, the corresponding setnet analysis was not repeated as part of this update due to the small amounts of available data. The use of complete trips was necessary because of the large proportion of trips which landed SPO 2 but did not report any estimated catch (ranging from 56% to 13% by number of trips and 39% to 2% by weight). Such a strong trend in this statistic means that CPUE analyses reliant on the estimated catches to distribute the landings within a trip will be unreliable. In addition, estimated catches severely underestimated landings (median estimated catch by trip was 71% the landed catch). The use of complete trips limited the number of explanatory factors that could be applied in the analysis.

However, previous analyses found no difference when adjusting for zone of capture or target species category compared to the analyses which only corrected for year, month and vessel. The trip-based indices are presented here as they include the largest amount of data should not be affected by the strong trend in the reporting of estimated catches. The SPO 2 landing data, regardless of the method of capture, did not exhibit the behaviour observed in SPO 1 of landing to temporary holding receptacles. Two SPO 2 (BT) analyses were conducted in 2015, one which defined the data set by only selecting trips which fished exclusively in the Areas 011–016 (designated “statarea”) and the other restricted to trips which exclusively landed SPO 2 (designated “Fishstock”). There was no difference in the CPUE trends estimated by these two data sets.

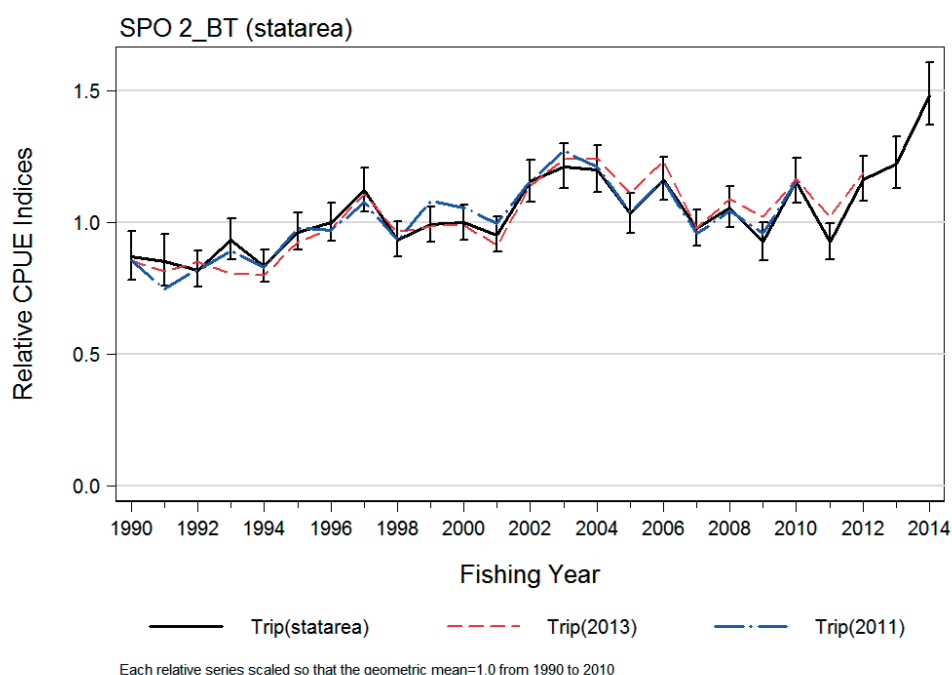
## RIG (SPO)

The trip-based SPO 2 series constructed from bottom trawl data shows a gradually increasing trend from 1989–90 to 2002–03 after which the series drops slowly to 2011–12, where it reaches an apparent nadir (Figure 4). This is followed by three successive years of increase, culminating in 2013–14, the highest level in the series and 60% higher than 2011–12. The 2013 Southern Inshore Working Group (SIWG) gave the BT(trip) series an overall assessment quality rank of 1 but noted that, while the analysis was credible, the method of capture does not representatively sample large female rig.

### Research recommendations

In 2013, the recommendation was made that “the next update should include the setnet index (SPO 2) and the trip stratum analysis for BT and SN, because the SN index is more likely to monitor the large females”. This option was investigated in 2015, but the SIWG agreed that there were insufficient data to conduct such an analysis.

The 2015 SPO 2 analysis was not able to implement the recommendation that “historic catch and CPUE trends should be integrated with the current analyses”, but has implemented the recommendation that “the catch/CPUE ratio should be included as an indication of trends in relative fishing intensity” in the Status of Stocks section of this report.



**Figure 4: Lognormal standardised CPUE series and associated 95% error bars for SPO 2 based on the “statarea” definition to identify valid bottom trawl setnet trips which landed to SPO 2 up to 2013–14. Also shown for comparison are the equivalent SPO 2 BT CPUE series calculated in 2011 (Kendrick & Bentley in prep) and 2013 (Starr & Kendrick in prep).**

## SPO 3

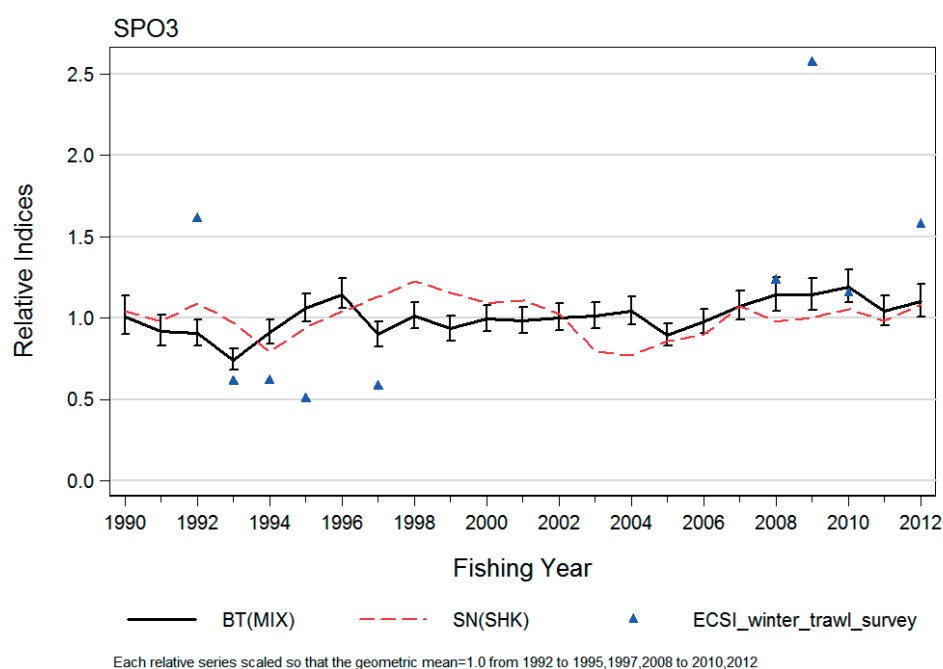
Rig in SPO 3 are mostly landed in the shark setnet and bottom trawl fisheries directed at a range of species, with additional small amounts landed by Danish seine vessels. The commercial catch in SPO 3 has never reached the TACC. Two CPUE standardisations were accepted by the Working Group, one based on a shark target setnet fishery and the other based on a mixed target species (barracouta, red cod, tarakihi, stargazer, elephantfish, and gurnard) bottom trawl fishery. Both CPUE analyses are extensions of equivalent analyses which have been previously reviewed by the Working Group (SeaFIC 2005a; Starr et al 2008, Starr & Kendrick 2011), although gurnard and elephantfish were added to the target species list for the bottom trawl analysis. These two fisheries are modelled separately because they operate at different depth ranges, with rig in the trawl fishery taken strictly as a bycatch while the species is targeted by the setnet fishery. These fisheries will clearly have different selectivities, harvesting a different size range of rig, with the setnet fishery taking larger fish while the

trawl fishery takes sub-adults. The SPO 3 landing data, regardless of the method of capture, did not exhibit the behaviour observed in SPO 1 of landing to temporary holding receptacles.

Each CPUE analysis was performed in the same manner. The effort data were matched with the landing data at the trip level to avoid relying on the estimated catch information in the effort part of the form and the resulting biases that exist in the reporting of estimated catches of rig. Core vessels which participated consistently in the fishery for a reasonably long period were identified within each data set so that the analysis could be confined to these vessels. The standardised analysis used a stepwise selection of explanatory variables based on the statistical distribution that gave the best fit to the data when performing a regression on non-zero catch records by trip stratum. The explanatory variables offered included fishing year (forced), month, vessel, statistical area, target species, duration of fishing, and length of net set (for the setnet analysis) or number of tows (for the bottom trawl analysis). The landing information used in this CPUE analysis has been corrected for changes in conversion factors that have occurred over the history of the dataset as well as to eliminate trips with unreasonably large landings (Starr & Kendrick in press).

The two series fluctuate about the long-term mean over the full period of each series (Figure 5). The Working Group accepted these series as indices of abundance and, although the trend based on the SN(SHK) data should be more reliable because it should be indexing adult fish, the Working Group downweighted this series because the setnet fishery on the east coast of the South Island has been considerably curtailed to reduce the bycatch of protected species. Given the known vulnerability of shark species, these analyses should be repeated regularly.

The Southern Inshore Working Group gave the BT(MIX) series a research rating of 1 because of the credibility of the analysis and the wide range of target species involved, but noted that the method of capture does not monitor the full size range of the population. The SN(SHK) series was given an overall assessment quality rank of 2 because the Working Group was concerned that the measures implemented to reduce the capacity of this fishery to intercept protected species would also affect the comparability of the series when capturing rig. The BT(FLA) series was given a research rating of 3 because the Working Group felt that the low headline height nets often used in this fishery would considerably reduce the catchability of rig. Bottom trawl nets more suitable for deeper water species are also used in this fishery but net type is not reported on the catch/effort forms, further reducing the ability of data from this fishery to monitor rig abundance.



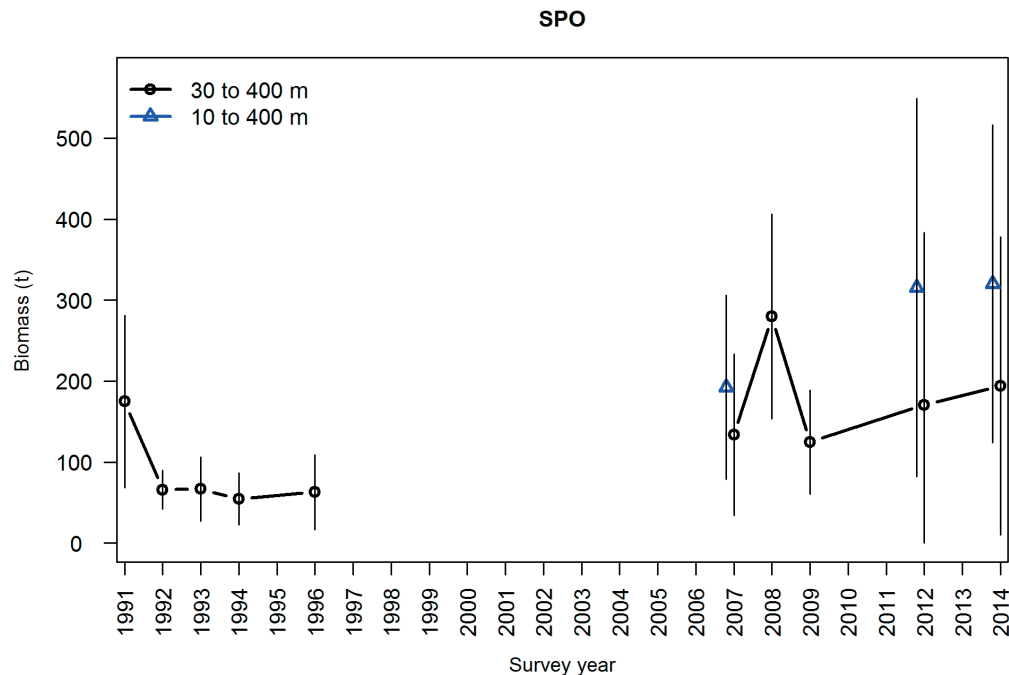
**Figure 5: Comparison of the lognormal indices from the two CPUE series for SPO 3: a) BT[MIX]: mixed target species bottom trawl fishery; b) SN[SHK]: target shark species setnet fishery; also shown are nine index values collected for rig from the East Coast South Island winter trawl survey.**

## RIG (SPO)

### Biomass estimates

#### ECSI

Rig biomass estimates in the east coast South Island winter trawl survey core strata (30–400 m) are generally higher in recent years compared with the 1990s (Figure 6). The additional biomass captured in the 10–30 m depth range accounts for 30% and 46% and 64% of the biomass in the core plus shallow strata (10–400 m) for 2007, 2012, and 2014 respectively, indicating that it is necessary to monitor the shallower strata as well as the core area for this species.



**Figure 6: Rig total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m), and core plus shallow strata (10–400 m) in 2007, 2012 and 2014.**

**Table 8: Relative biomass indices (t) and coefficients of variation (CV) for rig for the east coast South Island (ECSI) - winter, survey area\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). – , not measured; NA, not applicable.**

Region	Fishstock	Year	Trip number	Total Biomass estimate		Total Biomass estimate	
				CV (%)		CV (%)	
ECSI (winter)	SPO 3			30–400m		10–400m	
		1991	KAH9105	175	30	-	-
		1992	KAH9205	66	18	-	-
		1993	KAH9306	67	30	-	-
		1994	KAH9406	54	29	-	-
		1996	KAH9608	63	37	-	-
		2007	KAH0705	134	37	192	30
		2008	KAH0806	280	23	-	-
		2009	KAH0905	125	26	-	-
		2012	KAH1207	171	62	315	37
		2014	KAH1402	194	48	320	21

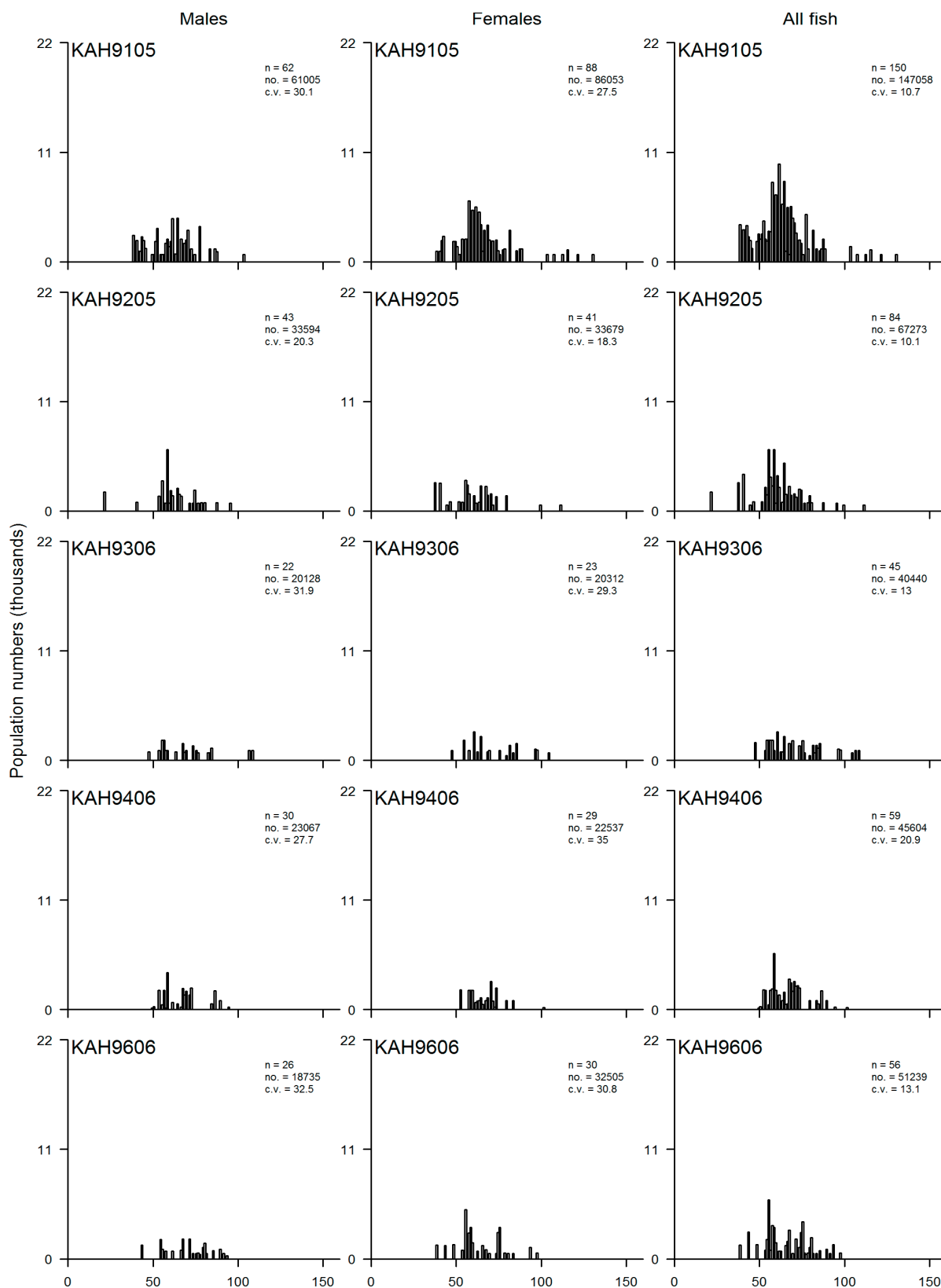
### Length frequency distributions

#### ECSI

The length distributions for the east coast South Island trawl surveys have two clear modes centred round 40 cm and 60 cm, most pronounced in the shallow 10 to 30 m depth range (Figure 7). These two modes correspond to pre-recruit rig of ages 1+ and 2+. Rig tends to be larger overall in the 30 to 100 m depth range. The survey appears to be monitoring pre-recruited cohorts (1+ and 2+) reasonably well, but probably not the full extent of the recruited size distribution, as the proportion of rig >1m long in the survey catch is low. Plots of time series length frequency distributions are spiky because of the low numbers caught, but the size range is reasonably consistent among surveys. The addition of the 10–30 m depth range has changed the shape of the length frequency distribution, by increasing the



proportion of fish < 70cm in the survey catch. High numbers of rig < 70cm in both core and inshore strata in the 2012 and 2014 surveys is indicative of strong recruitment in recent years.



**Figure 7:** Scaled length frequency distributions for rig in core strata (30–400 m) for all ten ECSI winter surveys. The length distribution is also shown in the 10–30 m depth strata for the 2007, 2012, and 2014 surveys overlaid in red (not stacked). Population estimates are for the core strata only. n, number of fish measured; no., population number; CV, coefficient of variation.

## RIG (SPO)

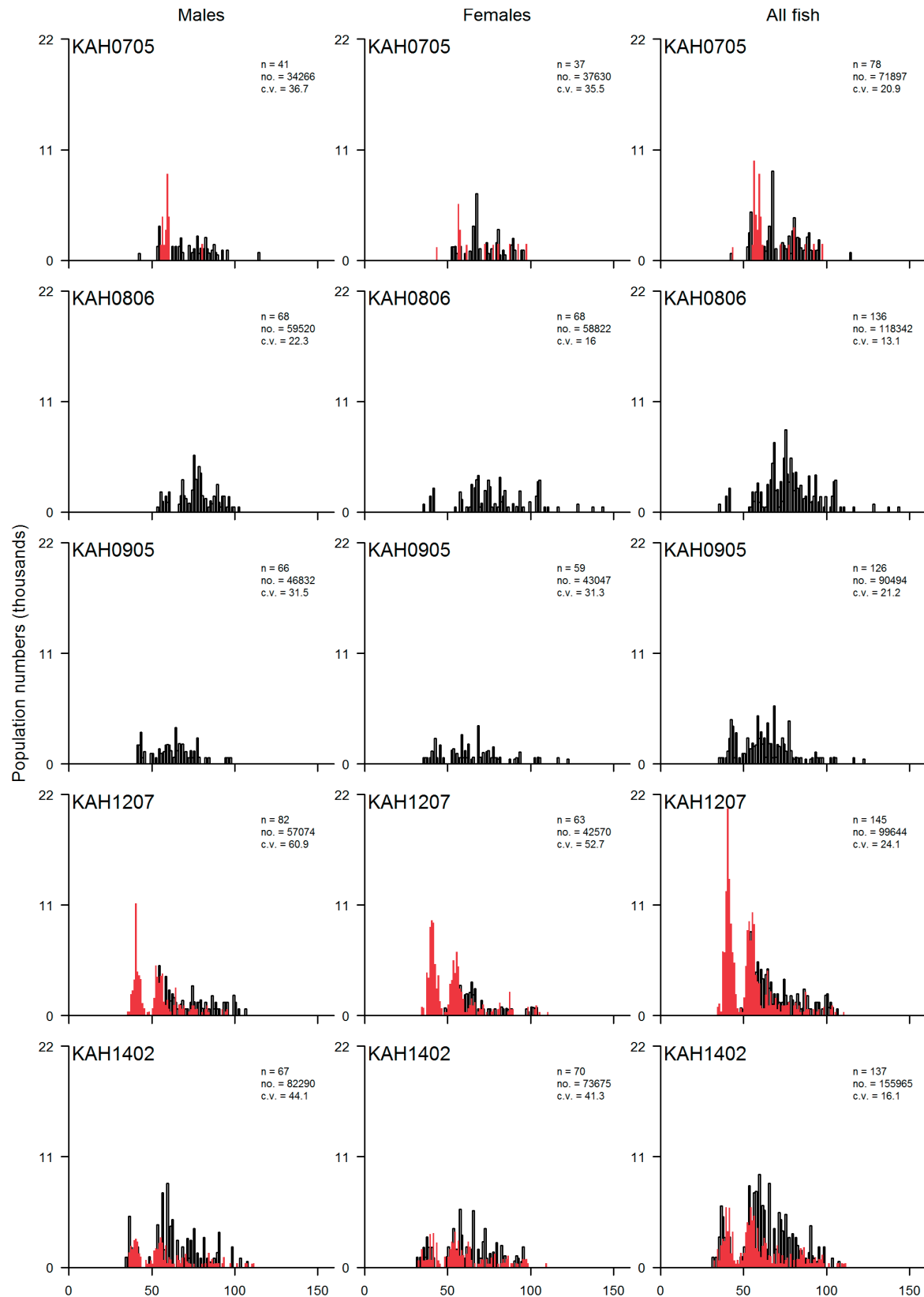


Figure 7 [Continued]

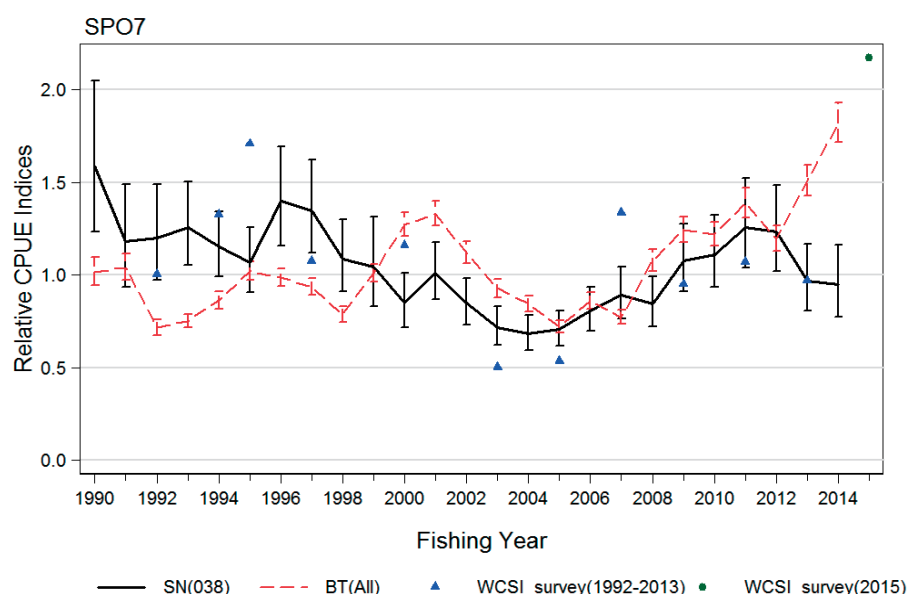
## SPO 7

CPUE analyses standardising non-zero setnet and bottom trawl catches for core vessels were undertaken in 2015 to assess relative abundance of rig in SPO 7. Most of these analyses were updates of analyses previously accepted by the Working Group in 2006 (Starr et al 2006), 2010 (Starr et al 2010) and in 2013 (Starr & Kendrick in prep). The 2015 analyses used two of the same fishery definitions as the previous analyses: 1) setnet fishery in Statistical Area 038 targeting rig, spiny

dogfish and school shark [SN(038)]; and 2) bottom trawl fishery in Statistical Areas 016–018, 032–037, 038, 039 and 040 targeting flatfish, red cod, rig, barracouta, tarakihi, and gurnard [BT(ALL)]. A third analysis (setnet fishery in Areas 032–037) was rejected by the SIWG in 2015 (after being accepted in the 2006–2013 analyses) because of lack of sufficient data to create a reliable index. This lack is attributable to the movement of ACE to other SPO 7 fisheries and likely the management regulations imposed to protect Hector's dolphins. Examination of the distribution of setnet effort on the west coast of the South Island shows that there has been a substantial decline in the number of vessels operating in these statistical areas since 2005–06. The SPO 7 landing data, regardless of the method of capture, did not exhibit the behaviour of landing to temporary holding receptacles observed in SPO 1.

The CPUE data were prepared by matching the landing data for a trip with effort data from the same trip that had been amalgamated to represent a day of fishing. The procedure assigns the modal statistical area and modal target species (defined as the observation with the greatest effort) to the trip/date record. All estimated catches for the day were summed and the five top species with the greatest catch were assigned to the date. This “daily-effort stratum” preparation method was followed so that the event-based data collected on forms presently used in these fisheries can be matched as well as possible with the data collected on earlier daily forms, to create a continuous CPUE series. Each analysis was confined to a set of core vessels which had participated consistently in the fishery for a reasonably long period (SN[038]: 3 trips for at least 3 years (for 17 vessels representing 96% of the catch); BT[All]: 5 trips for at least 10 years (for 94 vessels representing 78% of the catch)). The explanatory variables offered to each model included fishing year (forced), month, vessel, statistical area, target species, duration of fishing, and length of net set (for the setnet analysis) or number of tows (for the bottom trawl analysis). The Working Group had previously concluded that the SN(038) index was the more credible of the two available series (Area 038 accounts for 44% of the total rig landings over 25 years, 72% of which were taken by setnet gear [i.e.  $72\% = 32\%/44\%$ ]).

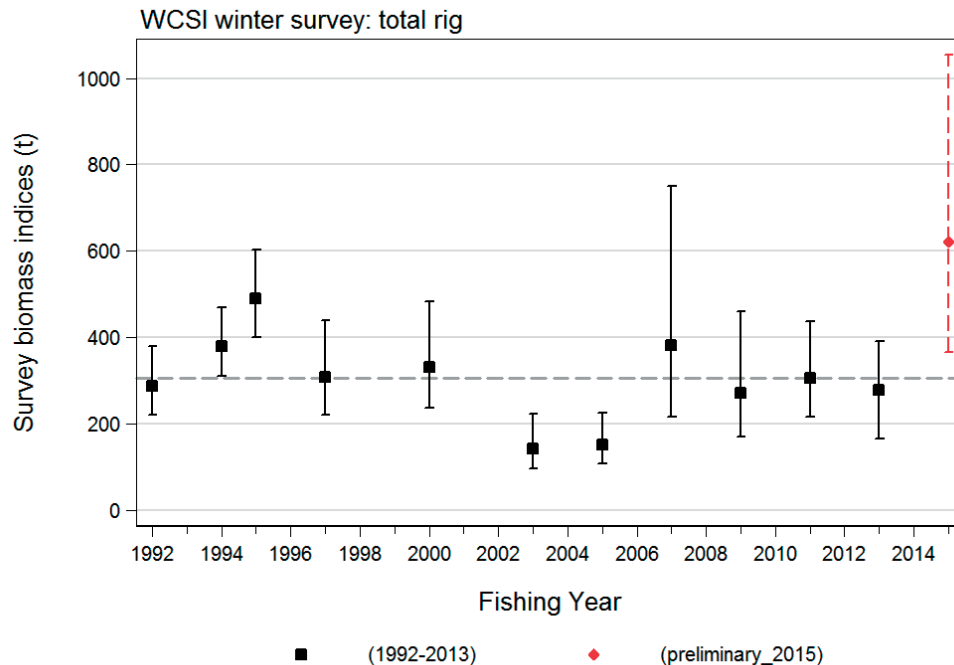
The SN(038) index showed a continuous declining trend from the beginning of the series to a low in the mid-2000s, approximately coincident with the lowering of the SPO 7 TACC. This low point is followed by an increasing trend to a peak in 2009–10, after which the series levels off and possibly dropped in 2012–13 and 2013–14 (Figure 8). It is this series which led to the decision to reduce the SPO 7 TACC to 221 t in 2006–07. The BT (ALL) series has shown an increasing trend since the mid-2000s but does not show the initial strong decline seen in the Tasman/Golden Bay series, possibly because SN(038) is the only fishery that takes mature females.



**Figure 8: Comparison of two SPO 7 standardised CPUE series: i) setnet fishery (shark target in Tasman/Golden Bays) [SN(038)]; ii) bottom trawl fishery (mix target in all SPO 7) [BT(ALL)]; also shown are nine index values collected for rig from the West Coast South Island winter trawl survey: 1992–2013 plus a preliminary WCSI rig biomass estimate for 2015 (in green because not yet reviewed by WG).**

## RIG (SPO)

Although large rig are not effectively targeted with bottom trawl gear, the WCSI trawl survey is believed to provide reliable indices of the relative biomass of males and younger females in SPO 7. Relative biomass declined by more than 50% between 1995 and 2005 but has since increased toward the series mean (Figure 9, Table 9). A preliminary 2015 WCSI survey value was more than double the 2013 index value (from 278 t to 622 t) (Figure 9, Table 9).



**Figure 9: Plots of biomass estimates (t) for rig from the west coast South Island trawl survey by year. Error bars are approximated from the CVs assuming a lognormal distribution and  $1.96 \times CV$ . The dashed line is the series geometric mean (306 t). The 2015 biomass estimate is preliminary.**

The Southern Inshore Working Group gave the SN (038) series an overall assessment quality rank of 1 because this fishery targets mature female rig and there have been relatively few restrictions for the protection of Hector's dolphins because of their low abundance in this area. However, the Working Group was concerned that there were relatively few data in this analysis. If this trend of diminishing data continues, the utility of this series in future years may be compromised.

**Table 9: Relative biomass indices (t) and coefficients of variation (CV) for rig for the west coast South Island (WCSI) trawl survey.**

Survey	Fishstock	Year	Trip number	Total Biomass estimate (t)	CV (%)
WCSI	SPO 7				
		1992	KAH9204	288	13
		1994	KAH9404	380	10
		1995	KAH9504	490	10
		1997	KAH9701	308	18
		2000	KAH0004	333	18
		2003	KAH0304	144	22
		2005	KAH0503	153	19
		2007	KAH0704	383	13
		2009	KAH0904	272	26
		2011	KAH1104	307	18
		2013	KAH1305	278	20
		2015	KAH1503	622	27

## Length frequency distributions: WCSI trawl survey

Unlike the ECSI survey, the length distributions for the west coast South Island trawl surveys have no modes centred around 40 cm and the 60 cm mode is not present in every year (Figure 10). The 60 cm mode corresponds to pre-recruit rig of age 2+ and is present for both males and females in 2009 and shows up for females in most years from 2007 onwards. There is suggestion that there may be a 40 cm female mode in 2013. The male length distributions tend to be larger than for females in most years, with both distributions having low proportions >110 cm, indicating that this survey does not monitor the full range of rig sizes. The length distributions for the recently completed 2015 survey indicate good abundance across the 60–100 cm size bins for males and the 60–70 cm size bins for females. Higher numbers of fish < 80cm in 2011, 2013 and 2015, than in previous surveys, suggests strong recruitment in recent years.

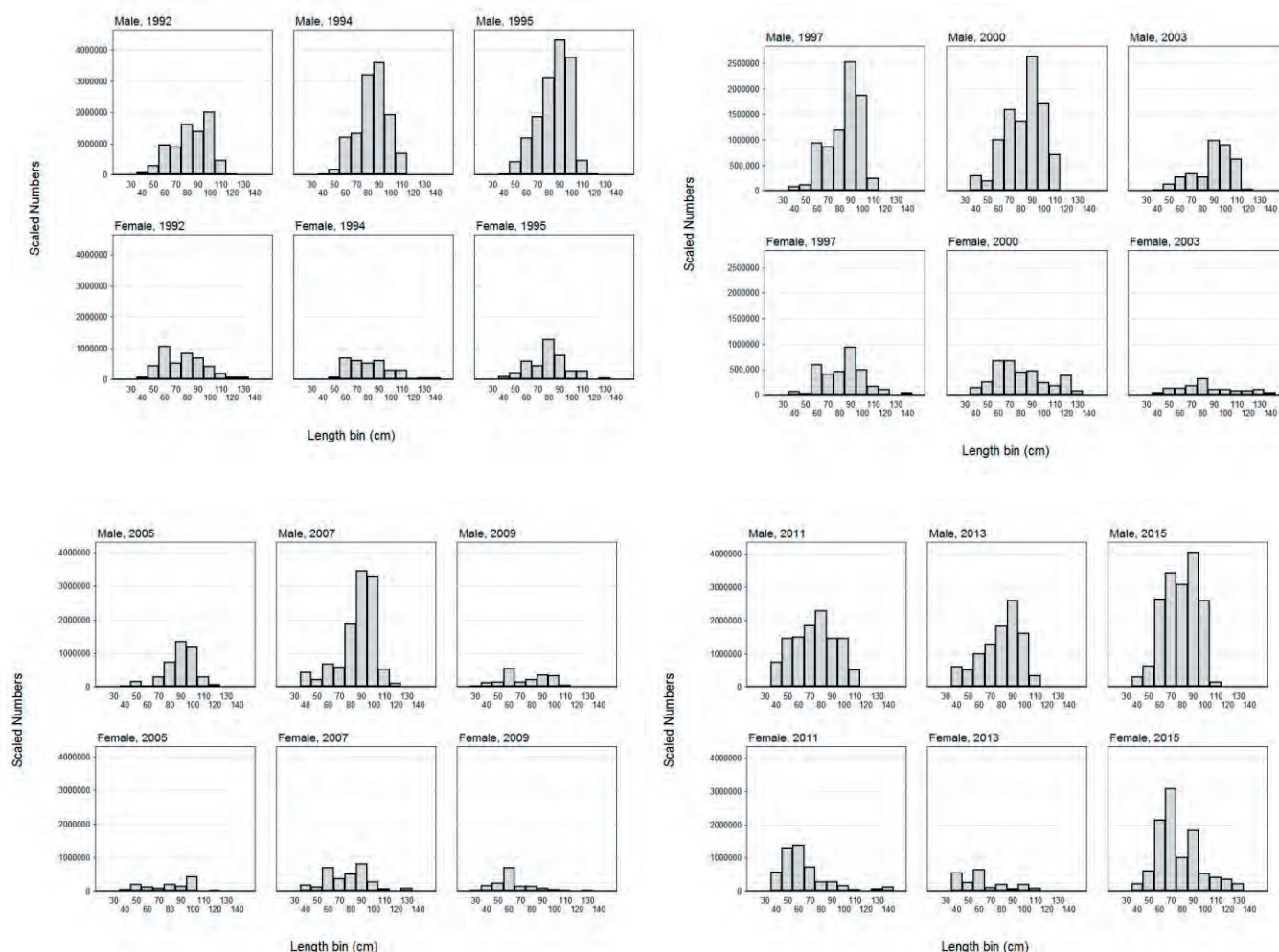


Figure 10 [Continued]: Scaled length frequency distributions by survey year for rig for all twelve WCSI winter surveys, showing distributions as scaled male and female numbers of rig.

## Establishing $B_{MSY}$ compatible reference points

The Working Group agreed to use the two lowest survey biomass values (2003 and 2005; see Table 9) as a proxy for the SPO 7 Soft Limit. This definition establishes the  $B_{MSY}$  proxy target reference point as twice the average 2003–2005 biomass level and the Hard Limit as one-half the average 2003–2005 biomass level. These are based on the definitions from the default Harvest Strategy Standard where the Soft and Hard Limits are one-half and one-quarter the target, respectively.

## SPO 7 Stock Assessment

A stock assessment for SPO 7 was presented to the AMP Working Group in 2006 (AMP-WG-06/24, AMP-WG-06/25). This assessment was an age-structured model fitted to setnet CPUE indices from two areas: Tasman/Golden Bays (Statistical Area 038) and the west coast South Island biomass

## RIG (SPO)

indices from the WCSI survey (Figure 9), commercial length frequency data (setnet and trawl fisheries), length frequency data from the WCSI survey, and age-length data (for estimating the growth model). Historical catches were reconstructed back to 1965, which was assumed to be the beginning of the model, starting with an unfished biomass at equilibrium. The model had two sexes, with growth parameters for each sex estimated in the model and a plus group at age 23 to accommodate the largest females in the length frequency data. Sex-specific commercial and survey selectivities were estimated. Descending right-hand limbs were allowed in the commercial trawl and survey selectivity functions to account for an assumed reduction in catchability for large rig taken by trawl nets. Natural mortality ( $M$ ) was fixed at 0.25 and steepness ( $h$ ) at 0.5 (Francis & Francis 1992a). This latter value was consistent with values used for low productivity shark species. Bayesian methods were used to estimate uncertainty.

The Working Group noted that this assessment was the first stock assessment completed within an AMP programme and was also the first chondrichthyan assessment completed in New Zealand. The Working Group accepted the methods, including data preparation steps and model structure and considered the results satisfactory.

The Working Group made the following conclusions based on this stock assessment:

- The SPO 7 stock was almost certainly below  $B_{MSY}$ . There was however some uncertainty as to where the stock was in relation to  $B_0$ . It was therefore not possible to produce reliable stock projections necessary to derive an assessment based TACC.
- Based on declining indices of abundance, current catches and the TACC (which had been substantially undercaught for the last five years) were not sustainable.

The Working Group requested that the stock assessment should be repeated in 2008. The next assessment should include the following:

- sensitivity runs based on larger historical catches prior to 1975 to account for probable dumping by trawlers;
- additional length-age data, particularly for large females; more rig would therefore need to be aged;
- new length composition data from the commercial catch (trawl and setnet);
- appropriate stock recruit relationships for sharks;
- 5-year stock projections;
- an understanding of the relationship of rig stocks between areas: what is the appropriate relationship of sub-areas within SPO 7 or with SPO 3 or SPO 8? The Working Group agreed that there was uncertainty in this issue and that information should be collected to address this problem.

## SPO 8

SPO 8 landings are primarily by a setnet fishery that operates along the coast from Kapiti to beyond New Plymouth. The SPO 8 bottom trawl fishery operates further offshore in the North and South Taranaki Bights and takes rig as a bycatch in fisheries targeted at gurnard, tarakihi, snapper and gurnard. Recent average setnet landings in SPO 8 have been between 150–200 t/year while bottom trawl landings average between 10–30 t/year. The SPO 8 landing data, regardless of the method of capture, did not exhibit the behaviour of landing to temporary holding receptacles.

Standardised CPUE series were developed for both the SPO 8 setnet and bottom trawl fisheries, with each analysis confined to a set of core vessels which had participated consistently in the fishery for a reasonably long period. These analyses were performed on the non-zero trip-strata, regressing  $\ln(\text{catch})$  against the usual range of explanatory variables, including fishing year (forced), month, vessel, statistical area, target species, duration of fishing, and length of net (for the setnet analysis) or number of tows (for the bottom trawl analysis). One problem with the SPO 8 analyses was the large overlap with other SPO QMAs, with all of the SPO 8 coastal statistical areas being shared with other QMAs. The approach of dropping trips which reported multiple QMA landings while fishing in an ambiguous statistical area was discarded for these analyses. Instead, all trips fishing in Areas 039, 040 and 041 were deemed to have fished in SPO 8 (for both the setnet and bottom trawl analysis),

resulting in the adjustment of estimated catches to landings without regard for the QMA and avoiding the problem of discarding over 30% of the catch from the analysis.

The SPO 8(SN) CPUE analysis was variable with relatively large coefficients of variation (Figure 10). The overall pattern was one of gradual decline to the mid-2000s, followed by a recovery to the present. The SPO 8(BT) CPUE series showed no trend (Figure 10). The WG gave the SPO 8(SN) series a research rating of 1, noting that the year trend was similar in all three statistical areas and that a setnet fishery should provide information from a wider range of the rig population. The Working Group gave the SPO 8(BT) CPUE series an overall assessment quality rank of 2, noting that the indices were based on very small amounts of data in any year, with landings from the core data set ranging from 5 to 20 t of rig per year and that trawl gear does not representatively sample large female rig.

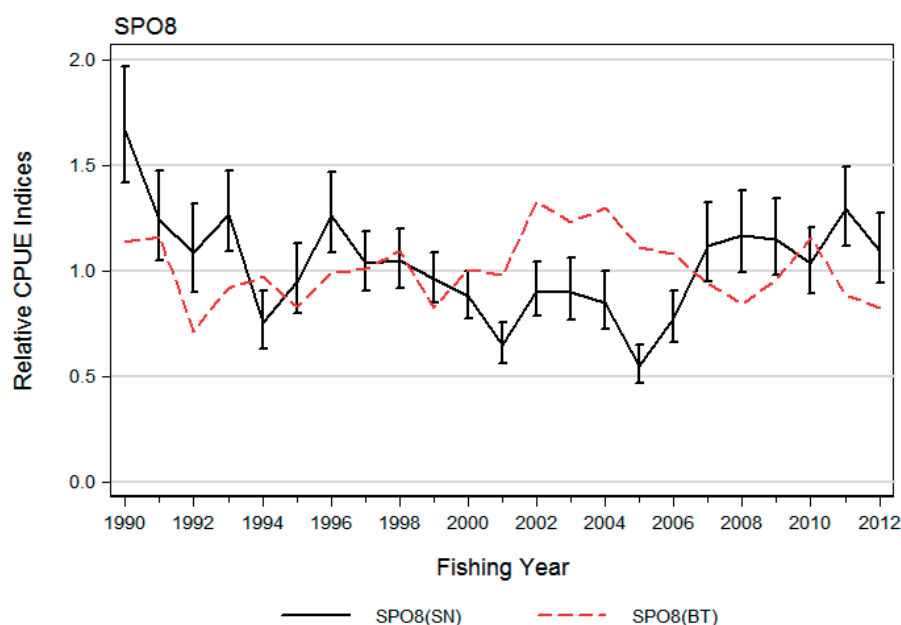


Figure 11: Comparison of two standardised CPUE series from three SPO 8 statistical areas: 039, 040 and 041  
i) setnet fishery (shark target) [SPO 8(SN)]; ii) bottom trawl fishery (mixed target) [SPO 8(BT)].

## 4.2 Other factors

Stock mixing occurs in the South Taranaki Bight to the Cook Strait and South Westland regions, and probably elsewhere. Some regional fisheries therefore exploit more than one stock. Also, biological stock boundaries do not always coincide with Fishstock boundaries. Consequently, management by quota within Fishstocks is likely to be sub optimal for individual stocks.

The use of small mesh commercial setnets (125 mm) in the Auckland FMA probably results in a large proportion of the rig catch being immature fish. Elsewhere, the minimum size is 150 mm.

There have been several changes to the rig conversion factors over the period that SPO has been managed within the QMS. The trend has been towards lower conversion factors. While researchers correct catches for these changes in undertaking CPUE analyses, this has not been done for total landings reported in this Working Group Report. These changes have the effect of reducing the effect of catches in recent years compared to early years, e.g. if actual catch had been constant it would appear to be declining. This has implications for historically set TACCs and any yield estimates (e.g. *MCY*).



## 5. STATUS OF THE STOCKS

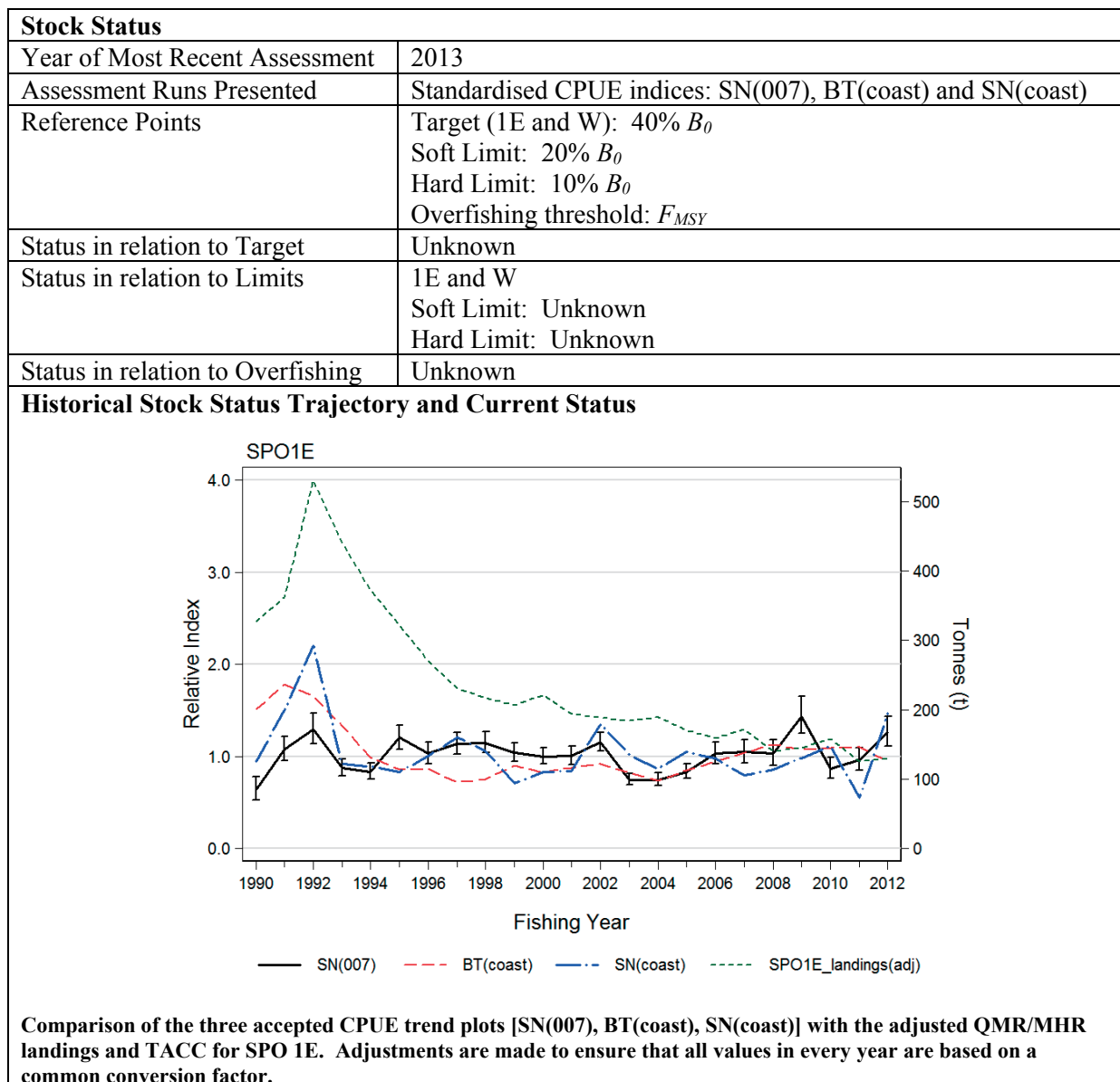
No estimates of current and reference biomass are available.

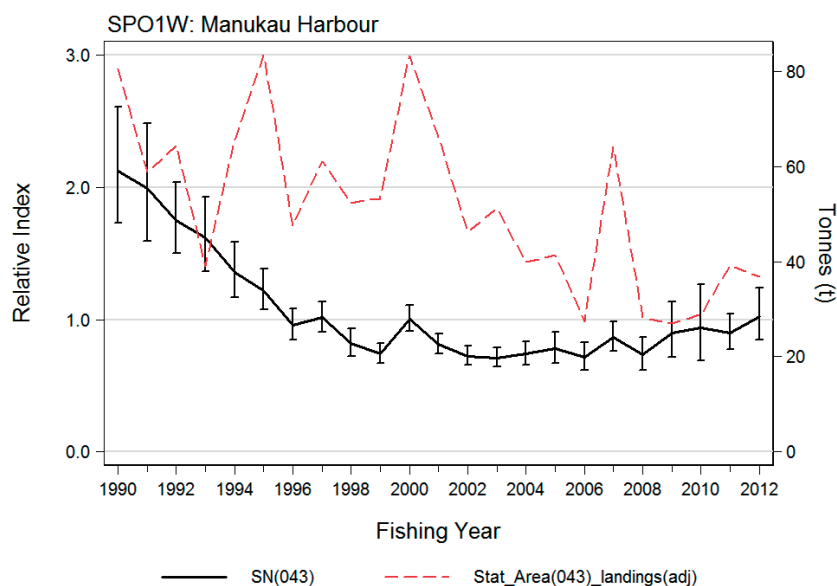
A review of stock structure in 2009 concluded that the existing QMAs were suitable for rig management, although the boundaries between biological stocks were poorly defined, especially in the Cook Strait region (Francis 2010).

### • SPO 1

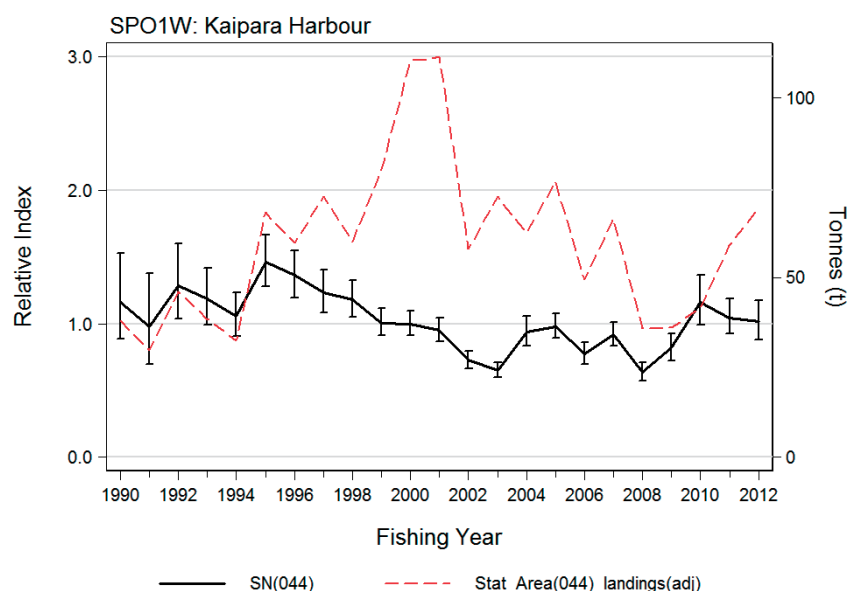
#### Stock Structure Assumption

For the purposes of this summary SPO 1 is treated as a discrete stock. It is not known if the rig stocks on the west and east coasts of the North Island are separate.





Comparison of the accepted CPUE trend plot for SN(043) with the adjusted total SPO landings in Statistical Area 043 (Manukau Harbour). Adjustments are made to ensure that all values in every year are based on a common conversion factor.



Comparison of the accepted CPUE trend plot for SN(044) with the adjusted total SPO landings in Statistical Area 044 (Kaipara Harbour). Adjustments are made to ensure that all values in every year are based on a common conversion factor.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy

(1E) Adult biomass has fluctuated without trend, sub-adult biomass declined in the early 1990s after which it has fluctuated without trend.  
(1W) the SN(043 –Manukau harbour) series showed a strong decline in the early portion of the series while the SN(044 Kaipara harbour) series showed no trend throughout the 1990s, both have fluctuated without trend since the late 1990s.

Recent Trend in Fishing Intensity or Proxy

Unknown

Other Abundance Indices

-

Trends in Other Relevant Indicators or Variables

-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Current catches are Unlikely (< 40%) to cause the stock to decline.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unknown (Catch) Hard Limit: Unknown (Catch) Since current catches are well below the TACC, it is Unknown if the TACC will cause the stock to decline.
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Fishery characterisation and standardised CPUE analysis	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	SPO 1E: Setnet CPUE series: target shark in Area 007 (Firth of Thames) Bottom trawl CPUE series: mixed target species (Areas 002–010) Setnet CPUE series: target shark (Areas 002–006 and 008–010)  SPO 1W: Setnet CPUE series: target shark in Area 043 (Manakau Harbour) Setnet CPUE series: target shark in Area 043 (Manakau Harbour)	1 – High Quality  1 – High Quality  2 – Medium Quality  1 – High Quality  1 – High Quality
Data not used (rank)	SPO 1W Bottom trawl CPUE series: mixed target species (Areas 042, 045–048) Setnet CPUE series: shark target species (Areas 042, 045–048)	3 – Low Quality: few data and poor diagnostics 3 – Low Quality: few data and poor diagnostics
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	Lack of historical information relating to stock abundance	

<b>Qualifying Comments</b>
The accepted BT(coast) CPUE series (SPO 1E) does not sample mature fish in the rig population.

<b>Fishery Interactions</b>
Rig are taken as a bycatch in bottom trawl fisheries targeted mainly at snapper, tarakihi, gurnard, John dory, barracouta, trevally (SPO 1E) while the setnet fisheries are almost exclusively targeted at rig in both SPO 1E and SPO 1W. In the setnet fisheries there is a risk of incidental capture of seabirds, Maui's dolphins on the west coast, other dolphins and New Zealand fur seals.

- SPO 2**

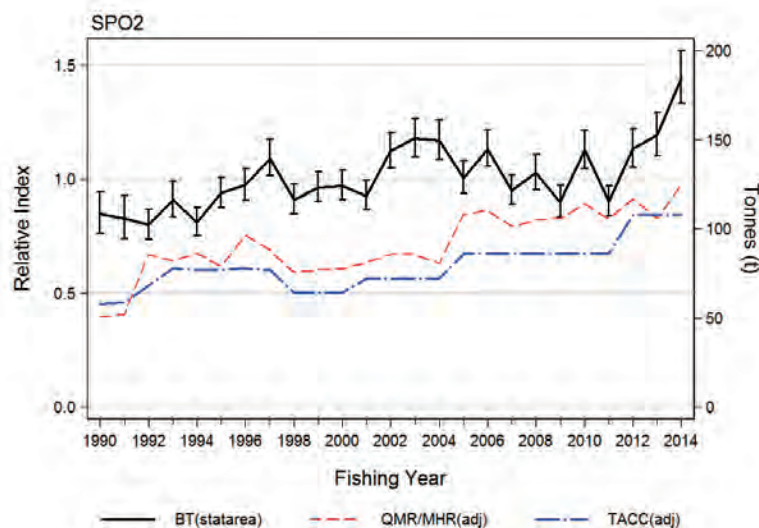
**Stock Structure Assumption**

For the purposes of this summary SPO 2 is treated as a discrete stock.

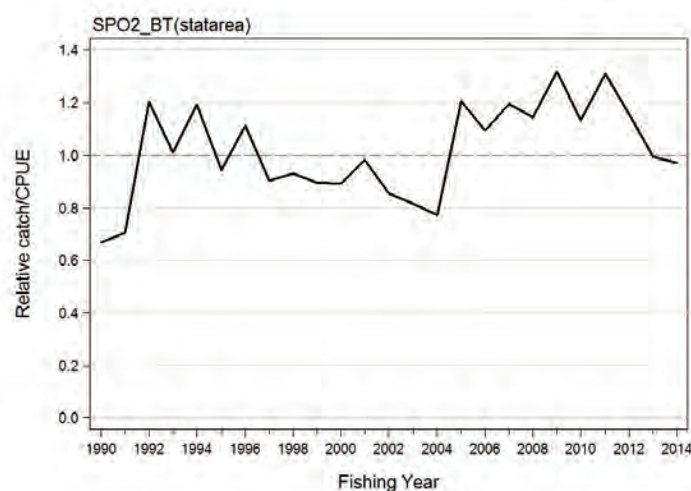
<b>Stock Status</b>	
Year of Most Recent Assessment	2015

Assessment Runs Presented	Standardised CPUE: BT(stat area)
Reference Points	Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{MSY}$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)
Status in relation to Overfishing	Unknown

### Historical Stock Status Trajectory and Current Status



Comparison of the accepted CPUE trend plot [BT(statarea)] with the adjusted QMR/MHR landings and TACC for SPO 2. Adjustments are made to ensure that all values in every year are based on a common conversion factor.



Relative fishing pressure for SPO 2 based on the ratio of QMR/MHR (adj) landings relative to the BT(statarea) CPUE series. This series has been normalised so that its geometric mean=1.0.

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Biomass had an upward trend from the beginning of the series to the early 2000s, after which biomass fluctuated to a low in 2010–11 followed by three successive years of increase.
Recent Trend in Fishing Intensity or Proxy	Relative fishing intensity has declined since 2009–10 and in 2013–14 was just below the series average.
Other Abundance Indices	A setnet CPUE series was developed in 2011, but was not repeated in 2013 or 2015 as the Working Group conclude that this series was not credible as an index of abundance because

**RIG (SPO)**

	of the small quantity of available data.
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Current catches are Unlikely (< 40%) to cause the stock to decline.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unknown Hard Limit: Current catches are Unlikely (< 40%) to cause the stock to decline below the hard limit. Since current catches are above the TACC, it is Unlikely (< 40%) that the TACC will cause the stock to decline.
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Fishery characterisation and standardised CPUE analysis	
Assessment Dates	Latest assessment: 2015	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	Bottom trawl CPUE series: trip-based analysis	1 – High Quality
Data not used (rank)	The setnet CPUE analysis up to 2009–10.	3- This series was not updated in 2015 (not ranked in 2011) as there was insufficient data to produce a reliable index of abundance
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	- Lack of historical information relating to stock abundance	

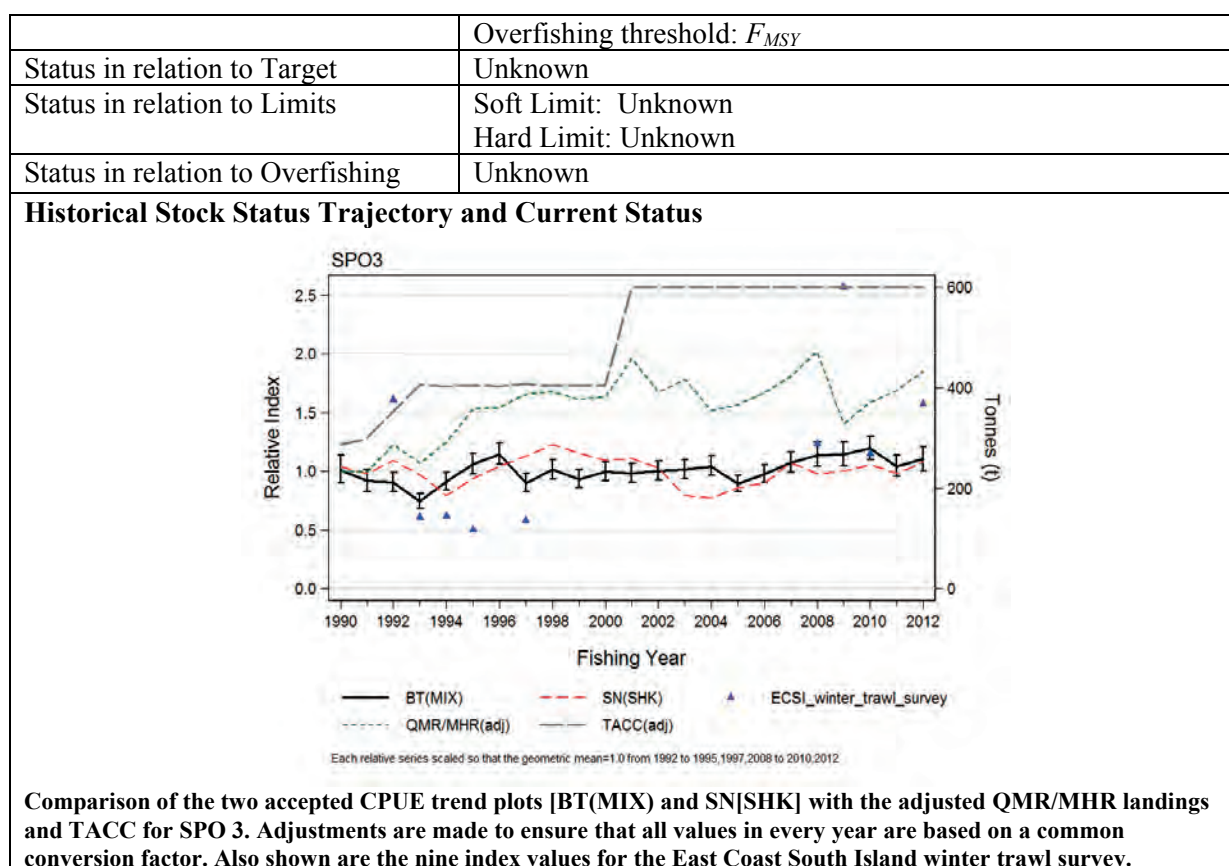
<b>Qualifying Comments</b>
The accepted BT(statarea) CPUE series does not sample mature fish in the rig population; the Working Group agreed that the setnet series was not credible due to lack of data, poor vessel overlap, and the fact that the set net fishery targets a mixed group of species, including blue moki and blue warehou.
<b>Fishery Interactions</b>
Rig are taken as a bycatch in bottom trawl fisheries targeted mainly at flatfish, tarakihi and gurnard while the setnet fisheries target rig, school shark, flatfish, blue warehou and blue moki. There is a risk of incidental capture of seabirds, dolphins and New Zealand fur seals. There is a risk of incidental capture of Hector's dolphins at the southern end of the QMA.

- SPO 3**

**Stock Structure Assumption**

For the purposes of this summary SPO 3 is treated as a discrete stock.

<b>Stock Status</b>	
Year of Most Recent Assessment	2013
Assessment Runs Presented	None
Reference Points	Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Biomass trends have been relatively flat for both accepted CPUE series. Biomass estimates from the most recent four survey years of the ECSI trawl survey series suggest that biomass has increased relative to the 1990s.
Recent Trend in Fishing Intensity or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	Current catches are Unlikely (< 40%) to cause the stock to decline. Since current catches are below the TACC, it is Unknown if the TACC will cause the stock to decline.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unknown Hard Limit: Unknown Since current catches are below the TACC, it is Unknown if the TACC will cause the stock to decline below either limit.
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

Assessment Methodology and Evaluation		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Fishery characterisation and standardised CPUE analysis and trawl survey biomass	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	

**RIG (SPO)**

Main data inputs (rank)	-Bottom trawl CPUE series: mixed target species -Setnet CPUE series: target shark -East coast South Island winter trawl survey	1 – High Quality 2 – Medium Quality  1 – High quality
Data not used (rank)	Bottom trawl CPUE series: flatfish target species	3 – Low Quality: gear from this fishery is poor at catching rig
Changes to Model Structure and Assumptions	- Dropped the bottom trawl flatfish CPUE analysis	
Major Sources of Uncertainty	- Lack of historical information relating to stock abundance. - In some years the ECSI trawl survey indices have high CVs.	

**Qualifying Comments**

The accepted BT(MIX) CPUE series and the ECSI trawl survey do not representatively sample large female rig. The SN(SHK) CPUE series has been downgraded to level 2 because there are concerns that recent management restrictions to protect Hector's dolphins will reduce the comparability of this series with earlier indices.

**Fishery Interactions**

A 4 nautical mile setnet closure has been in place since October 2008 for the entire area to reduce the bycatch of Hector's dolphins. Rig are largely targeted by setnet but they are also caught as bycatch in target fisheries for school shark, flatfish, red cod, spiny dogfish and elephant fish in setnet, bottom trawl and bottom longline fisheries. In the setnet fisheries there is a risk of incidental capture of seabirds, Hector's dolphins, other dolphins and New Zealand fur seals. There is a risk of incidental capture of sea lions from Otago Peninsula south.

- SPO 7**

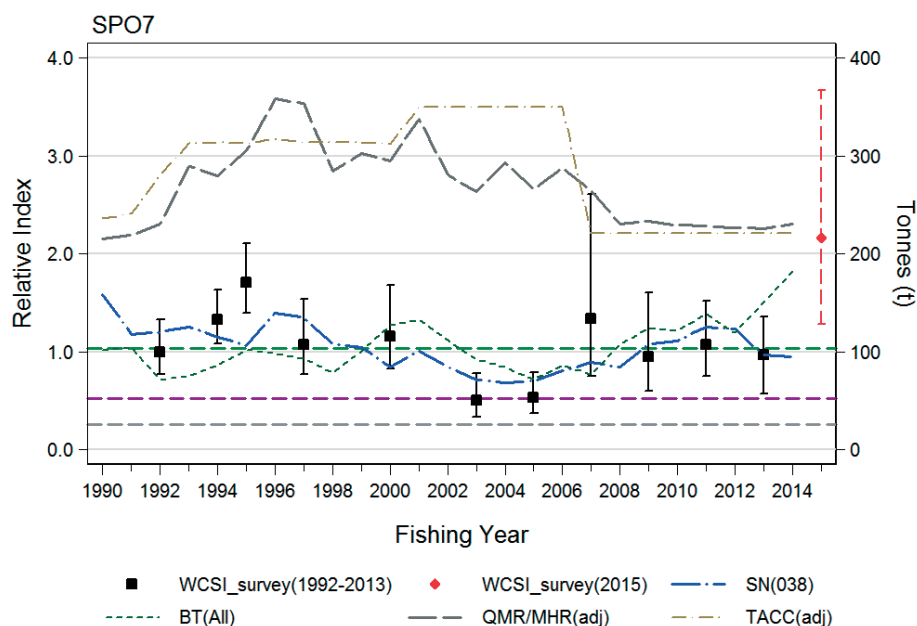
**Stock Structure Assumption**

For the purposes of this summary SPO 7 is treated as a discrete stock.

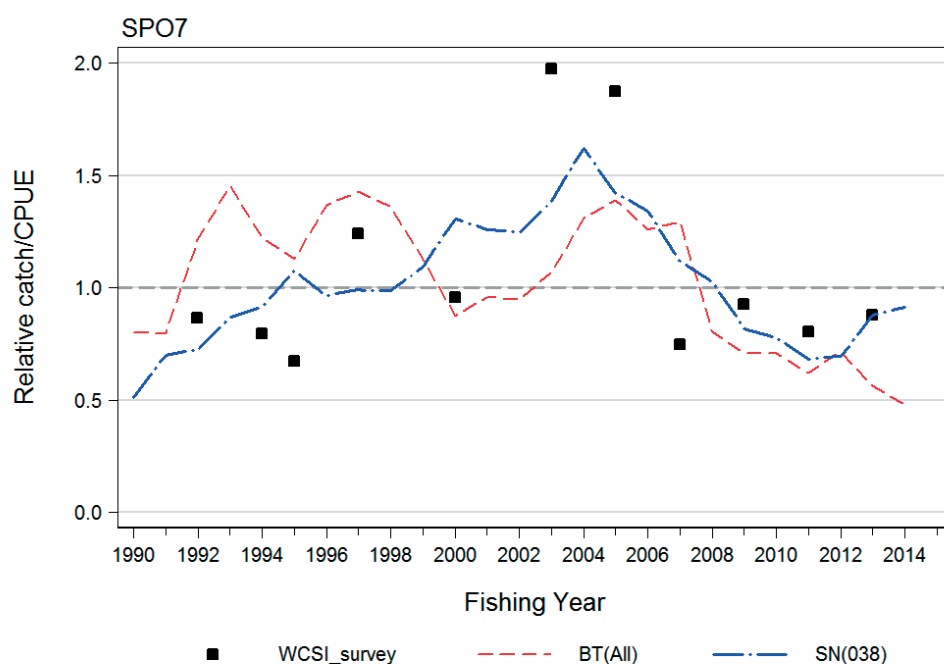
<b>Stock Status</b>	
Year of Most Recent Assessment	2015
Assessment Runs Presented	WCSI trawl survey series BT (All) CPUE series SN (038) CPUE series
Reference Points	Interim Target: Proxy for Bmsy based on 2x soft limit (297.2 t) Soft Limit: Mean WCSI trawl survey biomass estimates for 2003 and 2005 (148.6 t) Hard Limit: 50% of soft limit Overfishing threshold: $F_{MSY}$
Status in relation to Target	About as Likely as Not (40-60%) to be at or above the target.
Status in relation to Limits	Soft Limit: Unlikely (< 40%) to be below Hard Limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	Overfishing is Unlikely (< 40%) to be occurring



### Historical Stock Status Trajectory and Current Status



Comparison of the two accepted CPUE trend plots [SN(038) and BT(ALL)] with the adjusted QMR/MHR landings and TACC for SPO 7. Adjustments are made to ensure that all values in every year are based on a common conversion factor. Also shown are 12 index values for the West Coast South Island trawl survey. The most recent WCSI survey value is indicated in red because it is preliminary. The agreed Soft Limit is shown as a purple line, the  $B_{MSY}$  proxy target is shown as a green line and the Hard Limit is shown as a grey line.



Relative fishing pressure for SPO 7 based on the ratio of QMR/MHR (adj) landings relative to the WCSI survey and two CPUE series: BT(All) and SN(038). Each series has been normalised so that its geometric mean=1.0 for all common years.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy

Relative biomass (WCSI trawl survey) was stable, at around the target level, from 2007 to 2013, but increase sharply in 2015. The SPO 7\_BT(All) CPUE [series shows an increasing trend in recent years from a low point in 2004–05. The SPO 7\_SN(038) series has flattened out after showing an increase from 2006–07.

Recent Trend in Fishing Intensity or Proxy

Relative fishing intensity, based on all three indices of abundance, is well below the series means and is declining

**RIG (SPO)**

Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	Size composition of the WCSI trawl survey catches suggests strong recruitment in recent years.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	The stock is Unlikely (< 40%) to decline under current catch and TACC.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Very Unlikely (< 10%)
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 1: 2006 Quantitative stock assessment Level 2: 2015 Two Standardised CPUE abundance indices and West Coast South Island trawl survey index	
Assessment Method	2006: Bayesian statistical catch-at-age model 2015: Partial Quantitative assessment based on standardised CPUE and WCSI trawl survey series	
Assessment Dates	Latest assessment: 2015	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	2006: - West Coast South Island trawl survey index - Setnet CPUE from area 038 and the west coast - Length data from SN (038), SN(WC) and bottom trawl(WC) - Age/length data 2015: - Setnet CPUE series: target shark in Area 038 - Bottom trawl CPUE series: mixed target species (all statistical areas) - West Coast South Island trawl survey	1 – High Quality 1 – High Quality 1 – High Quality 1 – High Quality 1 – High Quality 1 – High Quality 1 – High Quality
Data not used (rank)	-WCSI SN CPUE series	3– Low Quality: insufficient data
Changes to Model Structure and Assumptions	In 2006: SPO 7 stock status was evaluated using an age-structured model fitted to setnet CPUE indices, biomass indices from the WCSI survey, length frequency data and age-length data.  In 2015, the WCSI SN series, previously also used to assess SPO7, was dropped due to data scarcity	
Major Sources of Uncertainty	- Lack of historical information relating to stock abundance.	

<b>Qualifying Comments</b>
The accepted BT(all) CPUE series and the WCSI trawl survey do not representatively sample large female rig, but they cover most of SPO7; while the set net index (which does provide an index of mature female abundance) only provides an index of abundance for SPO7 in statistical area 038. As the WCSI trawl survey biomass estimate for 2015 is preliminary, it was given lower weight than the other data points in the series.

**Fishery Interactions**

SPO 7 is caught in a targeted setnet fishery, which also targets school shark and spiny dogfish and in a bottom trawl fishery targeting flatfish, barracouta, red cod and tarakihi. The set net fishery has historically been focused in statistical area 038 (Tasman and Golden Bays). In the setnet fisheries there is a risk of incidental capture of seabirds, white pointer sharks, Hector's dolphins, other dolphins and New Zealand fur seals.

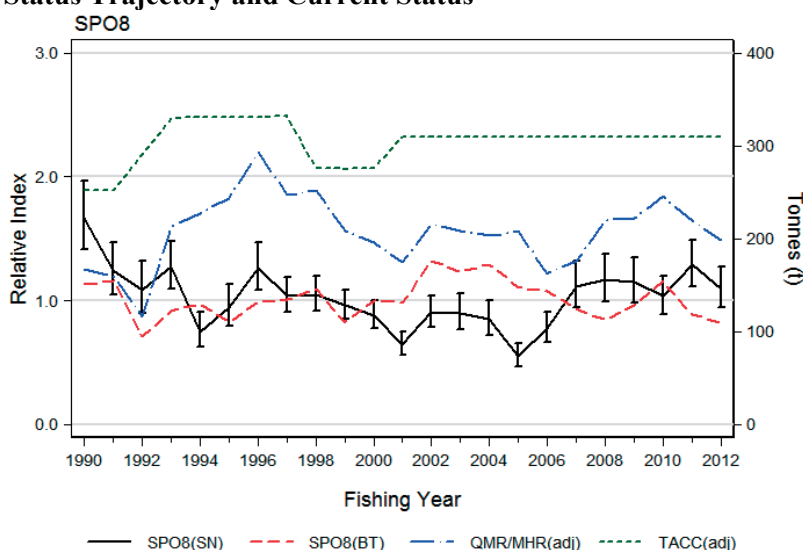
- SPO 8**

**Stock Structure Assumption**

For the purposes of this summary SPO 8 is treated as a discrete stock.

**Stock Status**

Year of Most Recent Assessment	2013
Assessment Runs Presented	Standardised CPUE: SPO 8(SN) and SPO 8(BT)
Reference Points	Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{MSY}$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unknown
Status in relation to Overfishing	Unknown

**Historical Stock Status Trajectory and Current Status**

Comparison of the two accepted CPUE trend plots [SPO 8(SN) and SPO 8(BT)] with the adjusted QMR/MHR landings and TACC for SPO 8. Adjustments are made to ensure that all values in every year are based on a common conversion factor.

**Fishery and Stock Trends**

Recent Trend in Biomass or Proxy	Setnet CPUE shows a long gradual descent to the mid-2000s followed by a recovery to initial levels by the end of the series; the SPO 8(BT) CPUE series fluctuates without trend.
Recent Trend in Fishing Intensity or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Current catches are Unlikely (< 40%) to cause the stock to decline. Since current catches are below the TACC, it is Unknown if the TACC will cause the stock to decline.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unknown Hard Limit: Unknown Since current catches are below the TACC, it is Unknown if the TACC will cause the stock to decline below the soft or hard limits.
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Fishery characterisation and standardised CPUE analysis	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	Setnet CPUE series: target shark in Areas 039, 040, and 041 Bottom trawl CPUE series: mixed target species (Areas 039, 040, and 041)	1 – High Quality 2 – Medium or Mixed Quality: few data
Data not used (rank)	N/A	-
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	Lack of historical information relating to stock abundance.	

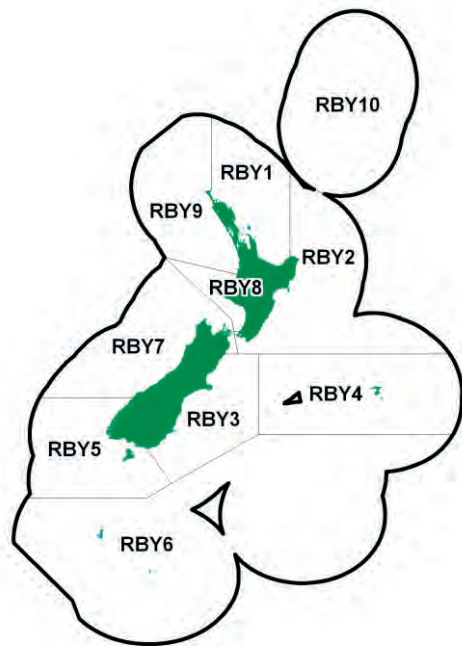
<b>Qualifying Comments</b>
The independence of this QMA between SPO 1W to the north and SPO 7 to the south is unclear because Statistical Areas straddle QMA boundaries. In order to avoid double counting, Statistical areas 039, 040 and 014 were deemed to be SPO 8 and were excluded from the SPO 1W and SPO 7 analyses.

<b>Fishery Interactions</b>
SPO 8 are mainly caught in a targeted setnet fishery which also targets school shark and spiny dogfish. The remaining catch is taken by a bottom trawl fishery targeting snapper, gurnard, trevally and tarakihi. In the setnet fisheries there is a risk of incidental capture of seabirds, Maui's dolphins other dolphins and New Zealand fur seals.

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**RUBYFISH (RBY)***(Plagiogeneion rubiginosum)***1. FISHERY SUMMARY****1.1 Commercial fisheries**

Rubyfish catches were first reported in 1982–83. In 1990–91, 245 t were landed, mainly as bycatch in the trawl fisheries for alfonso, gemfish, barracouta, hoki, and jack mackerel. Landings doubled in the following year, and from 1992–93 to 1994–95 landings were about 600 t, taken mainly as bycatch of gemfish in the Bay of Plenty and from target midwater trawling in statistical areas 012 and 013 (RBY 2). In 1995–96, landings peaked at 735 t but in subsequent years catches fluctuated between 200 t and 500 t.

The main rubyfish grounds (target species and alfonso bycatch) are the banks or "hills" off the east coast of the North Island in RBY 2, and the Bay of Plenty (RBY1). The relative importance of the two main RBY QMAs has shifted northwards away from RBY 2 (which accounted for 70% of total landings during the 1990s), and into RBY 1 which accounted for 83% of landings in 2011–12. The level of direct targeting on rubyfish has increased over the history of the fishery, and most target catch is now taken from underwater features around East Cape and the Bay of Plenty.

Rubyfish are also taken as a bycatch of tarakihi tows (between 50 and 300 m bottom depth) from around all coasts of the north island, Chatham Islands, and the upper part of the south island. Bycatch of the hoki fishery is also widely distributed in deeper waters (200 to 450 m), including the Chatham Rise and the southeast coast of the south island. Rubyfish have also been reported as an intermittent bycatch with barracouta, jack mackerel, bluenose, black cardinalfish, orange roughy, silver warehou, trevally and scampi. Commercial concentrations of rubyfish probably also exist in areas that have not been fished in appropriate depths, especially in the northern half of New Zealand.

Rubyfish was introduced into the QMS on 1 October 1998. Allowances were not made for non-commercial catch. The historical landings and TACC values for the two main RBY stocks are shown in Figure 1.

In the 2002–03 fishing year, the TACC for RBY 1 was increased under the adaptive management programme (AMP) to 300 t. At the same time a customary allowance of 1 t, a recreational allowance of 2 t and an allowance of 15 t for fishing-related mortality took the TAC to 318 t. All AMP programmes ended on 30<sup>th</sup> September 2009.

In these stocks landings were above the TACC for a number of years and the TACCs have been increased to the average of the previous 7 years plus an additional 10%. From the 1<sup>st</sup> October 2006 the TACCs for RBY 4, 7 and 8 were increased to 6, 33, and 5 t respectively. Landings continued to exceed the TACC after 2006, resulting in a TACC increase to 18 t for RBY 4 from the first of October 2010. An allowance of 1 t was allocated to RBY 4 at the same time, bringing the TAC to 19 t.

**Table 1: Reported landings (t) of rubyfish by QMA and fishing year, 1983–84 to 1997–98. The data in this table has been updated from that published in previous Plenary Reports by using the data through 1996–97 in table 35 on p. 270 of the “Review of Sustainability Measures and Other Management Controls for the 1999–00 Fishing Year - Final Advice Paper” dated 6 August 1998.**

	QMA 1	QMA 2	QMA 3	QMA 4	QMA 5	QMA 6	QMA 7	QMA 8	QMA 9	QMA 10	Other	Total
1990–91	66	159	5	3	0	0	9	0	3	0		245
1991–92	147	390	0	0	0	0	20	1	6	0		564
1992–93	90	491	0	0	0	0	31	0	0	0		612
1993–94	116	379	3	0	0	0	72	0	5	0		575
1994–95	43	500	3	12	0	0	13	0	10	0		581
1995–96	106	595	2	0	0	0	9	0	23	0		735
1996–97	128	297	2	1	<1	0	14	<1	21	<1	1	463
1997–98	50	308	<1	1	0	0	6	<1	13	<1	<1	380

† QMS data.

**Table 2: Reported landings (t) of rubyfish by Fishstock and TACCs from 1998–99 to 2012–13.**

Fishstock FMA	RBY 1		RBY 2		RBY 3		RBY 4		RBY 5	
	1		2		3		4		5	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1998–99	55	104	180	433	<1	2	<1	2	0	0
1999–00	138	104	321	433	6	2	<1	2	0	0
2000–01	39	109	433	433	<1	3	2	3	0	0
2001–02	36	109	414	433	1	3	8	3	1	0
2002–03	21	300	233	433	<1	3	11	3	1	0
2003–04	19	300	343	433	<1	3	2	3	<1	0
2004–05	109	300	217	433	<1	3	10	3	1	0
2005–06	135	300	303	433	<1	3	33	3	0	0
2006–07	293	300	198	433	4	3	37	6	0	0
2007–08	120	300	427	433	<1	3	11	6	<1	0
2008–09	192	300	467	433	<1	3	19	6	0	0
2009–10	351	300	309	433	2	3	11	6	<1	0
2010–11	297	300	435	433	<1	3	9	18	<1	0
2011–12	278	300	73	433	<1	3	4	18	<1	0
2012–13	95	300	331	433	2	3	21	18	<1	0
2013–14	223	300	349	433	<1	3	15	18	<1	0

Fishstock FMA	RBY 6		RBY 7		RBY 8		RBY 9		RBY 10	
	6		7		8		9		10	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1998–99	0	0	4	27	<1	0	7	9	<1	0
1999–00	0	0	13	27	<1	0	15	9	0	0
2000–01	<1	0	7	27	0	1	16	19	0	0
2001–02	0	0	35	27	<1	1	3	19	0	0
2002–03	<1	0	32	27	2	1	2	19	0	0
2003–04	<1	0	9	27	8	1	1	19	0	0
2004–05	<1	0	99	27	<1	1	3	19	0	0
2005–06	<1	0	8	27	8	1	20	19	0	0
2006–07	0	0	13	33	<1	55	1	19	0	0
2007–08	<1	0	4	33	1	6	1	19	0	0
2008–09	<1	0	14	33	<1	6	2	19	0	0
2009–10	0	0	4	33	<1	6	<1	19	0	0
2010–11	0	0	5	33	<1	6	<1	19	0	0
2011–12	0	0	18	33	<1	6	<1	19	0	0
2012–13	<1	0	2	33	<1	6	<1	19	0	0
2013–14	0	0	48	33	<1	6	<1	19	0	0



## RUBYFISH (RBY)

Table 2 [continued]:

	Total	
	Landings	TACC
1998–99	247	577
1999–00	493	577
2000–01	358	595
2001–02	498	595
2002–03	302	595
2003–04	382	595
2004–05	439	595
2005–06	507	786
2006–07	546	849
2007–08	564	800
2008–09	694	800
2009–10	677	800
2010–11	747	812
2011–12	374	812
2012–13	452	812
2013–14	635	812

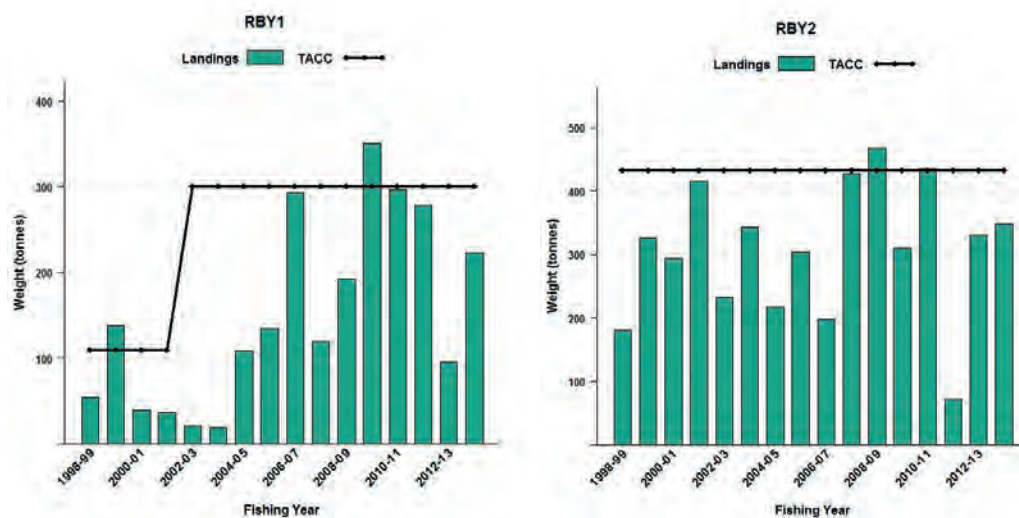


Figure 1: Reported commercial landings and TACC for the two main RBY stocks. Left to right: RBY1 (Auckland East) and RBY2 (Central East). Note that these figures do not show data prior to entry into the QMS.

### 1.2 Recreational fisheries

There is no reported recreational catch.

### 1.3 Customary non-commercial fisheries

There is no quantitative information on the current level of customary non-commercial take.

### 1.4 Illegal catch

There is no quantitative information on the level of illegal catch.

### 1.5 Other sources of mortality

There is no quantitative information on the level of other sources of mortality.

## 2. BIOLOGY

Rubyfish are recorded from southern Australia, South Africa and from banks in the southern Indian and south-east Atlantic oceans. They occur in the subtropical water around northern and central New Zealand, but are absent from the southern Chatham Rise and Campbell Plateau. Rubyfish occur at depths ranging from 50 to at least 800 m. Most commercial catch is taken between 200 and 400 m.

Rubyfish have been recorded up to 58 cm in length. Small catches of rubyfish in research tows have been of similar-sized fish, suggesting schooling by size.

Ageing research based on simple counts of otolith structures indicate that rubyfish are a slow-growing and long-lived species (Paul *et al.* 2000). Paul *et al.* (2003) and Horn *et al.* (2012) used radiocarbon dating techniques on otoliths from 10 rubyfish to determine that the oldest fish in the sample were born prior to the beginning of the period of atmospheric testing and therefore were at least 45 years old. The ages they determined using an age-length-key derived from a catch sampling programme showed that although rubyfish could live to 100+ years, the commercial catch was dominated by young fish (8–15 years).

Horn *et al.* (2012) analysed stable isotopes (oxygen and carbon) from rubyfish otoliths. They showed changes in mean depth with age, with rubyfish near-surface as juveniles, moving deeper with age, and adult rubyfish appearing to reside in 600-1000 m, with some apparent depth through the vertical water column (or possibly changes in geographic location) migrations within this range. They hypothesized that most rubyfish caught commercially are late juveniles and early adults in a transitional phase between early life in near surface semi-pelagic water and adult life in deeper water inaccessible to fishing. However, the suggestion by Bentley *et al.* (2013) that rubyfish populations on distinct topographic features have been serially depleted is supportive of an alternative hypothesis that the exploited fish are part of a transient population which move up sporadically from deeper water to these features for an unknown length of time, probably to feed, thereby becoming vulnerable to fishing operations.

There is little information on rubyfish spawning cycles or areas. Sparse observer records of female gonad stages suggest a November to February spawning season, but that is based on the percentage of fish that are mature. Actual observations of stage four and five fish during those months are rare, suggesting that they are largely unavailable to the commercial fishery.

Observations on gut contents show that rubyfish feed on mid-water crustaceans, salps and myctophid fishes. Stable oxygen isotope chemistry of samples taken from the core to the outer edge of the otoliths of large fish indicate that juvenile rubyfish feed on significantly lower trophic levels than the adults, but that their metabolic rates declines between age 5 and 10, and trophic level increases as they descend through the water column to depths of about 600m (Horn *et al.* 2012).

Horn *et al.* (2012) further refined the growth estimates using a four parameter model fitted to the length-at-age data for ages 8 years and older, while constraining  $t_0$  to be 0.5 (to remove the influence of the younger aged fish). The resulting unweighted length-at-age data were fitted using the von Bertalanffy growth model:

$$L_t = L_\infty [1 - \exp(-K \times (t - t_0))]^P$$

Note that when  $P = 1$  the growth model becomes the often-used three-parameter von Bertalanffy equation.

**Table 3: Estimates of biological parameters for rubyfish.**

Fishstock	Estimate		Source	
<u>1. Natural mortality (<i>M</i>)</u>				
All	<i>M</i> = 0.03 – 0.1		Paul et al (2000, 2003)	
<u>2. Weight = a (length)<sup>b</sup> (Weight in g, length in cm fork length)</u>				
			Both sexes	
	a	b		
RBY 2	0.0255	2.9282	NIWA (unpub. Data)	
<u>3. von Bertalanffy growth parameters</u>				
			Both sexes	
	<i>L</i> <sub>∞</sub>	<i>K</i>	<i>t</i> <sub>0</sub>	<i>P</i>
RBY 2	48.68	0.045	-16.53	Paul et al (2003)
	47.7	0.031	-0.5(constrained)	0.216 Horn et al (2012)

### 3. STOCKS AND AREAS

It is not known whether different regional stocks of rubyfish occur in New Zealand waters.

Although landings are reported by Fishstocks which align with the standard QMAs, for stock assessment purposes it may be more appropriate to consider Fishstocks RBY 1 and RBY 9 as one (northern) unit, Fishstock RBY 2 (the main fishery) as an eastern unit, Fishstocks RBY 3–5 as a minor southern unit, and Fishstocks RBY 7 and RBY 8 as a western unit.

### 4. STOCK ASSESSMENT

#### 4.1 Estimates of fishery parameters and abundance

A biomass index derived from a standardised CPUE (log linear, kg/day) analysis of the target trawl fishery represented by 10 main vessels (Blackwell 2000) was calculated for RBY 2. However, the results were highly uncertain, mainly due to the limited amount of data available, and were not accepted by the Inshore Working Group.

Since 2000–01, most of the rubyfish catch has come from target trawling and since 2008–09, most has come from a single vessel. Furthermore, the target fishery is focussed on, and has shifted effort between, relatively few underwater features. This provides the potential for aggregate catch per unit effort to mask localised depletion. For these reasons, QMA wide CPUE standardisations have not been attempted in recent analyses. Summaries of catch, effort and unstandardised CPUE from the target midwater trawl fishery for eight separate groups of underwater features in RBY 1 and RBY 2 suggest serial depletion both between, and within, groups of features. Initially high catch rates at the southernmost features that were the earliest focus of targeting, declined sharply after only a few years of fishing, and both effort and catch subsequently shifted northward. There is evidence of ongoing “test” fishing on southern features, but catches and catch rates have remained low. In the more recently developed fisheries further north at East Cape and in the Bay of Plenty, catch rates appear to have been maintained by shifts in effort within each group prompted by the discovery of new features within them. (Bentley et al. 2013).

#### 4.2 Biomass estimates

No information is available.

#### 4.3 Estimation of Maximum Constant Yield (MCY)

MCY cannot be determined.

#### 4.4 Estimation of Current Annual Yield (CAY)

CAY cannot be determined.

#### 4.5 Other yield estimates and stock assessment results

No information is available.

#### 4.6 Other factors

A substantial catch of rubyfish has been taken in conjunction with alfonso by the trawl fishery off the North Island east coast. Future quotas and catch restraints imposed on rubyfish could, in turn, constrain the alfonso fishery. Rubyfish is taken in smaller, irregular quantities in other target trawl fisheries and these fisheries could also be affected by future rubyfish management policy.

Catch sampling has occurred in RBY 2 for four years 1998–99 to 2000–01, and 2006–07 and 2007–08 though data for the recent years are of little value. It is likely that the age composition of RBY varies across features and as the exact location of the samples is not known it is unclear whether the samples have come from the areas that have been consistently fished over time. The earlier catch sampling data show that the fishery is comprised of a large number of age classes with a reasonable proportion of the catch coming from fish of greater than 50 years old (Horn & Sutton 2009).

## 5. ANALYSIS OF ADAPTIVE MANAGEMENT PROGRAMMES (AMP)

The Ministry of Fisheries revised the AMP framework in December 2000. The AMP framework is intended to apply to all proposals for a TAC or TACC increase, with the exception of fisheries for which there is a robust stock assessment. In March 2002, the first meeting of the new Adaptive Management Programme Working Group was held. Two changes to the AMP were adopted:

- a new checklist was implemented with more attention being made to the environmental impacts of any new proposal;
- the annual review process was replaced with an annual review of the monitoring requirements only. Full analysis of information is required a minimum of twice during the five year AMP.

### RBY 1

The TACC for RBY 1 was increased from 109 t to 300 t under the Adaptive Management Programme (AMP) in October 2002.

### Full-term Review of RBY 1 AMP in 2007

In 2007 the AMP FAWG reviewed the performance of the AMP (Starr et al 2007). The WG noted:

#### Fishery characterisation

- Fish are landed as green weight, so there are no conversion factor issues.
- Historical landings have been primarily taken as a bycatch of the bottom trawl fishery targeted at gemfish in the Bay of Plenty. These landings have nearly disappeared as a result of the decline in that fishery.
- The main target fishery has been a mid-water trawl fishery associated with features in the Bay of Plenty which operated in 2004–05 and 2005–06.
- It was noted that there may be some merit in considering management options like feature limits in this fishery.

#### CPUE analysis

- There are insufficient data to use for a standardised analysis so four unstandardised analyses were presented, three from bycatch trawl fisheries for gemfish, tarakihi and hoki and one from a bycatch bottom longline fishery directed at hapuku and bluenose. No series was constructed from the target rubyfish fishery as there were sufficient data in only three years. The CPUE trends in the four bycatch fisheries showed variable trends which appeared to reflect effort trends in the respective fisheries rather than RBY biomass trends.

#### Logbook programme

- There are no logbook data in the database, except 1 trip and 4 tows. There is a problem in obtaining samples as it is difficult to sample the fish, as they are directly dumped into sea water tanks on the ship.
- Recommend a shed sampling programme, or a similar approach to obtain biological data, but the programme will endeavour to collect data that will allow the fish to be linked to a tow.

#### Environmental effects

- Catch has never exceeded the TACC over the term of the AMP. The target gemfish fishery, the primary bycatch fishery for this species, has diminished considerably in recent years.
- No code of practice in RBY fishery.

#### Conclusion

- If the AMP continues, there is a need to improve the collection of information. There is a need for more biological data, such as otoliths and lengths from every large landing of this species.
- There is also a need for improved fine-scale catch and effort information for smaller areas.
- The Working Group indicated that a catch curve analysis approach is likely to be the most effective way to monitor this Fishstock.

## 6. STATUS OF THE STOCKS

### RBY 1

In 2002, RBY 1 was included in the AMP on the basis that the stock had been lightly fished and it seemed likely that the stock was above  $B_{MSY}$ . There has been an increase in targeted midwater trawling in RBY1 and in the 2011–12 fishing most of the national catch was taken in this QMA. It is not known whether the level of recent commercial catches in this QMA is sustainable. The status of RBY 1 relative to  $B_{MSY}$  is unknown.

### RBY 2

Catch sampling between 1998–99 and 2000–01 indicated that the fishery was then comprised of a large number of age classes with a reasonable proportion of the catch coming from fish of greater than 50 years old. Although relatively high catches were made prior to this period there was no obvious truncation of the age distribution to indicate high and unsustainable levels of fishing mortality. However, catch rates have since declined and there is evidence of serial depletion of underwater features. The catch age structure has not been adequately sampled since then.

Historically, most of the RBY catch came from RBY 2 but have since declined due to reductions in both gemfish and rubyfish targeted midwater trawling effort in the QMA. It is not known whether the level of recent commercial catches in this QMA is sustainable. The status of RBY 2 relative to  $B_{MSY}$  is unknown.

### Other areas

For most other areas it is not known if recent catches are sustainable. Commercial concentrations of rubyfish probably also exist in areas that have not been fished. The status of other RBY stocks relative to  $B_{MSY}$  is unknown.

TACCs and reported landings are summarised in Table 4.

**Table 4: Summary of TACCs (t) and reported landings (t) of rubyfish for the most recent fishing year.**

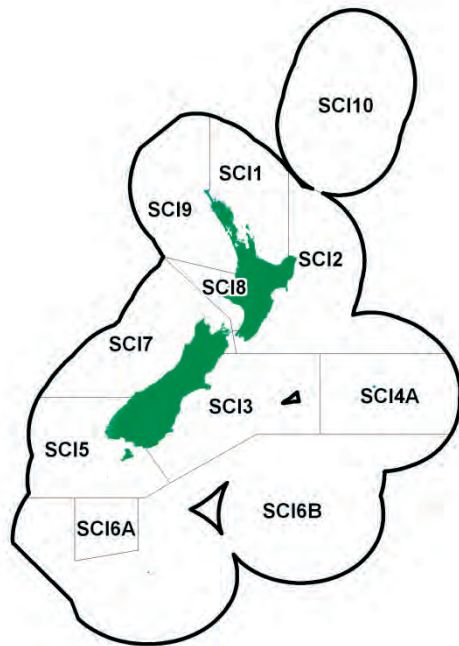
Fishstock		FMA	2013–14 Actual TACC	2013–14 Reported Landings
RBY 1	Auckland (East)	1	300	223
RBY 2	Central (East)	2	433	349
RBY 3	South-east (Coast)	3	3	0.2
RBY 4	South-east (Chatham)	4	18	15
RBY 5	Southland	5	0	0.09
RBY 6	Sub-Antarctic	6	0	0
RBY 7	Challenger	7	33	48
RBY 8	Central (West)	8	6	0.02
RBY 9	Auckland (West)	9	19	0.216
RBY 10	Kermadec	10	0	0
Total			812	635

## 7. FOR FURTHER INFORMATION

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## SCAMPI (SCI)

*(Metanephrops challengeri)*

## 1. FISHERY SUMMARY

Scampi were introduced into the QMS on 1 October 2004. At this time, management areas for scampi on the Chatham Rise (SCI 3 and 4) and in the Sub-Antarctic (SCI 6A and 6B) were substantially modified. Current TACs and TACCs by Fishstock are shown in Table 1.

**Table 1: Total allowable catches (TAC, t) allowances for customary fishing, recreational fishing, and other sources of mortality (t) and Total Allowable Commercial Catches (TACC, t) declared for scampi.**

Fishstock	TAC	Allowances			TACC
		Customary	Recreational	Other*	
SCI 1	126	0	0	6	120
SCI 2	140	0	0	7	133
SCI 3	357	0	0	17	340
SCI 4A	126	0	0	6	120
SCI 5	42	0	0	2	40
SCI 6A	321	0	0	15	306
SCI 6B	53	0	0	3	50
SCI 7	79	0	0	4	75
SCI 8	5	0	0	0	5
SCI 9	37	0	0	2	35
SCI 10	0	0	0	0	0

### 1.1 Commercial fisheries

Target trawl fisheries for scampi developed first in the late 1980s. Access was restricted and, until the 1999–00 fishing year, there were restrictions on the vessels that could be used in each stock. Between October 1991 and September 2002, catches were restrained using a mixture of competitive and individually allocated catch limits but between October 2001 and September 2004, all scampi fisheries were managed using competitive catch limits – i.e. there were no individual allocations (Table 2, Figure1).



**Table 2. Estimated commercial landings (t) from the 1986–87 to present (based on management areas in force since introduction to the QMS in October 2004) and catch limits (t) by Fishstock (from CLR and TCEPR, MFish landings and catch effort databases, early years may be incomplete). No limits before 1991–92 fishing year, (†) catch limits allocated individually until the end of 2000–01. \*Note that management areas SCI 3, 4A, 6A and 6B changed in October 2004, and the catch limits applied to the old areas are not relevant to the landings, which have been reallocated to the revised areas on a pro-rata basis in relation to the TECPR data, which has previously been found to match landings well.**

	SCI 1		SCI 2		SCI 3		SCI 4A		SCI 5	
	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC
1986–87	5	–	0	–	0	–	0	–	0	–
1987–88	15	–	5	–	0	–	0	–	0	–
1988–89	60	–	17	–	0	–	0	–	0	–
1989–90	104	–	138	–	0	–	0	–	0	–
1990–91	179	–	295	–	0	–	32	–	0	–
1991–92	132	120	221	246	153	–	78	–	0	60
1992–93	114	120	210	246	296	–	11	–	2	60
1993–94	115	120	244	246	324	–	0	–	1	60
1994–95	114	120	226	246	292	–	0	–	0	60
1995–96	117	120	230	246	306	–	0	–	0	60
1996–97	117	120	213	246	304	–	0	–	2	60
1997–98	107	120	224	246	296	–	0	–	0	60
1998–99	110	120	233	246	292	–	28	–	30	60
1999–00	124	120	193	246	322	–	23	–	9	40
2000–01	120	120	146	246	333	–	0	–	7	40
2001–02	124	120	247	246	304	–	30	–	< 1	40
2002–03	121	120	134	246	264	–	79	–	7	40
2003–04	120	120	64	246	277	–	41	–	5	40
2004–05	114	120	71	200	335	340	101	120	1	40
2005–06	109	120	77	200	319	340	79	120	< 1	40
2006–07	110	120	80	200	307	340	39	120	< 1	40
2007–08	102	120	61	200	209	340	8	120	< 1	40
2008–09	86	120	52	200	190	340	1	120	< 1	40
2009–10	111	120	125	200	302	340	< 1	120	< 1	40
2010–11	114	120	128	100	256	340	43	120	< 1	40
2011–12	114	120	99	100	278	340	41	120	< 1	40
2012–13	126	120	96	100	300	340	55	120	< 1	40
2013–14	107	120	125	133	319	340	107	120	< 1	40

	SCI 6A		SCI 6B		SCI 7		SCI 8		SCI 9	
	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC	Landings	Limit (†) /TACC
1986–87	0	–	0	–	0	–	0	–	0	–
1987–88	0	–	0	–	0	–	0	–	0	–
1988–89	0	–	0	–	0	–	0	–	0	–
1989–90	0	–	0	–	0	–	0	–	0	–
1990–91	2	–	0	–	0	–	0	–	0	–
1991–92	325	–	0	–	0	75	0	60	0	60
1992–93	279	–	0	–	2	75	0	60	2	60
1993–94	303	–	0	–	0	75	0	60	1	60
1994–95	239	–	0	–	2	75	0	60	0	60
1995–96	270	–	0	–	1	75	0	60	0	60
1996–97	275	–	0	–	0	75	0	60	0	60
1997–98	279	–	0	–	0	75	0	60	0	60
1998–99	325	–	< 1	–	1	75	0	60	< 1	60
1999–00	328	–	0	–	1	75	0	5	0	35
2000–01	264	–	0	–	< 1	75	0	5	0	35
2001–02	272	–	0	–	< 1	75	0	5	0	35
2002–03	255	–	0	–	< 1	75	0	5	0	35
2003–04	311	–	0	–	1	75	0	5	0	35
2004–05	295	306	0	50	1	75	0	5	0	35
2005–06	286	306	0	50	1	75	0	5	0	35
2006–07	302	306	0	50	< 1	75	0	5	0	35
2007–08	287	306	0	50	1	75	0	5	0	35
2008–09	264	306	< 1	50	1	75	0	5	0	35
2009–10	144	306	0	50	2	75	0	5	0	35
2010–11	198	306	< 1	50	4	75	0	5	0	35
2011–12	166	306	< 1	50	6	75	0	5	< 1	35
2012–13	146	306	0	50	7	75	0	5	< 1	35
2013–14	107	306	< 1	50	< 1	75	0	5	< 1	35

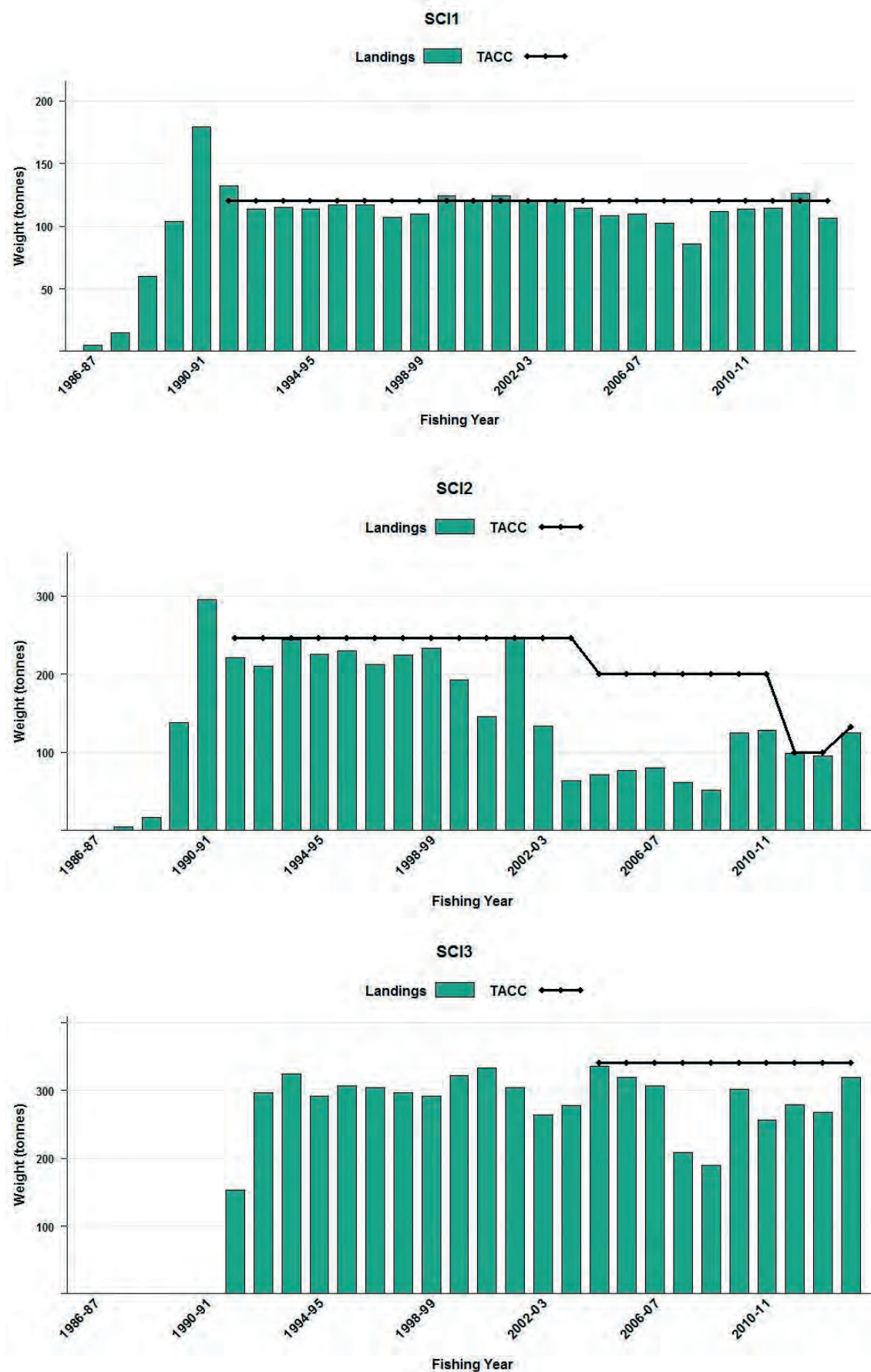


Figure 1: Reported commercial landings and TACCs (or catch limits prior to 2004-05) for the four main SCI stocks from fishing years 1986-87 to present. SCI 1, SCI 2 and SCI 3 [Continued on next page].

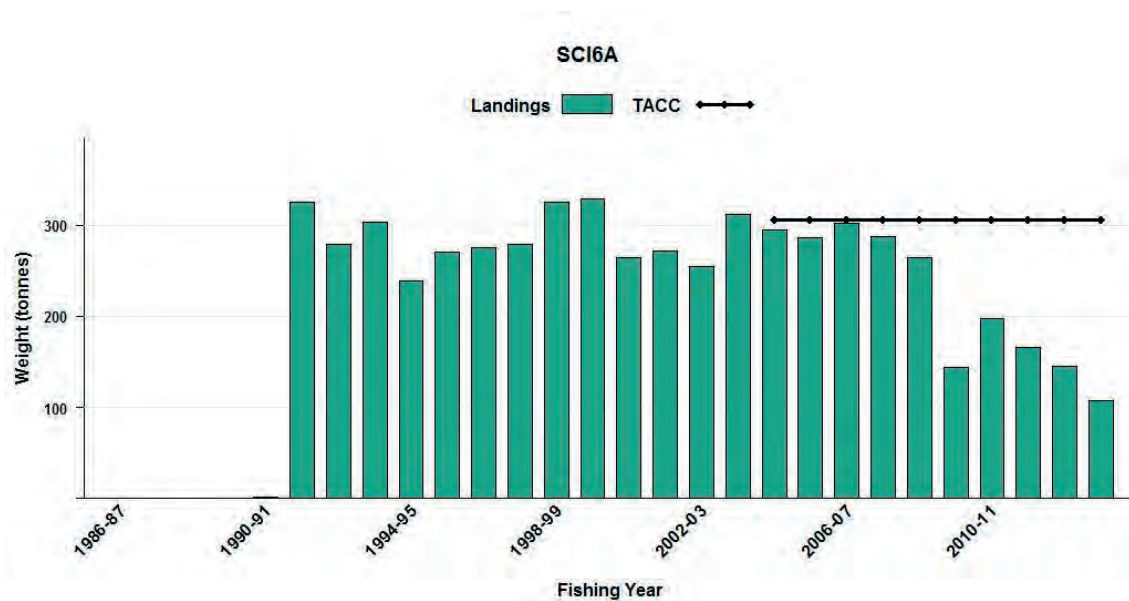


Figure 1: [Continued] Reported commercial landings and TACCs (or catch limits prior to 2004-05) for the four main SCI stocks from fishing years 1986-87 to present: SCI 6A.

Fishing is conducted by 20–40 m vessels using light bottom trawl gear. All vessels use multiple rigs of two or three nets of very low headline height. The main fisheries are in waters 300–500 m deep in SCI 1 (Bay of Plenty), SCI 2 (Hawke Bay, Wairarapa Coast), SCI 3 (Mernoo Bank) SCI 4A (western Chatham Rise and Chatham Islands) and SCI 6 (Sub-Antarctic). Some fishing has been reported on the Challenger Plateau outside the EEZ. Minimal fishing for scampi has taken place in SCI 5, 6B, 7, 8 and 9.

## 1.2 Recreational fisheries

There is no recreational fishery for scampi.

## 1.3 Maori customary fisheries

There is no customary fishery for scampi.

## 1.4 Illegal catch

There is no quantitative information on the level of illegal catch. It is assumed to be zero.

## 1.5 Other sources of mortality

Other sources of fishing related mortality in scampi could include incidental effects of trawl gear on the animals and their habitat.

# 2. BIOLOGY

Scampi are widely distributed around the New Zealand coast, principally in depths between 200 and 500 m on the continental slope. Like other species of *Metanephrops* and *Nephrops*, *M. challengeri* builds a burrow in the sediment and may spend a considerable proportion of time within this burrow. From trawl catch rates, it appears that there are daily and seasonal cycles of emergence from burrows onto the sediment surface. Catch rates are typically higher during the hours of daylight than night, and patterns vary seasonally between sexes and areas, dependent on the moult cycle.

Scampi moult several times per year in early life and probably about once a year after sexual maturity (at least in females). Early work suggested that female *M. challengeri* achieve sexual maturity at about 40 mm orbital carapace length (OCL) in the Bay of Plenty and on the Chatham Rise, about 36 mm OCL off the Wairarapa coast, and about 56 mm OCL around the Auckland Islands (approximately age 3 to

4 years). Examination of ovary maturity on more recent trawl surveys suggest that 50% of females were mature at 30 mm OCL in SCI 1 and 2, and at about 38 mm in SCI 6A. The peak of moulting and spawning activity seems to occur in spring or early summer. Larval development of *M. challenger* is probably very short, and may be less than three days in the wild. The abbreviated larval phase may, in part, explain the low fecundity of *M. challenger* compared with *N. norvegicus* (that of the former being about 10–20% that of the latter).

Relatively little is known of the growth rate of any of the *Metanephrops* species in the wild. Males grow to a larger size than females. Tagging of *M. challenger* to determine growth rates was undertaken in the Bay of Plenty in 1995, and the bulk of recaptures were made late in 1996. About 1% of tagged animals were recaptured, similar to the average return rate of similar tagging studies for scampi and prawns in the UK and Australia. Many more females than males were recaptured, and small males were almost entirely absent from the recapture sample. The reasons for this are not understood, but may relate to the timing of moulting in relation to the study, and tag retention. Scampi captured and tagged at night were much more likely to be recaptured than those exposed to sunlight. Estimates from this work of growth rate and mortality for females are given in Table 3. The data for males were insufficient for analysis, although the average annual increment with size appeared to be greater than in females.

**Table 3: Estimates of biological parameters.**

Population	Estimate		Source
<b>1. Weight = a(orbital carapace length)<sup>b</sup> (weight in g, OCL in mm)</b>			
All males: SCI 1	a = 0.000373	b = 3.145	Cryer & Stotter (1997)
Ovigerous females: SCI 1	a = 0.003821	b = 2.533	Cryer & Stotter (1997)
Other females: SCI 1	a = 0.000443	b = 3.092	Cryer & Stotter (1997)
All females: SCI 1	a = 0.000461	b = 3.083	Cryer & Stotter (1997)
<b>2. von Bertalanffy growth parameters</b>			
	<i>K</i> (yr <sup>-1</sup> )	<i>L</i> <sub>∞</sub> (OCL, mm)	
Females: SCI 1 (tag)	0.11–0.14	48.0–49.0	Cryer & Stotter (1999)
Females: SCI 2 (aquarium)	0.31	48.8	Cryer & Oliver (2001)
Males: SCI 2 (aquarium)	0.32	51.2	Cryer & Oliver (2001)
<b>3. Natural mortality (<i>M</i>)</b>			
Females: SCI 1	<i>M</i> = 0.20–0.25		Cryer & Stotter (1999)

Estimates of  $M$  are based on the relationship between growth rate and natural mortality, and are subject to considerable uncertainty. Analytical assessment models have been examined for  $M=0.2$  and  $M=0.3$ .

Scampi from SCI 2 were successfully reared in aquariums for over 12 months in 1999–2000. Results from these growth trials suggested a Brody coefficient of about 0.3 for both sexes, compared with less than 0.15 from the tagging trial. Extrapolating the length-based results to age-based curves suggests that scampi are about 3–4 years old at 30 mm carapace length and may live for 15 years. There are many uncertainties with captive reared animals, however, and these estimates should not be regarded as definitive. In particular, the rearing temperature was 12° C compared with about 10° C in the wild (in SCI 1 and 2), and the effects of captivity are largely unknown.

The maximum age of New Zealand scampi is not known, although analysis of tag return data and aquarium trials suggest that this species may be quite long lived. *Metanephrops* spp in Australian waters may grow rather slowly and take up to 6 years to recruit to the commercial fishery (Rainer 1992), consistent with estimates of growth in *M. challenger* (Table 3). *N. norvegicus* populations in some northern European populations achieve a maximum age of 15–20 years (Bell et al. 2006), consistent with the estimates of natural mortality,  $M$ , for *M. challenger*.

A tagging project has been conducted in SCI 6A, with three release events (March 2007, 2008, 2009 and 2013). By April 2014, 6.3% of the 2007 releases had been recaptured, 4.6% of the 2008 releases, 6% of the 2009 releases and 2.2% of the 2013 releases. Most recaptures occur within a year of release. Tagging work has also more recently been conducted in SCI 1, 2 and 3, although recapture rates have been low. Tag recaptures are fitted within assessment models to estimate growth.

### 3. STOCKS AND AREAS

Stock structure of scampi in New Zealand waters is not well known. Preliminary electrophoretic analyses suggest that scampi in SCI 6A are genetically distinct from those in other areas, and there is substantial heterogeneity in samples from SCI 1, 2, and 4A. Studies using newer mitochondrial DNA and microsatellite approaches are underway, and are likely to be more sensitive to differences between stocks. The abbreviated larval phase of this species may lead to low rates of gene mixing. Differences among some scampi populations in average size, size at maturity, the timing of diel and seasonal cycles of catchability, catch to bycatch ratios and CPUE trends also suggest that treatment as separate management units is appropriate.

A review of stock boundaries between SCI 3 and SCI 4A and between SCI 6A and SCI 6B was conducted in 2000, prior to introduction of scampi into the Quota Management System. Following the recommendation of this review, the boundaries were changed on 1 October 2004, to reflect the distribution of scampi stocks and fisheries more appropriately.

### 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

This section was last reviewed by the Aquatic Environment Working Group for the May 2012 Fishery Assessment Plenary. Tables were updated and minor corrections to the text were made for the May 2013 Fishery Assessment Plenary. This summary is from the perspective of the scampi fishery; a more detailed summary from an issue-by-issue perspective is available in the Aquatic Environment & Biodiversity Annual Review ([www.mpi.govt.nz/Default.aspx?TabId=126&id=1644](http://www.mpi.govt.nz/Default.aspx?TabId=126&id=1644)).

#### 4.1 Role in the ecosystem

Scampi are thought to prey mainly on invertebrates (Meynier et al 2008) or carrion. A 3-year diet study on the Chatham Rise showed that scampi was the first, third and fourth most important item (by IRI, Index of Relative Importance) in the diet of smooth skate, ling and sea perch respectively (Dunn et al. 2009). Scampi build and maintain burrows in the sediment and this bioturbation is thought to influence oxygen and nutrient fluxes across the sediment-water boundary, especially when scampi density is high (e.g., Hughes & Atkinson 1997, who studied *Nephrops norvegicus* at densities of 1–3 m<sup>-2</sup>). Observed densities from photographic surveys in New Zealand have been 0.02–0.1 m<sup>-2</sup> (Tuck 2010), similar to densities of *N. norvegicus* in comparable depths.

#### 4.2 Incidental catch (fish and invertebrates)

In the 1999–00 to 2005–06 fishing years, total annual bycatch was estimated to range from 2 910 to 8 070 t compared with total landed scampi catches of 791–1 045 t, and scampi typically represents less than 20% of the catch by weight (Ballara & Anderson 2009). The main QMS bycatch species (over 2% of the total catch) were sea perch, ling, hoki, red cod, silver warehou, and giant stargazer. The amount and composition of bycatch varies both within and between QMAs (see also Cryer 2000), being lowest in SCI 1 and SCI 6A (0.5 and 0.6 t per tow, respectively) and higher in SCI 3 and SCI 4A (1.0 and 1.1 t per tow) with SCI 2 intermediate. The most bycatch per tow is taken in SCI 5 (2.7 t per tow) but this is a very small fishery.

The non-QMS incidental catch ranges from a similar weight to the QMS bycatch (SCI 2 and 3) to about double the QMS bycatch (SCI 3 and 6A). Most of this non-QMS incidental catch is discarded on the grounds (Ballara & Anderson record 485 species as discarded). Total annual discard estimates from 1999–00 to 2005–06 ranged from 1 540 to 5 140 t and were dominated by sea perch (especially in SCI 2 and 3) javelefish and other rattails (all areas), spiny dogfish (all areas), skates (SCI 1 and 2), crabs (SCI 6A), toadfish (SCI 3 and 6A) and flatheads (SCI 1–3) (Ballara & Anderson 2009). Discards averaged 2.5 kg per kilogram of scampi caught, typical of crustacean trawl fisheries internationally (Kelleher 2005). Bycatch and discards may have reduced since about 2005 because of modifications to the gear (Tuck, 2013), also evident in the most recent year analysed by Ballara & Anderson 2009).

The finer mesh used by scampi trawlers has the potential to catch more juvenile fish than standard finfish trawls and Cryer et al. (1999) showed raw length frequency distributions for major QMS bycatch species up to 1996–97. Small proportions of small gemfish (20–40 cm) and small hoki (30–50 cm) were recorded in SCI 1–4 in a few years, but juveniles made up a major proportion of the catch only for ling in SCI 6A where more than half of ling measured were 30–70 cm long in four of the six years studied (1990 to 1996–97).

#### 4.3 Incidental Catch (seabirds, mammals, and protected fish)

For protected species, capture estimates presented here include all animals recovered to the deck (alive, injured or dead) of fishing vessels but do not include any cryptic mortality (e.g., seabirds struck by a warp but not brought onboard the vessel, Middleton & Abraham 2007).

#### Marine mammal interactions

Scampi trawlers occasionally catch marine mammals, including NZ sea lions and NZ fur seals (which were classified as “Nationally Critical” and “Not Threatened”, respectively, under the NZ Threat Classification System in 2010, Baker et al 2010).

In the 2012–13 fishing year there were no observed captures of NZ sea lion in scampi trawl fisheries (Table 4) and no estimates of total sea lion captures were made. Sea lions captured in previous years were all taken close to the Auckland Islands in SCI 6A (Thompson et al. 2011).

In the 2012–13 fishing year there was one observed capture of a NZ fur seal in scampi trawl fisheries. In the 2011–12 fishing year, there were 7 (95% c.i.: 0–26) estimated NZ fur seal captures, with the estimates made using a statistical model (Table 5). Since 2002–03, only about 0.7% of the estimated total captures of NZ fur seals have been taken in scampi fisheries; these have been on the western Chatham Rise, on the Stewart-Snares shelf, and close to the Auckland Islands.

Rates of capture for both sea lions and fur seals have been low and have fluctuated without obvious trend.

**Table 4: Number of tows by fishing year and observed NZ sea lion captures in scampi trawl fisheries, 2002–03 to 2012–13. No. obs, number of observed tows; % obs, percentage of tows observed; Rate, number of captures per 100 observed tows. Estimates are based on methods described in Thompson et al (2013) and available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Data for 2002–03 to 2011–12 are based on data version 20130304 and provisional data for 2012–13 are based on data version 20140131.**

	Fishing effort			Observed captures		Estimated interactions		
	Tows	No. obs	% obs	Captures	Rate	Mean	95% c.i.	% included
2002–03	5 130	512	10.0	0	0.00	7	2–15	100.0
2003–04	3 753	412	11.0	3	0.73	10	5–18	100.0
2004–05	4 652	143	3.1	0	0.00	8	2–16	100.0
2005–06	4 867	331	6.8	1	0.30	9	3–16	100.0
2006–07	5 135	389	7.6	1	0.26	9	3–16	100.0
2007–08	4 804	524	10.9	0	0.00	8	2–15	100.0
2008–09	3 975	396	10.0	1	0.25	10	3–18	100.0
2009–10	4 248	348	8.2	0	0.00	5	1–11	100.0
2010–11	4 447	536	12.1	0	0.00	7	2–15	100.0
2011–12	4 509	459	10.2	0	0.00	7	2–15	100.0
2012–13†	4 566	270	5.9	0	0.00	6	1–13	100.0



**Table 5: Number of tows by fishing year and observed and model-estimated total NZ fur seal captures in scampi trawl fisheries, 2002–03 to 2012–13. No. obs, number of observed tows; % obs, percentage of tows observed; Rate, number of captures per 100 observed tows, % inc, percentage of total effort included in the statistical model. Estimates are based on methods described in Thompson et al (2013) and available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Data for 2002–03 to 2011–12 are based on data version 20130304 and provisional data for 2012–13 are based on data version 20140131.**

	Tows	Observed				Estimated		
		No. obs	% obs	Captures	Rate	Captures	95% c.i.	% inc.
2002–03	5 130	512	10.0	2	0.39	7	2–20	100.0
2003–04	3 753	412	11.0	1	0.24	5	1–17	100.0
2004–05	4 658	143	3.1	0	0.00	22	1–94	100.0
2005–06	4 867	331	6.8	0	0.00	7	0–24	100.0
2006–07	5 135	389	7.6	0	0.00	6	0–24	100.0
2007–08	4 804	524	10.9	1	0.19	10	1–31	100.0
2008–09	3 975	396	10.0	1	0.25	6	1–18	100.0
2009–10	4 248	348	8.2	1	0.29	6	1–20	100.0
2010–11	4 447	536	12.1	0	0.00	4	0–17	100.0
2011–12	4 506	459	10.2	1	0.22	7	1–23	100.0
2012–13†	4 566	270	5.9	0	0.00	4	0–17	100.0

† Provisional data, no model estimates available.

### Seabird interactions

Observed seabird capture rates in scampi fisheries ranged from about 1 to 20 per 100 tows between 1998–99 and 2008–09 (Baird 2001, 2004 a,b,c, 2005b Thompson & Abraham, 2009, Abraham et al. 2009, Abraham & Thompson 2011, Abraham et al 2013) and have fluctuated without obvious trend. In the 2012–13 fishing year there were 5 observed captures of birds in scampi trawl fisheries. In the 2011–12 fishing year, there were 197 (95% c.i.: 128–300) estimated captures, with the estimates made using a statistical model (Abraham et al 2013; Table 6). These estimates are based on relatively low observer coverage and include all bird species and should, therefore, be interpreted with caution. The average capture rate in scampi trawl fisheries over the last ten years (all areas combined) is about 5.57 birds per 100 tows, a moderate rate relative to trawl fisheries for squid (13.79 birds per 100 tows) and hoki (2.16 birds per 100 tows) over the same years. The scampi fishery accounted for about 6% of seabird captures in the trawl fisheries modelled by Abraham et al (2013).

**Table 6: Number of tows by fishing year and observed and model-estimated total NZ seabirds captures in scampi trawl fisheries, 2002–03 to 2012–13. No. obs, number of observed tows; % obs, percentage of tows observed; Rate, number of captures per 100 observed tows, % inc, percentage of total effort included in the statistical model. Estimates are based on methods described in Abraham et al (2013) and are available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Data for 2002–03 to 2011–12 are based on data version 20130304 and provisional data for 2012–13 are based on data version 20140131.**

	Tows	Observed				Estimated		
		No. obs	% obs	Captures	Rate	Captures	95% c.i.	% inc.
2002–03	5 130	512	10.0	8	1.56	133	75 – 240	100.0
2003–04	3 753	412	11.0	8	1.94	94	58 – 148	100.0
2004–05	4 648	143	3.1	9	6.29	227	146 – 350	100.0
2005–06	4 867	331	6.8	13	3.93	185	122 – 275	100.0
2006–07	5 135	389	7.6	24	6.17	142	99 – 201	100.0
2007–08	4 804	524	10.9	11	2.10	132	88 – 197	100.0
2008–09	3 975	396	10.0	19	4.80	177	120 – 258	100.0
2009–10	4 248	348	8.2	5	1.44	135	82 – 216	100.0
2010–11	4 447	536	12.1	109	20.34	283	218 – 379	100.0
2011–12	4 509	459	10.2	9	1.96	165	109 – 248	100.0
2012–13†	4 566	270	5.9	5	1.85	221	140 – 354	100.0

† Provisional data, no model estimates available.

Observed seabird captures since 2002–03 have been dominated by four species: Salvin's and white-capped albatrosses make up 49% and 28% of the albatrosses captured respectively; white chinned petrel, sooty shearwaters and flesh-footed shearwaters make up 48%, 24%, and 23% of other birds



respectively, and the total and fishery risk ratios are presented in Table 7. Most of the captures occur near the Auckland Islands (66%), Bay of Plenty (26%), or Chatham Rise (7%). These numbers should be regarded as only a general guide on the distribution of captures because observer coverage is not uniform across areas and may not be representative.

**Table 7: Risk ratio of seabirds predicted by the level two risk assessment for the SCI target trawl fishery and all fisheries included in the level two risk assessment, 2002–03 to 2012–13, showing seabird species with a risk ratio of at least 0.001 of PBR<sub>1</sub>. The risk ratio is an estimate of aggregate potential fatalities across trawl and longline fisheries relative to the Potential Biological Removals, PBR<sub>1</sub> (from Richard and Abraham 2013 where full details of the risk assessment approach can be found). PBR<sub>1</sub> applies a recovery factor of 1.0. Typically a recovery factor of 0.1 to 0.5 is applied (based on the state of the population) to allow for recovery from low population sizes as quickly as possible. This should be considered when interpreting these results. The DoC threat classifications are shown (Robertson et al 2013 at <http://www.doc.govt.nz/documents/science-and-technical/nztc4entire.pdf>).**

Species name	PBR <sub>1</sub> (mean)	Risk ratio		Risk category	DoC Threat Classification
		SCI target trawl	TOTAL		
Black petrel	74	0.057	19.420	Very high	Threatened: Nationally Vulnerable
Salvin's albatross	975	0.395	2.756	Very high	Threatened: Nationally Critical
Flesh-footed shearwater	590	0.237	1.321	Very high	Threatened: Nationally Vulnerable
Southern Buller's albatross	513	0.016	1.292	Very high	At Risk: Naturally Uncommon
Chatham Island albatross	159	0.030	1.291	Very high	At Risk: Naturally Uncommon
New Zealand white-capped albatross	4044	0.043	0.700	Very high	At Risk: Declining
Northern Buller's albatross	617	0.027	0.678	High	At Risk: Naturally Uncommon
Cape petrel	840	0.016	0.303	High	At Risk: Naturally Uncommon
Northern royal albatross	396	0.005	0.271	Medium	At Risk: Naturally Uncommon
Westland petrel	241	0.005	0.263	Medium	At Risk: Naturally Uncommon
Northern giant petrel	217	0.058	0.215	Medium	At Risk: Naturally Uncommon
White-chinned petrel	7925	0.061	0.211	Medium	At Risk: Declining
Campbell black-browed albatross	1017	0.015	0.189	Medium	At Risk: Naturally Uncommon

#### 4.4 Benthic interactions

Bottom trawl effort for scampi peaked in 2001–02 at over 6 500 tows (roughly 10% of all TCEPR bottom trawls in that year) but has typically been 3 500 to 5 200 tows per year since 1989–90. Most scampi catch is reported on TCEPR forms (Baird et al 2011, Black et al 2013) with most of the 1 477 reports on CELR forms being between 1998–99 and 2002–03. Since 2005–06, 100% of target scampi catch has been reported on TCEPR forms (Black et al 2013). Tows are located in Benthic Optimised Marine Environment Classification (BOMECE, Leathwick et al 2009) classes F, G (upper slope), H, J, and L (mid-slope) (Baird & Wood 2012), and 95% were between 300 and 500 m depth (Baird et al 2011).

Bottom trawling for scampi, like trawling for other species, is likely to have effects on benthic community structure and function (e.g., Cryer et al 2002 for a specific analysis and Rice 2006 for an international review) and there may be consequences for benthic productivity (e.g., Jennings et al. 2001, Hermesen et al 2003, Hiddink et al 2006, Reiss et al 2009). These consequences are not considered in detail here but are discussed in the Aquatic Environment and Biodiversity Annual Review (2012).

#### 4.5 Other considerations

None considered by the AEWG.

## 5. STOCK ASSESSMENT

In 2011 the SFWG accepted the stock assessments for SCI 1 and SCI 2, undertaken using the length-based population model. A length based assessment was accepted for SCI 3 in 2015, and is also under development for SCI 6A. Preliminary work from the SCI 6A stock assessment model suggests that currently there doesn't appear to be a sustainability risk for this stock. However, uncertainty in model fits and model outputs means results are preliminary at this stage and have not been accepted by the SFWG. Section 5.2 summarises the stock assessments that have to date been accepted by the SFWG.

Attempts have been made to index scampi abundance using CPUE and trawl survey indices and, more recently, photographic surveys of scampi burrows. There is some level of agreement between the relative trends shown, and all three indices are included in the length based assessment model.

### 5.1 Estimates of fishery parameters and abundance

Standardised CPUE indices are calculated for each stock every three years, as part of the stock assessment process. Annual unstandardised CPUE indices for each area (total catch divided by total effort in hours of trawling) are updated annually, using the data from all vessels that fished (Figure 2). The Shellfish Fishery Assessment Working Group (SFWG) has raised concerns in the past that potential variability in catchability between years mean that standardised CPUE may not provide a reliable index of abundance, although consistent changes shown by different types of indices for the same area provide more confidence in the data. The standardised indices for areas SCI 3, 4A 6A and 6B have been recalculated over the time series in light of the alterations of some stock boundaries, following the review mentioned in Section 3. All discussions below relate to standardised CPUE.

In SCI 1, CPUE increased in the early 1990s, and then declined between 1995–96 and 2001–02, showed a slight increase in 2002–03 and 2003–04, but has generally remained stable since 2001–02. In SCI 2, CPUE increased in 1994–95, then declined steadily to 2001–02, remained at quite a low level until 2007–08, but has increased since then, with CPUE in 2013–14 comparable to that recorded in the mid-1990s. In SCI 3, CPUE rose steadily through the early 1990s, fluctuated around a slowly declining trend in the late 1990s and early 2000s, showed a steeper decline to 2007–08, increased to 2010–11, and has remained stable since then. In SCI 4A, CPUE observations were intermittent between 1991–92 and 2002–03, showing a dramatic increase over this period. Since 2002–03 CPUE has been far lower, but since 2010–11 data show an increase on the more recent years. In SCI 6A, after an initial decline in the early 1990s, CPUE remained relatively stable until 2007–08, but shows a decline since this time. With the revision of the stock boundaries, data are only available for one year for SCI 6B, and are therefore not presented. For both SCI 5 and SCI 7, observations have been intermittent, and consistently low.

A time series of trawl surveys designed to measure relative biomass of scampi in SCI 1 and 2 ran between January 1993 and January 1995 (Table 8). Research trawling for other purposes has been conducted in both SCI 1 and SCI 2 in several other years, and catch rates from appropriate hauls within these studies have been plotted alongside the dedicated trawl survey data in Figure 3 and Figure 4. In SCI 1 the additional trawling was conducted in support of a tagging programme (in 1995 and 1996), which was conducted by a commercial vessel in the peak area of the fishery, while work to assess trawl selectivity (1996) and in support of photographic surveys (since 1998) may have been more representative of the overall area. In SCI 2 the additional trawling was conducted in support of a growth investigation using length frequency data (1999 and 2000) and in support of photographic surveys (since 2003). All the work was carried out by the same research vessel, but while the work in support of photographic surveys was carried out over the whole area, the work related to the growth investigation was concentrated in a small area in the south of the SCI 2 area. Only the additional trawl survey work in support of photographic surveys has been included in Table 8, since the other studies did not have comparable spatial coverage. The trends observed are similar to the trends in commercial CPUE (Figure 2) for both stocks.

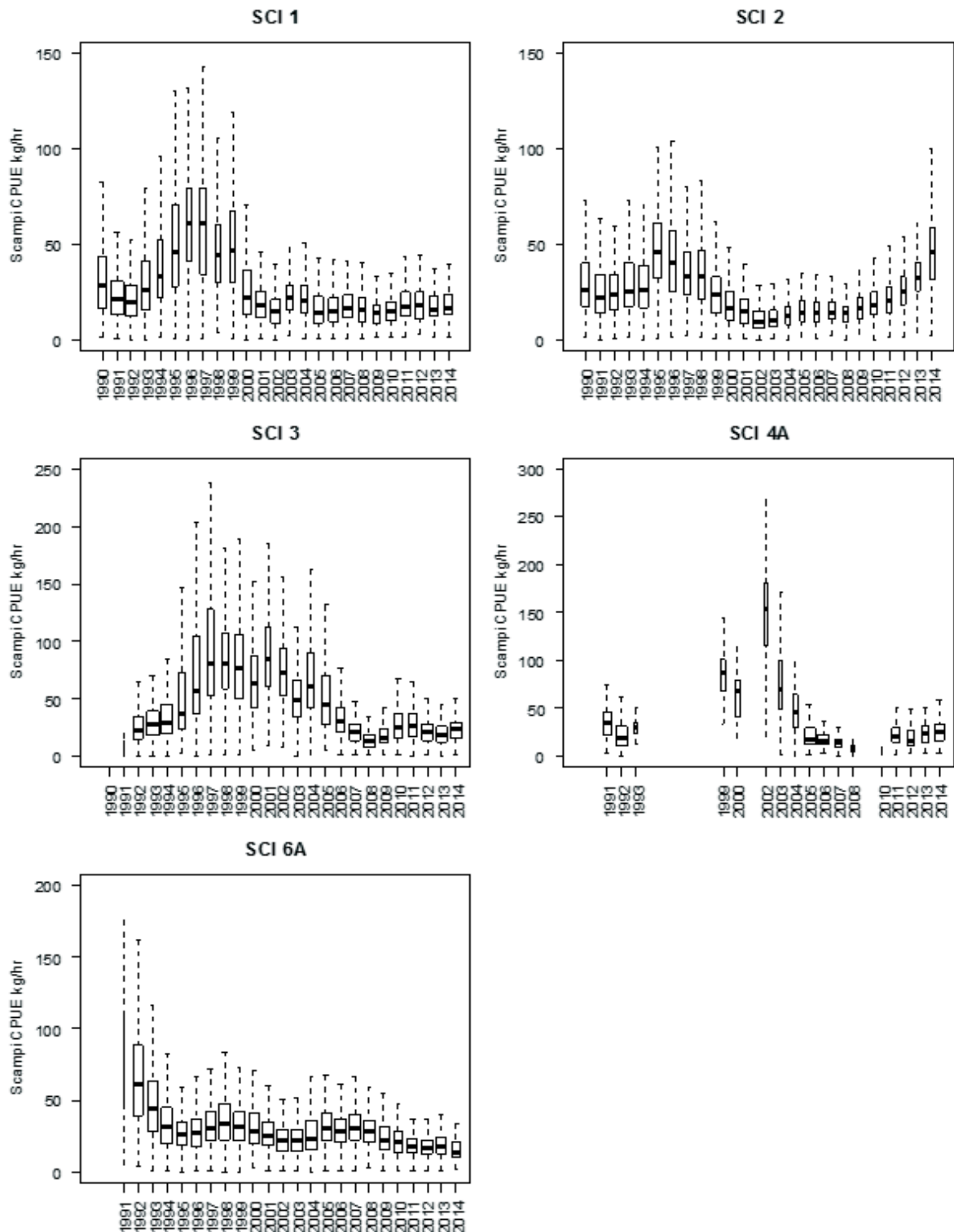
## SCAMPI (SCI)

**Table 8: Trawl survey indices of biomass (t) for scampi in survey strata within SCIs 1, 2, 3 and 6A. C.V.'s of estimates in parenthesis.**

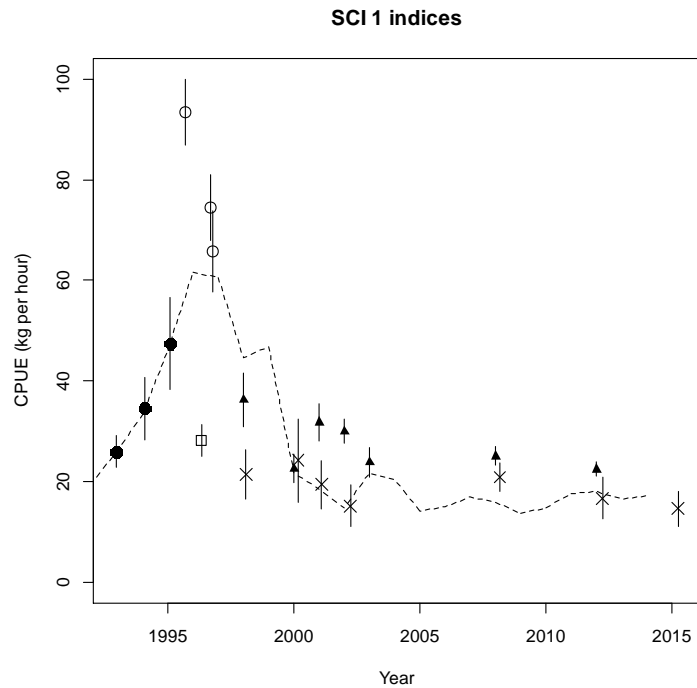
	SCI 1	SCI 2	SCI 3	SCI 6A	Comments
1993	217.3 (0.12)	238.2 (0.12)			Dedicated trawl survey
1994	288.2 (0.19)	170.0 (0.16)			Dedicated trawl survey
1995	391.6 (0.18)	216.2 (0.18)			Dedicated trawl survey
1996					
1997					
1998	174.0 (0.17)				Trawling in support of photo survey
1999					
2000	181.3 (*)				Trawling in support of photo survey
2001	179.5 (0.27)		272.5 (0.24) (strata 902–3)		Trawling in support of photo survey SCI 3 pre season survey
2002	130.6 (0.24)				Trawling in support of photo survey
2003		28.0 (*)			Trawling in support of photo survey
2004		46.9 (0.20)			Trawling in support of photo survey
2005		50.8 (0.35)			Trawling in support of photo survey
2006		22.9 (0.19)			Trawling in support of photo survey
2007				1073.5 (0.18)	Trawling in support of photo survey
2008	211.9 (*)			1229.1 (0.18)	Trawling in support of photo survey
2009			40.2 (0.37) (strata 902–3)	821.6 (0.09)	Trawling in support of photo survey
			418.1 (0.26)		
2010			49.0 (0.11) (strata 902–3)		Trawling in support of photo survey
			596.1 (0.04)		
2011					
2012	150.0 (0.25)	164.2 (0.28)			Trawling in support of photo survey
2013			126.5 (0.27) (strata 902–3)	1258.0 (0.06)	Trawling in support of photo survey
			551.3 (0.12)		
2014					
2015	118.5 (0.17)	224.5 (0.19)			Trawling in support of photo survey

\* - where no CV is provided, one stratum had only one valid station. Strata included: SCI 1 – 302,303, 402, 403; SCI 2 – 701, 702, 703, 801, 802, 803; SCI 3 – 902, 903, 904; SCI 6A (main area) – 350 m, 400 m, 450 m, 500 m. SCI 3 survey in 2009 and 2010 split into area surveyed in 2001, and new area (strata 902A–C & 903A)

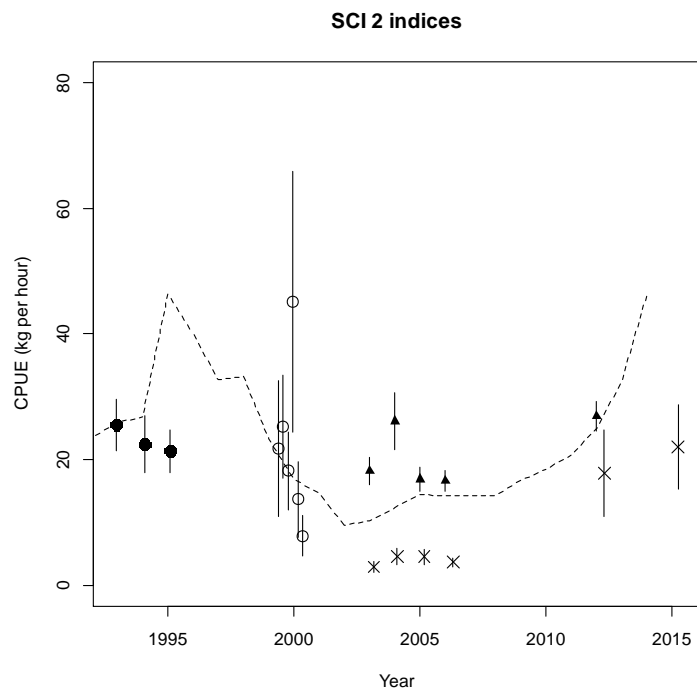
Surveys have been conducted in SCI 3 in 2001 (two surveys, pre- and post- fishery), 2009, 2010 and 2013. The trawl component of the surveys did not suggest any difference between the pre and post fishery periods in 2001, but the photographic survey observed more scampi burrows after the fishery. Trawl, photographic and CPUE data indicate a significant decline in scampi abundance between 2001 and 2009, but an increase in more recent years (Figure 5).



**Figure 2:** Box plots (with outliers removed) of individual observations of unstandardised catch rate for scampi (tow catch (kg) divided by tow effort (hours)) with tows of zero scampi catch excluded, by fishing year for main stocks. Note different scales between plots. Horizontal bars within boxes represent distribution median. Upper and lower limits of boxes represent upper and lower quartiles. Whisker extends to largest (or smallest) observation which is less than or equal (greater than or equal) to the upper quartile plus 1.5 times the interquartile range (lower quartile less 1.5 times the interquartile range). Outliers (removed from this plot) are values outside the whiskers. Box width proportional to square root of number of observations.



**Figure 3: Mean catch rates and relative abundance ( $\pm$  one standard error) of research trawling and photo survey counts in the core area of SCI 1. Symbols represent different aims of survey work (● – trawl survey, ○ – tagging work, □ – trawl selectivity, × – trawling within photo survey, ▲ – scaled photo survey abundance). Dotted line represents median of annual unstandardised CPUE for SCI 1 from Figure 2.**



**Figure 4: Mean catch rates and relative abundance ( $\pm$  one standard error) of research trawling and photo survey counts in the core area of SCI 2. Symbols represent different aims of survey work (● – trawl survey, ○ – tagging work, × – trawling within photo survey, ▲ – scaled photo survey abundance). Dotted line represents median of annual unstandardised CPUE for SCI 2 from Figure 2.**

**Table 9: Photographic survey estimates of abundance (millions) based on major openings and visible scampi in survey strata within SCIs 1, 2, 3 and 6A. CVs of estimates in parenthesis. Major burrow openings are openings on the seabed that are considered to be main entrance of a scampi burrow. Visible scampi represents all scampi seen in photographs (either in a burrow entrance, or walking free on the seabed).**

	SCI 1		SCI 2		SCI 3		SCI 6A		Comments
	Major openings	Visible scampi	Major openings	Visible scampi	Major openings	Visible scampi	Major openings	Visible scampi	
1998	155.1 (0.15)	27.9 (0.22)							
1999									
2000	96.7 (0.13)	18.2 (0.18)							
2001	135.9 (0.12)	12.3 (0.26)			267.3 (0.09) (strata 902-3) 443.8 (0.17) (strata 902-3)	72.9 (0.16) (strata 902-3) 77.5 (0.14) (strata 902-3)			SCI 3, two surveys in 2001, Aug/Sept and Oct
2002	128.2 (0.08)	16.7 (0.21)							
2003	101.9 (0.12)	14.4 (0.21)	161.6 (0.12)	10.0 (0.39)					
2004			210.8 (0.17)	20.6 (0.28)					
2005			152.5 (0.11)	14.6 (0.20)					
2006			134.2 (0.10)	13.3 (0.23)					
2007							360.9 (0.10)	57.1 (0.13)	SCI 6A estimate for main area*
2008	100.8 (0.08)	12.5 (0.13)					117.0 (0.07)	49.6 (0.08)	
2009					61.1 (0.20) (strata 902-3) 260.6 (0.08) (larger survey)	23.6 (0.17) (strata 902-3) 124.8 (0.10) (larger survey)	268.3 (0.06)	34.3 (0.15)	SCI 3, estimates provided for 2001 survey coverage (strata 902-3) and new larger survey
2010					74.6 (0.11) (strata 902-3) 348.0 (0.05) (larger survey)	10.9 (0.23) (strata 902-3) 91.4 (0.10) (larger survey)			SCI 3, estimates provided for 2001 survey coverage (strata 902-3) and new larger survey
2012	95.8 (0.06)	23.9 (0.09)	168.9 (0.09)	32.0 (0.11)			179.0 (0.08)	31.54 (0.15)	
2013									

\* - SCI 6A estimate provided for main area as future surveys may not survey secondary area. SCI 1 estimate provided for strata 302, 303, 402, 403.

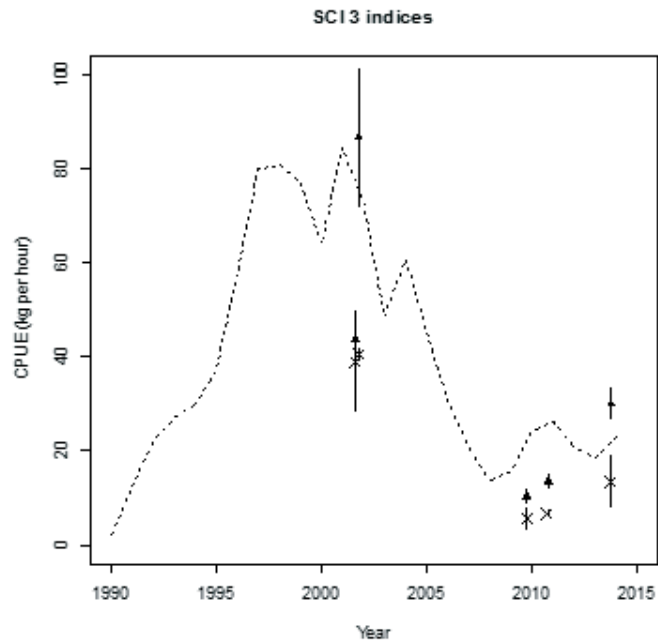


Figure 5: Mean catch rates and relative abundance ( $\pm$  one standard error) of research trawling and photo survey counts in the core area of SCI 3. Symbols represent different aims of survey work (x- trawling within photo survey, ▲-scaled photo survey abundance). Dotted line represents median of annual unstandardised CPUE for SCI 3 from Figure 2.

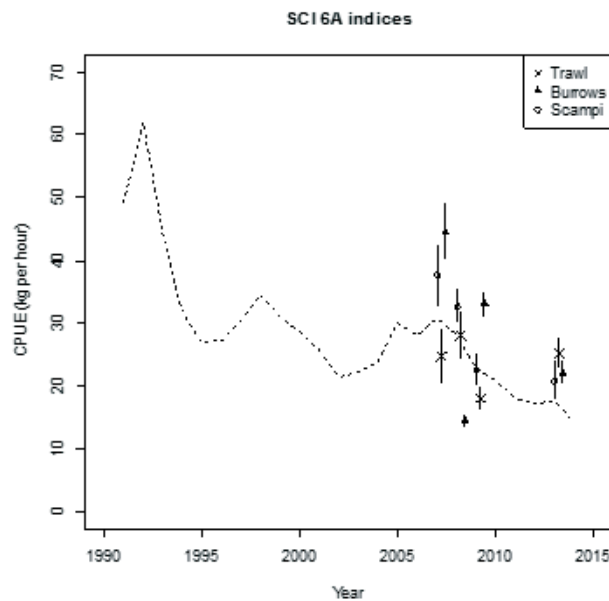


Figure 6: Mean catch rates and relative abundance ( $\pm$  one standard error) of research trawling and photo survey counts in the core area of SCI 6A. Symbols represent different aims of survey work (x- trawling within photo survey, ▲-scaled photo survey abundance). Dotted line represents median of annual unstandardised CPUE for SCI 6A from Figure 2.

Surveys have been conducted in SCI 6A in 2007–2009 and 2013. The trawl component of the surveys suggests that the biomass has remained relatively stable in recent years, the biomass estimate declining in 2009, but the 2013 estimate being comparable to those in 2007 and 2008. The photographic survey suggested a considerable decline in abundance between 2007 and 2008, but an increase towards the



2007 level in 2009. Over the longer term, the CPUE data indicate that following a rapid decline in the early 1990s, abundance may have declined since 1995 (Figure 6).

Photographic surveying (usually by video) has been used extensively to estimate the abundance of the European scampi *Nephrops norvegicus*. In New Zealand, development of photographic techniques, including surveys, has been underway since 1998. To date, seven surveys have been undertaken in SCI 1 (between Cuvier Island and White Island at a depth of 300 to 500 m), four surveys have been undertaken in SCI 3 (northeastern Mernoo Bank only, 200 to 600 m depth), five surveys have been undertaken in SCI 2 (Mahia Peninsula to Castle Point 200 to 500 m depth), and four surveys in SCI 6A (to the east of the Auckland Islands, 350–550 m depth). The association between scampi and burrows in SCI 6A appears to be different to other areas examined, and it is uncertain whether the relationship between scampi and burrow abundance is constant between areas, or whether the marked decline in burrow abundance observed between 2007 and 2009 in SCI 6A (Table 9) reflects scampi abundance (particularly when trawl survey catch rates increased as seen in Table 8).

Two indices are calculated from photographic surveys: the density of visible scampi and the density of major burrow openings (counts of which are now consistent among experienced readers, and repeatable, following development of a between reader standardisation process). Both of these can be used to estimate indices of biomass, using estimates of mean individual weight or the size distribution of animals in the surveyed population. The Bayesian length based assessment model used for SCI 1, SCI 2 and SCI 3 uses the estimated abundance of major burrow openings as an abundance index, but visible scampi may be considered a more appropriate index in SCI 6A.

Estimates of major burrow opening and visible scampi abundance are provided in Table 9. Acoustic tagging approaches have been used during surveys, and in conjunction with burrow and scampi density estimates, used to estimate emergence patterns and priors for scampi catchability (Tuck et al, 2015).

Length frequency distributions from trawl surveys and from scientific observers do not show a consistent increase in the proportion of small individuals in any SCI stock following the development of significant fisheries for scampi. Analyses of information from trawl survey and scientific observers in SCI 1 and 6A up to about 1996 suggested that the proportion of small animals in the catch declined markedly in both areas, despite the fact that CPUE declined markedly in SCI 6A and increased markedly in SCI 1. Where large differences in the length frequency distribution of scampi measured by observers have been detected (as in SCIs 1 and 6A), detailed analysis has shown that the spatial coverage of observer samples has varied with time, and this may have influenced the nature of the length frequency samples. The length composition of scampi is known to vary with depth and geographical location, and fishers may deliberately target certain size categories.

Some commercial fishers reported that they experienced historically low catch rates in SCI 1 and 2 between 2001 and 2004. They further suggest that this reflects a decrease in abundance of scampi in these areas. Other fishers consider that catch rates do not necessarily reflect changes in abundance because they are influenced by management and fishing practices.

## 5.2 Stock Assessment Methods

### SCI 1 and SCI 2

In 2011 the SFWG accepted the stock assessments for SCI 1 and SCI 2, undertaken using the length-based population model that had been under development for several years (Tuck & Dunn 2012), and updated assessments were accepted in 2013. A number of model runs were presented, examining sensitivities to  $M$ , selectivity in the fishery, the assumption of equilibrium conditions at the start of the fishery, and data weighting (SCI 2). For both assessments, the absolute biomass levels were sensitive to  $M$ , but the state of the stock relative to  $B_0$  was consistent between models. Domed-shaped selectivity for the commercial fishery improved fits to length frequencies slightly, and increased weighting in the CPUE data resulted in slightly lower estimates of  $B_0$  and  $B_{2012}$ , but none of the sensitivity analyses changed perceptions of stock status. Base models were agreed upon with  $M=0.3$ , although outputs from

$M=0.2$  models are also presented. In addition, for SCI 2 a further model was investigated in which one selectivity parameter was constrained, to allow calculation of Equivalent Annual Fs. Other outputs from the model were not sensitive to this constraint.

The model's annual cycle is based on the fishing year and is divided into three time-steps (Table 10). The choice of three time steps was based on the current understanding of scampi biology and the sex ratio in catches. Note that model references to "year" within this report refer to the modelled or fishing year, and are labelled as the most recent calendar year, i.e., the fishing year 1998–99 is referred to as "1999" throughout.

**Table 10: Annual cycle of the population model for SCI 1, showing the processes taking place at each time step, their sequence within each time step, and the available observations. Fishing and natural mortality that occur together within a time step occur after all other processes, with 50% of the natural mortality for that time step occurring before and 50% after the fishing mortality.**

Step	Period	Process	Proportion in time step
1	Oct–Jan	Growth (both sexes)	
		Natural mortality	0.33
		Fishing mortality	From TCEPR
2	Feb–April	Recruitment	1.0
		Maturation	1.0
		<i>Growth (males)*</i>	
		Natural mortality	0.25
3	May–Sept	Fishing mortality	From TCEPR
		Natural mortality	0.42
		Fishing mortality	From TCEPR

\* - the main period of male moulting appears to be from February to April. In the model both sexes are assumed to grow at the start of step 1, and this male growth period (February to April) is ignored.

Investigations into factors affecting scampi catch rates and size distributions (Cryer & Hartill 2000, Tuck 2010) have identified significant depth and regional effects, and regional (strata) and depth stratification were applied in previous models. Preliminary examination of patterns in CPUE indices and other input data suggested that this may not be necessary, and a simplified single area model was developed. Catches generally occur throughout the year, and were divided among the time-steps according to the proportion of estimated catches recorded on Trawl Catch, Effort, and Processing Returns (TCEPR). Recreational catch, customary catch, and illegal catch are ignored. The maximum exploitation rate (i.e., the ratio of the maximum catch to biomass in any year) is not known, but was constrained to no more than 0.9 in a time-step. Individuals are assumed to recruit to the model at age 1, with the mean expectation of recruitment success predicted by a Beverton Holt stock-recruitment relationship. Length at recruitment is defined by a normal distribution with mean of 10 mm OCL with a CV of 0.4. Relative year class strengths are encouraged to average 1.0. Growth is estimated in the model, fitting to the tag (Cryer & Stotter 1997, Cryer & Stotter 1999) and aquarium data (Cryer & Oliver 2001) from SCI 1 and SCI 2.

The model uses logistic length-based selectivity curves for commercial fishing, research trawl surveys and photographic surveys, assumed constant over years but allowed to vary with sex, time step and spatial strata (where included). While the sex ratio data suggest that the relative catchability of the sexes vary through the year (hence the model time structure adopted), there is no reason to suggest that (assuming equal availability) selectivity-at-size would be different between the sexes. Therefore a new selectivity implementation was developed within CASAL, one that allowed the  $L_{50}$  and  $a_{95}$  selectivity parameters to be estimated as single values shared by both sexes in a particular time step and spatial stratum, but allowed for different availability between the sexes through estimation of different  $a_{\max}$  values for each sex. In SCI 1 and SCI 2 selectivity is assumed to be the same in time steps 1 and 3, owing to the relative similarity in sex ratio.

Data inputs included CPUE, trawl and photographic survey indices, and associated length frequency distributions.

The assessment reports  $B_0$  and  $B_{\text{current}}$  and used the ratio of current and projected spawning stock biomass ( $B_{\text{current}}$  and  $B_{2018}$ ) to  $B_0$  as preferred indicators. Projections were conducted up to 2018 on the basis of a

range of catch scenarios. The probability of exceeding the default Harvest Strategy Standard target and limit reference points are reported.

### SCI 3

In 2015 the SFWG accepted a stock assessment for SCI 3, undertaken using the length-based population model. A number of model runs were presented, examining sensitivities to inclusion of the different surveys (trawl and photographic), which appeared to provide conflicting signals when both were included, and  $M$ . The absolute biomass levels were sensitive to the inclusion of the surveys and  $M$ , but the state of the stock relative to  $B_0$  was consistent between models. Two base models (one excluding the trawl survey and one excluding the photographic survey) were agreed upon with  $M=0.25$ .

The model's annual cycle is slightly adjusted from the fishing year and is divided into two time-steps (Table 11). The choice of two time steps was based on the current understanding of scampi biology and the sex ratio in catches. Note that model references to "year" within this report refer to the modelled year, and are labelled as the most recent calendar year, i.e., the modelled year 1998–99 is referred to as "1999" throughout.

**Table 11: Annual cycle of the population model for SCI 1, showing the processes taking place at each time step, their sequence within each time step, and the available observations. Fishing and natural mortality that occur together within a time step occur after all other processes, with 50% of the natural mortality for that time step occurring before and 50% after the fishing mortality.**

Step	Period	Process	Proportion in time step
1	Aug–Dec	Growth (both sexes)	
		Natural mortality	0.417
		Fishing mortality	From TCEPR
2	Jan–Jul	Recruitment	1.0
		Maturation	1.0
		Natural mortality	0.583
		Fishing mortality	From TCEPR

The SCI 3 fishery is focussed in three distinct areas on the Chatham Rise (an area to the west of 176° E on the Mernoo Bank – MO; an area to the west of 176° E on the Mernoo Bank – MW; and a separate region to the north east, centred about 177° E - MN), and differences in management between these areas over time have led to different fishing histories. Scampi are not thought to undertake large scale migrations, and so these three areas were considered distinct stocks within the assessment model, sharing some parameters (recruitment, growth, selectivity and photographic survey catchability). Preliminary model runs suggested that commercial fishery and trawl survey catchability should be allowed to vary between stocks. The seasonal patterns of catches vary between stocks and over time through the fishery, and were divided among the stocks and time-steps according to the proportion of estimated catches recorded on Trawl Catch, Effort, and Processing Returns (TCEPR). Recreational catch, customary catch, and illegal catch are ignored. The maximum exploitation rate (i.e., the ratio of the maximum catch to biomass in any year) is not known, but was constrained to no more than 0.9 in a time-step. Individuals are assumed to recruit to the model at age 1, with the mean expectation of recruitment success predicted by a Beverton Holt stock-recruitment relationship. Length at recruitment is defined by a normal distribution with mean of 10 mm OCL with a CV of 0.4. Relative year class strengths are encouraged to average 1.0. Growth is estimated in the model.

As with the SCI 1 and SCI 2 models, the SCI 3 model uses logistic length-based selectivity curves for commercial fishing, research trawl surveys and photographic surveys, assumed constant over years and stocks, but allowed to vary with sex, time step. Data inputs for each stock included CPUE, trawl and photographic survey indices, and associated length frequency distributions.

The assessment reported  $B_0$  and  $B_{current}$  (at both the individual stock and overall FMA level) and used the ratio of current and projected spawning stock biomass ( $B_{current}$  and  $B_{2020}$ ) to  $B_0$  as preferred indicators. Projections were conducted up to 2020 on the basis of a range of catch scenarios. The probability of exceeding the default Harvest Strategy Standard target and limit reference points are reported

### 5.3 Stock Assessment Results

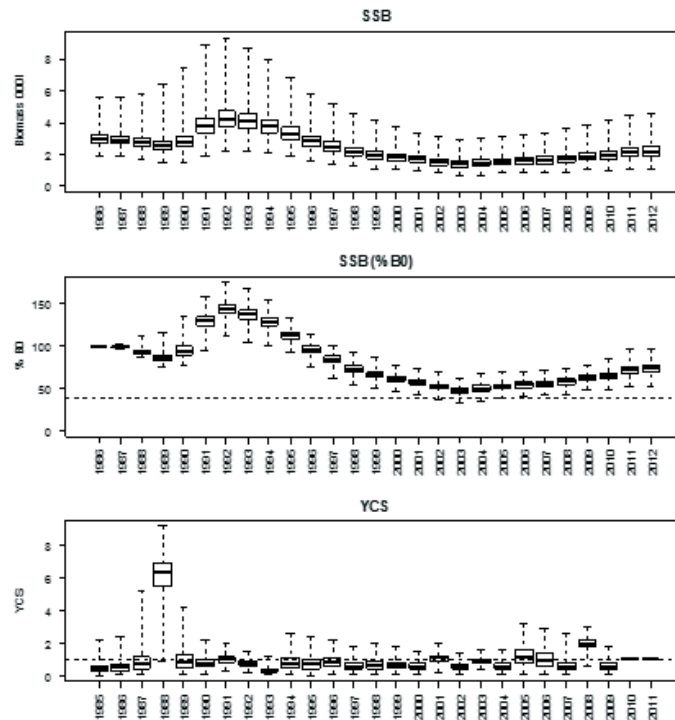
#### SCI 1 and SCI 2

For SCI 1, model outputs suggest that spawning stock biomass (SSB) increased to a peak in about 1994, declined to the early 2000s, and has remained relatively stable since this time. The SSB in SCI 1 in 2012 is estimated to be 68–71% of  $B_0$  (Figure 7, Table 12). For SCI 2, model outputs suggest that spawning stock biomass (SSB) decreased slightly until 1990, increased to a peak in the early 1990s, declined to the early 2000s, increased slightly until about 2008, but has increased more rapidly since this time. The SSB in SCI 2 in 2012 is estimated to be 64%–74%  $B_0$  (Figure 8, Table 13).

**Table 12: Results from MCMC runs showing  $B_0$ ,  $B_{curr}$  and  $B_{curr}/B_0$  estimates for the base models for SCI 1.**

Model	$M=0.2$	$M=0.3$
$B_0$	4 444	4 681
$B_{curr}$	3 003	3 294
$B_{curr}/B_0$	0.68	0.71

The default management target for scampi of 40%  $B_0$  is below the range of %  $B_0$  estimated for both stocks.



**Figure 7: Posterior trajectory from SCI 1 base model ( $M=0.3$ ) of spawning stock biomass and YCS. Upper plot shows boxplots of SSB, while the middle plot shows SSB as a percentage of  $B_0$ . On the middle plot, target and limit reference points are shown in grey solid and dashed lines. Box shows the median of the posterior distribution (horizontal bar), the 25th and 75th percentiles (box), with the whiskers representing the full range of the distribution.**

**Table 13: Results from MCMC runs showing  $B_0$ ,  $B_{curr}$  and  $B_{curr}/B_0$  estimates for the base models for SCI 2.**

Model	$M=0.2$	$M=0.3$
$B_0$	2 959	2 953
$B_{curr}$	1 880	2 168
$B_{curr}/B_0$	0.63	0.74

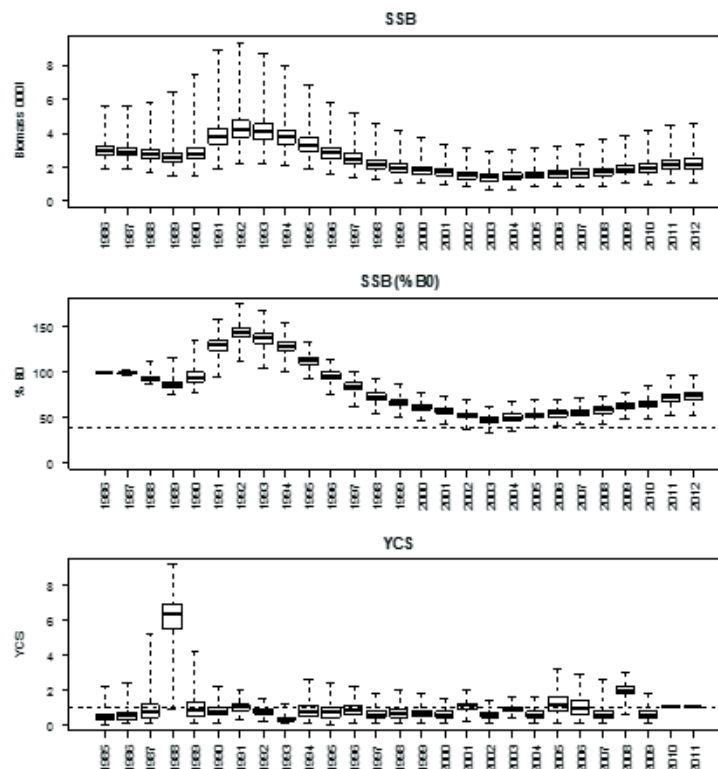


Figure 8: Posterior trajectory from the SCI 2 base model ( $M=0.3$ ) of spawning stock biomass and YCS. Upper plot shows boxplots of SSB, while middle plot shows SSB as a percentage of  $B_0$ . On middle plot, target and limit reference points are shown in grey solid and dashed lines. Box shows the median of the posterior distribution (horizontal bar), the 25th and 75th percentiles (box), with the whiskers representing the full range of the distribution.

### SCI 3

For SCI 3, two models are presented, one excluding the trawl survey, and one excluding the photographic survey. Model outputs suggest that spawning stock biomass (SSB) increased to a peak in about 1999, declined to 2008, and then remained more stable, with the trawl excluded model suggesting a slight increase in SSB in 2014 (Figure 9), while the photo excluded model suggesting a slight decline since 2011 (Figure 10). The SSB in SCI 3 in 2014 is estimated to be 54–60% of  $B_0$  at the FMA level (Figures 9 & 10, Table 14).

The default management target for scampi of 40%  $B_0$  is below the range of %  $B_0$  estimated for SCI 3 for either of the models.

Table 14: Results from MCMC runs showing  $B_0$ ,  $B_{curr}$  and  $B_{curr}/B_0$  estimates for the base models for SCI 3.

	Trawl excluded M=0.25				Photo excluded M=0.25			
	MN	MW	MO	SCI 3	MN	MW	MO	SCI 3
$B_0$	9550	7539	5294	22424	3391	3799	924	8330
$B_{2014}$	5489	4516	3442	13497	1542	2200	597	4485
$B_{2014}/B_0$	0.57	0.60	0.65	0.60	0.45	0.58	0.65	0.54

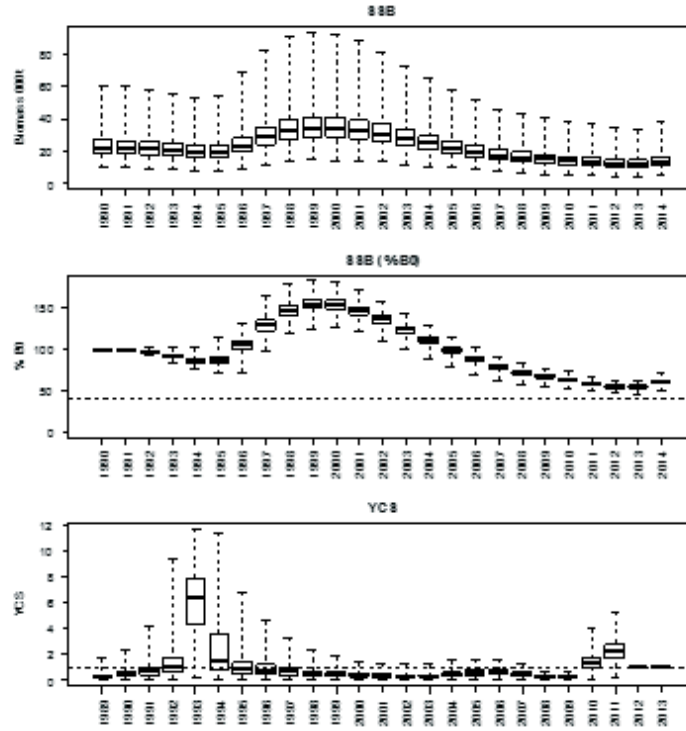


Figure 9: Posterior trajectory from SCI 3 base model excluding the trawl survey ( $M=0.25$ ) of spawning stock biomass and YCS. Upper plot shows boxplots of SSB, while the middle plot shows SSB as a percentage of  $B_0$ . On the middle plot, target and limit reference points are shown in grey solid and dashed lines. Box shows the median of the posterior distribution (horizontal bar), the 25th and 75th percentiles (box), with the whiskers representing the full range of the distribution.

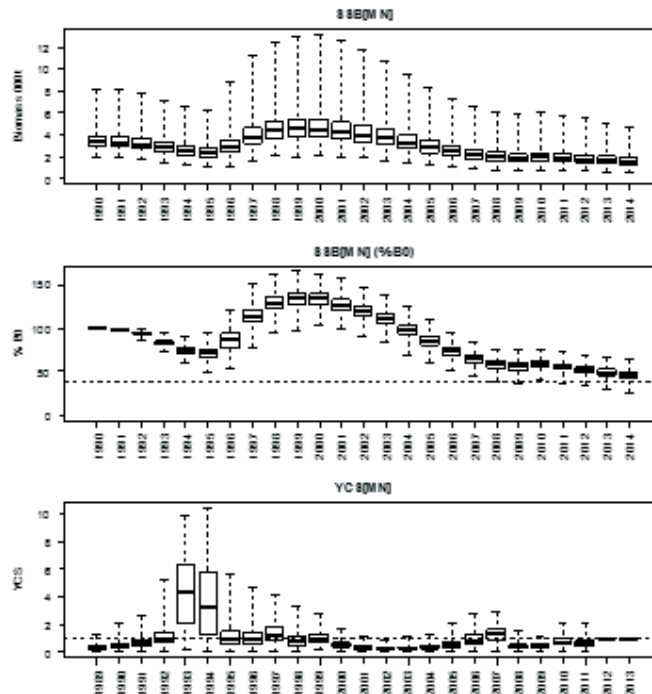


Figure 10: Posterior trajectory from SCI 3 base model excluding the photographic survey ( $M=0.25$ ) of spawning stock biomass and YCS. Upper plot shows boxplots of SSB, while the middle plot shows SSB as a percentage of  $B_0$ . On the middle plot, target and limit reference points are shown in grey solid and dashed lines. Box shows the median of the posterior distribution (horizontal bar), the 25th and 75th percentiles (box), with the whiskers representing the full range of the distribution.

Biomass estimates for SCI also include estimates made using the area swept method from trawl surveys (Table 8). Trawl survey estimates can be considered to be minimum estimates of biomass as it is unlikely that there will be any herding effect of sweeps and bridles. Vertical availability to trawls can be expected to be less than 1 as many scampi will be found in burrows during the day. A preliminary estimate of scampi abundance for an area off the Auckland Islands has been generated from tag return data, although it should be noted that this programme was not designed to estimate biomass and violates many of the assumptions of the Petersen method. The estimated density of scampi for the Petersen method was similar to that estimated for visible scampi over the whole survey area from the photographic survey, although no account was taken of mortality or tag loss.

## 5.4 Yield estimates and projections

### SCI 1

Projections were examined for the two base models, with constant annual catch scenarios varying between 100 and 140 t, and projections conducted for 5 years (out to 2018). Median estimates of stock status from the projections are presented in Table 15, and suggest that the stock would remain above 62%  $B_0$  by 2018 in all the scenarios examined.

On the basis of the outputs for SCI 1, and annual catches at the TACC (120 tonnes), the probability of SSB in SCI 1 being below either of the limits by 2018 is very low, and for all catches examined, the probability of remaining above the 40%  $B_0$  target remains high (Table 16).

For the annual catches examined, the probability of SSB remaining above the 40%  $B_0$  target remains high until 2018 (Table 16). For the highest catch examined (140 tonnes), both models suggest that there is a 98% probability that  $B_{2018}$  would be above 40%  $B_0$ . This catch is likely to reduce the SSB below 2012 levels, and depending on the model examined, the probability of  $B_{2018}$  being below  $B_{2012}$  ranges from 59% to 74%.

**Table 15: Results from MCMC runs showing  $B_0$ ,  $B_{curr}$ ,  $B_{2016}$  and  $B_{2018}$  estimates at varying catch levels for SCI 1.**

Catch level	Model	$M=0.2$	$M=0.3$
100 tonnes	$B_0$	4 444	4 681
	$B_{curr}$	3 003	3 294
	$B_{curr}/B_0$	0.68	0.71
	$B_{2016}/B_0$	0.66	0.71
	$B_{2016}/B_{curr}$	0.97	1.00
	$B_{2018}/B_0$	0.65	0.71
110 tonnes	$B_{2018}/B_{curr}$	0.97	1.00
	$B_{2016}/B_0$	0.65	0.70
	$B_{2016}/B_{curr}$	0.96	0.99
	$B_{2018}/B_0$	0.64	0.70
120 tonnes (TACC)	$B_{2018}/B_{curr}$	0.95	0.98
	$B_{2016}/B_0$	0.65	0.70
	$B_{2016}/B_{curr}$	0.96	0.98
	$B_{2018}/B_0$	0.64	0.70
130 tonnes	$B_{2018}/B_{curr}$	0.95	0.97
	$B_{2016}/B_0$	0.64	0.68
	$B_{2016}/B_{curr}$	0.95	0.96
	$B_{2018}/B_0$	0.63	0.68
140 tonnes	$B_{2018}/B_{curr}$	0.93	0.96
	$B_{2016}/B_0$	0.63	0.69
	$B_{2016}/B_{curr}$	0.93	0.97
	$B_{2018}/B_0$	0.62	0.68
	$B_{2018}/B_{curr}$	0.91	0.96



## SCAMPI (SCI)

**Table 16: Results from MCMC runs for SCI 1, showing probabilities of projected spawning stock biomass exceeding the default Harvest Strategy Standard target and limit reference points.**

	100 tonnes	110 tonnes	120 tonnes (TACC)	130 tonnes	140 tonnes
<i>M</i> =0.2					
2016					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	1.00	1.00	1.00	1.00	1.00
P( $B_{2016}$ < $B_{2012}$ )	0.60	0.63	0.65	0.69	0.72
2018					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	1.00	0.99	0.98	0.98	0.98
P( $B_{2018}$ < $B_{2012}$ )	0.59	0.63	0.64	0.69	0.74
<i>M</i> =0.3					
2016					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	1.00	1.00	0.99	0.99	0.99
P( $B_{2016}$ < $B_{2012}$ )	0.49	0.54	0.54	0.59	0.58
2018					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	0.99	0.99	0.99	0.99	0.98
P( $B_{2018}$ < $B_{2012}$ )	0.51	0.54	0.55	0.59	0.59

## SCI 2

Projections were examined for the two base models, with constant annual catch scenarios varying between 100 and 140 t, and projections conducted for 5 years (out to 2018). Median estimates of stock status from the projections are presented in Table 17, and suggest that the stock would remain above 58%  $B_0$  by 2018 in all the scenarios examined.

For SCI 2, on the basis of annual catches at the TACC (100 tonnes), the probability of SSB being below either of the limits is very low (Table 17).

For the annual catches examined, the probability of SSB remaining above the 40%  $B_0$  target remains high until 2018 (Table 18). For the highest catch examined (140 t), the models suggest that there is a 92% to 97% probability that  $B_{2018}$  would be above 40%  $B_0$ . This catch is likely to reduce the SSB below 2012 levels, with both models suggesting the probability of  $B_{2018}$  being below  $B_{2012}$  is about 66%.

**Table 17: Results from MCMC runs showing  $B_0$ ,  $B_{curr}$ ,  $B_{2016}$  and  $B_{2018}$  estimates at varying catch levels for SCI 2.**

Catch	Model	<i>M</i> =0.2	<i>M</i> =0.3
100 tonnes (TACC)	$B_0$	2 959	2 953
	$B_{curr}$	1 880	2 168
	$B_{curr}/B_0$	0.63	0.74
	$B_{2016}/B_0$	0.63	0.72
	$B_{2016}/B_{curr}$	0.99	0.98
	$B_{2018}/B_0$	0.63	0.71
	$B_{2018}/B_{curr}$	1.00	0.98
	$B_{2016}/B_0$	0.62	0.72
	$B_{2016}/B_{curr}$	0.98	0.97
	$B_{2018}/B_0$	0.62	0.71
110 tonnes	$B_{2018}/B_{curr}$	0.97	0.97
	$B_{2016}/B_0$	0.61	0.70
	$B_{2016}/B_{curr}$	0.96	0.96
	$B_{2018}/B_0$	0.60	0.69
120 tonnes	$B_{2018}/B_{curr}$	0.95	0.94
	$B_{2016}/B_0$	0.60	0.69
	$B_{2016}/B_{curr}$	0.95	0.94
	$B_{2018}/B_0$	0.59	0.67
130 tonnes	$B_{2018}/B_{curr}$	0.93	0.93

Table 17 [Continued]

Catch	Model	$M=0.2$	$M=0.3$
140 tonnes	$B_{2016}/B_0$	0.59	0.68
	$B_{2016}/B_{curr}$	0.93	0.93
	$B_{2018}/B_0$	0.58	0.66
	$B_{2018}/B_{curr}$	0.91	0.90

Table 18: Results from MCMC runs for SCI 2, showing probabilities of projected spawning stock biomass exceeding the default Harvest Strategy Standard target and limit reference points.

	100 tonnes (TACC)	110 tonnes	120 tonnes	130 tonnes	140 tonnes
$M=0.2$					
2016					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	0.99	0.99	0.98	0.98	0.97
P( $B_{2016} < B_{2012}$ )	0.52	0.56	0.59	0.64	0.67
2018					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	0.97	0.96	0.94	0.93	0.92
P( $B_{2018} < B_{2012}$ )	0.51	0.55	0.59	0.63	0.66
$M=0.3$					
2016					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	1.00	1.00	0.99	0.99	0.98
P( $B_{2016} < B_{2012}$ )	0.55	0.56	0.60	0.62	0.66
2018					
P(SSB<10% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB<20% $B_0$ )	0.00	0.00	0.00	0.00	0.00
P(SSB>40% $B_0$ )	0.99	0.99	0.98	0.97	0.97
P( $B_{2018} < B_{2012}$ )	0.55	0.56	0.60	0.64	0.67

### SCI 3

Projections were examined for the two base models, with constant annual catch remaining at current levels (status quo; average of the last 5 years), or increasing to the TACC. Two TACC scenarios were examined, either with catches taken in the same proportion by subarea as current catches, or with the current uncaught TACC (about 60 tonnes) taken from the MO subarea (which currently has minimal fishing). These two scenarios were considered to encompass the potential extremes of catch patterns. Median estimates of stock status from the projections are presented in Table 19, and suggest that under a TACC scenario the stock would be between 42% to 70%  $B_0$  by 2020, depending on the model considered.

On the basis of the outputs for the trawl excluded model for SCI 3, and the annual catches examined, the probability of SSB being below either of the limits is very low, and the probability of remaining above the 40%  $B_0$  target remains very high until 2020 (Table 20). On the basis of the outputs for the photo excluded model for SCI 3, and the annual catches examined, the probability of SSB being below either of the limits is very low, and the probability of remaining above the 40%  $B_0$  target is 57 – 69% until 2020 (Table 20).

## SCAMPI (SCI)

**Table 19: Results from MCMC runs showing  $B_0$ ,  $B_{curr}$ ,  $B_{2018}$  and  $B_{2020}$  estimates at varying catch levels for SCI 3 for the trawl excluded and photo excluded models.**

Catch		Trawl excluded M=0.25				Photo excluded M=0.25			
		MN	MW	MO	SCI 3	MN	MW	MO	SCI 3
279 tonnes (Status quo)	$B_0$	9550	7539	5294	22424	3391	3799	924	8330
	$B_{2014}$	5489	4516	3442	13497	1542	2200	597	4485
	$B_{2014}/B_0$	0.57	0.60	0.65	0.60	0.45	0.58	0.65	0.54
	$B_{2018}/B_0$	0.69	0.72	0.78	0.73	0.36	0.51	0.61	0.47
	$B_{2018}/B_{2014}$	1.20	1.21	1.20	1.21	0.80	0.89	0.94	0.87
	$B_{2020}/B_0$	0.66	0.70	0.76	0.71	0.33	0.48	0.59	0.44
	$B_{2020}/B_{2014}$	1.15	1.16	1.16	1.17	0.73	0.84	0.92	0.82
340 tonnes (TACC)	$B_{2018}/B_0$	0.68	0.72	0.78	0.72	0.33	0.50	0.61	0.45
	$B_{2018}/B_{2014}$	1.18	1.20	1.20	1.20	0.74	0.87	0.94	0.83
	$B_{2020}/B_0$	0.65	0.69	0.76	0.70	0.29	0.47	0.59	0.42
	$B_{2020}/B_{2014}$	1.13	1.15	1.16	1.16	0.64	0.81	0.92	0.77
340 tonnes (TACC Additional MO)	$B_{2018}/B_0$	0.69	0.72	0.75	0.72	0.36	0.51	0.45	0.45
	$B_{2018}/B_{2014}$	1.20	1.21	1.16	1.20	0.80	0.89	0.70	0.83
	$B_{2020}/B_0$	0.66	0.70	0.72	0.70	0.33	0.48	0.38	0.42
	$B_{2020}/B_{2014}$	1.15	1.16	1.11	1.16	0.73	0.84	0.59	0.77

**Table 20: Results from MCMC runs the trawl excluded and photo excluded models for SCI 3, showing probabilities of projected spawning stock biomass exceeding the default Harvest Strategy Standard target and limit reference points.**

	279 tonnes (Status quo)				340 tonnes (TACC)				340 tonnes (TACC Additional MO)			
	MN	MW	MO	SCI 3	MN	MW	MO	SCI 3	MN	MW	MO	SCI 3
Trawl excluded M=0.25												
P( $B_{2018} < 10\%B_0$ )	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
P( $B_{2018} < 20\%B_0$ )	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
P( $B_{2018} > 40\%B_0$ )	0.999	1.000	1.000	1.000	0.999	1.000	1.000	1.000	0.999	1.000	1.000	1.000
P( $B_{2018} > B_{2014}$ )	0.880	0.911	0.925	0.965	0.852	0.893	0.925	0.954	0.880	0.911	0.849	0.954
P( $B_{2020} < 10\%B_0$ )	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
P( $B_{2020} < 20\%B_0$ )	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
P( $B_{2020} > 40\%B_0$ )	0.990	0.998	1.000	1.000	0.984	0.997	1.000	1.000	0.990	0.998	1.000	1.000
P( $B_{2020} > B_{2014}$ )	0.729	0.760	0.804	0.880	0.687	0.736	0.804	0.855	0.729	0.760	0.686	0.855
Photo excluded M=0.25												
P( $B_{2018} < 10\%B_0$ )	0.002	0.000	0.000	0.000	0.006	0.000	0.000	0.000	0.002	0.000	0.000	0.000
P( $B_{2018} < 20\%B_0$ )	0.040	0.000	0.000	0.000	0.092	0.000	0.000	0.000	0.040	0.000	0.002	0.000
P( $B_{2018} > 40\%B_0$ )	0.345	0.902	1.000	0.832	0.262	0.867	1.000	0.758	0.345	0.902	0.676	0.757
P( $B_{2018} > B_{2014}$ )	0.137	0.219	0.334	0.112	0.081	0.180	0.334	0.072	0.137	0.219	0.030	0.072
P( $B_{2020} < 10\%B_0$ )	0.012	0.000	0.000	0.000	0.043	0.000	0.000	0.000	0.012	0.000	0.003	0.000
P( $B_{2020} < 20\%B_0$ )	0.125	0.000	0.000	0.000	0.223	0.001	0.000	0.000	0.125	0.000	0.053	0.001
P( $B_{2020} > 40\%B_0$ )	0.272	0.787	0.988	0.689	0.182	0.731	0.988	0.573	0.272	0.787	0.430	0.574
P( $B_{2020} > B_{2014}$ )	0.104	0.188	0.309	0.086	0.062	0.147	0.309	0.050	0.104	0.188	0.019	0.050

### 5.5 Other factors

Major sources of uncertainty for scampi (all stocks unless otherwise stated) include:

- Growth, burrow occupancy and catchability
- Early CPUE (potential time varying q)
- Early (large) YCSs
- Absolute biomass determined by the q prior
- Calculation of equivalent annual Fs and reference points
- Conflicts in relative biomass trends: trawl vs photo (SCI 3 only)

### 5.6 Research needs

- Investigate the utility of developing an index of, or proxy for, bottom roughness and incorporating this into the CPUE analysis. One potential proxy might be cumulative fishing effort or a running average of fishing effort over some appropriate number of years. Species composition from observer data sets could also be examined to determine whether this could be indicative of bottom roughness. This index may need to be calculated on a fine scale.

- Investigate the possibility of using a time period that excludes the large recruitment(s) to calculate equivalent  $F$  and reference points; i.e. consider omitting the large YCSs from the reference point calculations (but not the biomass estimation).
- The  $q$  priors and weighting of abundance indices need to be reviewed.

## 6. STATUS OF THE STOCKS

### Stock Structure Assumptions

Assessments have been conducted for areas considered to be the core regions of SCI 1, SCI 2 and SCI 3 (accounting for 96.5% of scampi landings in each fishery).

#### • SCI 1

Stock Status	
Year of Most Recent Assessment	2013
Assessment Runs Presented	Bayesian length based model with $M=0.3$
Reference Points	Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{40\%B_0}$
Status in relation to Target	Likely (> 60%) to be at or above target
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft or hard limits
Status in relation to Overfishing	Overfishing is Very Unlikely (< 10%) to be occurring
Historical Stock Status Trajectory and Current Status	
<p>Annual equivalent fishing intensity plotted as a function of proportion of <math>B_0</math> for SCI 1 (<math>M=0.3</math>). (Model with <math>L_{50}</math> for selectivity fixed in second time step).</p>	

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Spawning stock biomass increased to a peak in about 1995, declined to the early 2000s, and has remained relatively stable since this time.
Recent Trend in Fishing Mortality or Proxy	Fishing intensity has fluctuated without trend since the early 1990s.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

## SCAMPI (SCI)

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	The stock is predicted to remain above 40% $B_0$ up to 2018 under current catches and TACC.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Very Unlikely (< 10%) Hard Limit: Very Unlikely (< 10%)
Probability of Current Catch or TACC causing Overfishing to commence	Overfishing: Unlikely (< 40%)

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 1 - Full Quantitative Stock Assessment	
Assessment Method	Length-based Bayesian Model	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Standardised catch and effort data (TCEPR) from MPI</li> <li>- Length frequency data from MPI observer sampling</li> <li>- Photographic survey abundance index</li> <li>- Trawl survey abundance index</li> <li>- Length frequency data from research sampling</li> <li>- Length frequency predicted from burrow sizes</li> </ul>	1 – High Quality 2 – Medium or Mixed Quality: data not representative in some years  1 – High Quality 1 – High Quality  1 – High Quality 2 – Medium or Mixed Quality: estimation of length structure uncertain, and not fitted well in model
Data not used (rank)	-	
Changes to Model Structure and Assumptions	- Model simplified to use only a single spatial strata, and fit to an annual CPUE index (rather than indices for each time step) Change in weighting of abundance indices	
Major Sources of Uncertainty	<ul style="list-style-type: none"> <li>- Growth, burrow occupancy and catchability</li> <li>- Early CPUE (potential time varying q)</li> <li>- Early (large) YCSs</li> <li>- Absolute biomass determined by the q prior</li> <li>- Calculation of equivalent annual <math>F_s</math> and reference points</li> </ul>	

<b>Qualifying Comments</b>
Priors are overly important in determining $B_0$ as there are inconsistency signals from the data. Fits to CPUE are also poor.

<b>Fishery Interactions</b>
Main QMS bycatch species include ling, hoki, sea perch, red cod, silver warehou and giant stargazer. Discards dominated by rattails, javelinfish, skates and crabs, ling, red cod, hoki, spiny dogfish and sea perch. There have been interactions with seabirds recorded. A wide range of benthic invertebrate species are taken as bycatch.

## • SCI 2

<b>Stock Status</b>	
Year of Most Recent Assessment	2013
Assessment Runs Presented	Bayesian length based model without (model 4C) spatial structure

Reference Points	Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{40\%B_0}$
Status in relation to Target	Very Likely (> 90%) to be at or above target
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft limit Exceptionally Unlikely (< 1%) to be below the hard limit
Status in relation to Overfishing	Overfishing is Very Unlikely (< 10%) to be occurring
<b>Historical Stock Status Trajectory and Current Status</b>	
Annual equivalent fishing intensity plotted as a function of proportion of $B_0$ for SCI 2 ( $M=0.3$ ).	

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Biomass increased during the early 1990s, but declined steadily after this until the early 2000s. Biomass has increased steadily since 2008.
Recent Trend in Fishing Mortality or Proxy	Fishing mortality increased through the 1990s, peaking in the early 2000s, but declined considerable by 2005, and has fluctuated without trend since this time.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	The stock is predicted to remain well above 40% $B_0$ under recent catches and TACCs.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Very Unlikely (< 10%) Hard Limit: Very Unlikely (< 10%)
Probability of Current Catch or TACC causing Overfishing to commence	Overfishing: Unlikely (< 40%)

<b>Assessment Methodology and Evaluation</b>	
Assessment Type	Level 1 - Full Quantitative Stock Assessment
Assessment Method	Length-based Bayesian Model
Assessment Dates	Latest assessment: 2013      Next assessment: 2016
Overall assessment quality rank	1 – High Quality

## SCAMPI (SCI)

Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Standardised catch and effort data (TCEPR) from MPI</li> <li>- Length frequency data from MPI observer sampling</li> <li>- Photographic survey abundance index</li> <li>- Trawl survey abundance index</li> <li>- Length frequency data from research sampling</li> <li>- Length frequency predicted from burrow sizes</li> </ul>	<p>1 – High Quality 2 – Medium or Mixed Quality: data not representative in some years</p> <p>1 – High Quality</p> <p>1 – High Quality</p> <p>1 – High Quality 2 – Medium or Mixed Quality: estimation of length structure uncertain</p>
Data not used (rank)	-	
Changes to Model Structure and Assumptions	- Model simplified to use only a single spatial strata, and fit to an annual CPUE index (rather than indices for each time step)	
Major Sources of Uncertainty	<ul style="list-style-type: none"> <li>- Growth, burrow occupancy and catchability</li> <li>- Early CPUE (potential time varying q)</li> <li>- Early (large) YCSs</li> <li>- Absolute biomass determined by the q prior</li> <li>- Calculation of equivalent annual Fs and reference points</li> </ul>	

### Qualifying Comments

Stock status has changed considerably since the 2011 assessment due to increases in all abundance indices, which the model interprets as above average recent recruitment.

### Fishery Interactions

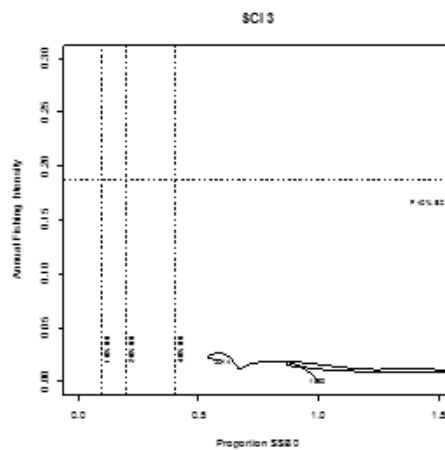
Main QMS bycatch species include ling, hoki, sea perch, red cod, silver warehou and giant stargazer. Discards dominated by rattails, javelinfish, skates and crabs, ling, red cod, hoki, spiny dogfish and sea perch. There have been interactions with seabirds recorded. A wide range of benthic invertebrate species are taken as bycatch.

## • SCI 3

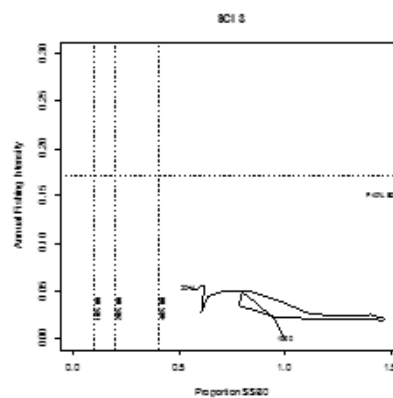
Stock Status	
Year of Most Recent Assessment	2015
Assessment Runs Presented	<ul style="list-style-type: none"> <li>- Bayesian length based model, trawl survey excluded, <math>M=0.25</math></li> <li>- Bayesian length based model, photo survey excluded, <math>M=0.25</math></li> </ul>
Reference Points	<p>Target: <math>40\% B_0</math></p> <p>Soft Limit: <math>20\% B_0</math></p> <p>Hard Limit: <math>10\% B_0</math></p> <p>Overfishing threshold: <math>F_{40\%B_0}</math></p>
Status in relation to Target	$B_{2014}$ was estimated to be 54% (photo excluded), or 60% (trawl excluded) $B_0$ . Very Likely ( $> 90\%$ ) to be at or above the target.
Status in relation to Limits	$B_{2014}$ is Very Unlikely ( $< 10\%$ ) to be below the soft or hard limits (both models)
Status in relation to Overfishing	Overfishing is Very Unlikely ( $< 10\%$ ) to be occurring



### Historical Stock Status Trajectory and Current Status



Trajectories of and biomass as a proportion of  $B_0$  and annual equivalent fishing intensity for SCI 3 (trawl survey excluded,  $M=0.25$ ).



Trajectories of and biomass as a proportion of  $B_0$  and annual equivalent fishing intensity for SCI 3 (photo survey excluded,  $M=0.25$ ).

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Estimated spawning stock biomass increased to a peak in about 1999, declined to the late 2000s, and has remained relatively stable since this time (both models).
Recent Trend in Fishing Mortality or Proxy	Fishing intensity shows a gradually increasing trend since the late 1990s (both models).
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-
Projections and Prognosis	
Stock Projections or Prognosis	The stock is predicted to remain above 40% $B_0$ up to 2020 under current catches and TACC. Projected stock status under TACC catches for the trawl excluded model is 70% $B_0$ . Projected stock status under TACC catches for the photo excluded model is 42% $B_0$ .

## SCAMPI (SCI)

Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Very Unlikely (< 10%)(both models) Hard Limit: Very Unlikely (< 10%)(both models)
Probability of Current Catch or TACC causing Overfishing to continue or commence	Trawl excluded model - Very Unlikely (< 10%) Photo excluded model - Unlikely (< 40%)

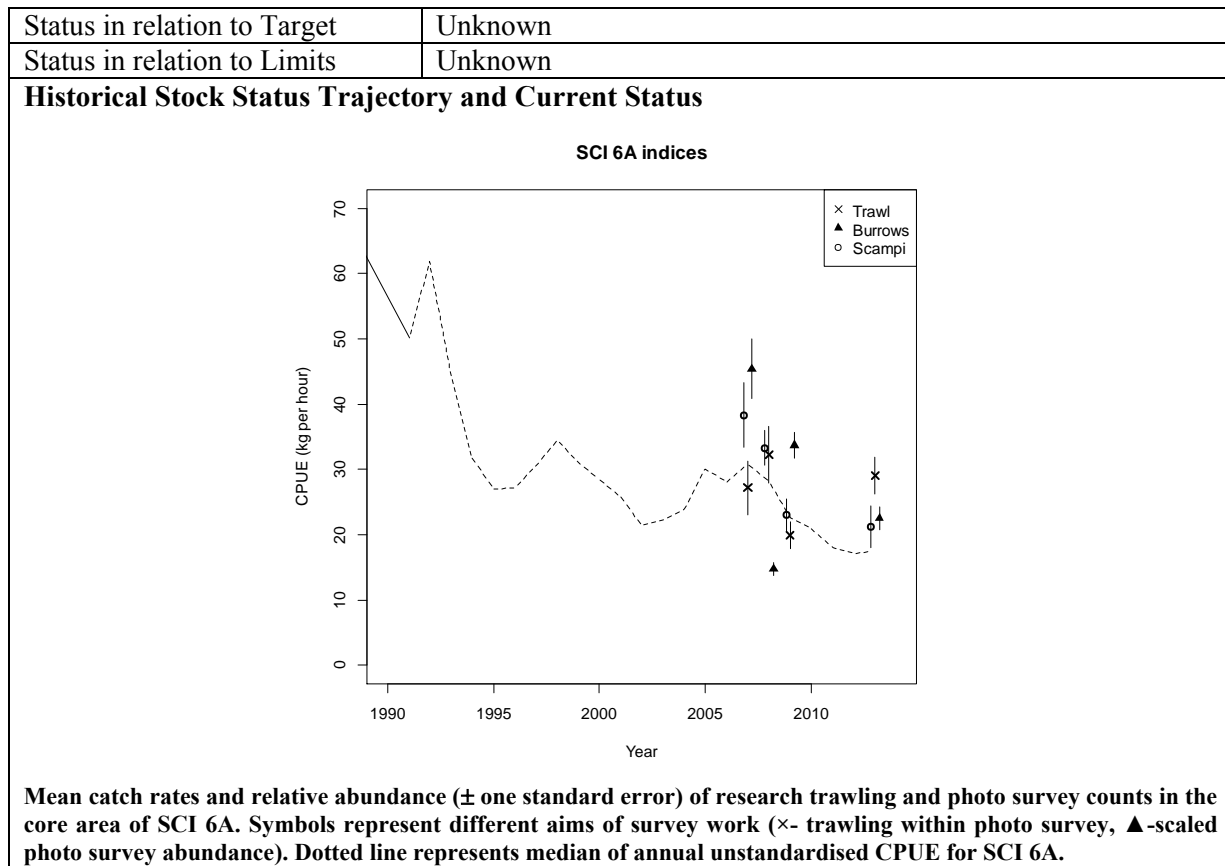
Assessment Methodology and Evaluation		
Assessment Type	Level 1 - Full Quantitative Stock Assessment	
Assessment Method	Length-based Bayesian model	
Assessment Dates	Latest assessment: 2015	Next assessment: 2018
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Standardised catch and effort data (TCEPR) from MPI</li> <li>- Length frequency data from MPI observer sampling</li> <li>- Photographic survey abundance index</li> <li>- Trawl survey abundance index</li> <li>- Length frequency data from research sampling</li> <li>- Length frequency predicted from burrow sizes</li> </ul>	<ul style="list-style-type: none"> <li>1 – High Quality</li> <li>2 – Medium or Mixed Quality: data not representative in some years</li> <li>1 – High Quality</li> <li>1 – High Quality</li> <li>1 – High Quality</li> <li>1 – High Quality</li> </ul>
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	No previous accepted assessment	
Major Sources of Uncertainty	<ul style="list-style-type: none"> <li>- Growth, burrow occupancy and catchability</li> <li>- Early CPUE (potential time varying q)</li> <li>- Early (large) YCSs</li> <li>- Absolute biomass determined by the q prior</li> <li>- Calculation of equivalent annual Fs and reference points</li> <li>- Conflicts in relative biomass trends: trawl vs photo</li> </ul>	

Qualifying Comments
CPUE is highly influential in both base models, yet q may be time varying. This contributes to generating huge early YCS(s) that are not supported by data.

Fishery Interactions
Main QMS bycatch species include ling, hoki, sea perch, red cod, silver warehou and giant stargazer. Discards dominated by rattails, javelinfish, skates and crabs, ling, red cod, hoki, spiny dogfish and sea perch. There have been interactions with seabirds recorded. A wide range of benthic invertebrate species are taken as bycatch.

### • SCI 6A

Stock Status	
Year of Most Recent Assessment	No accepted assessment
Assessment Runs Presented	-
Reference Points	Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	CPUE data suggest the stock may have declined in the early years of the fishery, but has remained at a relatively stable level since the mid-1990s. Photo and trawl survey data (2007–2009, 2013) suggest the stock has remained relatively stable in recent years.
Recent Trend in Fishing Mortality or Proxy	Catches and stock abundance appear to have remained relatively stable in recent years, suggesting exploitation rates have been relatively stable.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	Quantitative stock projections are unavailable
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 – Partial Quantitative Stock Assessment	
Assessment Method	- Abundance indices from CPUE, trawl and photo surveys	
Assessment Dates	Latest assessment: 2014 (CPUE analysis), 2013 (photo survey)	Next assessment: 2016 (CPUE and assessment model), 2013 (photo survey)
Overall assessment quality rank	2 – Medium or Mixed Quality	
Main data inputs	<ul style="list-style-type: none"> <li>- Standardised catch and effort data (TCEPR) from MPI</li> <li>- Length frequency data from MPI observer sampling</li> <li>- Photographic survey abundance index</li> <li>- Trawl survey abundance index</li> <li>- Length frequency data from research sampling</li> </ul>	<ul style="list-style-type: none"> <li>1 – High Quality</li> <li>1 – High Quality</li> <li>1 – High Quality</li> <li>1 – High Quality</li> <li>1 – High Quality</li> </ul>
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	- Length based model currently under development	
Major Sources of Uncertainty	- Relationship between CPUE and abundance, growth, burrow occupancy, emergence and catchability	

<b>Qualifying Comments</b>
<p>Preliminary work from the SCI6A stock assessment model suggests that currently there doesn't appear to be a sustainability risk for this stock. However, uncertainty in model fits and model outputs means results are preliminary at this stage and have not been accepted by the SFWG.</p> <p>Photo surveys in SCI 6A observe a higher number of scampi out of burrows, relative to burrows counted, than has been observed in other areas. This may be related to animal size or sediment characteristics. If emergence is greater, this may imply that scampi in SCI 6A are more vulnerable to trawling than other in areas.</p> <p>The CPUE index was considered to be potentially strongly influenced by changes in catchability, and therefore not reliable as an index of abundance. Re-examination of the data has addressed some of the concerns, and the consistency between indices and also with similar species, may indicate that the index is not as implausible as first considered.</p>

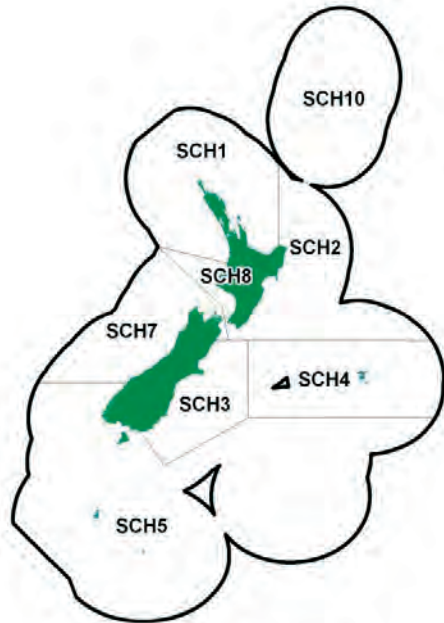
<b>Fishery Interactions</b>
<p>Main QMS bycatch species include ling, hoki, sea perch, red cod, silver warehou and giant stargazer. Discards dominated by rattails, javelinfish, skates and crabs, ling, red cod, hoki, spiny dogfish and sea perch. There have been interactions with seabirds and mammals (fur seals and sealions) recorded. A wide range of benthic invertebrate species are taken as bycatch.</p>

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**SCHOOL SHARK (SCH)***(Galeorhinus galeus)***Tupere, Tope, Makohuarau****1. FISHERY SUMMARY**

School shark was introduced into the QMS on 1 October 1986, with allowances, TACCs and TACs shown in Table 1.

**Table 1: Recreational and Customary non-commercial allowances, TACCs and TACs for school shark by Fishstock.**

Fish Stock	Recreational allowance	Customary Non-Commercial allowance	Other sources of mortality	TACC	TAC
SCH 1	68	102	34	689	893
SCH 2	-	-	-	161.9	198.6
SCH 3	48	48	19	387	502
SCH 4	-	-	-	120	238
SCH 5	7	7	37	743	794
SCH 7	58	58	32	641	789
SCH 8	21	21	26	529	597
SCH 10	-	-	-	10	10

**1.1 Commercial fisheries**

This moderate-sized shark has supported a variety of fisheries around New Zealand from the early 1940s onwards. Landings rose steeply from the late 1970s until 1983 (Table 2), with the intensification of setnets targeting this and other shark species, and a general decline in availability of other, previously more desirable, coastal species. However, because of earlier discarding and under-reporting, this recorded rise in landings did not reflect an equivalent rise in catches. Catches decreased by about 50% from 1986 onwards because quotas were set below previous catch levels when this species was introduced into the QMS (Table 3). From 1987–88 to 1991–92 total reported landings were around 2200–2500 t/year. In 1995–96, total landings increased to above the level of the TACC (3107 t) to 3387 t, exceeding the TACC for the first time. Landings have remained near the level of the TACC since 1995–96. TACCs for SCH 3, 5, 7 & 8 were increased by 5% (SCH 5) and 20% (the remainder) under AMP management in October 2004. From 1 October 2007, the TACC for SCH 1 was increased to 689 t, also setting a TAC for the first time at 893 t with 102 t, 68 t and 34 t allocated to customary, recreational and other sources of mortality respectively. In 2004, SCH 3, 5, 7 & 8 were allocated recreational and customary non-commercial allowances of 48 t, 7 t, 58 t, and 21 t, respectively, while other sources of mortality were allocated 19 t, 37 t, 32 t, and 26 t, respectively. All AMP programmes ended on 30<sup>th</sup> September 2009. School shark were added to the 6<sup>th</sup> schedule on the 1<sup>st</sup> of January 2013, which allows school shark that are

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alive and likely to survive to be released. Table 2 shows total New Zealand historical (pre-1984) SCH landings by calendar year; TACCs and landings by fishing year are provided by Fishstock in Table3 and Figure 1.

**Table 2: Reported domestic landings (t) of school shark from 1948 to 1983.**

Year	Landings	Year	Landings	Year	Landings	Year	Landings
1948	75	1957	301	1966	316	1975	518
1949	124	1958	323	1967	376	1976	914
1950	147	1959	304	1968	360	1977	1 231
1951	157	1960	308	1969	390	1978	161
1952	179	1961	362	1970	450	1979	481
1953	142	1962	354	1971	597	1980	1 788
1954	185	1963	380	1972	335	1981	2 716
1955	180	1964	342	1973	400	1982	2 965
1956	164	1965	359	1974	459	1983	3 918

Source: MPI data.

During the period of high landings in the mid 1980s, setnetting was the main fishing method, providing about half the total catch, with lining accounting for one-third of the catch, and trawling the remainder. There were large regional variations. These proportions have shifted somewhat in more recent years, with setnet still accounting for just under 50% of the landings, while bottom longline and bottom trawl approximately splitting the remaining 50%.

Small amounts of school shark are also caught by the foreign charter tuna longliners fishing offshore in the EEZ to well beyond the shelf edge.

The Banks Peninsula Marine Mammal Sanctuary was established in 1988 by the Department of Conservation under the Marine Mammal Protection Act 1978, for the purpose of protecting Hector's dolphins. The sanctuary extends 4 nautical miles from the coast from Sumner Head in the north to the Rakaia River mouth in the south. Before 1 October 2008, no setnets were allowed within the sanctuary between 1 November and the end of February. For the remainder of the year, setnets were allowed; but could only be set from an hour after sunrise to an hour before sunset, be no more than 30 metres long, with only one net per boat which was required to remain tied to the net while it was set.

Voluntary setnet closures were implemented by the SEFMC from 1 October 2000 to protect nursery grounds for rig and elephantfish and to reduce interactions between commercial setnets and Hector's dolphins in shallow waters. The closed area extended from the southernmost end of the Banks Peninsula Marine Mammal Sanctuary to the northern bank of the mouth of the Waitaki River. This area was closed permanently for a distance of 1 nautical mile offshore and for 4 nautical miles offshore for the period 1 October to 31 January.

From 1 October 2008, a new suite of regulations intended to protect Maui's and Hector's dolphins was implemented for all of New Zealand by the Minister of Fisheries.

For SCH 1, setnet fishing was closed from Maunganui Bluff to Pariokariwa Point for a distance of 4 nautical miles on 1 October 2003. This closure was extended by the Minister to 7 nautical miles on 1 October 2008. An appeal was made by affected fishers who were granted interim relief by the High Court, allowing setnet fishing beyond 4 nautical miles during daylight hours between 1 October and 24 December during three consecutive years: 2008-2010.

For SCH 3, commercial and recreational set netting was banned in most areas from 1 October 2008 to 4 nautical miles offshore of the east coast of the South Island, extending from Cape Jackson in the Marlborough Sounds to Slope Point in the Catlins. Some exceptions were allowed, including an exemption for commercial and recreational set netting to only one nautical mile offshore around the Kaikoura Canyon, and permitting setnetting in most harbours, estuaries, river mouths, lagoons and inlets except for the Avon-Heathcote Estuary, Lyttelton Harbour, Akaroa Harbour and Timaru Harbour. In addition, trawl gear within 2 nautical miles of shore was restricted to flatfish nets with defined low headline heights.



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For SCH 5, commercial and recreational setnetting was banned in most areas from 1 October 2008 to 4 nautical miles offshore, extending from Slope Point in the Catlins to Sandhill Point east of Fiordland and in all of Te Waewae Bay. An exemption which permitted setnetting in harbours, estuaries and inlets was allowed. In addition, trawl gear within 2 nautical miles of shore was restricted to flatfish nets with defined low headline heights.

For SCH 7, both commercial and recreational setnetting were banned to 2 nautical miles offshore from 1 October 2008, with the recreational closure effective for the entire year and the commercial closure restricted to the period 1 December to the end of February. The closed area extends from Awarua Point north of Fiordland to the tip of Cape Farewell at the top of the South Island. There is no equivalent closure in SCH 8, with the southern limit of the Maui's dolphin closure beginning north of New Plymouth at Pariokariwa Point.

**Table 3: Reported landings (t) of school shark by Fishstock from 1931–32 to 2013–14 and actual TACCs (t) from 1986–87 to 2012–13. QMS data from 1986-present.**

Fishstock FMA (s)	SCH 1		SCH 2		SCH 3		SCH 4		SCH 5	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1931–32	0	-	0	-	0	-	0	-	0	-
1932–33	0	-	0	-	0	-	0	-	0	-
1933–34	0	-	0	-	0	-	0	-	0	-
1934–35	0	-	0	-	0	-	0	-	0	-
1935–36	0	-	0	-	0	-	0	-	0	-
1936–37	0	-	0	-	0	-	0	-	0	-
1937–38	0	-	0	-	0	-	0	-	0	-
1938–39	0	-	0	-	0	-	0	-	0	-
1939–40	0	-	0	-	0	-	0	-	0	-
1940–41	0	-	0	-	0	-	0	-	0	-
1941–42	0	-	0	-	0	-	0	-	0	-
1942–43	0	-	0	-	0	-	0	-	0	-
1943–44	0	-	0	-	0	-	0	-	0	-
1944–45	0	-	0	-	0	-	0	-	0	-
1945–46	53	-	2	-	0	-	0	-	0	-
1946–47	73	-	3	-	7	-	0	-	3	-
1947–48	40	-	2	-	0	-	0	-	0	-
1948–49	48	-	3	-	0	-	0	-	0	-
1949–50	92	-	4	-	1	-	0	-	0	-
1950–51	105	-	6	-	1	-	0	-	0	-
1951–52	131	-	5	-	4	-	0	-	0	-
1952–53	144	-	7	-	5	-	0	-	0	-
1953–54	108	-	4	-	10	-	0	-	0	-
1954–55	121	-	10	-	8	-	0	-	0	-
1955–56	124	-	12	-	8	-	0	-	0	-
1956–57	92	-	19	-	5	-	0	-	0	-
1957–58	197	-	28	-	11	-	0	-	0	-
1958–59	211	-	24	-	17	-	0	-	1	-
1959–60	203	-	21	-	18	-	0	-	1	-
1960–61	219	-	19	-	23	-	0	-	1	-
1961–62	268	-	21	-	25	-	1	-	4	-
1962–63	252	-	23	-	29	-	0	-	2	-
1963–64	249	-	42	-	23	-	1	-	3	-
1964–65	186	-	51	-	30	-	1	-	1	-
1965–66	229	-	36	-	37	-	0	-	1	-
1966–67	189	-	31	-	36	-	0	-	1	-
1967–68	211	-	56	-	33	-	0	-	2	-
1968–69	195	-	57	-	41	-	0	-	4	-
1969–70	179	-	46	-	110	-	0	-	7	-
1970–71	157	-	82	-	99	-	0	-	13	-
1971–72	163	-	112	-	109	-	0	-	6	-
1972–73	136	-	59	-	30	-	0	-	3	-
1973–74	103	-	73	-	52	-	0	-	9	-
1974–75	120	-	75	-	98	-	0	-	18	-
1975–76	121	-	64	-	62	-	1	-	29	-
1976–77	389	-	88	-	54	-	0	-	70	-
1977–78	508	-	99	-	68	-	0	-	118	-
1978–79	52	-	28	-	13	-	0	-	6	-
1979–80	197	-	53	-	89	-	0	-	42	-
1980–81	690	-	127	-	295	-	2	-	229	-

# SCHOOL SHARK (SCH)

**Table 3 [continued]**

Fishstock FMA (s)	SCH 1		SCH 2		SCH 3		SCH 4		SCH 5	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1981-82	686	-	199	-	461	-	0	-	497	-
1982-83	598	-	245	-	544	-	1	-	264	-
1983-84*	1 087	-	298	-	630	-	8	-	792	-
1984-85*	861	-	237	-	505	-	12	-	995	-
1985-86*	787	-	214	-	370	-	23	-	647	-
1986-87	416	560	123	162	283	270	19	120	382	610
1987-88	528	668	123	199	320	322	22	239	531	694
1988-89	477	668	136	199	220	322	26	239	501	694
1989-90	585	668	156	199	272	322	27	239	460	694
1990-91	554	668	139	199	227	322	20	239	480	694
1991-92	596	668	161	199	255	322	34	239	622	694
1992-93	819	668	202	199	216	322	38	239	594	694
1993-94	657	668	157	199	202	322	41	239	624	694
1994-95	640	668	161	199	238	322	86	239	656	694
1995-96	802	668	214	199	296	322	229	239	714	694
1996-97	791	668	228	199	290	322	179	239	662	694
1997-98	764	668	214	199	270	322	126	239	623	694
1998-99	784	668	275	199	335	322	106	239	714	694
1999-00	820	668	250	199	343	322	97	239	706	694
2000-01	799	668	178	199	364	322	100	239	724	694
2001-02	694	668	208	199	324	322	93	239	676	708
2002-03	689	668	225	199	410	322	130	239	746	708
2003-04	758	668	187	199	323	322	149	239	729	708
2004-05	695	668	201	199	424	387	206	239	743	743
2005-06	634	668	175	199	325	387	183	239	712	743
2006-07	661	668	200	199	376	387	88	239	738	743
2007-08	708	689	227	199	345	387	133	239	781	743
2008-09	713	689	232	199	364	387	145	239	741	743
2009-10	589	689	213	199	426	387	191	239	784	743
2010-11	777	689	187	199	366	387	174	239	701	743
2011-12	689	689	188	199	351	387	201	239	729	743
2012-13	602	689	200	199	320	387	127	239	748	743
2013-14	659	689	183	199	363	387	126	239	725	743

Fishstock FMA (s)	SCH 7		SCH 8		SCH 10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1931-32	0	-	0	-	-	-	0	-
1932-33	0	-	0	-	-	-	0	-
1933-34	0	-	0	-	-	-	0	-
1934-35	0	-	0	-	-	-	0	-
1935-36	0	-	0	-	-	-	0	-
1936-37	0	-	0	-	-	-	0	-
1937-38	0	-	0	-	-	-	0	-
1938-39	0	-	0	-	-	-	0	-
1939-40	0	-	0	-	-	-	0	-
1940-41	0	-	0	-	-	-	0	-
1941-42	0	-	0	-	-	-	0	-
1942-43	0	-	0	-	-	-	0	-
1943-44	0	-	0	-	-	-	0	-
1944-45	0	-	0	-	-	-	0	-
1945-46	8	-	3	-	-	-	66	-
1946-47	16	-	3	-	-	-	105	-
1947-48	13	-	3	-	-	-	58	-
1948-49	18	-	5	-	-	-	74	-
1949-50	24	-	4	-	-	-	125	-
1950-51	29	-	6	-	-	-	147	-
1951-52	14	-	4	-	-	-	158	-
1952-53	17	-	5	-	-	-	178	-
1953-54	16	-	4	-	-	-	142	-
1954-55	36	-	10	-	-	-	185	-
1955-56	26	-	10	-	-	-	180	-
1956-57	34	-	14	-	-	-	164	-
1957-58	42	-	23	-	-	-	301	-
1958-59	41	-	29	-	-	-	323	-
1959-60	32	-	29	-	-	-	304	-
1960-61	24	-	21	-	-	-	307	-
1961-62	26	-	15	-	-	-	360	-
1962-63	21	-	26	-	-	-	353	-

Table 3 [continued]

Fishstock FMA (s)	SCH 7		SCH 8		SCH 10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings§	TACC
1963–64	29	-	34	-	-	-	381	-
1964–65	31	-	41	-	-	-	341	-
1965–66	26	-	30	-	-	-	359	-
1966–67	25	-	22	-	-	-	304	-
1967–68	51	-	23	-	-	-	376	-
1968–69	35	-	26	-	-	-	358	-
1969–70	28	-	20	-	-	-	390	-
1970–71	69	-	30	-	-	-	450	-
1971–72	159	-	48	-	-	-	597	-
1972–73	77	-	30	-	-	-	335	-
1973–74	75	-	42	-	-	-	354	-
1974–75	144	-	94	-	-	-	549	-
1975–76	153	-	90	-	-	-	520	-
1976–77	220	-	102	-	-	-	923	-
1977–78	280	-	164	-	-	-	1 237	-
1978–79	22	-	44	-	-	-	165	-
1979–80	94	-	44	-	-	-	519	-
1980–81	350	-	106	-	-	-	1 799	-
1981–82	480	-	393	-	-	-	2 716	-
1982–83	947	-	367	-	-	-	2 966	-
1983–84*	1 039	-	694	-	0	-	4 776	-
1984–85*	1 030	-	698	-	0	-	4 501	-
1985–86*	851	-	652	-	0	-	3 717	-
1986–87	454	470	224	310	0	10	1 902	2 513
1987–88	516	534	374	441	0	10	2 413	3 106
1988–89	540	534	419	441	0	10	2 319	3 106
1989–90	516	534	371	441	0	10	2 387	3 106
1990–91	420	534	369	441	0	10	2 209	3 106
1991–92	431	534	409	441	0	10	2 508	3 106
1992–93	482	534	484	441	0	10	2 835	3 106
1993–94	473	534	451	441	0	10	2 605	3 106
1994–95	369	534	417	441	0	10	2 567	3 106
1995–96	636	534	521	441	0	10	3 412	3 106
1995–96	543	534	459	441	0	10	3 152	3 106
1997–98	473	534	446	441	0	10	2 917	3 106
1998–99	682	534	533	441	0	10	3 429	3 106
1999–00	639	534	469	441	0	10	3 324	3 106
2000–01	576	534	453	441	0	10	3 193	3 106
2001–02	501	534	449	441	0	10	2 946	3 120
2002–03	512	534	448	441	0	10	3 161	3 120
2003–04	574	534	405	441	0	10	3 126	3 120
2004–05	546	641	554	529	0	10	3 369	3 416
2005–06	569	641	503	529	0	10	3 100	3 416
2006–07	583	641	534	529	0	10	3 180	3 416
2007–08	606	641	497	529	0	10	3 297	3 436
2008–09	694	641	588	529	0	10	3 478	3 436
2009–10	606	641	460	529	0	10	3 269	3 436
2010–11	677	641	587	529	0	10	3 469	3 436
2011–12	612	641	506	529	0	10	3 276	3 436
2012–13	656	641	512	529	0	10	3 165	3 436
2013–14	620	641	459	529	0	10	3 135	3 436

\*FSU data. § Includes landings from unknown areas before 1986–87.

Note: Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

## SCHOOL SHARK (SCH)

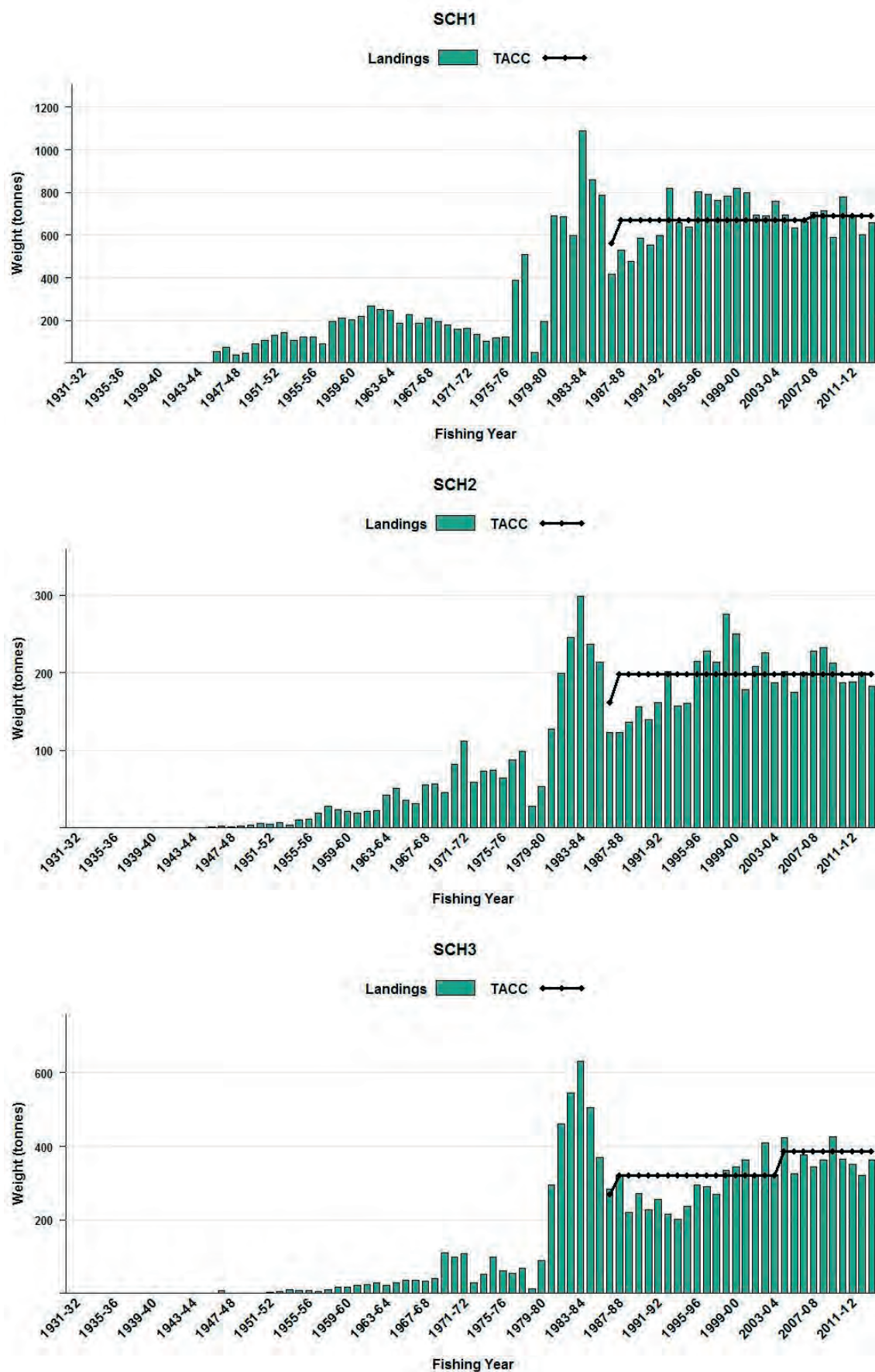


Figure 1: Reported commercial landings and TACC for the seven main SCH stocks. Above: SCH1 (Auckland East), SCH 2 (Central East), SCH 3 (South East coast) and SCH4 (South East Chatham Rise). Continued on next page)

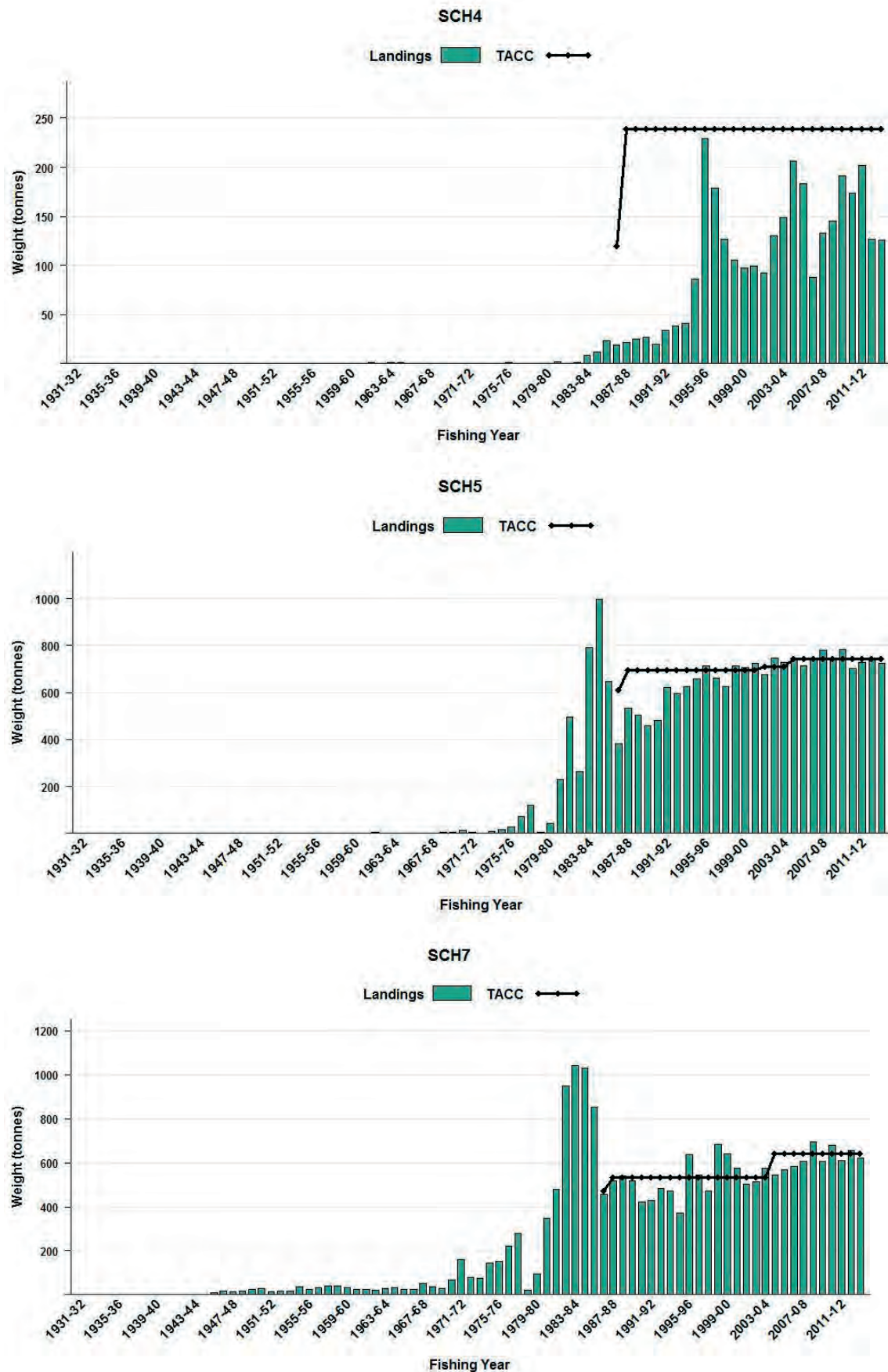


Figure 1 [Continued]: Reported commercial landings and TACC for the seven main SCH stocks. From top to bottom: SCH4 (South East Chatham Rise) and SCH 5 (Southland), SCH 7 (Challenger). Continued on next page.

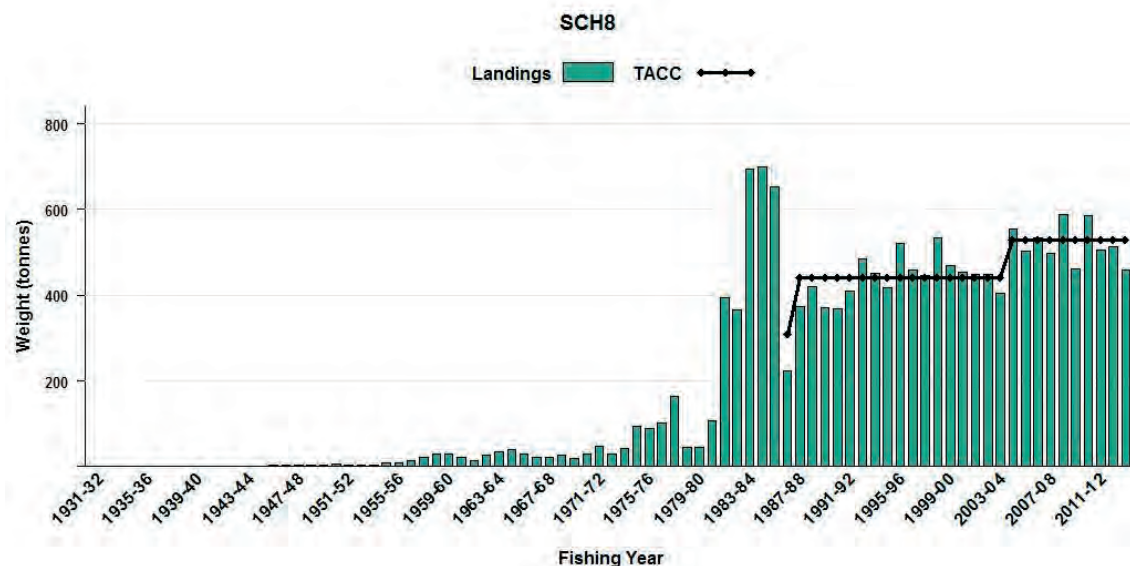


Figure 1[Continued]: Reported commercial landings and TACC for the seven main SCH stocks. SCH8 (Central Egmont).

## 1.2 Recreational fisheries

Although school shark is a listed gamefish and is regularly caught by recreational fishers, it is not considered to be a particularly desirable target species at the present time.

### 1.2.1 Management controls

The main method used to manage recreational harvests of school shark is daily bag limits. Fishers can take up to 20 school shark as part of their combined daily bag limit in the as part of their combined daily bag limit in the Auckland and Kermadec, Central, and Challenger Fishery Management Areas. Fishers can take up to 5 school shark as part of their combined daily bag limit in the as part of their combined daily bag limit in the Southland and South-East Fishery Management Areas.

### 1.2.2 Estimates of recreational harvest

There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

The first estimates of recreational harvest for school shark were calculated using an offsite approach, the offsite regional telephone and diary survey approach. Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005). The harvest estimates provided by these telephone diary surveys (Table 4) are no longer considered reliable.

In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the development and implementation of a national panel survey for the 2011–12 fishing year. The panel survey used face-to-face interviews of a random sample of New Zealand households to recruit a panel of fishers and non-fishers for a full year. The panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews. Note that the national panel survey estimate does not include harvest taken on recreational charter vessels, or recreational harvest taken under s111 general approvals. Recreational catch estimates from the national panel survey are given in Table 4.

**Table 4: Recreational harvest estimates for school shark stocks. The telephone/diary surveys ran from December to November but are denoted by the January calendar year. The national panel survey ran through the October to September fishing year but is denoted by the January calendar year.**

Stock	Year	Method	Number of fish	Total weight (t)	CV
SCH 1	1996	Telephone/diary	23 000	46	0.17
	2000	Telephone/diary	27 000	66	0.42
	2012	Panel survey	9 448	-	0.26
SCH 2	1996	Telephone/diary	5 000	-	-
	2000	Telephone/diary	7 000	18	0.30
	2012	Panel survey	1 425	-	0.79
SCH 3	1996	Telephone/diary	3 000	-	-
	2000	Telephone/diary	19 000	48	0.46
	2012	Panel survey	5 381	-	0.37
SCH 5	1996	Telephone/diary	1 000	-	-
	2000	Telephone/diary	3 000	7	0.66
	2012	Panel survey	443	-	0.60
SCH 7	1996	Telephone/diary	8 000	16	0.24
	2000	Telephone/diary	23 000	58	0.56
	2012	Panel survey	9 693	-	0.38
SCH 8	1996	Telephone/diary	11 000	21	0.22
	2000	Telephone/diary	3 000	8	0.55
	2012	Panel survey	1 892	-	0.32

### 1.3 Customary non-commercial fisheries

Maori fishers made extensive use of school shark in pre-European times for food, oil, and skin. There is no quantitative information on the current level of customary non-commercial take.

### 1.4 Illegal catch

There is no quantifiable information on the level of illegal catch. There is an unknown amount of unreported offshore trawl and pelagic longline catch of school shark, either landed (under another name, or in "mixed") or discarded.

### 1.5 Other sources of mortality

There is an unknown discarded bycatch of juvenile, mainly first-year, school shark taken in harbour and bay setnets. Quantitative information is not available on the level of other sources of mortality.

## 2. BIOLOGY

School sharks are distributed across the shelf, generally being inshore in summer and offshore in winter. They extend in smaller numbers near the seafloor down the upper continental slope, to at least 600 m. The capture of school sharks by tuna longliners shows that their distribution extends well offshore, up to 180 nautical miles off the South Island, and 400 nautical miles off northern New Zealand towards the Kermadec Islands. They feed predominantly on small fish and cephalopods (octopus and squid).

Growth rates have not been estimated for New Zealand fish, but in Australia and South America school sharks are slow growing and long-lived (Grant et al 1979, Olsen 1984, Peres & Vooren 1991). They are difficult to age by conventional methods, but up to 45 vertebral rings can be counted. Growth is fastest for the first few years, slows appreciably between 5 and 15 years, and is negligible at older ages, particularly after 20. Results from an Australian long-term tag recovery suggest a maximum age of at least 50 years. Age-at-maturity has been estimated at 12–17 years for males and 13 to 15 years for females (Francis & Mulligan 1998). The size range of commercially caught maturing and adult school shark is 90–170 cm total length (TL), with a broad mode at 110–130 cm TL, which varies with area, season and depth.

Breeding is not annual; it has generally been assumed to be biennial, but work on a Brazilian stock suggests that females have a 3-year cycle in the South Atlantic (Peres & Vooren 1991). Fecundity (pup number) increases from 5–10 in small females to over 40 in the largest. Mating is believed to occur in



## SCHOOL SHARK (SCH)

deep water, probably in winter. Release of pups occurs during spring and early summer (November–January), apparently earlier in the north of the country than in the south. Nursery grounds include harbours, shallow bays and sheltered coasts. The pups remain in the shallow nursery grounds during their first one or two years and subsequently disperse across the shelf. The geographic location of the most important pupping and nursery grounds in New Zealand is not known.

**Table 5: Estimates of biological parameters for school shark.**

Fishstock	Estimate	Source
1. Weight = $a$ (length) <sup>b</sup> (Weight in g, length in cm fork length)		
	Both sexes combined	
	<u>a</u>	<u>b</u>
SCH 1	0.0003	3.58
SCH 3	0.0035	3.08
SCH 5	0.0181	2.72
SCH 5	0.0068	2.94
SCH 7	0.0061	2.94
SCH 8	0.0104	2.84
		McGregor (unpub.)
		McGregor (unpub.)
		McGregor (unpub.)
		Hurst et al. (1990)
		Blackwell (unpub.)
		Blackwell (unpub.)
2. Estimate of $M$ for Australia		
	0.1	Grant et al. (1979), Olsen (1984)

The combination of late maturity, slow growth, and low fecundity gives a relatively low overall productivity. In Australia,  $M$  has been estimated as 0.1.

New Zealand tagging studies have shown that school shark may move considerable distances, including trans-Tasman migrations (for details see the 1995 Plenary Report).

Biological parameters relevant to stock assessment are shown in Table 5.

### 3. STOCKS AND AREAS

Information relevant to determining school shark stock structure in New Zealand was reviewed in 2009 (Smith 2009, Blackwell & Francis 2010, Francis 2010). Primarily based on the tagging evidence, there is probably a single biological stock in the New Zealand EEZ. Genetic, biological, fishery and tagging data were all considered, but the evidence for the existence of distinct biological stocks is poor. Some differences were found in CPUE trends between QMAs, but stock separation at the QMA level seems unlikely, and the CPUE differences may have resulted from processes acting below the stock level, such as localised exploitation of different sexes or different size classes of sharks. An apparent lack of juvenile school shark nursery areas in SCH 4 and SCH 5 suggests that these Fishstocks are not distinct, but are instead maintained by recruitment from other QMAs.

The most useful source of information was an opportunistic tagging programme undertaken mainly on research trawlers since 1985 (Hurst et al. 1999). However most tag releases were made around the South Island so little information is provided for North Island school shark. Female school shark were slightly more mobile than males, with higher proportions of the former moving to non-adjacent QMAs and to Australia. About 30% of school shark recaptures were reported from outside the release QMA within a year of release, and this was maintained in the second year after release. After 2–5 years at liberty about 60% of recaptured school sharks (both sexes) were reported from outside the release QMA. After more than 5 years at liberty, 8% of males and 19% of females were recaptured from Australia. A large proportion of tagged school sharks moved outside the QMA of release within 5 years, and a significant proportion eventually moved to Australia. These trends in apparent movement are consistent across two decades of tagging. The relative importance of various breeding grounds around New Zealand (e.g., aggregations of breeding females in Kaipara Harbour) and whether females return to the area in which they were born are unknown.

The current stock management units are a precautionary measure to spread fishing effort; amalgamation of all QMAs into one QMA for the whole EEZ could create local depletion or sustainability risks for sub-stock components.



## 4. STOCK ASSESSMENT

### 4.1 Estimates of fishery parameters and abundance

Fishery characterisations and CPUE analyses for SCH 1, SCH 2, SCH 3, SCH 4, SCH 5, SCH 7 and SCH 8 have been updated in 2014 as part of a full review of these Fishstocks. As part of this review, the fine scale location data from the QMA-specific CPUE series used to monitor this species were inspected for continuity and consistency. It was noted that, in many cases, these fishery definitions were constructs of administrative boundaries and often artificially divided fisheries that should be linked. The result of this review was the creation of revised fishery definitions for monitoring school shark, with boundaries between fisheries drawn in areas where there were gaps in catches, and, as much as possible, the same area definitions were used to define setnet and bottom longline fisheries for monitoring purposes. Table 6 lists the definitions of the 9 fisheries selected for monitoring school shark. The fisheries were selected on the basis of fine scale positional data but use MPI statistical areas to make the definitions in order to apply these definitions to the period before fine scale positional data became available. This approach also assumes that the fine scale positional information from 2007 to the present is representation of the distribution of fishing before that year.

The main difficulty in finalising these definitions was how to deal with Cook Strait, with the decision made to place all Cook Strait catches, even those from the eastern end of Cook Strait, to the central west coast fishery (SCH 7, SCH 8 and lower SCH 1W). Setnet landings from Kaikoura and Pegasus Bay were assigned to the northern east coast fishery and bottom longline landings from the western end of the Chatham Rise were assigned to SCH 4.

**Table 6: List of 9 fisheries selected to monitor NZ school shark. Core statistical areas are shown as well as any additional statistical areas needed to complete the fishery definition by capture method. There is no recorded fishing for school shark using setnet on the Chatham Islands (SCH 4).**

Region	Code	Core Statistical Areas	SN	BLL
Far North & SCH 1E	N/1E	043–010	same as core	same as core
SCH 2 & top of SCH 3	2/3N	011–015	add 018, 020	same as core
Chatham Rise (SCH 4)	SCH4	049-051, 401-412	NA	add 019, 020, 021
lower SCH 3 & SCH 5	3S/5	022–033	same as core	same as core
SCH 7, SCH 8 & lower SCH 1W	7/8/1W	034–042,801	add 016, 017	add 016, 017, 018

### Characterisation comments by SCH QMA

#### SCH 1

About 1/3 of the SCH 1 landings are taken by bottom trawl while targeting tarakihi and snapper, with smaller catches when targeting trevally and red gurnard. The bottom longline SCH 1 fishery, taking about 30% of the total landings, is primarily directed at school shark, with hapuku and snapper being other important targets. The setnet fishery, which takes about ¼ of the landings, is mainly targeted at school shark, with some additional targeting of rig, trevally, gurnard and snapper.

#### SCH 2

SCH 2 are caught primarily in the bottom trawl fishery (44%) targeting tarakihi, hoki, gemfish and gurnard; and the bottom longline fishery (32%) targeting school shark, ling, hapuku/bass and bluenose. Sixteen per cent of the catch is taken in setnet targeting school shark, blue warehou and blue moki.

#### SCH 3

SCH 3 is predominantly caught in the setnet fishery (56%) targeting school shark and rig, with some targeting of spiny dogfish and tarakihi; and in the bottom trawl fishery (35%) targeting red cod, with some targeting of flatfish, barracouta and tarakihi. Mixed targeted bottom longline takes 8% of the catch.

#### SCH 4

SCH 4 is primarily (78%) a bottom longline fishery targeted at bluenose, hapuku/bass, ling and a few school shark. There also exists a small bottom trawl fishery (16% of landings) which targets a range of

## **SCHOOL SHARK (SCH)**

species including tarakihi, barracouta, stargazer, hoki and scampi. The setnet fishery is very small (3%) and cannot be used to monitor the Fishstock.

### **SCH 5**

SCH 5 is almost entirely caught in the school shark targeted setnet fishery (86%), with some minor targeting of rig. Seven percent is taken by bottom trawl primarily targeting stargazer and squid, and 5% by bottom longline primarily targeting hapuku/bass and ling.

### **SCH 7**

SCH 7 are caught by the setnet fishery (28%) targeting school shark, rig and spiny dogfish; bottom longline (31%) targeting school shark, hapuku/bass and ling; and bottom trawl (39%) targeting barracouta, tarakihi, flatfish, hoki, red cod and others.

### **SCH 8**

SCH 8 are caught mainly (66%) by setnet targeting school shark and rig; and by bottom longline (22%) targeting school shark and hapuku/bass. Ten percent is caught by bottom trawl targeting gurnard, tarakihi and trevally.

## **4.1 Biomass estimates**

### **ECSI**

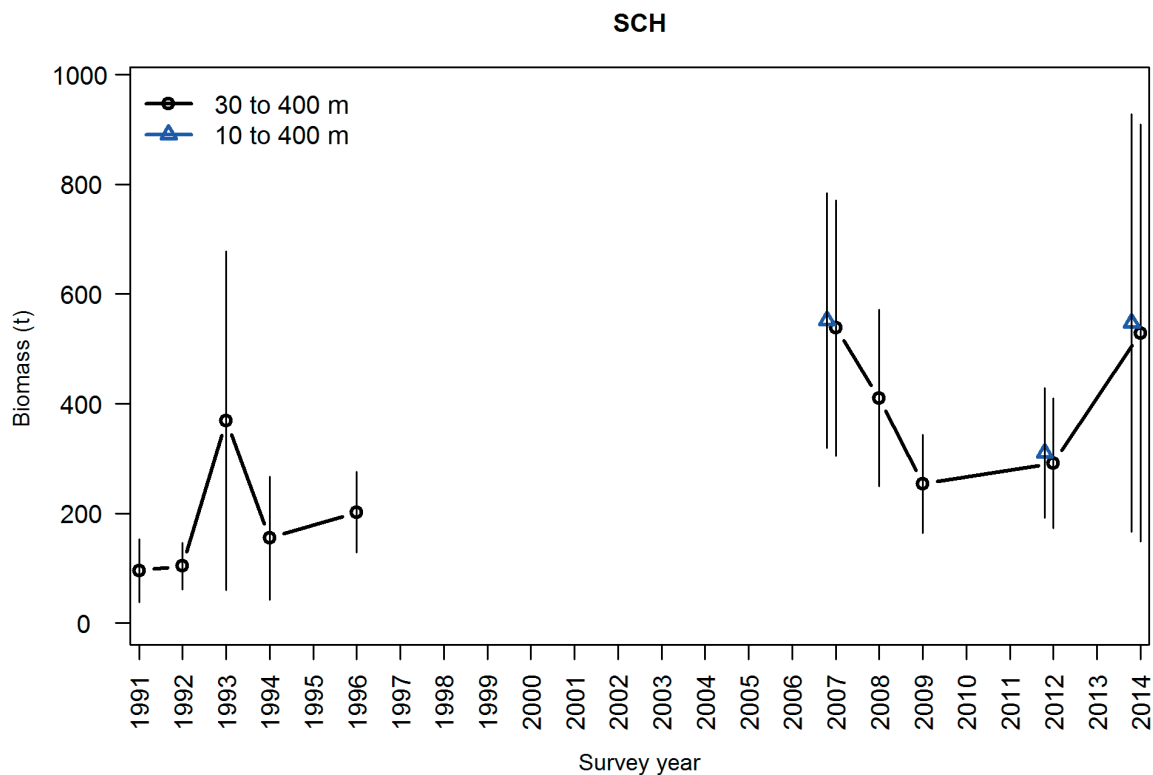
The ECSI winter surveys from 1991 to 1996 in 30–400 m were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range, but these were discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007 and this time included additional 10–30 m strata in an attempt to index elephantfish and red gurnard which were included in the list of target species. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

Biomass in the core strata (30–400 m) for the east coast South Island winter trawl surveys is variable, but was generally higher in years 2007 onward compared with the 1990s (Figure 2, Table 7). The additional biomass captured in the 10–30 m depth range accounted for only about 3% to 6% of the biomass in the core plus shallow strata (10–400 m) for the 2007, 2012 and 2014 surveys, and hence the shallow strata (10–30 m) are probably not essential for monitoring school shark biomass

## **4.2 Length frequency distributions**

### **ECSI**

School shark are most common in 30–100 m with a tendency for the youngest cohorts to be in the shallower depth ranges (Figure 3). The three modes at 35, 50, and 60 cm are all pre-recruited school shark and correspond to ages of 0+, 1+, and 2+. The survey appears to be monitoring pre-recruited cohorts 0+, 1+, 2+ (and possibly a few more older cohorts) reasonably well, but not the recruited school shark size distribution. Plots of time series length frequency distributions are spiky because of the low numbers caught, but the size range is reasonably consistent among surveys. The addition of the 10–30 m depth range has changed the shape of the length frequency distribution only slightly.

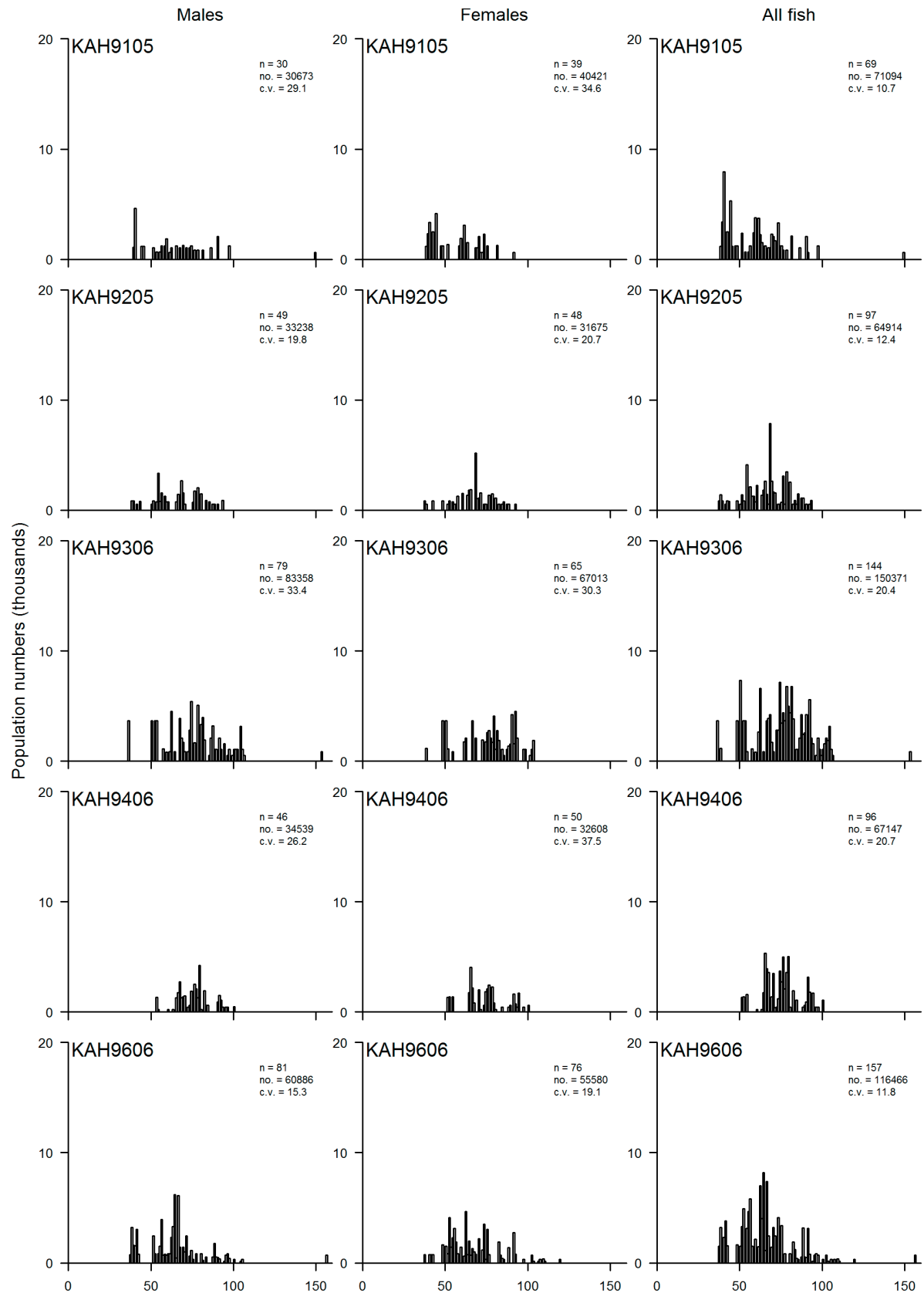


**Figure 2:** School shark total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m), and core plus shallow strata (10–400 m) in 2007, 2012 and 2014.

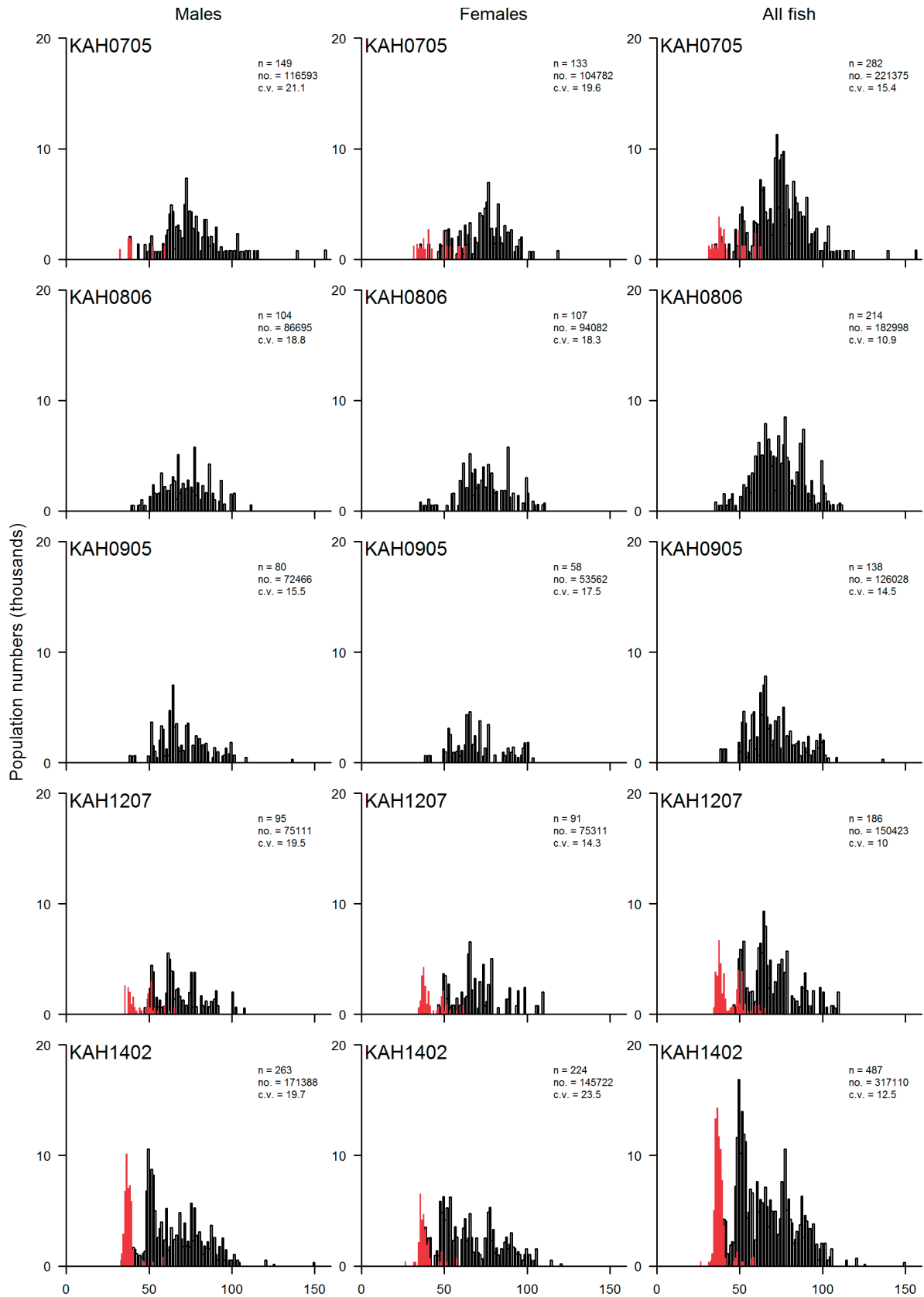
**Table 7:** Relative biomass indices (t) and coefficients of variation (CV) for school shark for the east coast South Island (ECSI) – winter, survey area\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). – , not measured; NA, not applicable.

Region	Fishstock	Year	Trip number	Total Biomass estimate	CV (%)	Total Biomass estimate	CV (%)
ECSI (winter)	SCH				30-400m		10-400m
		1991	KAH9105	100	30	-	-
		1992	KAH9205	104	21	-	-
		1993	KAH9306	369	42	-	-
		1994	KAH9406	155	36	-	-
		1996	KAH9608	202	18	-	-
		2007	KAH0705	538	22	552	21
		2008	KAH0806	411	20	-	-
		2009	KAH0905	254	18	-	-
		2012	KAH1207	292	20	310	19
		2014	KAH1402	529	36	547	35

# SCHOOL SHARK (SCH)



**Figure 3:** Scaled length frequency distributions for school shark in core strata (30–400 m) for all ten ECSI winter surveys. The length distribution is also shown in the 10–30 m depth strata for the 2007, 2012, and 2014 surveys overlaid in red. Population estimates are for the core strata only. n, number of fish measured; no., population number; c.v., coefficient of variation [Continued on next page].



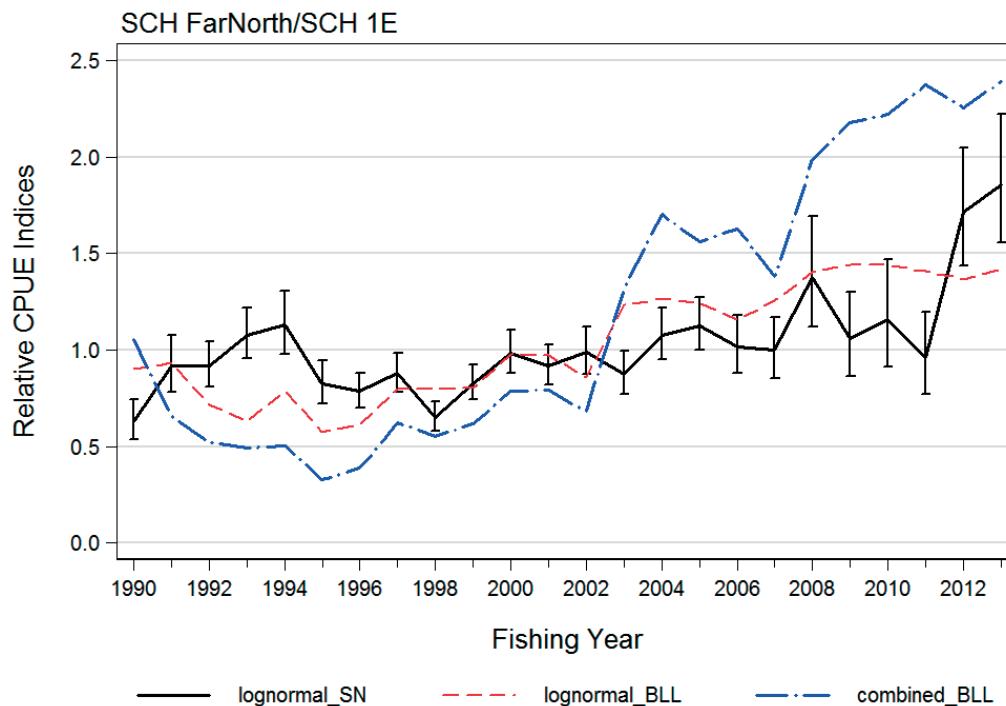
**Figure 3: [Continued]: Scaled length frequency distributions for school shark in core strata (30–400 m) for all ten ECSI winter surveys. The length distribution is also shown in the 10–30 m depth strata for the 2007, 2012, and 2014 surveys overlaid in red. Population estimates are for the core strata only. n, number of fish measured; no., population number; c.v., coefficient of variation.**

## SCHOOL SHARK (SCH)

### CPUE trends by SCH Region (see Table 6)

#### Far North & SCH 1E

The lognormal setnet series shows a shallow increasing trend with a sharp upturn in 2011/12 and 2012/13 (Figure 4). This upturn is seen in the areaXyear implied residual plots for each of the major statistical areas (047, 002 and 007). The increasing trend is also mirrored by the lognormal bottom longline series but that increasing trend is exaggerated from the early 2000s in the combined binomial/lognormal model (Figure 6).



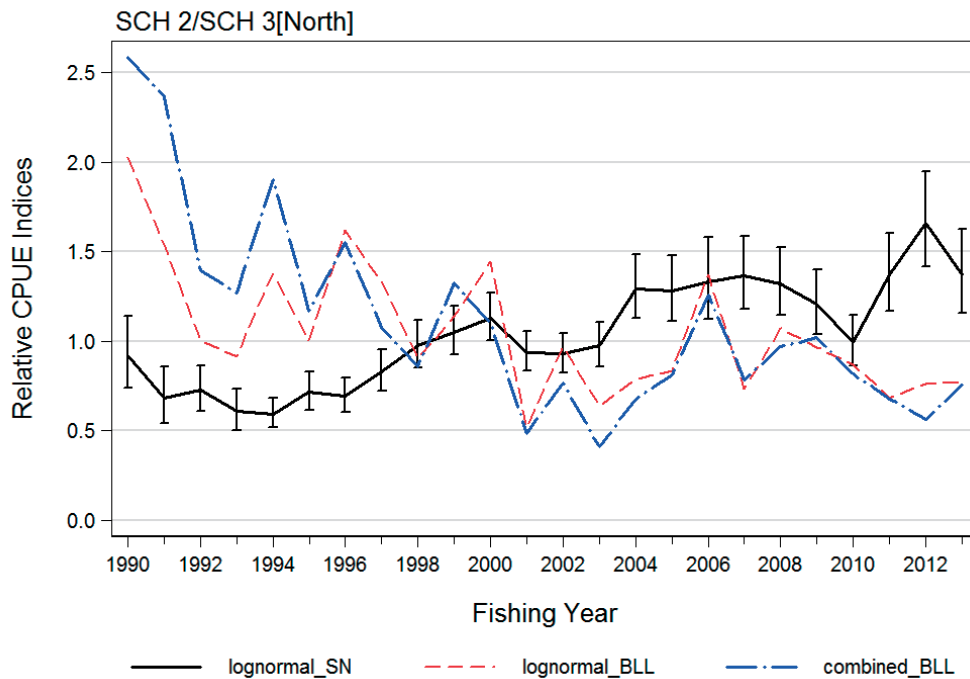
**Figure 4: Far North/SCH 1E region (see Table 5): comparison of the lognormal SN series, the lognormal BLL series and the combined (using the delta-lognormal method) BLL series.**

#### SCH 2 & top of SCH 3

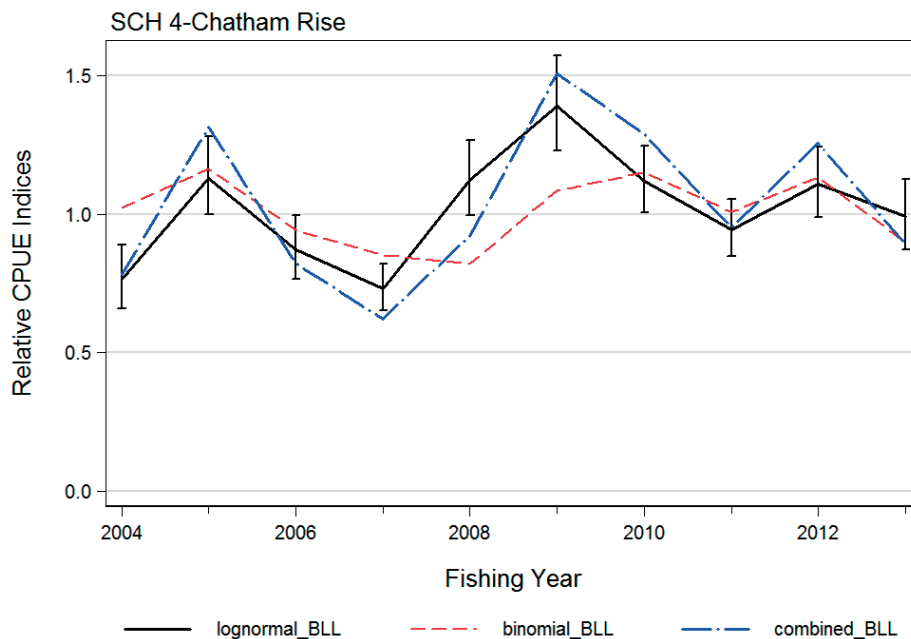
The bottom longline and setnet capture methods provide contradictory trends in this Region, with the setnet series increasing and both the lognormal and combined series decreasing (Figure 5). The reason for this contradiction is unknown. It is possible that the relatively small amount of catch and effort data available from this region is partially responsible for this result.

#### Chatham Rise (SCH 4)

There is no available setnet series to contribute to the monitoring this Chatham Rise region. A standardised CPUE series was constructed from the recent (since 2003/04) bottom longline catch and effort data (Figure 6). This latter series shows no trend over the ten years of indices. Although earlier data are available, it is apparent from their analysis, that there was a substantial change in reporting behaviour between 2002/03 and 2003/04.



**Figure 5: SCH 2 & top of SCH 3 region (see Table 6): comparison of the lognormal SN series, the lognormal BLL series and the combined (using the delta-lognormal method) BLL series.**

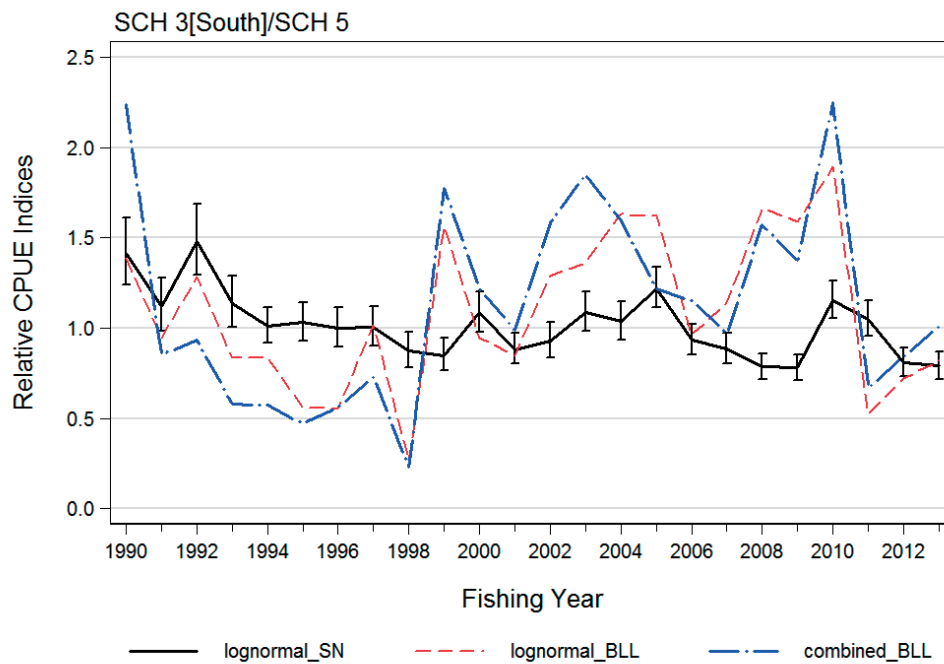


**Figure 6: Chatham Rise (SCH 4) region (see Table 6): comparison of the lognormal SN series, the lognormal BLL series and the combined (using the delta-lognormal method) BLL series.**

### Lower SCH 3 & SCH 5

The lognormal setnet series showed a long and gradual declining trend while there was no trend in either the lognormal or combined bottom longline series (Figure 7). The setnet fishery is known to target large mature fish, but there is no nearby spawning or nursery ground (Francis 2010 and Section 3 above). The inconclusive bottom longline series is likely the result of small amounts of available data, leading to low reliability.

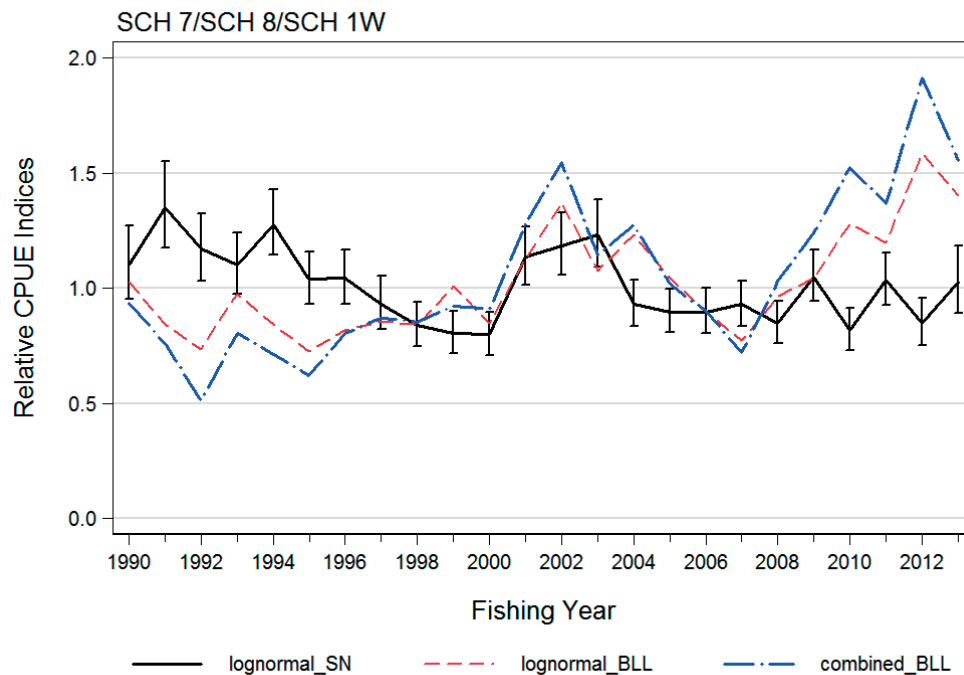
## SCHOOL SHARK (SCH)



**Figure 7: lower SCH 3 & SCH 5 region (see Table 6): comparison of the lognormal SN series, the lognormal BLL series and the combined (using the delta-lognormal method) BLL series.**

## SCH 7, SCH 8 & lower SCH 1W

As seen for the series based mainly in Foveaux Strait and Stewart Island, the lognormal setnet series shows a long and gradual declining trend (Figure 8). However, unlike for the Foveaux Strait series, both of the bottom longline series show a gradually increasing trend, with considerable year-to-year variability.



**Figure 8: SCH 7, SCH 8 & lower SCH 1W region (see Table 6): comparison of the lognormal SN series, the lognormal BLL series and the combined (using the delta-lognormal method) BLL series.**



## SCH overview

SCH are mainly caught in setnet fisheries targeting sharks (school shark, rig, elephantfish and spiny dogfish, depending on the Region); in bottom trawl fisheries targeting red cod, tarakihi, gurnard and snapper and others; and in bottom longline fisheries targeting school shark, hapuku/bass and ling. A large proportion of the school shark catch in the setnet and bottom longline fisheries is taken by targeted effort.

There are similarities in the CPUE time series between regions. For instance, there is good agreement between the increasing trends seen in the setnet fisheries in the Far North, the Bay of Plenty and the east coast of the North Island (Figure 9). Moving around the South Island, there is also good agreement between the decreasing trends seen in Foveaux Strait and Stewart Island and from the central west coast of the North and South Islands (Figure 10).

Similarly, the bottom longline CPUE series show similarities, but these are different from the setnet fishery. The bottom longline fishery operating in the central west coast of the North and South Islands shows an increasing trend, unlike the related series developed from setnet data (Figure 11). The strong downward trend seen in the east coast North Island bottom longline series is not corroborated by other series in nearby regions (Figure 12), although the comparison is compromised by the lack of index values before 2003/04 for the Chatham Islands series.

These contradictory trends are difficult to interpret for a highly mobile species such as this one. In general, it seems that the North and East Coast regions are doing well, showing increasing trends in CPUE. The Southern and West Coast regions have been fluctuating without trend since 2000 after a period of decline of about 30% from 1989 to 1999. The Working Group noted that the setnet fisheries in SCH 5 and SCH 7 have accounted for 26% of the total SCH catch over the past 24 years and that these are the fisheries which have a high proportion of mature fish in the catch. The lack of similarity between the bottom longline and setnet CPUE series within a region may point to these fisheries tending to operate in different areas and depths, and potentially catching different components of the population.

Recent setnet closures have potentially compromised the continuity of setnet indices for SCH 1W, 3, 5 and 7.

### 4.2 Yield estimates and projections

The estimates of *MCY* are no longer considered valid.

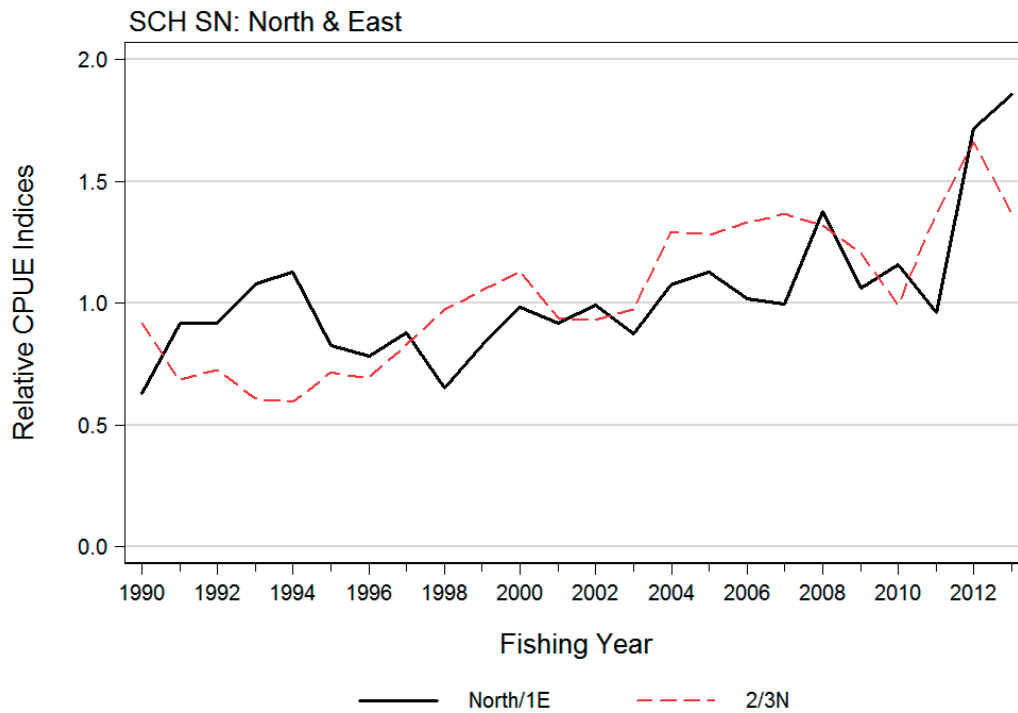
Current biomass cannot be estimated, so *CAY* cannot be determined.

### 4.3 Other factors

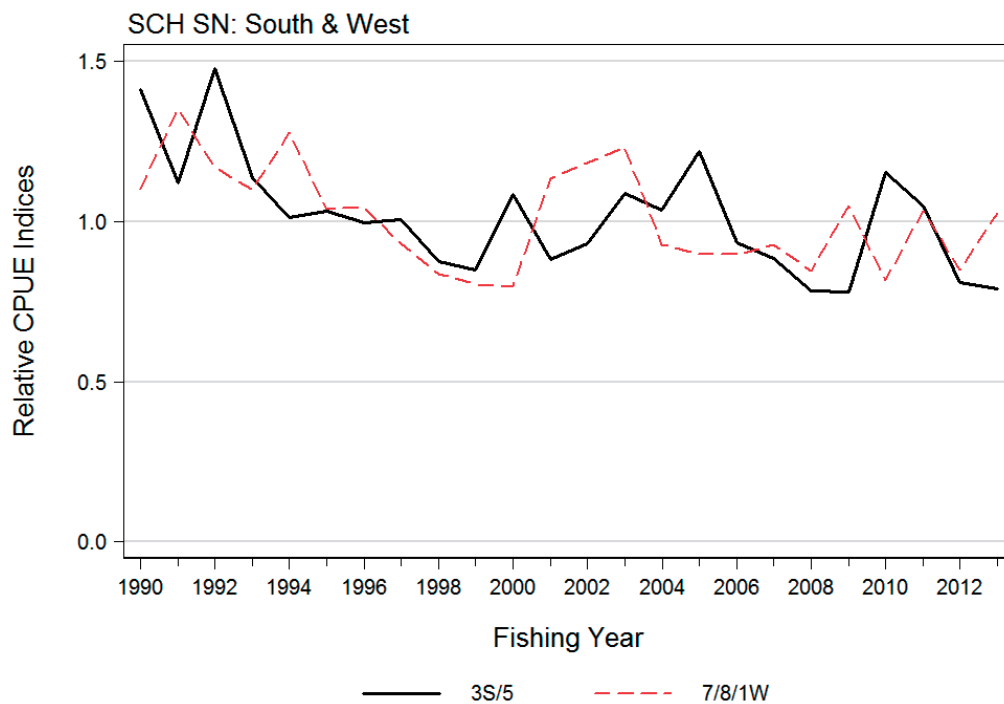
In Australia, recruitment overfishing has occurred to such an extent that the stock is considered seriously threatened and a series of conservative management measures (TAC reductions) have been progressively imposed between 1996 and 2007 (Wilson et al. 2008). The Australian modelling work indicates that the stock is overfished. Wilson et al. (2008) noted that the stock had been in an overfished state and overfishing was occurring from 1992 to 2004. While the stock was still listed as overfished since then, they are uncertain as to whether overfishing is still occurring.

The most important conclusion from this for New Zealand is that fishing pressure on large mature females should be minimised to maintain the productivity of this species.

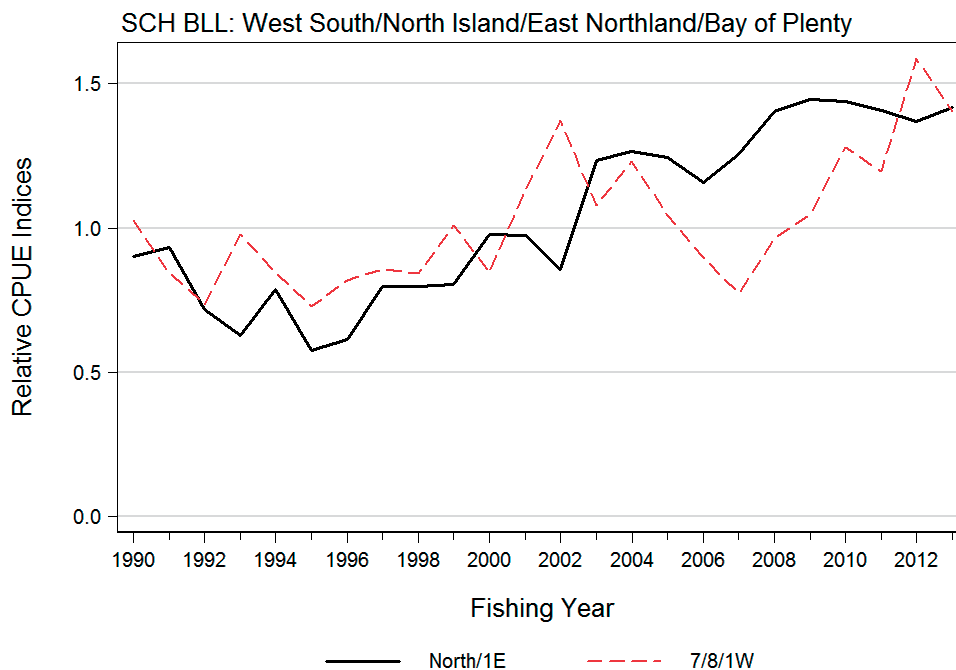
# SCHOOL SHARK (SCH)



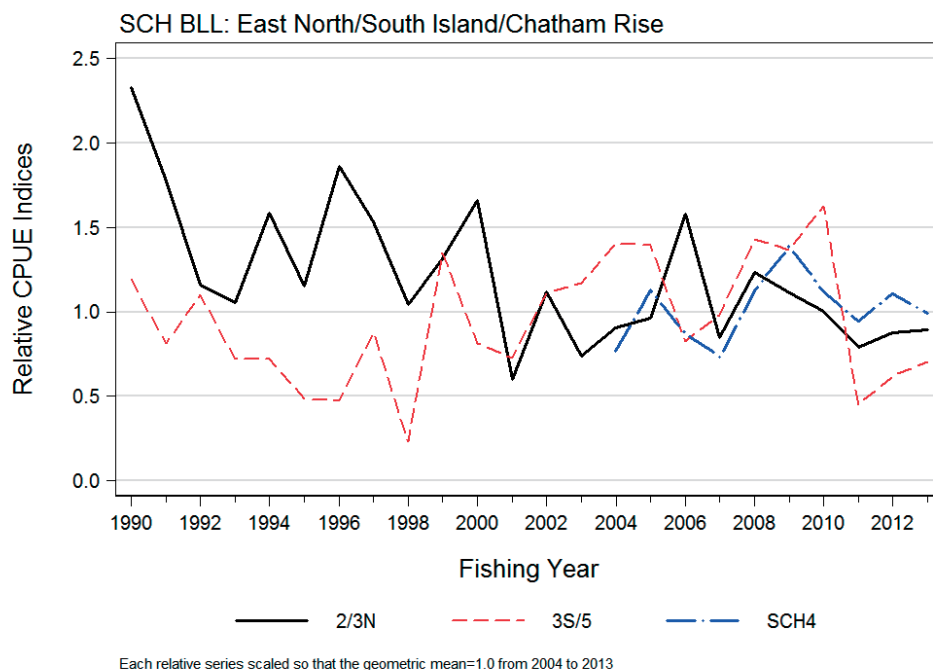
**Figure 9:** Comparison of lognormal setnet series for the North and East sides of New Zealand (Regions N/1E and 2/3N – see Table 6).



**Figure 10:** Comparison of lognormal setnet series for the Southern and Western sides of New Zealand (Regions 3S/5 and 7/8/1W – see Table 6).



**Figure 11: Comparison of lognormal bottom longline series for the Far North and West sides of New Zealand (Regions N/1E and 7/8/1W – see Table 6).**



**Figure 12: Comparison of lognormal setnet series for the East and South coasts of New Zealand and the Chatham Islands (Regions 2/3N, 3S/5 and SCH3 – see Table 6).**

## 5. STATUS OF THE STOCKS

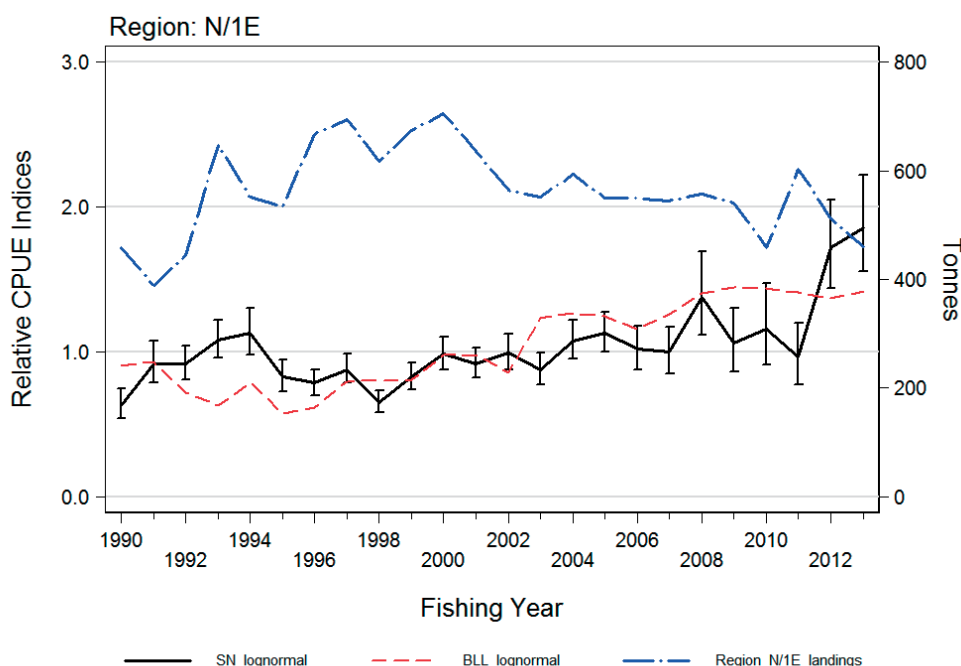
### Stock Structure Assumptions

SCH are known from tagging studies to be highly mobile, moving between the North and South Islands, and as far as Australia. From the tagging evidence, there is probably a single biological SCH stock in the New Zealand EEZ. However, differences in average modal length and CPUE trends between FMAs indicate that movement between areas may be variable, with components of the stock aggregating in

different areas. Larger females predominate in catches around Southland and the west coast of the South Island. Therefore, the current stock management units are a precautionary measure to spread fishing effort and mortality across components of the stock.

Stock Status	
Year of Most Recent Assessment	2014 (Fishery characterisation and CPUE standardisation)
Assessment Runs Presented	Far North & SCH 1E: setnet Far North & SCH 1E : bottom longline
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown

Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)
Status in relation to Overfishing	Unknown

**Historical Stock Status Trajectory and Current Status**

**Comparison of the setnet and bottom longline CPUE series for the N/1E school shark Region. Also shown are the total annual catches (tonnes) for the Region.**

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	The lognormal setnet and bottom longline CPUE series have both increased steadily from the beginning of the series, with the setnet series showing a sharp increase in 2011/12 and 2012/13.
Recent Trend in Fishing Mortality or Proxy	Fishing mortality appears to have been declining because CPUE has increased while catches have remained constant or declined.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Unknown
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%) for current catch
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown
<b>Assessment Methodology</b>	
Assessment Type	Level 2 - Partial Quantitative Stock Assessment
Assessment Method	Evaluation of standardised CPUE indices
Assessment Dates	Latest assessment: 2014    Next assessment: 2015
Overall assessment quality rank	1 – High Quality
Main data inputs (rank)	- Catch and effort data    1 – High Quality
Changes to Model Structure and Assumptions	-The previously accepted indices were based on bottom longline and setnet which were divided at North Cape. This assessment redefined the monitored fishery to be more consistent with the fine scale pattern of fishing.

<b>Major Sources of Uncertainty</b>	-The components of the population fished by each gear type -Interactions with other areas
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**Qualifying Comments**

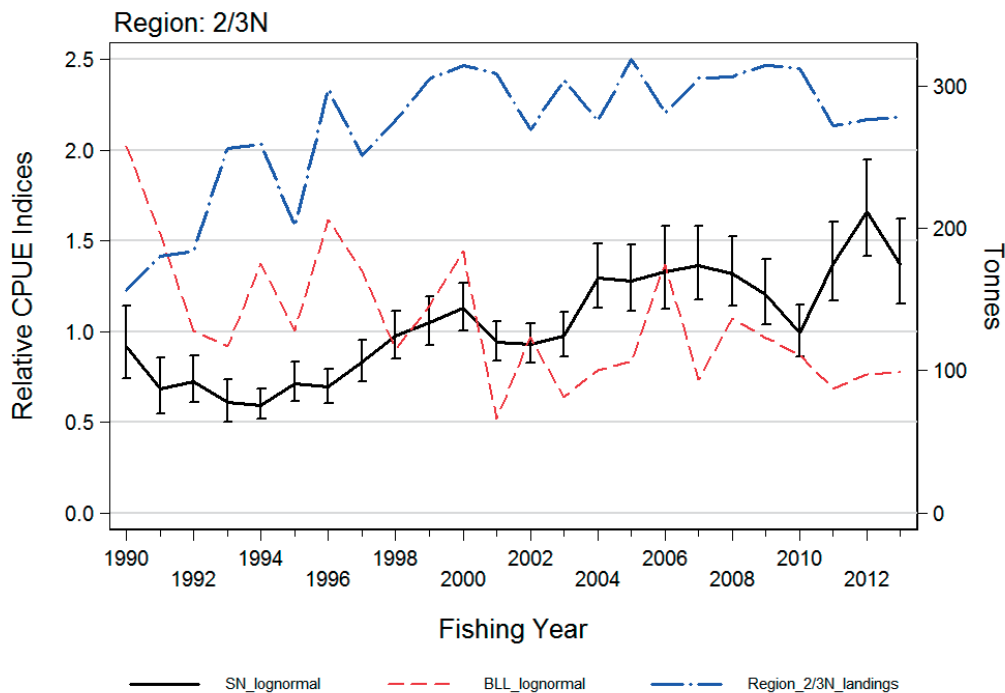
Other available data from trawl surveys, observer records and bottom trawl CPUE indices should be analysed for comparison with the setnet and longline indices. A single New Zealand-wide CPUE index should be developed.

**Fishery Interactions**

Region Far North/SCH 1E catches are primarily taken by bottom trawl (37%) while targeting tarakihi and snapper, with smaller catches when targeting trevally and red gurnard. The bottom longline Far North/SCH 1E fishery (also 37%) is primarily directed at school shark, with hapuku, snapper and bluenose being other important targets. The setnet fishery (19%) is also primarily targeted at school shark, with some targeting of rig, trevally, gurnard and snapper. The bottom pair trawl fishery (only 3%) is almost entirely directed at snapper and trevally, with tarakihi becoming more important in recent years. In the setnet fisheries there is a risk of incidental capture of seabirds, Maui's dolphins on the west coast, other dolphins and New Zealand fur seals.

**SCH 2 & top of SCH 3 (Kaikoura and Pegasus Bay); (2/3N on the map)****Stock Status**

Year of Most Recent Assessment	2014 (Fishery characterisation and CPUE standardisation)
Assessment Runs Presented	SCH 2 & top of SCH 3: setnet SCH 2 & top of SCH 3 : bottom longline
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)
Status in relation to Overfishing	Unknown

**Historical Stock Status Trajectory and Current Status**

Comparison of the setnet and bottom longline CPUE series for the 2/3N school shark Region. Also shown are the total annual catches for the Region.

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	The lognormal setnet CPUE series has been increasing steadily from the mid-1990s, while the longline series has been steadily decreasing since the beginning of the series.
Recent Trend in Fishing Mortality or Proxy	Unknown

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	CPUE trends in this Region are contradictory, with the setnet series increasing while the bottom longline series has been decreasing. It is not known which series (if any) reflect the true underlying abundance.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology</b>	
Assessment Type	Level 2 - Partial Quantitative Stock Assessment
Assessment Method	Evaluation of standardised CPUE indices
Assessment Dates	Latest assessment: 2014      Next assessment: 2015
Overall assessment quality rank	1 – High Quality
Main data inputs	-Catch and effort data      1 – High Quality
Changes to Model Structure and Assumptions	-The previously accepted CPUE series was based on setnet data using mixed target species. This assessment redefined the monitoring fishery to be more consistent with the fine scale pattern of fishing.
Major Sources of Uncertainty	-The components of the population fished by each gear type -Interactions with other areas

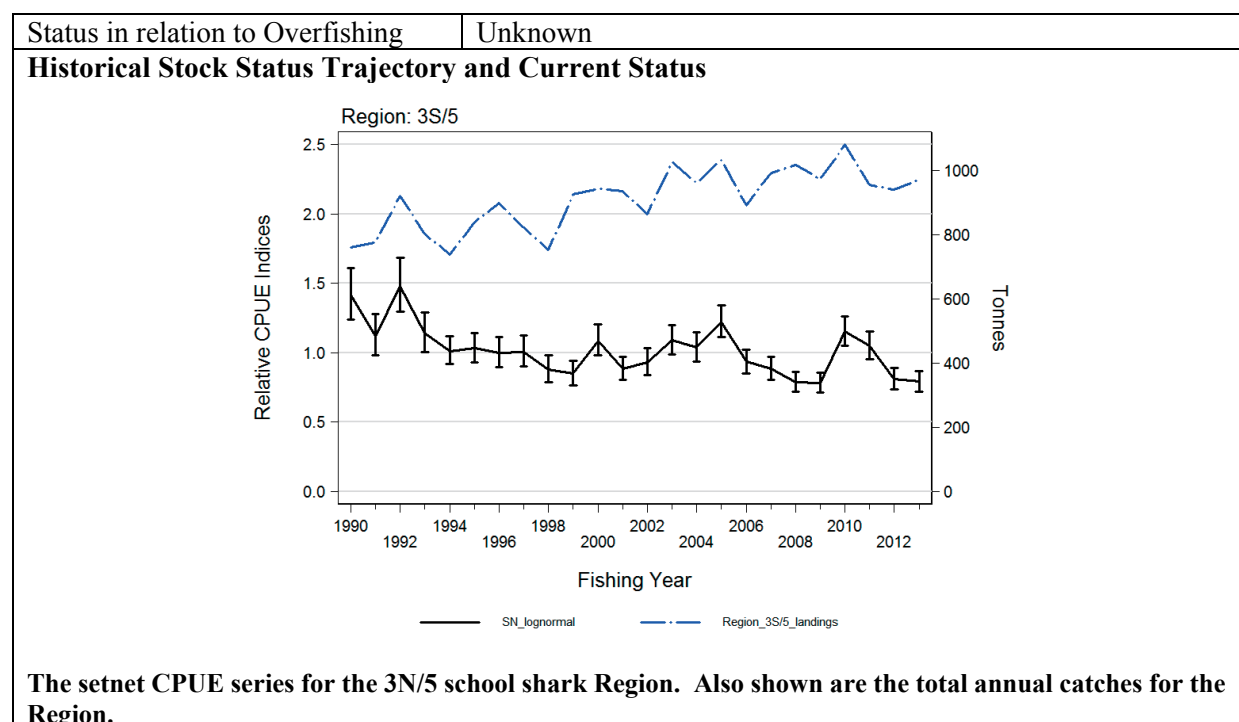
<b>Qualifying Comments</b>
Other available data from trawl surveys, observer records and bottom trawl CPUE indices should be analysed for comparison with the setnet and longline indices. A single New Zealand-wide CPUE index should be developed.

<b>Fishery Interactions</b>
Region SCH 2/SCH 3 North catches are caught primarily in the bottom trawl fishery (45%) targeting tarakihi, hoki, gemfish and gurnard; and the bottom longline fishery (18%) targeting school shark, ling, hapuku/bass and bluenose. 35% of the catch is taken in setnet targeting school shark, blue warehou and blue moki. In the setnet fisheries there is a risk of incidental capture of seabirds, and Hector's dolphins in northern section of SCH 3 (east coast South Island north of Banks Peninsula).

### Lower SCH 3 (Canterbury Bight) & SCH 5 (3S/5 on the map)

<b>Stock Status</b>	
Year of Most Recent Assessment	2014 (Fishery characterisation and CPUE standardisation)
Assessment Runs Presented	Lower SCH 3 & SCH 5: setnet
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)

# SCHOOL SHARK (SCH)



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	The lognormal setnet CPUE index has been fluctuating without trend since 2000 after a period of decline of about 30% from 1989 to 1999.
Recent Trend in Fishing Mortality or Proxy	Catch has been increasing while set-net CPUE has been fluctuating without trend, indicating that fishing intensity is increasing.

Projections and Prognosis	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown for current catch Hard Limit: Unknown for current catch
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown: catch levels have increased in this Region while stock abundance has been fluctuating without trend.

Assessment Methodology	
Assessment Type	Level 2: Partial Quantitative Stock Assessment
Assessment Method	Evaluation of standardised CPUE index series
Assessment Dates	Latest assessment: 2014      Next assessment: 2015
Overall assessment quality rank	1 – High Quality
Main data inputs	-Catch and effort data      1 – High Quality
Changes to Model Structure and Assumptions	-The previously accepted CPUE series was based on setnet data using mixed target species. This assessment redefined the monitoring fishery to be more consistent with the fine scale pattern of fishing.
Major Sources of Uncertainty	-The components of the population fished by each gear type -Interactions with other areas



**Qualifying Comments**

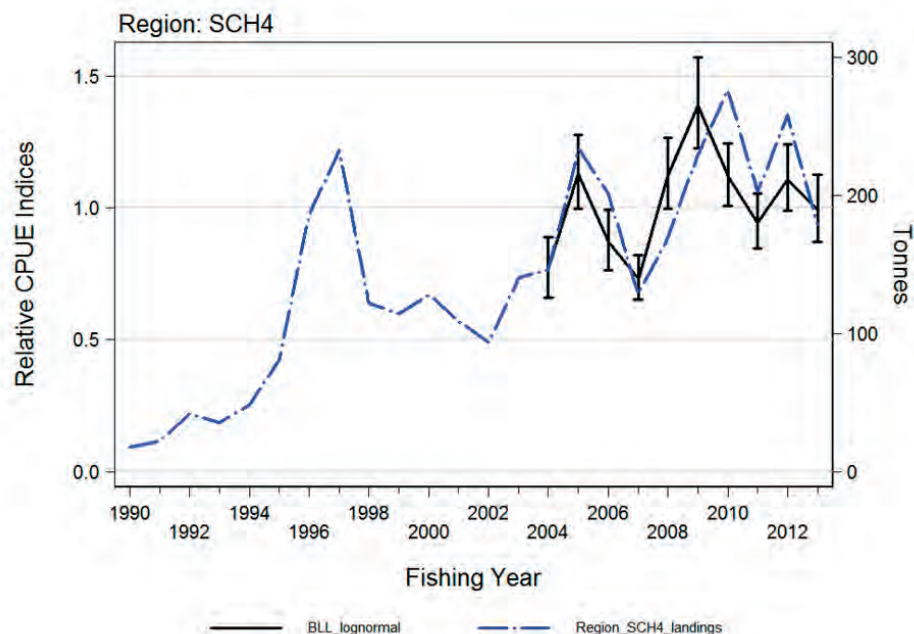
Other available data from trawl surveys, observer records and bottom trawl CPUE indices should be analysed for comparison with the setnet and longline indices. A single New Zealand-wide CPUE index should be developed.

**Fishery Interactions**

Region SCH 3S/5 is predominantly a setnet fishery (76%) targeting school shark and small amounts of rig, with other species being very minor; and in the bottom trawl fishery (16%) targeting red cod, flatfish, barracouta and stargazer. Mixed targeted bottom longline takes 6% of the catch. In the setnet fisheries there is a risk of incidental capture of seabirds, Hector's dolphins, other dolphins and New Zealand fur seals. There is a risk of incidental capture of sea lions from Otago Peninsula south.

**SCH 4****Stock Status**

Year of Most Recent Assessment	2014 (Fishery characterisation and CPUE standardisation)
Assessment Runs Presented	SCH 4 (Chatham Rise): bottom longline
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%) to be below
Status in relation to Overfishing	Unknown

**Historical Stock Status Trajectory and Current Status**

Bottom longline CPUE series for the SCH4 school shark Region. Also shown are the total annual catches for the Region.

**Fishery and Stock Trends**

Recent Trend in Biomass or Proxy	The bottom longline CPUE series is too short to enable conclusions, with the earlier data having been compromised by a reporting change.
Recent Trend in Fishing Mortality or Proxy	Unknown

**SCHOOL SHARK (SCH)**

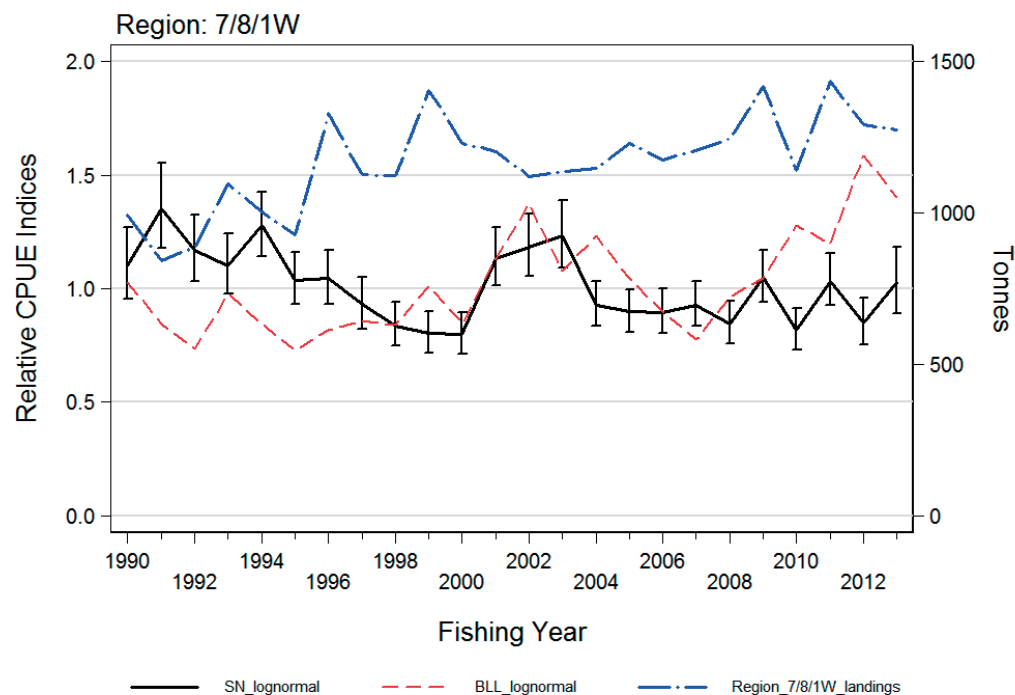
<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unknown
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

Assessment Methodology		
Assessment Type	Level 2 – Partial Quantitative Stock Assessment	
Assessment Method	Evaluation of standardised CPUE indices	
Assessment Dates	Latest assessment: 2014	Next assessment: 2015
Overall assessment quality rank	2 – Medium or Mixed Quality: short time series	
Main data inputs	-Catch and effort data	2 – Medium or Mixed Quality: short time series
Changes to Model Structure and Assumptions	This is the first time this Region has been monitored.	
Major Sources of Uncertainty	-The components of the population fished by each gear type -Interactions with other areas	
Qualifying Comments		
Other available data from trawl surveys, observer records and bottom trawl CPUE indices should be analysed for comparison with the setnet and longline indices. A single New Zealand-wide CPUE index should be developed.		

<b>Fishery Interactions</b>
Region SCH 4 (Chatham Rise) catches are caught primarily in the bottom longline fishery (81%) targeting school shark, ling, hapuku/bass and bluenose. In the bottom longline fishery there is a risk of incidental capture of seabirds.

**SCH 7, SCH 8 & lower SCH 1W (7/8/1W on the map)**

<b>Stock Status</b>	
Year of Most Recent Assessment	2014
Assessment Runs Presented	SCH 7, SCH 8 & lower SCH 1W: setnet SCH 7, SCH 8 & lower SCH 1W: bottom longline
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%) to be below
Status in relation to Overfishing	Unknown

**Historical Stock Status Trajectory and Current Status**

Lognormal indices from the setnet target shark CPUE series and the bottom longline fishery targeted at Hapuku, Bluenose, School Shark and Ling. Also shown are the landings for the Region.

**Fishery and Stock Trends**

Recent Trend in Biomass or Proxy	The lognormal setnet CPUE index has been fluctuating without trend since 2004 after a period of decline of about 33% from 1989 to 2000. The bottom longline index has increased in recent years.
Recent Trend in Fishing Mortality or Proxy	Unknown

**Projections and Prognosis**

Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown for current catches Hard Limit: Unlikely (< 40%) for current catches
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

**Assessment Methodology**

Assessment Type	Level 2 – Partial Quantitative Stock Assessment	
Assessment Method	Evaluation of standardised CPUE index series	
Assessment Dates	Latest assessment: 2014	Next assessment: 2015
Overall assessment quality rank	1 – High Quality	
Main data inputs	-Catch and effort data	1 – High Quality
Changes to Model Structure and Assumptions	-The previously accepted CPUE series was based on setnet and bottom longline data using mixed target species. This assessment redefined the monitoring fishery to be more consistent with the fine scale pattern of fishing	
Major Sources of Uncertainty	-The components of the population fished by each gear type -Interactions with other areas	

<b>Qualifying Comments</b>
Other available data from trawl surveys, observer records and bottom trawl CPUE indices should be analysed for comparison with the setnet and longline indices. A single New Zealand-wide CPUE index should be developed.
<b>Fishery Interactions</b>
Region SCH 7/8/1W are caught by setnet (43%) targeting school shark and rig ; bottom longline (30%) targeting school shark and hapuku/bass; and bottom trawl (24%) targeting barracuda, tarakihi, flatfish, hoki, red cod and others. In the setnet fisheries there is a risk of incidental capture of seabirds, dolphins and New Zealand fur seals.

## 6. POTENTIAL FUTURE RESEARCH

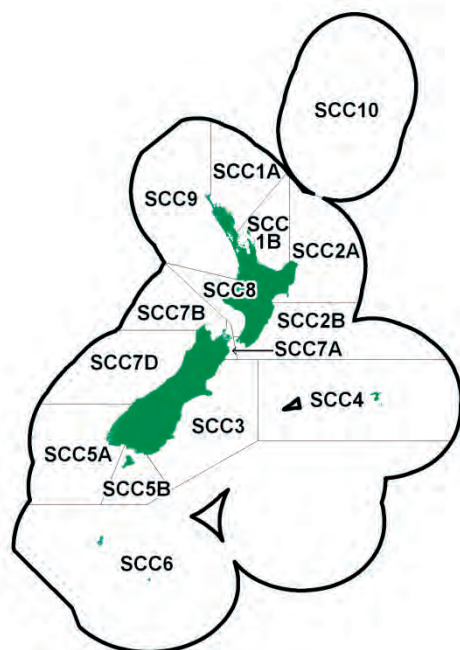
1. A single New Zealand-wide CPUE index should be developed by weighting each index by the landings from each set of statistical areas.
2. Other available data from trawl surveys, observer records and bottom trawl CPUE indices should be analysed for comparison with the setnet and longline indices.
3. Length and age data should be examined to determine which components of the population are fished by each gear type.

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## SEA CUCUMBER (SCC)

*(Stichopus mollis)*

## 1. FISHERY SUMMARY

Sea cucumbers were introduced into the Quota Management System on 1 April 2004. The fishing year is from 1 April to 31 March. A breakdown of each QMA's Total Allowable Catch (TAC) is listed in Table 1. Each TAC is made up of a total allowable commercial catch (TACC), customary, and recreational allocation and has remained unchanged since entering the QMS.

### 1.1 Commercial fisheries

More than 100 species of sea cucumber are found in New Zealand waters, but *Stichopus mollis* is the only species of commercial value, and the only species for which exploratory commercial fishing has taken place. Sea cucumbers are currently targeted only by diving but they are also a common bycatch of bottom trawl and scallop dredge fisheries. Sea cucumber landings of all species are reported as a single code (SCC), although most reported landings are probably *S. mollis*, as other species have no commercial value.

**Table 1: Recreational and customary non-commercial allowances (t), Total Allowable Commercial Catches (TACC, t) and Total Allowable Catch (TAC, t) as declared for SCC on introduction into the QMS in October 2004.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	TACC	TAC
SCC 1A	3	2	2	7
SCC 1B	4	2	2	8
SCC 2A	1	1	2	4
SCC 2B	4	2	5	11
SCC 3	2	1	2	5
SCC 4	1	1	2	4
SCC 5A	1	1	2	4
SCC 5B	1	1	2	4
SCC 6	0	0	0	0
SCC 7A	2	1	5	8
SCC 7B	2	1	5	8
SCC 7D	1	1	2	4
SCC 8	1	1	2	4
SCC 9	1	1	2	4
SCC 10	0	0	0	0
TOTAL	24	16	35	75



**Table 2: TACCs and reported landings (t) of sea cucumber by Fishstock from 1990–91 to 2013–14 from CELR and TCEPR data. Until 2003–04 management areas are the same as FMAs, since when FMAs 1, 2, 5, and 7 were subdivided. These landings are reported in the second and third parts of this table.**

Fishstock	SCC 1		SCC 2		SCC 3		SCC 4	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1998–99	0	-	0	-	0.032	-	0	-
1999–00	0	-	0	-	0.04	-	0.01	-
2000–01	0.037	-	0	-	0.652	-	0.001	-
2001–02	0.16	-	0.012	-	1.005	-	1.683	-
2002–03	0.39	-	0.365	-	4.616	-	0.92	-
2003–04	0.07	N/A	N/A	N/A	3.785	2	0.115	2
2004–05	N/A	N/A	N/A	N/A	1.136	2	0.4	2
2005–06	N/A	N/A	N/A	N/A	2.853	2	0	2
2006–07	N/A	N/A	N/A	N/A	2.699	2	0.004	2
2007–08	N/A	N/A	N/A	N/A	3.673	2	0	2
2008–09	N/A	N/A	N/A	N/A	3.795	2	0	2
2009–10	N/A	N/A	N/A	N/A	0.366	2	0.009	2
2010–11	N/A	N/A	N/A	N/A	0.780	2	0.009	2
2011–12	N/A	N/A	N/A	N/A	3.397	2	0.004	2
2012–13	N/A	N/A	N/A	N/A	8.543	2	0.0004	2
2013–14	N/A	N/A	N/A	N/A	6.772	2	0.005	2

Fishstock	SCC 1A		SCC 1B		SCC 2A		SCC 2B		SCC 5A	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
2003–04	0	2	0	2	0	2	0	5	0	2
2004–05	0	2	1.503	2	0	2	0	5	0.005	2
2005–06	0	2	1.429	2	0	2	0	5	0	2
2006–07	0	2	2.089	2	0	2	0	5	0	2
2007–08	0.120	2	2.176	2	0	2	0	5	0	2
2008–09	0.122	2	0.531	2	0	2	0	5	0.001	2
2009–10	0.176	2	1.780	2	0	2	0.190	5	0	2
2010–11	0.012	2	1.403	2	0	2	0.047	5	0	2
2011–12	1.468	2	2.013	2	0	2	0.666	5	0.307	2
2012–13	0.361	2	1.680	2	0	2	0.107	5	0	2
2013–14	0	2	1.614	2	0	2	0.193	5	0	2

Fishstock	SCC 5B		SCC 6		SCC 7A		SCC 7B		SCC 7D	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
2003–04	0.005	2	0	0	0	5	0	5	0	2
2004–05	0.102	2	5	0	3.194	5	1.076	5	0	2
2005–06	0.002	2	0.310	0	5.467	5	0.122	5	0	2
2006–07	0	2	0	0	0.17	5	0.04	5	0	2
2007–08	0.004	2	0	0	8.341	5	0	5	0.023	2
2008–09	0.018	2	0.011	0	4.190	5	0	5	0	2
2009–10	0	2	0	0	4.314	5	1.357	5	0	2
2010–11	0.014	2	0	0	5.086	5	5.458	5	0	2
2011–12	0.366	2	0.042	0	4.768	5	4.700	5	2.146	2
2013–13	0.109	2	0	0	4.973	5	4.274	5	0	2
2013–14	1.806	2	0	0	5.097	5	5.228	5	0	2

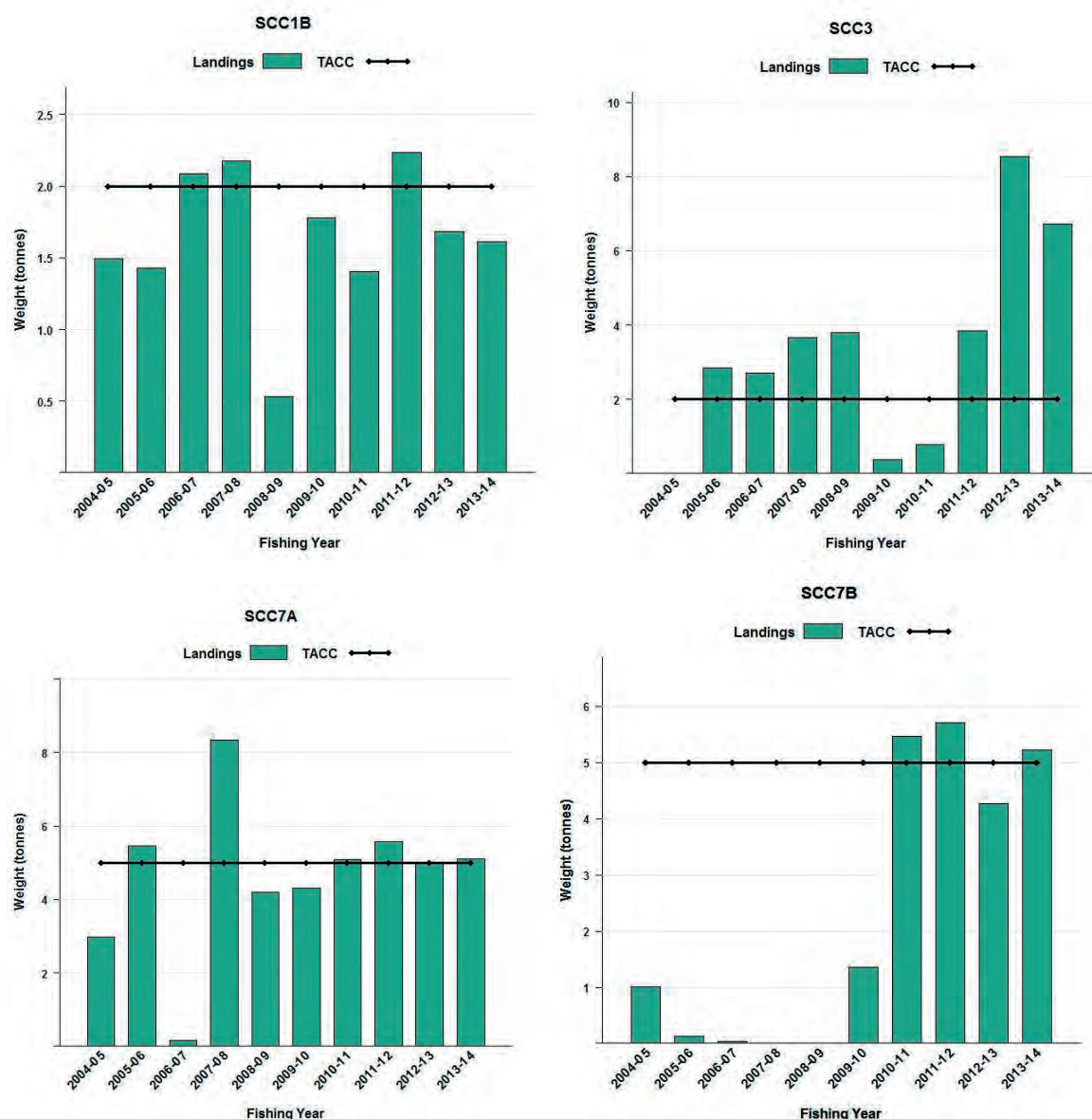
  

Fishstock	SCC 9		SCC 10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC
1990–91	0	-	0	-	4.653 <sup>+</sup>	-
1991–92	0	-	0	-	3.843 <sup>+</sup>	-
1992–93	0	-	0	-	0.682 <sup>+</sup>	-
1993–94	0	-	0	-	2.5 <sup>+</sup>	-
1994–95	0	-	0	-	2.41 <sup>+</sup>	-
1995–96	0	-	0	-	2.679 <sup>+</sup>	-
1996–97	0	-	0	-	1.415 <sup>+</sup>	-
1997–98	0.05	-	0	-	0.148	-
1998–99	0	-	0	-	0.032	-
1999–00	0	-	0	-	0.052	-
2000–01	0	-	0	-	1.659	-
2001–02	0	-	0	-	8.954	-
2002–03	0	-	0	-	16.847*	-
2003–04	0	2	0	0	21.861	35
2004–05	0.016	2	0	0	12.213	35
2005–06	0	2	0	0	10.183	35
2006–07	0.01	2	0	0	5.012	35
2007–08	0.001	2	0	0	14.315	35
2008–09	0.074	2	0	0	8.731	35
2009–10	0.029	2	0	0	8.221	35
2010–11	0.137	2	0	0	12.946	35
2011–12	0.141	2	0	0	20.249	35
2012–13	0.126	2	0	0	21.082	35
2013–14	0	2	0	0	21.778	35

\*In 2002–03 50 kg were reportedly landed, but the QMA is not recorded. This amount is included in the total landings for that year.

<sup>+</sup>In 1990–1997, catch was reported, but no QMA was, therefore only the total is shown.

## SEA CUCUMBER (SCC)



**Figure 1: From Top Left: Reported commercial landings and TACC for SCC 1B (Hauraki Gulf, Bay of Plenty), SCC 3 (South East Coast), SCC 7A (Challenger Marlborough Sounds) and SCC 7B (Challenger Nelson). Note that these figures do not show data prior to entry into the QMS.**

Between 1990 and 2001 about 45% of the catch was taken as bycatch in scallop dredging in Tasman and Golden Bays. About 13% was taken as bycatch in bottom trawling around the Auckland Islands, and about 38% was taken by diving. The remainder of the bycatch has been reported from mid-water trawls, rock lobster pots and bottom longlining.

Reported landings have generally been small except for the period between 2001–2002 and 2005–2006, when they ranged between about 9 and 22 t (Table 2). Most of this catch was bycatch from bottom trawling in SSC 6. The catches taken by diving were from Fisheries Statistical Area 031 (Fiordland) in 1990–91 (when a special permit was being operated) and 1995–96. The historical landings and TACC for the main SCC stocks are depicted in Figure 1.

### 1.2 Recreational fisheries

Recreational fishing surveys indicate that sea cucumbers are not caught by recreational fishers. It is likely that members of the Asian community harvest sea cucumber, but their fishing activity is poorly represented in the recreational surveys.



### 1.3 Customary non-commercial fisheries

There is no documented customary non-commercial use of sea cucumbers.

### 1.4 Illegal catch

There is no known illegal catch of sea cucumbers.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although sea cucumbers are often taken as a bycatch in bottom trawl and dredge fisheries.

## 2. BIOLOGY

*Stichopus mollis* is distributed throughout New Zealand, and as far south as the Snares Islands. It also occurs off west and south Australia. It is found in shallow water between 5 and 40 m in a wide range of habitats from rocky shores to sandy bottoms. It is common in north-east New Zealand, Fiordland, the Marlborough Sounds, and Stewart Island, and displays a preference for sheltered coastline with complex and diverse habitats. *S. mollis* is less common on exposed coasts, but if present, tends to be in deeper water.

Sea cucumbers are mobile and form part of the benthic epifaunal community where they are detritus feeders. If disturbed, they can eviscerate their entire gut which can then be regenerated. They tend to be sedentary in suitable habitat, but are able to move away relatively quickly if stressed.

Little is known about the biology of *S. mollis*. They have an annual reproductive cycle, spawning between November and February. The sexes are separate and develop synchronously. They are broadcast spawners, eggs and sperm are released into the water column, and following fertilization, they undergo a 3 to 4 week larval phase before settlement. Populations from sheltered areas such as fiords and sheltered bays may be largely 'self seeding', while larvae released on open coasts may disperse more widely.

There is some evidence that recruitment and growth are both patchy and variable. Recruited fish appear in the adult population at about 10–12 cm (40–60 g) and adults grow to about 18–20 cm (180 g). During an exploratory fishing survey in Fiordland (SCC 5A) in 1989, divers observed small *S. mollis* under rubble, suggesting that pre-recruit sea cucumbers may have different habitat preferences to adults. By contrast, comprehensive surveying in the Mahurangi harbour (SCC 1B) showed the substratum at sites with high densities of juveniles to be dominated by silt and mud with large shell fragments (over 10 cm) of the horse mussel *Atrina zelandica* (Morrison 2000). The restricted distribution of juveniles at this locality was shown to be unrelated to sediment type, and theorized to be a consequence of localised effects such as predation or larval settlement (Slater & Jeffs 2010). Caging studies comparing growth at different densities underneath and away from a Coromandel mussel farm (SCC 1B) showed that growth ranged from a 15.4% increase in weight over 6 months, at a density of 2.5 per m<sup>2</sup> under a mussel farm, to a 13.9% decrease in weight over 2 months, at a density of 15 per m<sup>2</sup> away from the mussel farm (Slater & Carton 2007). Age at maturity is thought to be about 2 years, and the life span of *S. mollis* is thought to be between 5 and 15 years.

## 3. STOCKS AND AREAS

The management of sea cucumbers is based on 15 QMAs, which are a combination of existing and sub-divided FMAs. Although there is currently little biological or fishery information which could be used to identify stock boundaries, the QMAs recognise that sea cucumbers are a sedentary shallow water species, and that many sheltered populations may be isolated and vulnerable to localised depletion. Finer scale QMAs therefore provide a mechanism whereby stocks can be managed more appropriately. Also, because it is likely that the same group of commercial fishers will be targeting kina and sea cucumbers, and because there are some similarities in their respective habitats, the QMAs for sea cucumber are the same as those for kina.

## 4. STOCK ASSESSMENT

### 4.1 Estimates of fishery parameters and abundance

There are no estimates of fishery parameters or abundance for any sea cucumber fishstock.

### 4.2 Biomass estimates

There are no biomass estimates for any sea cucumber fishstock, although estimates exist for some discrete areas. For Fiordland, crude biomass estimates of 59, 89, 97 and 134 t for Thompson, Bradshaw, Charles and Doubtful Sounds respectively are reported by Mladenov & Gerring (1991), and Mladenov & Campbell (1998). Their survey did not include the outer coastline, but extrapolating to all fiords between Puysegur Point and Cascade Point, they estimate a total biomass of 1937 t in the 0 to 20 m depth range.

### 4.3 Yield estimates and projections

There are no estimates of *MCY* for any sea cucumber fishstock.

There are no estimates of *CAY* for any sea cucumber fishstock.

## 5. STATUS OF THE STOCKS

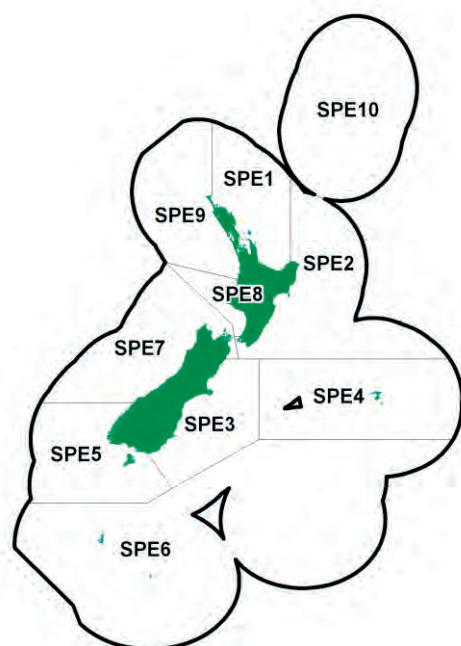
There are no estimates of reference or current biomass for any sea cucumber fishstock.

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**SEA PERCH (SPE)***(Helicolenus percoides)*

Pohuiakaroa

**1. FISHERY SUMMARY**

Sea perch was introduced into the QMS from 1 October 1998. Current TACs, TACCs and allowances for non-commercial fishers are displayed in Table 1.

**Table 1: Recreational and customary non-commercial allowances and Current TACCs, by Fishstock, for sea perch.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other sources of mortality	TACC	TAC
SPE 1	1	1	0	33	35
SPE 2	9	5	0	79	93
SPE 3	11	11	0	1 000	1 022
SPE 4	0	0	46	910	956
SPE 5	1	1	0	36	38
SPE 6	0	0	0	9	9
SPE 7	8	8	0	82	98
SPE 8	4	2	0	15	21
SPE 9	1	1	0	6	8
SPE 10	0	0	0	0	0

**1.1 Commercial fisheries**

From 1 October 2000 the TACC for SPE 3 was increased to 1000 t under the Adaptive Management Programme (AMP). The TACC for SPE 4 was increased from 533 t to 910 t from 1 October 2004 under the low knowledge bycatch framework, and from 1 October 2006 the TACC for SPE 1 was increased from 18 to 33 t. In SPE 1 landings were above the TACC for a number of years and the TACC was increased to the average of the previous 7 years plus an additional 10%. The historical landings and TACC values for the four major SPE stocks are depicted in Figure 1.

Very small quantities of sea perch have been landed for local sale for many years, but were largely unreported. Catches have been made by foreign vessels since the 1960s, but were also not recorded (they were most probably included within a “mixed” or “other finfish” category), and most were probably discarded. Despite poor reporting rates, estimated landings are thought to have increased from 400 t in the early 1980s to approximately 2000 t in recent years; an unknown quantity has been discarded over this period.

## SEA PERCH (SPE)

About 75% of New Zealand's landed sea perch is taken as a bycatch in trawl fisheries off the east coast of the South Island, including the Chatham Rise. A small catch is made in some central and southern line fisheries, e.g., for groper. Recent reported landings of sea perch by QMAs are shown in Table 2. The most important QMAs in most years are QMA 3 (east coast South Island) and QMA 4 (Chatham Rise).

The catch from SPE 3 is spread throughout the fishing year. There is a variable seasonal distribution between years. A higher proportion of the catch is taken during April, May and September and catches are lower from December to February, and in July. Most of the SPE 3 catch is taken as a bycatch from the red cod (about 30 %) and hoki fisheries (15%) and from the sea perch target fishery (21%). The remainder is taken as a bycatch from the target barracouta, flatfish, ling, squid and tarakihi fisheries. Virtually all the SPE 3 catch is taken by bottom trawling, with a small proportion taken by bottom longline. SPE 3 catch rates are highest between 150–400 m depth.

The trawl fisheries operating in SPE 4 catch sea perch along the northern and southern edge of the Chatham Rise between 200 and 700 m depth. The majority of the SPE 4 catch is taken as a bycatch of the hoki target fishery (about 59%), with the ling and hake fisheries accounting for around 25% and 10% of the total SPE 4 catch, respectively.

**Table 2: Reported landings (t) for the main QMAs from 1931 to 1990.**

Year	SPE 1	SPE 2	SPE 3	SPE 4	Year	SPE 1	SPE 2	SPE 3	SPE 4
1931	0	0	0	0	1957	0	0	1	0
1932	0	0	0	0	1958	0	0	1	0
1933	0	0	0	0	1959	0	0	1	0
1934	0	0	0	0	1960	0	0	1	0
1935	0	0	0	0	1961	0	0	1	0
1936	0	0	0	0	1962	0	0	0	0
1937	0	0	0	0	1963	0	0	0	0
1938	0	0	0	0	1964	0	0	1	0
1939	0	0	0	0	1965	0	0	2	0
1940	0	0	0	0	1966	0	0	1	0
1941	0	0	0	0	1967	0	0	1	0
1942	0	0	0	0	1968	1	0	1	0
1943	0	0	0	0	1969	1	0	3	0
1944	0	0	4	0	1970	1	2	7	0
1945	0	0	2	0	1971	6	0	7	0
1946	0	0	2	0	1972	1	1	2	0
1947	0	0	2	0	1973	0	0	0	0
1948	0	0	1	0	1974	0	0	0	0
1949	0	0	2	0	1975	0	0	0	0
1950	0	0	1	0	1976	0	0	0	0
1951	0	0	5	0	1977	0	0	0	0
1952	0	0	2	0	1978	0	0	2	11
1953	0	0	1	0	1979	0	18	92	248
1954	0	0	0	0	1980	0	1	8	100
1955	0	0	1	0	1981	6	0	70	253
1956	0	0	0	0	1982	22	1	176	164
Year	SPE 5	SPE 7	SPE 8	SPE 9	Year	SPE 5	SPE 7	SPE 8	SPE 9
1931	0	0	0	0	1957	0	0	0	0
1932	0	0	0	0	1958	0	0	0	0
1933	0	0	0	0	1959	0	0	0	0
1934	0	0	0	0	1960	0	0	0	0
1935	0	0	0	0	1961	0	0	0	0
1936	0	0	0	0	1962	0	0	0	0
1937	0	0	0	0	1963	0	0	0	0
1938	0	0	0	0	1964	0	0	0	0
1939	0	0	0	0	1965	0	0	0	0
1940	0	0	0	0	1966	0	0	0	0
1941	0	0	0	0	1967	0	0	0	0
1942	0	0	0	0	1968	0	0	0	0

**Table 2 [Continued]**

Year	SPE 5	SPE 7	SPE 8	SPE 9	Year	SPE 5	SPE 7	SPE 8	SPE 9
1943	0	0	0	0	1969	0	1	0	0
1944	29	0	0	0	1970	0	13	0	0
1945	0	0	0	0	1971	0	0	0	0
1946	0	0	0	0	1972	0	0	0	0
1947	0	0	0	0	1973	0	0	0	0
1948	0	0	0	0	1974	0	0	0	0
1949	2	0	0	0	1975	0	0	0	0
1950	2	0	0	0	1976	0	0	0	0
1951	1	0	0	0	1977	0	0	0	0
1952	0	0	0	0	1978	13	11	0	0
1953	0	0	0	0	1979	54	14	1	3
1954	0	0	0	0	1980	40	38	0	0
1955	0	0	0	0	1981	32	15	0	1
1956	0	0	0	0	1982	31	17	1	1

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns; Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

**Table 3: Reported landings (t) of sea perch by fishstock and fishing year, 1983–84 to 2013–14. The data in this table have been updated from that published in previous Plenary Reports by using the data up to 1996–97 in table 38 on p. 278 of the “Review of Sustainability Measures and Other Management Controls for the 1998–99 fishing year - Final Advice Paper” dated 6 August 1998. [Continued on next page].**

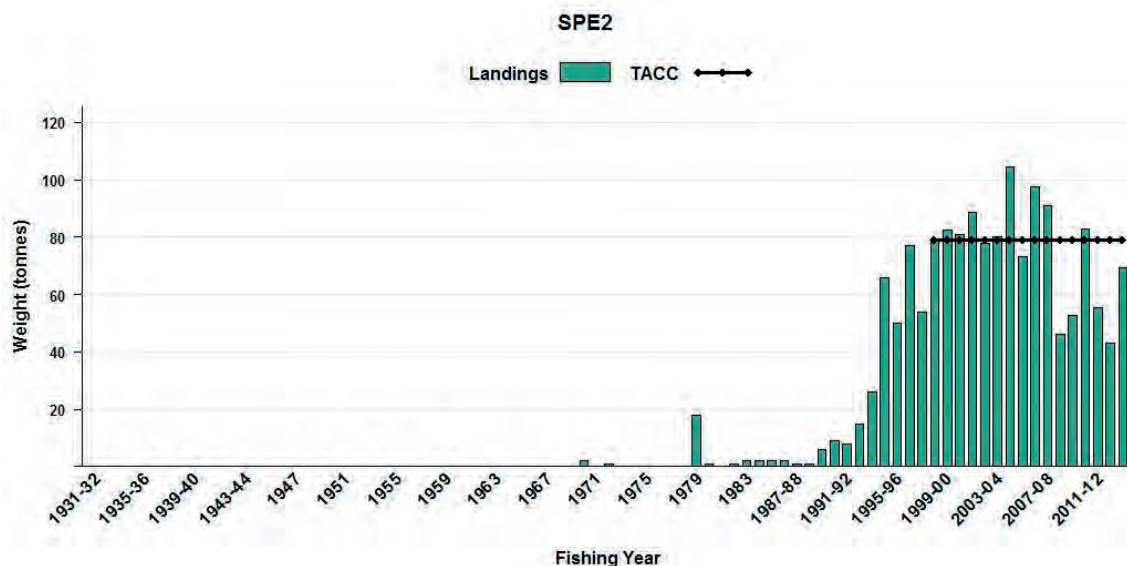
Fishstock FMA	SPE 1		SPE 2		SPE 3		SPE 4		SPE 5 & 6	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983–84	14	-	2	-	150	-	58	-	36	-
1984–85	10	-	2	-	290	-	70	-	26	-
1985–86	14	-	2	-	213	-	218	-	28	-
1986–87	19	-	2	-	507	-	71	-	19	-
1987–88	20	-	1	-	544	-	63	-	18	-
1988–89	14	-	1	-	262*	-	36	-	18	-
1989–90	2	-	6	-	287*	-	177	-	9	-
1990–91	5	-	9	-	559*	-	68	-	33	-
1991–92	12	-	8	-	791*	-	222	-	36	-
1992–93	15	-	15	-	783*	-	317	-	55	-
1993–94	16	-	26	-	690*	-	223	-	28	-
1994–95	25	-	66	-	626*	-	415	-	18	-
1995–96	23	-	50	-	1 047*	-	404	-	62	-
1996–97	19	-	77	-	655*	-	435	-	45	-
1997–98	24	-	54	-	913	-	656	-	29	-
1998–99	21	18	79	79	903	738	872	533	27	45
1999–00	27	18	82	79	862	738	821	533	28	45
2000–01	25	18	81	79	798	738	840	533	19	45
2001–02	41	18	89	79	720	1 000	910	533	22	45
2002–03	19	18	78	79	696	1 000	1 685	533	25	45
2003–04	30	18	80	79	440	1 000	1 287	533	28	45
2004–05	27	18	104	79	372	1 000	894	910	24	45
2005–06	40	18	73	79	436	1 000	502	910	24	45
2006–07	30	33	98	79	519	1 000	591	910	31	45
2007–08	38	33	91	79	422	1 000	568	910	20	45
2008–09	27	33	46	79	328	1 000	338	910	13	45
2009–10	47	33	53	79	428	1 000	345	910	21	45
2010–11	53	33	83	79	644	1 000	572	910	24	45
2011–12	50	33	55	79	349	1 000	555	910	17	45
2012–13	40	33	43	79	495	1000	492	910	27	36
2013–14	47	53	69	79	500	1 000	332	910	22	45

## SEA PERCH (SPE)

**Table 3 [Continued].**

Fishstock FMA	SPE 7		SPE 8		SPE 9		SPE 10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983-84	16	-	2	-	55	-	0	-	333	-
1984-85	14	-	1	-	2	-	0	-	415	-
1985-86	12	-	2	-	4	-	0	-	493	-
1986-87	11	-	3	-	1	-	0	-	633	-
1987-88	8	-	6	-	0	-	0	-	660	-
1988-89	5	-	2	-	1	-	0	-	339	-
1989-90	14	-	1	-	0	-	0	-	496	-
1990-91	28	-	1	-	0	-	0	-	703	-
1991-92	20	-	2	-	0	-	0	-	1091	-
1992-93	71	-	18	-	0	-	2	-	1276	-
1993-94	52	-	10	-	0	-	0	-	1045	-
1994-95	67	-	7	-	0	-	0	-	1224	-
1995-96	78	-	7	-	1	-	0	-	1672	-
1996-97	64	-	7	-	1	-	< 1	-	1304	-
1997-98	118	-	5	-	7	-	< 1	-	1807	-
1998-99	109	82	< 1	15	2	6	0	0	2014	1 516
1999-00	80	82	2	15	5	6	0	0	1907	1 516
2000-01	80	82	4	15	3	6	0	0	1850	1 778
2001-02	95	82	6	15	3	6	0	0	1886	1 778
2002-03	103	82	4	15	4	6	0	0	2614	1 778
2003-04	95	82	6	15	3	6	0	0	1969	1 778
2004-05	47	82	5	15	2	6	0	0	1475	2 155
2005-06	75	82	5	15	2	6	0	0	1157	2 155
2006-07	67	82	2	15	2	6	0	0	1340	2 170
2007-08	103	82	2	15	2	6	0	0	1246	2 170
2008-09	96	82	2	15	4	6	0	0	854	2 170
2009-10	117	82	4	15	3	6	0	0	1018	2 170
2010-11	124	82	3	15	2	6	0	0	1505	2 170
2011-12	82	82	3	15	3	6	0	0	1115	2 170
2012-13	89	82	4	15	4	6	0	0	1197	2 170
2013-14	100	82	4	15	5	6	0	0	1 077	2 190

\*These numbers may contain erroneous landings data, the situation is currently under investigation and the data will be amended if an error is identified during the course of that investigation.



**Figure 1: Reported commercial landings and TACC for the four main SPE stocks. SPE 2 (Central East). [Continued on next page].**

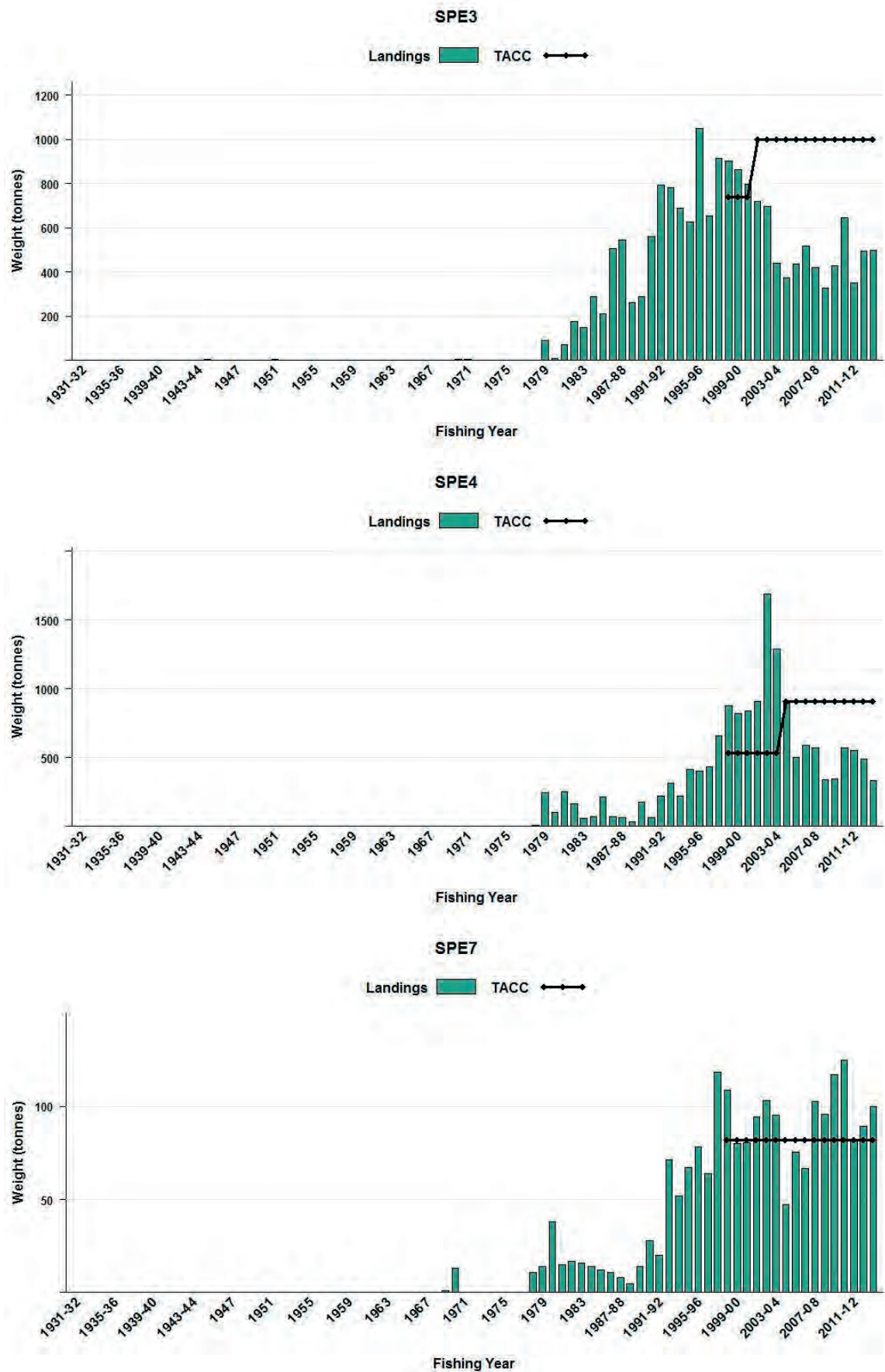


Figure 1: [Continued] Reported commercial landings and TACC for the four main SPE stocks. SPE 3 (South East Coast), SPE 4 (South East Chatham Rise) and SPE 7 (Challenger).

## 1.2 Recreational fisheries

Sea perch are seldom targeted by recreational fishers, but are widely caught in reasonable numbers. Some are used for bait, and many were likely discarded in the past. The quality of sea perch as an eating fish has been increasingly recognised and they are now less likely to be discarded. They are predominantly taken on rod and reel (98.6%) with a small proportion taken by longline (1%). The catch is taken predominantly from boat (93.7%) with a small proportion from land based fishers (3%). The allowances within the TAC for each Fishstock are shown in Table 1.

### 1.2.1 Management controls

The main method used to manage recreational harvests of sea perch are minimum legal sizes (MLS) and daily bag limits. General spatial and method restrictions also apply. A sea perch MLS for recreational fishers of 26 cm applies only in the Kaikoura Fisheries Management Area. Fishers can take up to 20 sea perch as part of their combined daily bag limit in Kaikoura Fishery management Area. Fishers can take up to 10 sea perch as part of their combined daily bag limit in the Fiordland Fishery Management Area. No bag limit is currently in place in the Auckland, Central, Challenger, South-East, or Southland Fishery Management Areas.

### 1.2.2 Estimates of recreational harvest

There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

The first estimates of recreational harvest for sea perch were calculated using an offsite approach, the offsite regional telephone and diary survey approach. Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005). The harvest estimates provided by these telephone diary surveys (Table 3) are no longer considered reliable.

In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the development and implementation of a national panel survey for the 2011–12 fishing year (Wynne-Jones et al 2014). The panel survey used face-to-face interviews of a random sample of New Zealand households to recruit a panel of fishers and non-fishers for a full year. The panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews. Note that the national panel survey estimate does not include recreational harvest taken under s111 general approvals. Recreational catch estimates from the various surveys are given in Table 4.

**Table 4: Estimated number and weight of sea perch recreational harvest by Fishstock and survey. Surveys were carried out in different years in the MAF Fisheries regions: South in 1991–92, Central in 1992–93, North in 1993–94 (Teirney et al 1997), nationally in 1996 (Bradford, 1998) and 1999–00 (Boyd & Reilly 2005). A mean weight of 0.49 kg was used for the national panel survey (Wynne-Jones et al 2014).**

Fishstock	Survey	Number	Harvest (t)	CV%
1991–92				
SPE 3	South	110 000		25
SPE 5	South	18 000		35
SPE 7	South	16 000		-
1992–93				
SPE 2	Central	27 000		-
SPE 3	Central	< 500		-
SPE 5	Central	< 500		-
SPE 7	Central	65 000		40
SPE 8	Central	11 000		-
1993–94				
SPE 1 + 9	North	< 500		-
SPE 2	North	< 500		-
SPE 8	North	< 500		-
1996				
SPE 1 + 9	National	2000		37
SPE 2	National	23 000		-



Table 4 [Continued]

Fishstock	Survey	Number	Harvest (t)	CV%
SPE 3	National	28 000		17
SPE 5	National	3000		-
SPE 7	National	20 000		17
SPE 8	National	11 000		-
1999–00				
SPE 2	National	10 000		94
SPE 2	National	16 000		64
SPE 3	National	154 000		38
SPE 5	National	10 000		58
SPE 7	National	63 000		46
SPE 8	National	< 500		101
SPE 1	Panel	1 464	0.7	-
SPE 2	Panel	8 165	4	34
SPE 3	Panel	113 955	55.7	29
SPE 5	Panel	4 517	2.2	-
SPE 7	Panel	28 781	14.1	40
SPE 8	Panel	3 699	1.8	-
All areas combined	Panel	160 581	78.44	20

### 1.3 Customary non-commercial fisheries

The customary non-commercial take has not been quantified.

### 1.4 Illegal catch

There is no quantitative information on illegal fishing activity or catch, and given the low commercial value of sea perch, such activity is unlikely.

### 1.5 Other sources of mortality

No quantitative estimates are available about the impact of other sources of mortality on sea perch stocks. However, they are commonly caught as bycatch and a moderate quantity, particularly of small fish, is undoubtedly discarded.

## 2. BIOLOGY

Sea perch are widely distributed around most of New Zealand, but are rare on the Campbell Plateau. They inhabit waters ranging from the shoreline to 1200 m and are most common between 150 and 500 m. Previously it was believed that there were two species of sea perch, *H. percoides* and *H. barathri* in New Zealand waters. However, genetics research determined that there is probably only one species of sea perch in New Zealand waters, *H. percoides* (Smith 1998). Because of confusion between *H. percoides* and *H. barathri* until recent years, there is limited information on sea perch biology. Trawl surveys from about 1990 show sea perch size to vary with depth and locality without an obvious pattern, possibly representing population differences as well as life history characteristics.

Sea perch are viviparous, extruding small larvae in floating jelly-masses during an extended spawning season. Sex ratios observed in trawl survey samples show more males, generally in the ratio 1:0.7 to 1:0.8. Sea perch are opportunistic feeders and prey on a variety of animals on or close to the seafloor.

Growth is relatively slow throughout life. After about age 5 years, males appear to grow faster than females (there is some uncertainty due to small sample sizes). Males mature at 19–25 cm, about 5–7 years, whereas females mature at between 15 and 20 cm, around 5 years (Paul & Francis 2002). Maximum observed ages estimated for sea perch from the east coast South Island and Chatham Rise were 32 and 43 years. The natural mortality estimates derived from these are 0.13 and 0.10 (using the Hoenig method) and 0.07–0.09 (using the Chapman-Robson estimator) (Paul & Francis 2002). Ageing studies have not identified the species involved, but the maximum age of Australian fish listed as *H. percoides* by Withell & Wankowski (1988), is about 40 years. The maximum size for sea perch is about 56 cm.

Biological parameters relevant to stock assessment are shown in Table 5.

## SEA PERCH (SPE)

**Table 5: Estimates of biological parameters for sea perch.**

Fishstock	Estimate		Source
<u>1. Natural mortality (<i>M</i>)</u>			
SPE 3	0.10–0.13 (Hoenig method)		Paul & Francis (2002)
SPE 3	0.07–0.09 (Chapman Robson estimator)		Paul & Francis (2002)
<u>2. Weight = a (length)<sup>b</sup> (Weight in g, length in cm fork length)</u>			
	Both sexes		
	a	b	
SPE 3	0.007767	3.219132	Schofield & Livingston (1996)
<u>3. von Bertalanffy growth parameters</u>			
	Females		
	<i>K</i>	<i>t</i> <sub>0</sub>	<i>L</i> <sub>∞</sub>
ECSI 1996	0.128	-0.725	40.7
ECSI 2000	0.13	-0.895	37.9
	Males		
	<i>K</i>	<i>t</i> <sub>0</sub>	<i>L</i> <sub>∞</sub>
ECSI 1996	0.117	-0.64	43.6
ECSI 2000	0.116	-0.956	42.4

### 3. STOCKS AND AREAS

There are no data relevant to stock boundaries. However, regional variation in colouration suggests that separate populations could exist.

### 4. STOCK ASSESSMENT

#### 4.1 Estimates of fishery parameters and abundance

Estimates of relative abundance from trawl surveys are presented in Table 6. Annual biomass estimates from the winter and summer east coast South Island and Southland surveys have been variable between years, and were determined with only moderate precision (generally CVs around 30%) (see Figures 4 and 5).

The time series of biomass estimates from the West Coast South Island surveys increased between 1992 and 1995 and declined substantially from 667 t in the subsequent surveys. The 2005 estimate of relative biomass was 150 t (Figure 2). Annual trawl survey biomass estimates from the Chatham Rise have a low associated coefficient of variation (8–15%). The time series of indices is relatively constant between 1992 and 1994, drops significantly in 1995, and recovers in 1996. Biomass estimates increased dramatically from 2713 t in 1997 to 8417 t in 2002, but then declined until 2008. (Figure 3). The 2010 estimate was 5594 t (Table 6).

##### 4.1.1 Biomass estimates

Indices of relative biomass are available from recent *Tangaroa* and *Kaharoa* trawl surveys of the Chatham Rise, East Coast South Island and West Coast South Island (Table 6, and Figures 2-5).

#### West Coast South Island Trawl Survey

SPE7 is one of a suite of inshore stocks the WCSI trawl survey is designed to monitor. The depth range for this survey is 30-400m on the west coast of the South Island and >20m in Tasman and Golden Bay (MacGibbon and Stevenson, 2013). Biomass estimates increased from 1991 to 1995, declined to well below the series average by 2003, increase to a second peak in 2011, and then dropped substantially in 2013 (Figure 2).

### Chatham Rise Trawl Survey

The Chatham Rise Trawl Survey was designed primarily for Hoki and covers the depth range 200–400m. It therefore excludes a small portion of sea perch habitat around the Mernoo Bank in < 200m. The survey biomass estimates for sea perch increased three fold from 1997 to 2002, declined to below the series average by 2008 and then increased to 2013.. The survey biomass experienced a decline in 2014 to a biomass similar to 2012 (Figure 3). The size composition of sea perch caught by the Chatham rise survey includes a substantial proportion of fish in the 30–45cm TL range, whereas those caught during the ECSI trawl surveys are mostly <30 cm TL.

### East Coast South Island Trawl Survey

The ECSI winter surveys from 1991 to 1996 (depth range 30–400 m) were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range, but these were discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007, and this time included strata in the 10–30 m depth range, in order to monitor elephantfish and red gurnard. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

East coast South Island core strata (30–400 m) biomass for sea perch in 2014 (2168 t) was in the middle range of estimates for the ten winter trawl surveys and was 8% above the average biomass (2008 t) with no trend over the time series (Table 6, Figure 4). Pre-recruit biomass was a small and reasonably constant component of the total biomass estimate on all surveys (3–8% of total biomass) and in 2014 was the highest of the ten surveys at 8%. The juvenile to adult biomass ratio (based on length-at-50% maturity) was relatively constant over the time series with juvenile biomass 23–36% of total biomass with the highest estimate in 2014 (Figure 5). There was no sea perch caught in the 10–30 m strata and hence the addition of the shallow strata in 2007 is of no value for monitoring sea perch.

The spatial distribution of sea perch hot spots within the survey area varies, but overall this species is consistently well represented over the entire survey area, most commonly from about 70 to 300 m (Beentjes et al. 2015).

The size distributions of sea perch on each of the ten ECSI winter surveys were similar and generally unimodal with a right hand tail reflecting the large number of age classes (Beentjes et al. 2015). Sea perch from the ECSI sampled on these surveys were generally smaller than those from the Chatham Rise and Southland surveys. This suggests that this area may be an important nursery ground for juvenile sea perch and/or that sea perch tend to be larger at greater depths and the ECSI survey does not extend to the full depth range of sea perch which are found as deep as 800 m.

#### 4.2 Yield estimates and projections

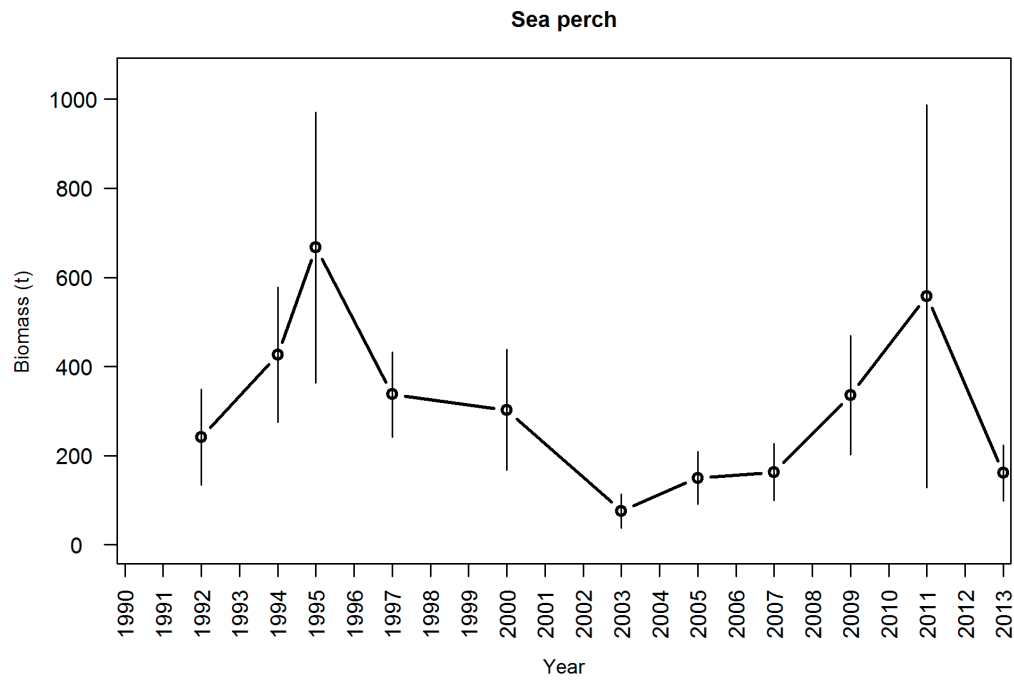
No estimate of  $MCY$  can be made. The method  $MCY = cY_{AV}$  (Method 4) requires a longer period of relatively stable, or at least known, catches (in view of a potential longevity of 40 years) than is available.

No estimates of current biomass, fishing mortality, or other information are available which would permit the estimation of  $CAY$ .

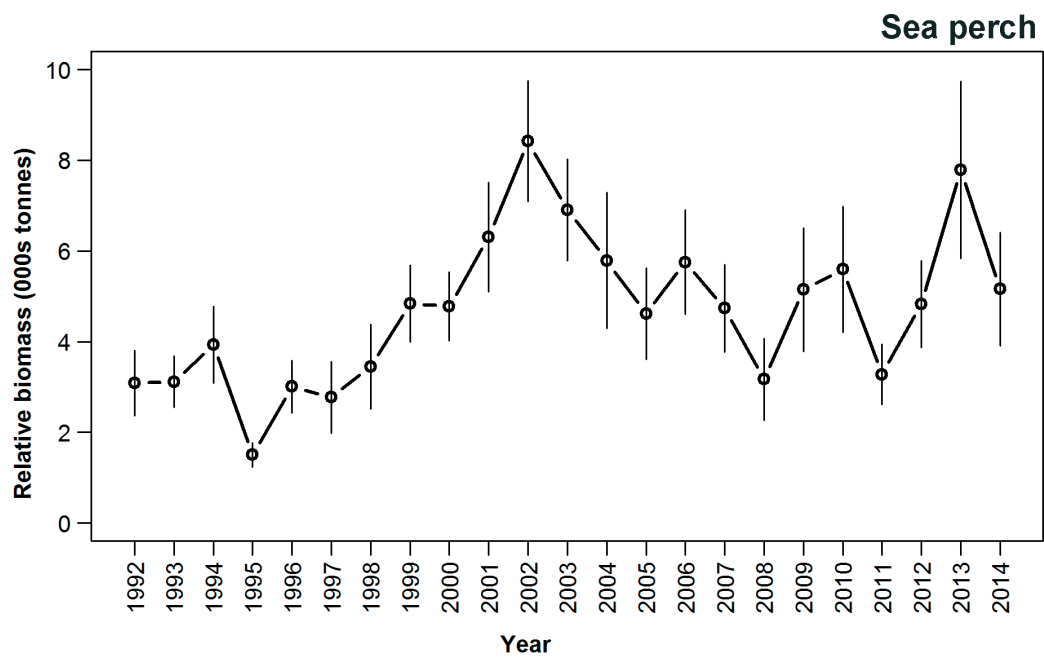
#### 4.4 Other factors

Factors influencing yield estimates (species identification, catch history, biomass estimates, longevity/mortality, and natural fluctuations in population size) are poorly known for sea perch and preclude any reliable yield estimates at present.

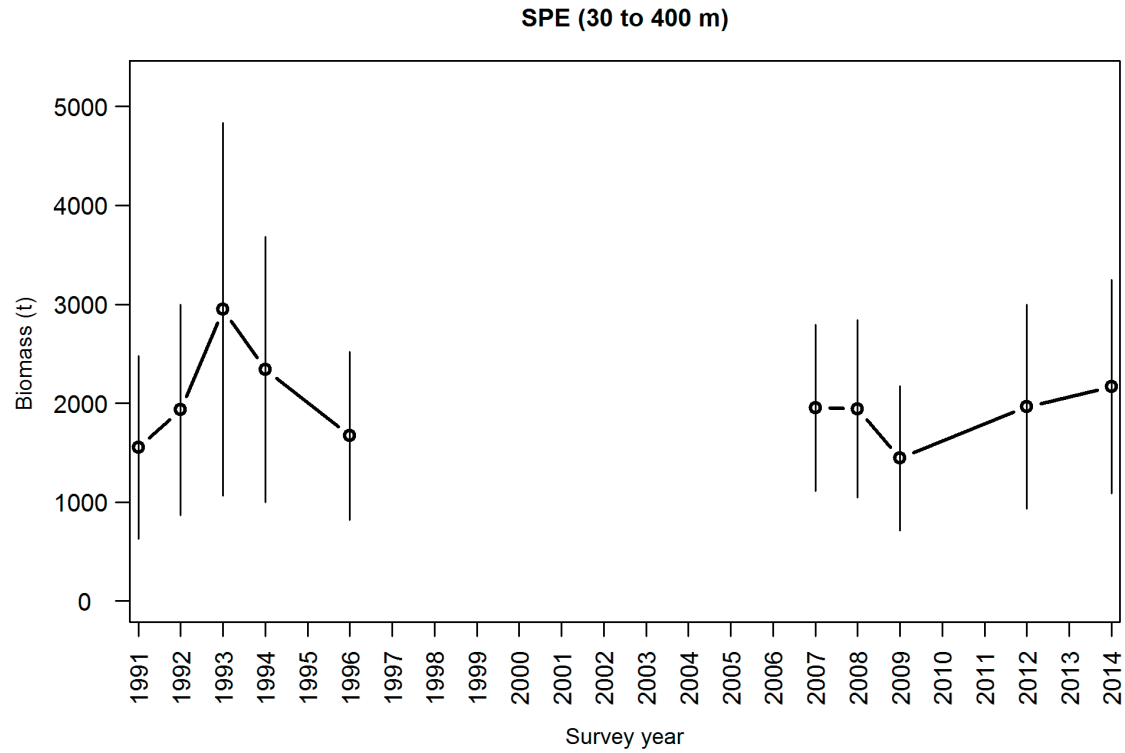
## SEA PERCH (SPE)



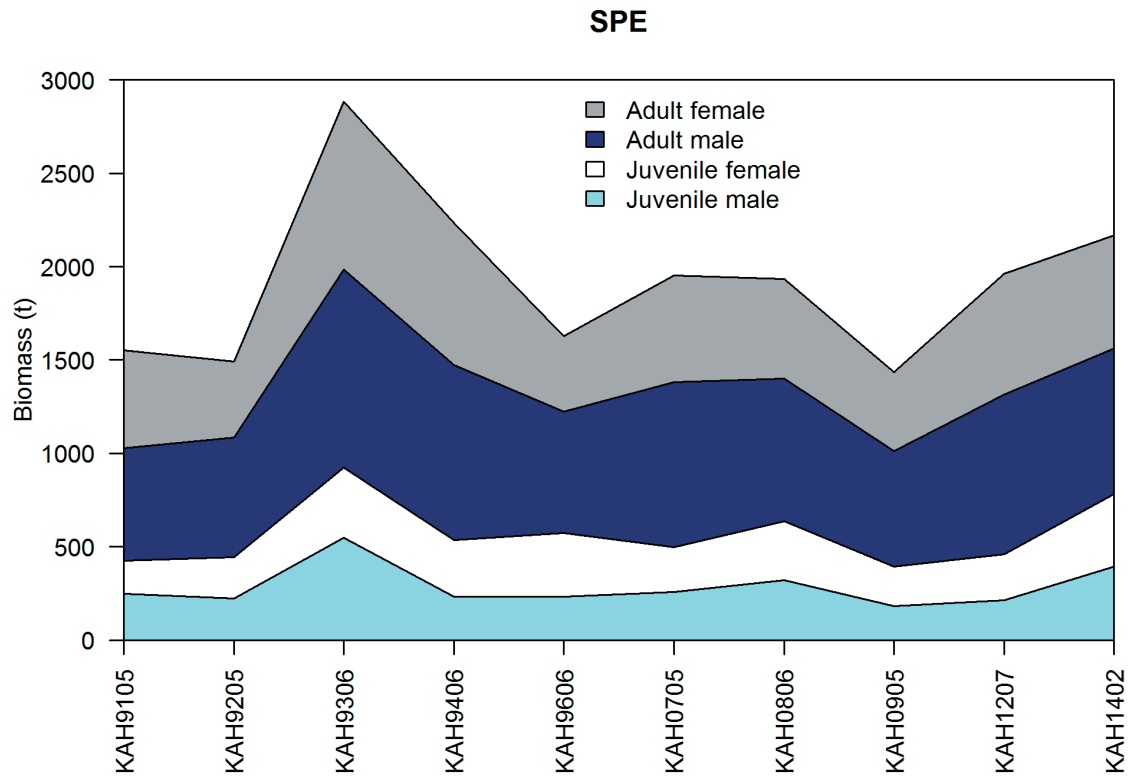
**Figure 2: Biomass estimates  $\pm 95\%$  CI from the West Coast South Island trawl survey.**



**Figure 3: Biomass estimates  $\pm 95\%$  CI from the Chatham Rise survey.**



**Figure 4:** Sea perch total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m).



**Figure 5:** Sea perch juvenile and adult biomass for ECSI winter surveys in core strata (30–400 m), where juvenile is below and adult is equal to or above length at which 50% of fish are mature.

**Table 6** Relative biomass indices (t) and coefficients of variation (CV) for sea perch for east coast South Island (ECSI) - summer and winter, west coast South Island (WCSI), the Stewart-Snares Island survey areas, and the Chatham Rise\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. – , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (20 cm).  
[Continued on next page].

Region	Fishstock	Year	Trip number	Total		Total Biomass estimate	Pre-recruit		CV (%)	Recruited		CV (%)
				Biomass estimate	CV (%)		Biomass estimate	CV (%)		Biomass estimate	CV (%)	
ECSI(winter)	SPE 3				10–400m			10–400m			10–400m	
					30–400 m			30–400 m			30–400 m	
		1991	KAH9105	1 716	30	–	70	44	–	1 483	30	–
		1992	KAH9205	1 934	28	–	51	28	–	1 441	28	–
		1993	KAH9306	2 948	32	–	178	76	–	2 770	30	–
		1994	KAH9406	2 342	29	–	78	24	–	2 264	29	–
		1996	KAH9606	1 671	26	–	58	45	–	1 613	25	–
		2007	KAH0705	1 954	22	–	74	18	–	1 880	22	–
		2008	KAH0806	1 944	23	–	144	20	–	1 800	24	–
		2009	KAH0905	1 444	25	–	82	18	–	1 363	26	–
		2012	KAH1207	1 964	26	–	66	25	–	1 898	27	–
		2014	KAH1402	2 168	25	–	182	29	–	1 986	26	–
		1996-97	KAH9618	4 041	47	–	–	–	–	–	–	–
		1997-98	KAH9704	1 638	25	–	–	–	–	–	–	–
ECSI(summer)	SPE 3	1998-99	KAH9809	3 889	41	–	–	–	–	–	–	–
		1999-00	KAH9917	2 203	27	–	–	–	–	–	–	–
		2000-01	KAH0014	1 792	20	–	–	–	–	–	–	–
		1992	KAH9204	293	24	–	–	–	–	–	–	–
		1994	KAH9404	510	18	–	–	–	–	–	–	–
		1995	KAH9504	667	23	–	–	–	–	–	–	–
		1997	KAH9701	338	14	–	–	–	–	–	–	–
		2000	KAH0004	302	22	–	–	–	–	–	–	–
		2003	KAH0304	76	25	–	–	–	–	–	–	–
		2005	KAH0503	150	20	–	–	–	–	–	–	–
		2007	KAH0704	163	19	–	–	–	–	–	–	–
		2009	KAH0904	336	20	–	–	–	–	–	–	–
		2010	KAH1004	558	39	–	–	–	–	–	–	–
		2013	KAH1305	161	20	–	–	–	–	–	–	–
Stewart-Shares	SPE 5	1993	TAN9301	469	33	–	–	–	–	–	–	–
		1994	TAN9402	443	26	–	–	–	–	–	–	–
		1995	TAN9502	450	27	–	–	–	–	–	–	–
		1996	TAN9604	480	29	–	–	–	–	–	–	–
						–	–	–	–	–	–	–

**Table 6 [Continued]: Relative biomass indices (t) and coefficients of variation (CV) for sea perch for east coast South Island (ECSI) - summer and winter, west coast South Island (WCSI), the Stewart-Snares Island survey areas, and the Chatham Rise\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. —, not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (20 cm).**

Region	Fishstock	Year	Trip number	Total Biomass estimate	CV (%)	Total Biomass estimate	CV (%)	Pre- recruit	CV (%)	Recruited	CV (%)
Chatham Rise	SPE	1991	TAN9106	3 050	12	-	-	-	-	-	-
		1992	TAN9212	3 110	9	-	-	-	-	-	-
		1994	TAN9401	3 914	11	-	-	-	-	-	-
		1995	TAN9501	1 490	9	-	-	-	-	-	-
		1996	TAN9601	3 006	10	-	-	-	-	-	-
		1997	TAN9701	2 713	14	-	-	-	-	-	-
		1998	TAN9801	3 448	14	-	-	-	-	-	-
		1999	TAN9901	4 842	9	-	-	-	-	-	-
		2000	TAN0001	4 776	8	-	-	-	-	-	-
		2001	TAN0101	6 310	10	-	-	-	-	-	-
		2002	TAN0201	8 417	8	-	-	-	-	-	-
		2003	TAN0301	6 904	8	-	-	-	-	-	-
		2004	TAN0401	5 786	13	-	-	-	-	-	-
		2005	TAN0501	4 615	11	-	-	-	-	-	-
		2006	TAN0601	5 752	10	-	-	-	-	-	-
		2007	TAN0701	4 737	10	-	-	-	-	-	-
		2008	TAN0801	3 081	14	-	-	-	-	-	-
		2009	TAN0901	5 149	13	-	-	-	-	-	-
		2010	TAN1001	5 594	12	-	-	-	-	-	-
		2011	TAN1101	3 278	10	-	-	-	-	-	-
		2012	TAN1201	4 827	10	-	-	-	-	-	-
		2013	TAN1301	7 785	13	-	-	-	-	-	-
		2014	TAN1401	5 158	12	-	-	-	-	-	-

## 5. STATUS OF THE STOCKS

No estimates of current and reference biomass are available. For all SPE Fishstocks it is not known if recent catch levels are sustainable.

TACCs and reported landings of sea perch in the 2013–14 fishing year are summarised in Table 7.

**Table 7: Summary of TACCs (t), and reported landings (t) of sea perch for the most recent fishing year.**

			2013–14	2013–14
			Actual	Reported
Fishstock		QMA	TACC	landings
SPE 1	Auckland (East)	1	53	47
SPE 2	Central (East)	2	79	69
SPE 3	South-east (coast)	3	1 000	500
SPE 4	South-east (Chatham)	4	910	332
SPE 5	Southland	5	36	19
SPE 6	Sub-Antarctic	6	9	3
SPE 7	Challenger	7	82	100
SPE 8	Central (West)	8	15	4
SPE 9	Auckland (West)	9	6	5
SPE 10	Kermadec	10	0	0
Total			2 190	1 077

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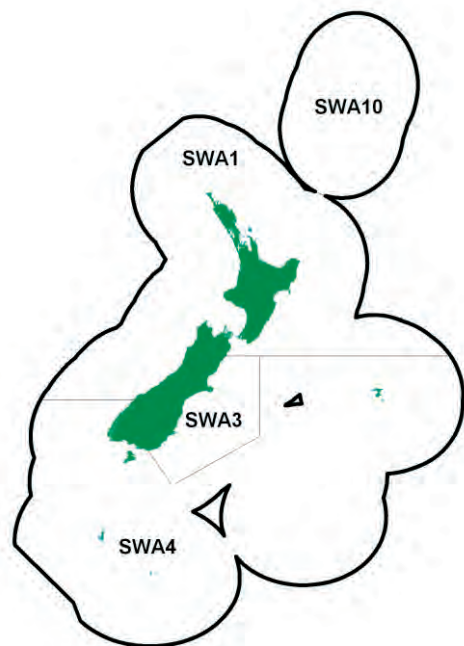


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## SILVER WAREHOU (SWA)

*(Seriolella punctata)*

Warehou



## 1. FISHERY SUMMARY

## 1.1 Commercial fisheries

Silver warehou entered the Quota Management System (QMS) on 1 October 1986. Silver warehou are common around the South Island and on the Chatham Rise in depths of 200–800 m. The majority of the commercial catch is taken from the Chatham Rise, Canterbury Bight, southeast of Stewart Island and the west coast of the South Island. Reported landings by nation from 1974 to 1987–88 are shown in Table 1.

**Table 1: Reported landings (t) by nation from 1974 to 1987–88. Source: 1974–1978 (Paul 1980); 1978 to 1987–88 (FSU).**

Fishing Year	New Zealand					Foreign Licensed		Grand Total
	Domestic	Chartered	Total	Japan	Korea	USSR	Total	
1974*								7 412
1975*								6 869
1976*	estimated as 70% of total warehou landings							13 142
1977*								12 966
1978*								12 581
1978–79**	?	629	629	3 868	122	212	4 203	4 832
1979–80**	?	3 466	3 466	4 431	217	196	4 843	8 309
1980–81**	?	2 397	2 397	1 246	-	13	1 259	3 656
1981–81**	?	2 184	2 184	1 174	186	3	1 363	3 547
1982–83**	?	3 363	3 363	1 162	265	189	1 616	4 979
1983†	?	1 556	1 556	510	98	3	611	2 167
1983–84§	303	3 249	3 552	418	194	3	615	4 167
1984–85§	203	4 754	4 957	1 348	387	15	1 749	6 706
1985–86§	276	5 132	5 408	1 424	217	5	1 646	7 054
1986–87§	261	4 565	4 826	1 169	29	100	1 299	6 125
1987–88§	499	7 008	7 507	431	111	39	581	8 088

\* Calendar year.

\*\*1 April to 31 March.

†1 April to 30 September.

§1 October to 30 September.

Commercial fishing for silver warehou developed in the late 1960s and early 1970s. Before the establishment of the Exclusive Economic Zone (EEZ), silver warehou, common or blue warehou, and

white warehou were all lumped under the category of “warehou”. Estimated total annual catches of silver warehou based on area of capture were about 13 000 t in 1976, 1977, and 1978 (Paul 1980, Livingston 1988; Table 1). Concern about overfishing on the eastern Stewart-Snares shelf led to closure of this area to trawlers between October 1977 and January 1978. Initially, effort shifted to the Chatham Rise and total estimated catch did not change (Ministry of Fisheries, 2010). The catches did drop significantly after the establishment of the EEZ, and the reported landings fluctuated between 3 000 t and 8 000 t from 1978-79 to 1985-86 (Livingston, 1988, Table 1 and Table 3).

Some target fishing for silver warehou does still occur, predominantly on the Mernoo Bank and along the Stewart-Snares shelf. Recent reported landings are shown in Table 2, while Figure 1 shows the historical landings and TACC values for the main SWA stocks.

### SWA 1

In recent years, most of the silver warehou catch has been taken as a bycatch of the hoki, squid, barracouta and jack mackerel trawl fisheries. Catches from SWA 1 increased substantially after 1985–86 following the development of the west coast South Island hoki fishery. Overruns of the TAC probably partly reflected the hoki fleet fishing in relatively shallow water (northern grounds) in the later part of the season, but could also have reflected changes in abundance.

The TACC in SWA 1 was increased in 1991–92 under the "adaptive management" programme (AMP). A review of this fishstock at the completion of 5 years in the AMP concluded that it was not known if the current TACC would be sustainable and an appropriate monitoring programme was not in place. Under the criteria developed for the AMP the Minister therefore removed this fishstock from the AMP in October 1997 and set the TACC at 2132 t. A new AMP proposal in 2002 resulted in the TACC being increased to 3000 t from 1 October 2002, with 1 t customary and 2 t recreational allowances within a TAC of 3003 t. Catches have not approached the new TACC level in recent years as reductions in the hoki quota have resulted in much less effort on the WCSI in winter.

### SWA 3 and 4

In most years from 2000–01 to 2006–07 catches in SWA 3 and SWA 4 were well above the TACCs as fishers landed catches well in excess of ACE holdings and paid deemed values for the overcatch. From 1 October 2007 the deemed values were increased to \$1.22 per kg for all SWA stocks and two differential rates were also introduced. The second differential rate applies to all catch over 130% of ACE holding at which point the deemed value rate increased to \$3 per kg. The effect of these measures was seen immediately in 2007–08 as fishing without ACE was reduced and catch fell well below the TACCs in both SWA 3 and SWA 4.

**Table 2: Reported landings (t) of silver warehou by Fishstock from 1983–84 to 2012–13 and TACCs (t) from 1986–87 to 2012–13. QMS data from 1986–present. [Continued on next page].**

Fishstock	SWA 1		SWA 3		SWA 4		SWA 10			
FMA (s)	1, 2, 7, 8 & 9		3		4, 5 & 6		10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983–84*	541	-	725	-	1 829	-	0	-	3 095	-
1984–85*	587	-	1 557	-	4 563	-	0	-	6 707	-
1985–86*	806	-	2 284	-	3 966	-	0	-	7 056	-
1986–87	1 337	1 800	1 931	2 600	2 779	3 600	0	10	6 047	\$8 010
1987–88	2 947	1 815	3 810	2 601	2 600	3 600	0	10	9 357	\$8 026
1988–89	1 605	1 821	1 476	2 640	2 789	3 745	0	10	5 870	8 216
1989–90	2 316	2 128	2 713	3 140	3 596	3 855	0	10	8 625	9 133
1990–91	2 121	2 128	1 889	3 144	3 176	3 855	0	10	7 186	9 137
1991–92	1 388	2 500	2 661	3 144	3 018	3 855	0	10	7 066	9 509
1992–93	1 231	2 504	2 432	3 145	3 137	3 855	0	10	6 800	9 514
1993–94	2 960	2 504	2 724	3 145	2 993	3 855	0	10	8 677	9 514
1994–95	2 281	2 504	2 336	3 280	2 638	4 090	0	10	7 255	9 884
1995–96	2 884	2 504	2 939	3 280	3 581	4 090	0	10	9 404	9 884
1996–97	3 636	2 504	4 063	3 280	5 336	4 090	0	10	13 035	9 884
1997–98	3 380	2 132	3 721	3 280	3 944	4 090	0	10	11 045	9 512
1998–99	1 980	2 132	2 796	3 280	4 021	4 090	0	10	8 797	9 512
1999–00	2 525	2 132	4 129	3 280	4 606	4 090	0	10	11 260	9 512
2000–01	3 025	2 132	3 664	3 280	4 650	4 090	0	10	11 339	9 512

# SILVER WAREHOU (SWA)

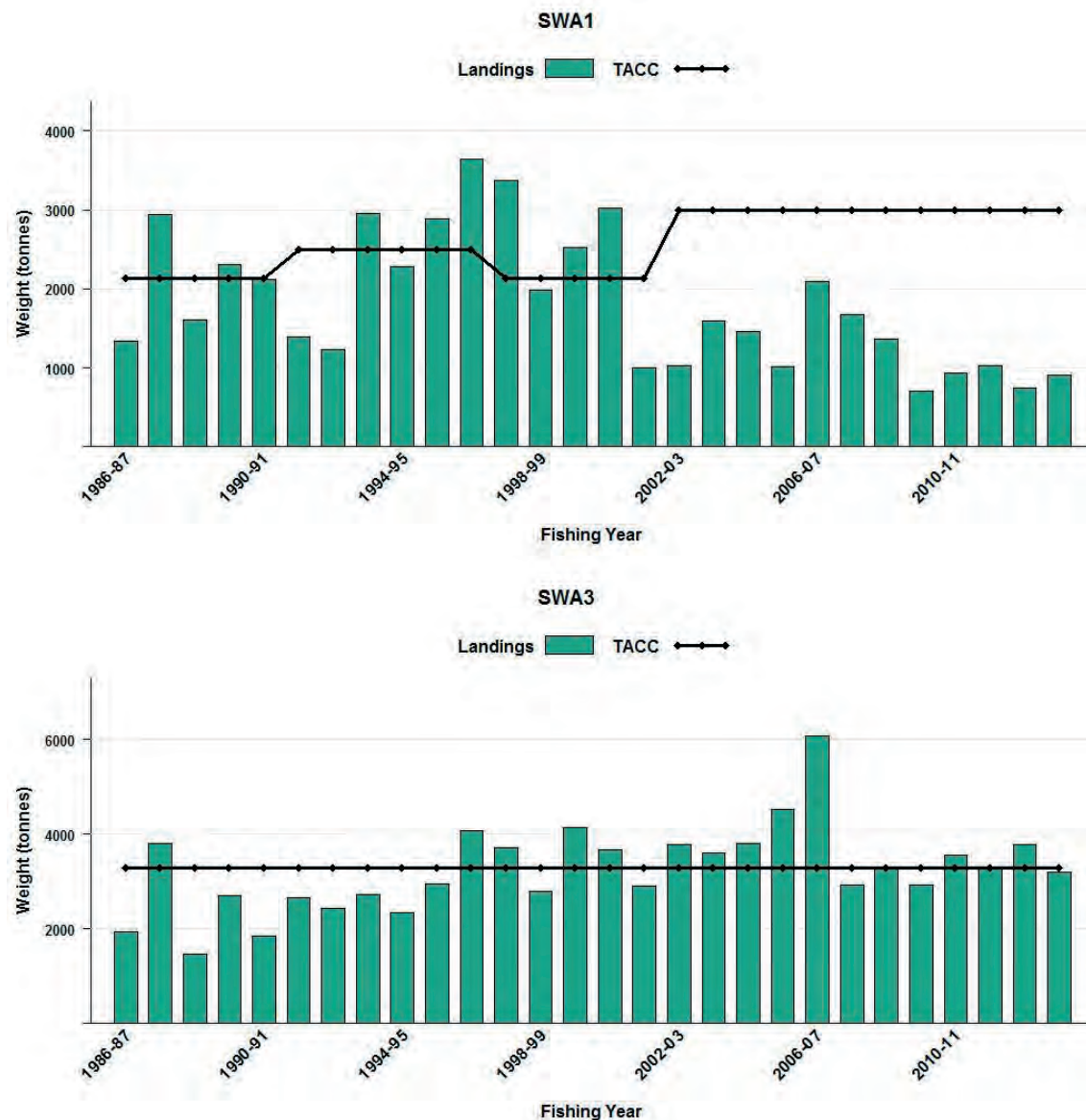
**Table 2 [Continued]**

Fishstock	SWA 1		SWA 3		SWA 4		SWA 10		Total	
FMA (s)	1, 2, 7, 8 & 9		3		4, 5 & 6		10			
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
2001-02	1 004	2 132	2 899	3 280	4 648	4 090	0	10	8 551	9 512
2002-03	1 029	3 000	3 772	3 280	4 746	4 090	0	10	9 547	10 380
2003-04	1 595	3 000	3 606	3 280	5 529	4 090	0	10	10 730	10 380
2004-05	1 467	3 000	3 797	3 280	4 279	4 090	0	10	9 543	10 380
2005-06	1 023	3 000	4 524	3 280	5 591	4 090	0	10	11 138	10 380
2006-07	2 093	3 000	6 059	3 280	6 022	4 090	0	10	14 174	10 380
2007-08	1 679	3 000	2 918	3 280	3 510	4 090	0	10	8 107	10 380
2008-09	1 366	3 000	3 264	3 280	4 213	4 090	0	10	8 843	10 380
2009-10	712	3 000	2 937	3 280	3 429	4 090	0	10	7 078	10 380
2010-11	938	3 000	3 559	3 280	3 507	4 090	0	10	8 004	10 380
2011-12	1 029	3 000	3 318	3 280	2 783	4 090	0	10	7 130	10 380
2012-13	748	3 000	3 788	3 280	4 128	4 090	0	10	8 664	10 380
2013-14	903	3 000	3 201	3 280	3 885	4 090	0	10	7 989	10 380

\* FSU data

Totals do not match those in Table 1 as the data were collected independently and there was under-reporting to the FSU in 1987-88.

§



**Figure 1: Reported commercial landings and TACCs for the three main SWA stocks. From top to bottom: SWA 1 (Auckland East) and SWA 3 (South East Coast). Note that these figures do not show data prior to entry into the QMS. [Continued on next page].**

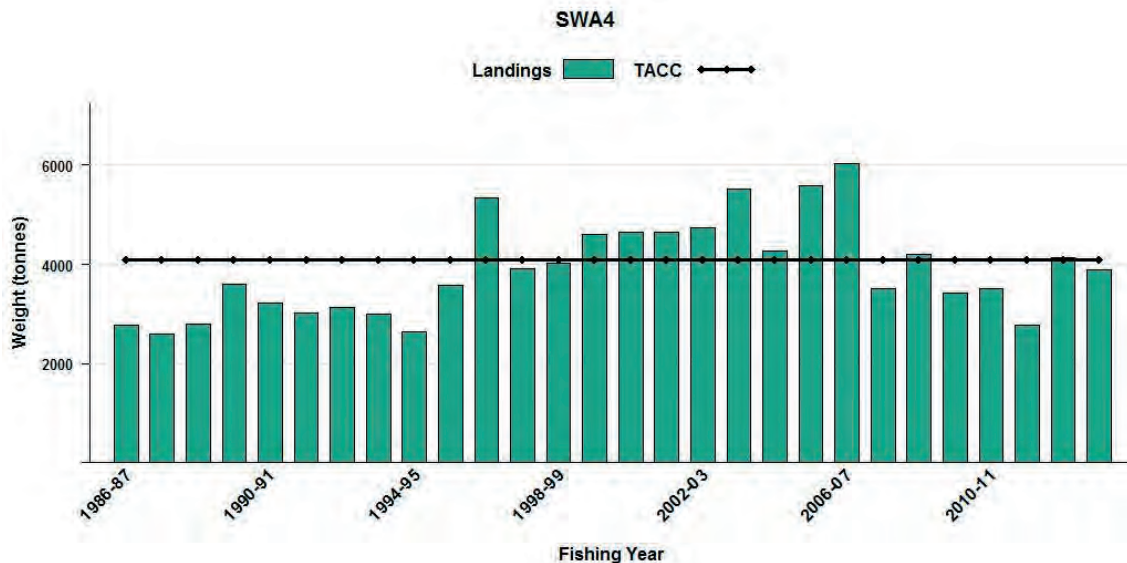


Figure 1 [Continued]: Reported commercial landings and TACCs for the three main SWA stocks. SWA 4 (South East Chatham Rise). Note that these figures do not show data prior to entry into the QMS.

## 1.2 Recreational fisheries

There are no current recreational fisheries for silver warehou.

## 1.3 Customary non-commercial fisheries

Quantitative information on the current level of customary non-commercial take is not available.

## 1.4 Illegal catch

Silver warehou have been misreported as white and blue warehou in the past. The extent of this practice is unknown and could lead to under-reporting of silver warehou catches.

## 1.5 Other sources of mortality

Other sources of mortality are unknown.

# 2. BIOLOGY

Initial growth is rapid and fish reach sexual maturity at around 45 cm fork length in 4 years. Based on a study of ageing methodology and growth parameters (Horn & Sutton 1995), maximum age is considered to be 23 years for females and 19 years for males. An estimate of instantaneous natural mortality ( $M$ ) was derived by using the equation  $M = \log_e 100/A_{MAX}$ , where  $A_{MAX}$  is the age reached by 1% of the virgin population. From their study,  $A_{MAX}$  of 19 years for female silver warehou and 17 years for males produced estimates of  $M$  of 0.24 and 0.27 respectively. Horn & Sutton (1995) qualified this result as the samples used in their study were not from virgin populations and the sampling method did not comprehensively sample the whole population. Based on these results  $M$  is likely to fall within the range 0.2–0.3.

Horn & Sutton also calculated von Bertalanffy growth curve parameters from their sample of fish from off the south and southeast coasts of the South Island (Table 3). Other biological parameters relevant to the stock assessment are shown in Table 3. Length weight regressions were calculated from two series of random trawl surveys using *Tangaroa*. One series was conducted on the Chatham Rise in January, 1992–97 and the other in Southland during February–March, 1993–96.

Silver warehou is a schooling species, aggregating to both feed and spawn. During spring–summer, both adult and juvenile silver warehou migrate to feed along the continental slope off the east and southeast coast of the South Island. Late-stage silver warehou eggs and larvae have been identified in

## SILVER WAREHOU (SWA)

plankton samples, and the early life history of silver warehou appears typical of many teleosts. Juvenile silver warehou inhabit shallow water at depths of 150–200 m and remain apart from sexually mature fish. Few immature fish are consequently taken by trawlers targeting silver warehou. Juveniles have been caught in Tasman Bay, on the east coast of the South Island and around the Chatham Islands. Once sexually mature, fish move out to deeper water along the shelf edge.

**Table 3: Estimates of biological parameters of silver warehou.**

Fishstock	Estimate		Source
<u>1. Weight = a(length)<sup>b</sup> (Weight in g, length in cm, total length).</u>			
	<u>Both sexes</u>		
	a	b	
Chatham Rise	0.00848	3.214	Tangaroa Survey: January 1992–97
Southland	0.00473	3.380	February–March 1993–96
<u>2. von Bertalanffy growth parameters</u>			
	<u>Female</u>		
	<i>L</i> <sub>∞</sub>	<i>k</i>	<i>t</i> <sub>0</sub>
	54.5	0.33	-1.04
	<u>Males</u>		
	<i>L</i> <sub>∞</sub>	<i>k</i>	<i>t</i> <sub>0</sub>
	51.8	0.41	-0.71
			Horn & Sutton (1995)

### 3. STOCKS AND AREAS

The stock structure is unknown.

Livingston (1988) found that spawning occurs on the Chatham Rise (Mernoo), east coast North Island and west coast South Island in late winter and at the Chatham Islands in late spring-early summer. There is some evidence for another spawning ground on the Stewart-Snares shelf, also in late winter. It is uncertain whether the same stock migrates from one area to another, spawning whenever conditions are appropriate, or if there are several separate stocks. The current boundaries bear little relation to known spawning areas and silver warehou distribution. Horn et al. (2001) investigated growth rates, gonad staging information, and age structure with regard to stock structure, but found no evidence from these characteristics for separate reproductive units.

### 4. STOCK ASSESSMENT

The assessment of silver warehou stocks was not updated in 2015.

#### 4.1 Estimates of fishery parameters and abundance

Bottom trawl surveys have been conducted since the early 1990's using either the *Tangaroa* (Chatham Rise survey, Sub-Antarctic survey, and three surveys of the WCSI). These surveys all encounter silver warehou, and the *Tangaroa* surveys on the WCSI are now optimized to estimate biomass for this species. However, for the other surveys the average CVs are high, and they have not been considered suitable for stock assessment or good monitoring tools for these stocks (Ministry of Fisheries 2008). They may, nonetheless, be useful in interpreting CPUE analysis.

A biomass time series is available for the Chatham Rise East area (Chatham Rise survey). Although there is a *Kaharoa* survey for the ECSI, it does not overlap well in areas fished as it tends to fish shallower water and encounter juvenile silver warehou. However, the Chatham Rise East survey overlaps considerably in area with the ECSI fishery, therefore the biomass estimates from this survey were compared with ECSI CPUE as well as Chatham Rise CPUE. There is also a WCSI *Tangaroa* survey, although this is only for years 2000 and 2012 and 2013. The inshore WCSI *Kaharoa* survey does not tend to catch larger fish.

**Table 4: Biomass indices (t) and estimated coefficients of variation (CV).**

						CV (%)
Fishstock	Area	Vessel	Trip code	Date	Biomass	
SWA 3&4	Chatham Rise	<i>Tangaroa</i>	TAN9106	Jan–Feb 1992	4 489	54
			TAN9212	Jan–Feb 1993	2 694	51
			TAN9401	Jan 1994	11 640	49
			TAN9501	Jan 1995	3 737	28
			TAN9601	Jan 1996	1 707	28
			TAN9701	Jan 1997	2 101	32
			TAN9801	Jan 1998	4 708	48
			TAN9901	Jan 1999	6 760	34
			TAN0001	Jan 2000	5 425	46
			TAN0101	Jan 2001	2 728	22
			TAN0201	Jan 2002	6 410	81
			TAN0301	Jan 2003	7 815	74
			TAN0401	Jan 2004	20 548	40
			TAN0501	Jan 2005	6 671	22
			TAN0601	Jan 2006	7 704	48
			TAN0701	Jan 2007	14 646	32
			TAN0801	Jan 2008	15 546	36
			TAN0901	Jan 2009	15 061	34
			TAN1001	Jan 2010	80 469	58
			TAN1101	Jan 2011	82 075	62
			TAN1201	Jan 2012	16 055	52
			TAN1301	Jan 2013	6 945	29
			TAN1401	Jan 2014	2 658	61
SWA 1	WCSI	<i>Tangaroa</i>	TAN0007	Aug 2000	1 507	25
			TAN1210	Aug 2012	617	32
			TAN1308	Aug 2013	313	23

Merged (stratified) and unmerged (tow-level) datasets were modelled separately to derive relative biomass indices based on CPUE data (McGregor, in press). Positive catch models based on the lognormal distribution were applied to both datasets within each region and binomial/delta-lognormal models were developed for the unmerged datasets. Each record in the unmerged datasets represented a tow which allowed for the inclusion of fine scale spatial and temporal information, as well as other factors which may influence CPUE, such as tow distance or bottom depth. However, these tow-by-tow data are limited by the design of the forms used to collect these data, whereby only the top five species taken in the tow are required to be reported. Consequently some tows which may have captured SWA would not have had this information reported because the species did not qualify in the top five, leading to a “false zero” for the tow in question. This data omission at the tow level will bias the CPUE for the positive catch records but should be compensated when the delta-lognormal model is created by adding the catch success/failure model based on the binomial distribution.

Length and age data are collected during the course of trawl surveys and by the Scientific Observer Programme from commercial fishing vessels. A feature of these time series, especially with the Chatham Rise and ECSI surveys, is that the size distributions are extremely variable among years. The Chatham Rise survey sometimes completely lack the typical 50 cm size class, and often lacks the 25 or 35 cm modes even though the appropriate mode is present in the subsequent year. The variability is highest in the ECSI survey, which shows up to four distinct size modes, but usually only one or two simultaneously. Beentjes et al. (2004) noted that variability in adult size classes captured in this survey is a common feature and considered it to be a result of either environmental influences on fish distribution, fish schooling by size, or the result of problems with gear performance (Beentjes et al. 2004).

#### East Chatham Rise (part of SWA 4)



**East Chatham Rise (part of SWA 4)**Trawl survey and CPUE indices

The Chatham Rise trawl survey index suggests an overall upward trend, although the 2010 and 2011 years are difficult to interpret given the very large CIs (Figure 2). Two further surveys have been completed since 2011.

Both the stratified and un-stratified CPUE series (Figure 2) showed a very slight increasing trend from 1998 to 2011. CPUE showed an increase in 2005 about the time when twin trawls were increasingly used. A large proportion of zeroes were found in the tow by tow unmerged data, which has a strong influence on the combined index. CPUE was not considered likely to be a good index here, but the slight increase matched the trend in the trawl survey data for Eastern Chatham Rise.

The tow-level CPUE and trawl survey biomass estimates have peaks in one where there are troughs in the other, but both suggest a slight overall upward trend.

Length and age data

The age and length frequency data may prove useful in interpreting trends in the trawl survey and CPUE relative abundance indices in the future.

Conclusions

The CPUE time series is currently not a useful relative abundance index for this area. The trawl surveys are not considered a good monitoring tool or useful for stock assessment for this area.

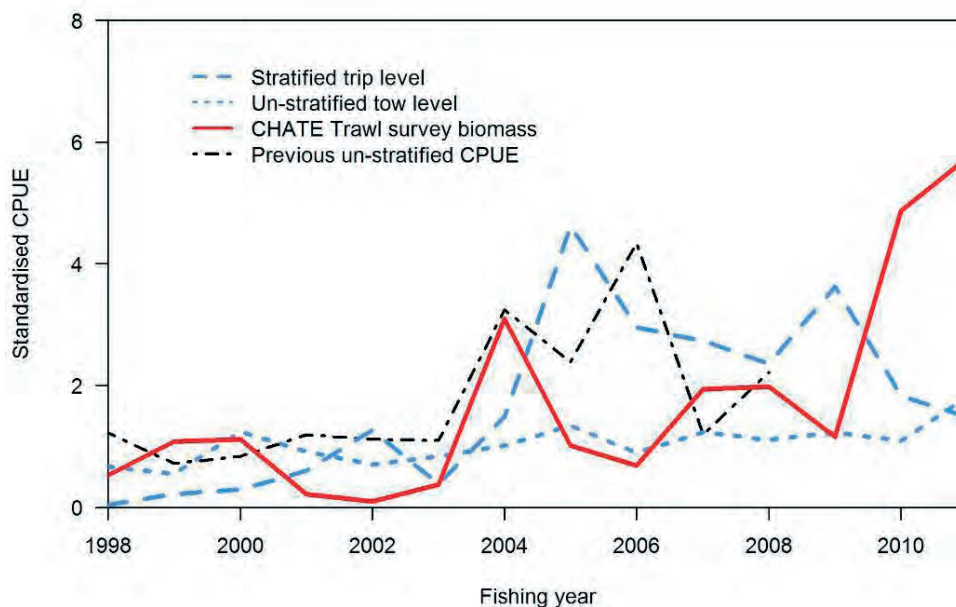


Figure 2. East Chatham Rise standardized CPUE (1998-2011) for merged (stratified, trip level) and unmerged (un-stratified, tow level) data; previous un-stratified CPUE (1998-2008) data; and biomass estimates from Chatham Rise East *Tangaroa* trawl surveys 1998-2011.

**East Coast South Island (part of SWA 3)**Trawl survey and CPUE indices

The *Kaharoa* east coast South Island inshore surveys (Figure 3) suggest an upward trend, but estimates are highly uncertain. Biomass in the core strata (30–400 m) for the recent years



(through 2012) is higher overall than in the 1990s by about two-fold. The hoki research survey strata on the West Chatham Rise showed a similar trend to the East Chatham Rise with higher abundance and high CVs in the last 2 years.

Both the stratified and un-stratified CPUE series (Figure 3) showed a slight increasing trend from 1998 to 2011. The fishery was bycatch of HOK and SQU fisheries before 2008 with increasing target SWA catches since. Twin trawls also appear to influence these indices as the CPUE jumps up in 2004.

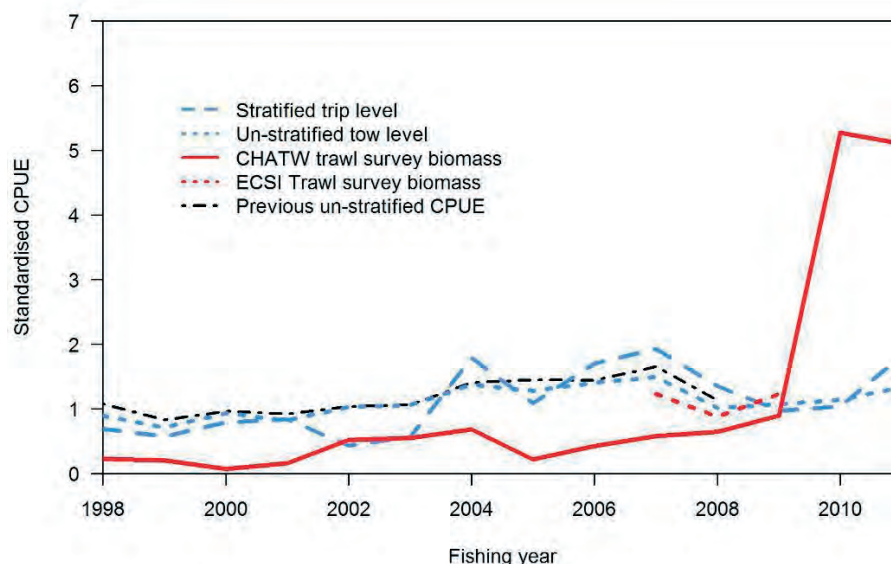
The ECSI tow-level CPUE and ECSI trawl survey both show a similar upward trend, although the CPUE index does not match the sudden increase in the 2010 and 2011 trawl survey biomass estimates. The two series look a close match with the biomass estimates for 2010-2011 removed. The biomass estimates have higher year to year variability, but the general trend is similar.

#### Length and age data

The *Kaharoa* trawl survey is monitoring pre-recruited cohorts, but not fish in the recruited size range. Plots of time series length frequency distributions consistently show the presence of the pre-recruited cohorts on nearly all surveys, with indications that these could be tracked through time (modal progression). Therefore, the age and length frequency data may prove useful in interpreting trends in the trawl survey and CPUE indices in the future.

#### Conclusions

McGregor (in press) suggests that the East Coast South Island CPUE time series are more promising as indices of abundance. The trawl surveys are not considered a good monitoring tool or useful for stock assessment for this area.



**Figure 3.** East Coast South Island standardised CPUE (1998-2011) for merged (stratified, trip level) and unmerged (un-stratified, tow level) data; previous un-stratified CPUE (1998-2008) data; and biomass estimates from Chatham Rise West *Tangaroa* (1998-2011) and East Coast South Island *Kaharoa* (2007-09) trawl surveys.

#### **Southland (Sub-Antarctic) (part of SWA 4)**

##### Trawl survey and CPUE indices

The Sub-Antarctic trawl survey index (Figure 4) is fairly flat, with the possible exception of the increase in 2008 and 2009, although the CIs on these years are very large.

## SILVER WAREHOU (SWA)

Both the stratified and un-stratified CPUE series (Figure 4) showed a flat trend from 1998 to 2011. The SQU and SWA target CPUE were both flat and improved CPUE indices may be possible from tightening up data selection.

The standardized CPUE indices for Southland are similar to the estimated biomass from the sub-Antarctic summer trawl survey. The general trend in both series is flat (with the possible exception of the increase in 2008 and 2009) and the biomass estimates do not contradict the CPUE index.

### Length and age data

The age and length frequency data may prove useful in interpreting trends in the trawl survey and CPUE relative abundance indices in the future.

### Conclusions

The overall conclusion is that the Southland CPUE series is not useful to monitor silver warehou in this area. The trawl surveys are not considered a good monitoring tool or useful for stock assessment for this area.

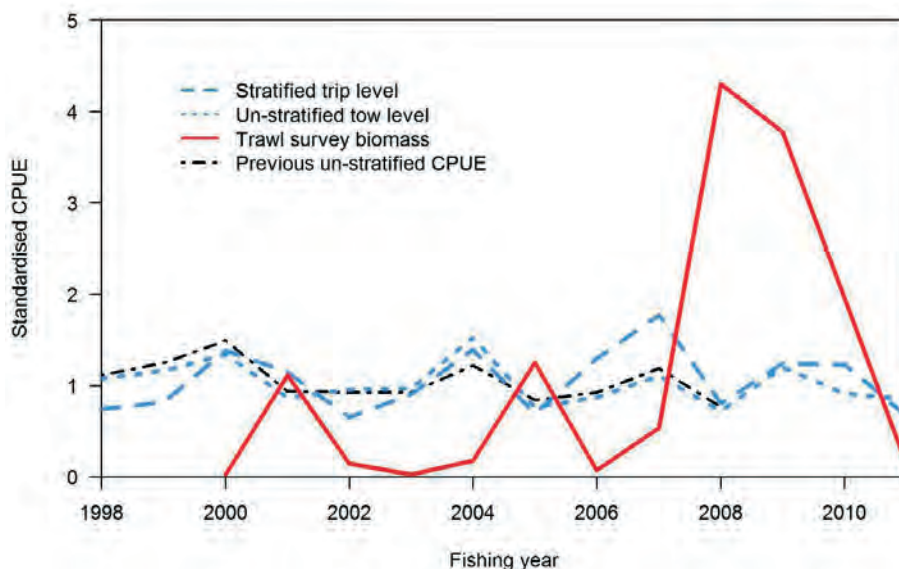


Figure 4. Southland standardized CPUE (1998-2011) for merged (stratified, trip level) and unmerged (un-stratified, tow level) data; previous un-stratified CPUE (1998-2008) data; and biomass estimates from Sub-Antarctic *Tangaroa* trawl surveys 2000 -11.

## West coast South Island (part of SWA 1)

### Trawl survey and CPUE indices

The WCSI *Kaharoa* survey includes the TBGB (Tasman Bay Golden Bay) area, which is a shallow area and dominated by juvenile SWA. When separated out, the TBGB index shows a downward trend (Figure 5) while the WCSI index with TBGB omitted is fairly flat, with highly variable CIs. There are also biomass estimates from the WCSI *Tangaroa* survey for 2000, 2012 and 2013. The biomass estimate for 2012 is more than double that for 2000.

Both the stratified and un-stratified CPUE series (Figure 5) showed a decreasing trend from 1998 to 2003 and have remained relatively flat since.

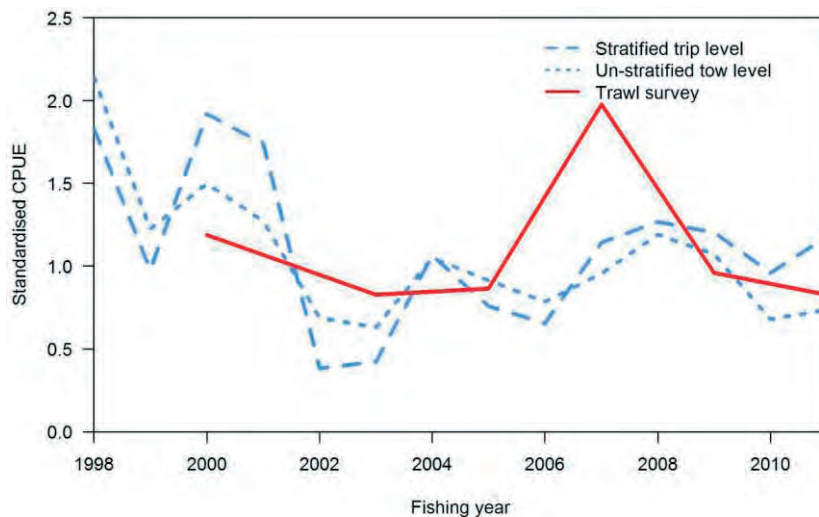


Figure 5. West Coast South Island standardized CPUE (1998–2011) for merged (stratified, trip level) and unmerged (un-stratified, tow level) data; and biomass estimates from Tasman Bay – Golden Bay *Kaharoa* trawl surveys 1998–2011.

A CPUE analysis for this stock was also conducted in 2009 (Cordue 2009) using selected observer catch and effort data for a core fleet of vessels for positive bottom and midwater trawl SWA catches in area FMA 7 for winter fishing within a WCSI box (40.2°S–43.3°S). The resulting index (Figure 6) is noisy but shows a general trend of slow CPUE decline from 1986 to 1992, a steep increase from 1992 to 1996 and high levels through to 2000, followed by a steep decline back to low levels by 2002 and a stable trend at slightly above historically lowest levels through 2008. This CPUE index was possibly consistent with strong year classes in 1993–94 and in 1997 (evident in the length frequency data), and resulting increased abundance over the ensuing few years. This CPUE standardization might be indexing SWA 1 abundance and, given the substantial amount of catch-at-age data for this stock, it was recommended that a stock assessment should now be conducted to investigate the coherence between catch-at-age data and this abundance index.

The WG noted that this Fishstock sustained catches which averaged 2800 t/year from 1993–94 to 2000–01 without resulting in high  $Z$  estimates, but that this occurred over a period where CPUE indices indicate abundance of more than double current levels. A stock assessment is considered to be a more appropriate methodology to assess this Fishstock than relying on analyses of catch curve.

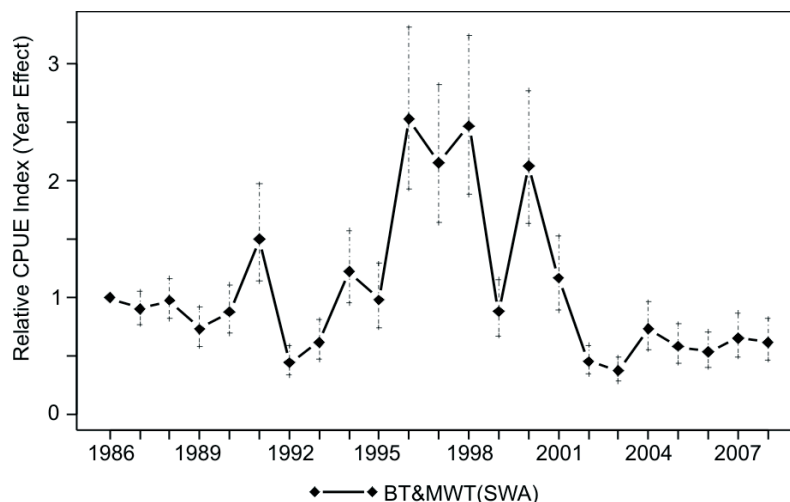


Figure 6. Standardized CPUE index (year effects) for SWA 1 from an analysis of Scientific Observer Programme trawl records (Cordue 2009).

### Length and age data

The WCSI inshore trawl series typically has a dominant 20 cm mode and a smaller mode around 35 cm. Age frequency distributions from otoliths collected by the Scientific Observer Programme from the west coast South Island hoki fishery indicate that a wide range of year classes were present in the catch for all seasons 1992–96. Catch curve analysis based on the age structure of annual catches made from 1992–2005 suggested that fishing mortality was lower than natural mortality (SeaFIC 2007). Observer length data may help interpret patterns in CPUE.

### Conclusions

McGregor (in press) suggests that the West Coast South Island CPUE time series are more promising as indices of abundance. In addition, Observer length data may help interpret patterns in the CPUE. The inshore *Kaharoa* trawl surveys are not considered a good monitoring tool or useful for stock assessment for this area. The biomass estimates from the WCSI *Tangaroa* survey may prove useful for this stock once the time series is extended.

#### **4.2 Yield estimates and projections**

*MCY* cannot be determined. Problems with mis-reporting of warehou catches and the lack of consistent catch histories make *MCY* estimates based on catch data alone unreliable.

An estimate of current biomass is not available, and *CAY* cannot be estimated.

#### **4.3 Other factors**

The degree of interdependence between Fishstocks is unknown

### **5. ANALYSIS OF ADAPTIVE MANAGEMENT PROGRAMMES (AMP)**

The Ministry of Fisheries revised the AMP framework in December 2000. The AMP framework is intended to apply to all proposals for a TAC or TACC increase, with the exception of fisheries for which there is a robust stock assessment. In March 2002, the first meeting of the new Adaptive Management Programme Working Group was held. Two changes to the AMP were adopted:

- a new checklist was implemented with more attention being made to the environmental impacts of any new proposal;
- the annual review process was replaced with an annual review of the monitoring requirements only. Full analysis of information is required a minimum of twice during the 5 year AMP.

The SWA 1 TACC was increased from 2132 t to 3000 t in October 2002 under the Adaptive Management Programme (AMP). A mid-term review of the SWA 1 AMP was carried out in 2009 (AMP WG/09/10, 11). This programme has been discontinued.

### **6. STATUS OF THE STOCKS**

#### **All stocks**

There are no stock assessments available for any silver warehou stocks. Neither the trawl survey nor the CPUE time series are currently suitable for monitoring the stocks or useful for stock assessments. No estimates of biomass are available and the status of the stocks are unknown.

In most years from 2000–01 to 2008–09 catches in SWA 3 and SWA 4 were well above the TACCs as fishers landed catches well in excess of ACE holdings. The sustainability of current TACCs and recent catch levels for these Fishstocks is not known, and it is not known if they will allow the stocks to move towards a size that will support the maximum sustainable yield.

Yield estimates, TACCs and reported landings for the 2013–14 fishing year are summarized in Table 5.

**Table 5: Summary of yields (t), TACCs (t), and reported landings (t) of silver warehou for the most recent fishing year.**

Fishstock		FMA	MCY	2013–14 Actual TACC	2013–14 Reported landings
SWA 1	Auckland (East) (West),	1, 2, 7,		3 000	903
	Central (East) (West), & Challenger	8, & 9			
SWA 3	South-East (Coast)	3	-	3 280	3 201
	South-East (Chatham), Southland, and Sub-				
SWA 4	Antarctic	4, 5 & 6	-	4 090	3 885
SWA 10	Kermadec	10	-	10	0
Total			-	10 380	7 989

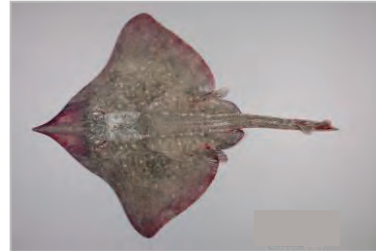
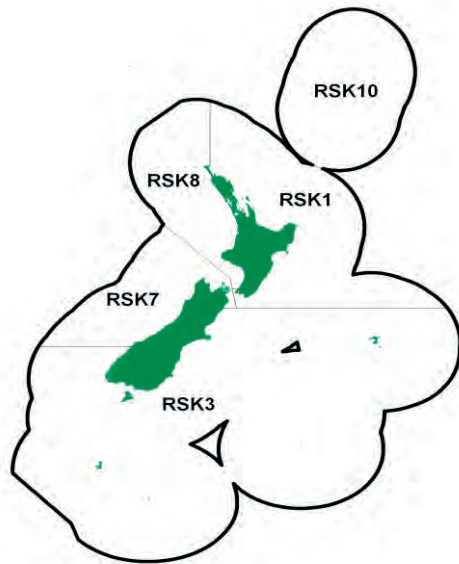
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## ROUGH SKATE (RSK)

(*Zearaja nasuta*)

Waewae



### 1. FISHERY SUMMARY

#### 1.1 Commercial fisheries

Rough skate (*Zearaja nasuta*, RSK) are fished commercially in New Zealand in close association with smooth skates, which are also known as barndoor skates. Although rough skates grow considerably smaller than smooth skates, RSK is still landed and processed. Two other species of deepwater skate (*Bathyraja shuntovi* and *Raja hyperborea*) are large enough to be of commercial interest, but are relatively uncommon and probably comprise a negligible proportion of the landings.

Skate flesh ammoniates rapidly after death, so the wings are removed at sea, and chilled or frozen. On arrival at the shore factories, the wings are machine-skinned, graded and packed for sale. Most of the product is exported to Europe, especially France and Italy. Skates of all sizes are processed, although some factories impose a minimum weight limit of about 1 kg (200 g per wing), and occasionally wings from very large smooth skates are difficult to market.

Rough skates occur throughout New Zealand, but are most abundant around the South Island in depths down to 500 m. Most of the catch is taken as bycatch by bottom trawlers, but skates are also taken by longliners. Significant longline bycatch has been reported from the Bounty Plateau in QMA 6. There is no clear separation of the depth ranges inhabited by rough and smooth skates; however, smooth skate tend to occur slightly deeper than rough skate (Beentjes & Stevenson 2000, 2001, Stevenson & Hanchet 2000).

Many fishers and processors did not previously distinguish rough and smooth skates in their landing returns, and coded them instead as “skates” (SKA). Because it is impossible to determine the species composition of the catch from landings data prior to introduction of these species into the QMS, all pre-QMS data reported here consist of the sum of the three species codes RSK, SSK and SKA. Landings have been converted from processed weight to whole weight by application of conversion factors.

There have been historical changes to the conversion factors applied to skates by MAF Fisheries and Ministry of Fisheries. No record seems to have been kept of the conversion factors in use before 1987, so it is not possible to reconstruct the time series of landings data using the currently accepted factors. Consistent and appropriate conversion factors have been applied to skate landings since the end of the

1986–87 fishing year. Before that, it appears that a lower conversion factor was applied, resulting in an underestimation of landed weight by about 20%. No correction has been made for that in this report.

New Zealand annual skate landings, estimated from a variety of sources, are shown in Table 1. No FSU deepwater data were available before 1983, and it is not known whether deepwater catches, including those of foreign fishing vessels, were significant during that period. CELR and CLR data are provided by inshore and deepwater trawlers respectively. “CELR estimated” landings were always less than “CELR landed” landings, because the former include only the top five fish species (by weight) caught by trawlers, whereas the latter include all species landed. As a relatively minor bycatch, skates frequently do not fall into the top five species. The sum of the “CELR landed” and CLR data provides an estimate of the total skate landings. This estimate usually agreed well with LFRR data supplied by fish processors, especially in 1993–94 and 1994–95, but in 1992–93 the difference was 467 t. The “best estimate” of the annual historical landings comes from FSU data up to 1985–86, and LFRR data thereafter.

**Table 1: New Zealand skate landings for calendar years 1974–1983, and fishing years (1 October–30 September) 1983–84 to 1995–96. Values in parentheses are based on part of the fishing year only. Landings do not include foreign catch before 1983, or unreported discards. FSU = Fisheries Statistics Unit; CELR = Catch, Effort and Landing Return; CLR = Catch Landing Return; LFRR = Licensed Fish Receivers Return; Best Estim. = best available estimate of the annual skate catch; - = no data.**

Year	FSU			CELR		CELR Landed		LFRR	Best Estimate
	Inshore	Deepwater	Total	Estim.	Landed	CLR	+CLR		
1974	23	-	-	-	-	-	-	-	23
1975	30	-	-	-	-	-	-	-	30
1976	28	-	-	-	-	-	-	-	28
1977	27	-	-	-	-	-	-	-	27
1978	36	-	-	-	-	-	-	-	36
1979	165	-	-	-	-	-	-	-	165
1980	441	-	-	-	-	-	-	-	441
1981	426	-	-	-	-	-	-	-	426
1982	648	-	-	-	-	-	-	-	648
1983	634	178	812	-	-	-	-	-	812
1983–84	686	298	983	-	-	-	-	-	983
1984–85	636	250	886	-	-	-	-	-	886
1985–86	613	331	944	-	-	-	-	-	944
1986–87	723	285	1 007	-	-	-	-	1 019	1 019
1987–88	1 005	421	1 426	-	-	-	-	1 725	1 725
1988–89	(530)	(136)	(665)	(252)	(265)	(28)	(293)	1 513	1 513
1989–90	-	-	-	780	1 171	410	1 581	1 769	1 769
1990–91	-	-	-	796	1 334	359	1 693	1 820	1 820
1991–92	-	-	-	1 112	1 994	703	2 698	2 620	2 620
1992–93	-	-	-	1 175	2 595	824	3 418	2 951	2 951
1993–94	-	-	-	1 247	2 236	788	3 024	2 997	2 997
1994–95	-	-	-	956	1 973	829	2 803	2 789	2 789
1995–96	-	-	-	-	-	-	-	2 789	2 789

Total skate landings (based on the “best estimate” in Table 1) were negligible up to 1978, presumably because of a lack of suitable markets and the availability of other more abundant and more desirable species. Landings then increased linearly to reach nearly 3000 t in 1992–93 and 1993–94, and remained between 2600 and 3100 t until the separation of skate species under the QMS. Reported landings of rough skate are provided in Table 2.

Rough skates (RSK) were introduced into the QMS as a separate species from 1 October 2003 with allowances, TACCs and TACs as follow in Table 3. Figure 1 shows the historical landings and TACC values for the main RSK stocks. Owing to problems associated with identification of rough and smooth skates, reported catches of each species are probably not accurate (Beentjes 2005). Initiatives to improve identification of these species begun in 2003 may have resulted in more accurate data.

## ROUGH SKATE (RSK)

**Table 2: Reported landings (t) of SKA and RSK by QMA and fishing year, 1996–97 to 2013–14.**

Fishstock	RSK 1		RSK 3		RSK 7		RSK 8		RSK 10		All
FMA	1–2		3–6		7		8–9		10		
Skate (SKA)*	Land.	TACC	Land.	TACC	Land.	TACC	Land.	TACC	Land.	TACC	Total
1996–97	43	-	894	-	380	-	30	-	0	-	1 347
1997–98	44	-	855	-	156	-	31	-	0	-	1 086
1998–99	48	-	766	-	228	-	12	-	0	-	1 054
1999–00	75	-	775	-	253	-	25	-	0	-	1 128
2000–01	88	-	933	-	285	-	28	-	0	-	1 334
2001–02	132	-	770	-	311	-	35	-	0	-	1 248
2002–03	121	-	857	-	293	-	32	-	0	-	1 303
2003–04	< 1	-	< 1	-	< 1	-	< 1	-	0	-	1
Rough skate (RSK)											
1996–97	15	-	265	-	69	-	3	-	0	-	352
1997–98	32	-	493	-	44	-	5	-	0	-	574
1998–99	22	-	607	-	33	-	4	-	0	-	666
1999–00	20	-	720	-	37	-	2	-	0	-	779
2000–01	27	-	569	-	42	-	4	-	0	-	642
2001–02	24	-	607	-	25	-	3	-	0	-	659
2002–03	18	-	1 060	-	27	-	11	-	0	-	1 118
2003–04	48	111	1 568	1 653	191	-	33	-	0	-	1 840
2004–05	72	111	1 815	1 653	173	201	55	21	0	0	2 115
2005–06	72	111	1 446	1 653	153	201	28	21	0	0	1 699
2006–07	68	111	1 475	1 653	197	201	35	21	0	0	1 768
2007–08	80	111	1 239	1 653	206	201	46	21	0	0	1 573
2008–09	79	111	1 591	1 653	226	201	46	21	0	0	1 942
2009–10	87	111	1 546	1 653	225	201	46	21	0	0	1 905
2010–11	91	111	1 547	1 653	199	201	45	21	0	0	1 882
2011–12	76	111	1 257	1 653	189	201	41	21	0	0	1 563
2012–13	92	111	1 573	1 653	180	201	44	21	0	0	1 889
2013–14	105	111	1 798	1 653	166	201	54	21	0	0	2 122

\*Use of the code SKA ceased once skates were introduced into the QMS in October 2003 and rough skates and smooth skates were recognised as a separate species. From this time all landings of skates have been reported against either the RSK or SSK code.

**Table 3: Recreational, customary, and other mortality allowances (t), Total Allowable Commercial Catches (TACC, t) and Total Allowable Catches (TAC, t) declared for RSK on introduction into the QMS in October 2003.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other Mortality	TACC	TAC
RSK 1 (FMAs 1–2)	1	1	1	111	114
RSK 3 (FMAs 3–6)	1	1	17	1 653	1 672
RSK 7	1	1	2	201	205
RSK 8 (FMAs 8–9)	1	1	1	21	24
RSK 10	0	0	0	0	0

### 1.2 Recreational fisheries

Recreational fishing surveys indicate that rough skates are very rarely caught by recreational fishers.

### 1.3 Customary non-commercial fisheries

Quantitative information on the level of customary non-commercial take is not available.

### 1.4 Illegal catch

Quantitative information on the level of illegal catch is not available.

### 1.5 Other sources of mortality

Because skates are taken mainly as bycatch of bottom trawl fisheries, historical catches have probably been proportional to the amount of effort in the target trawl fisheries. Past catches were probably higher than historical landings data suggest, because of unrecorded discards and



unrecorded foreign catch before 1983.

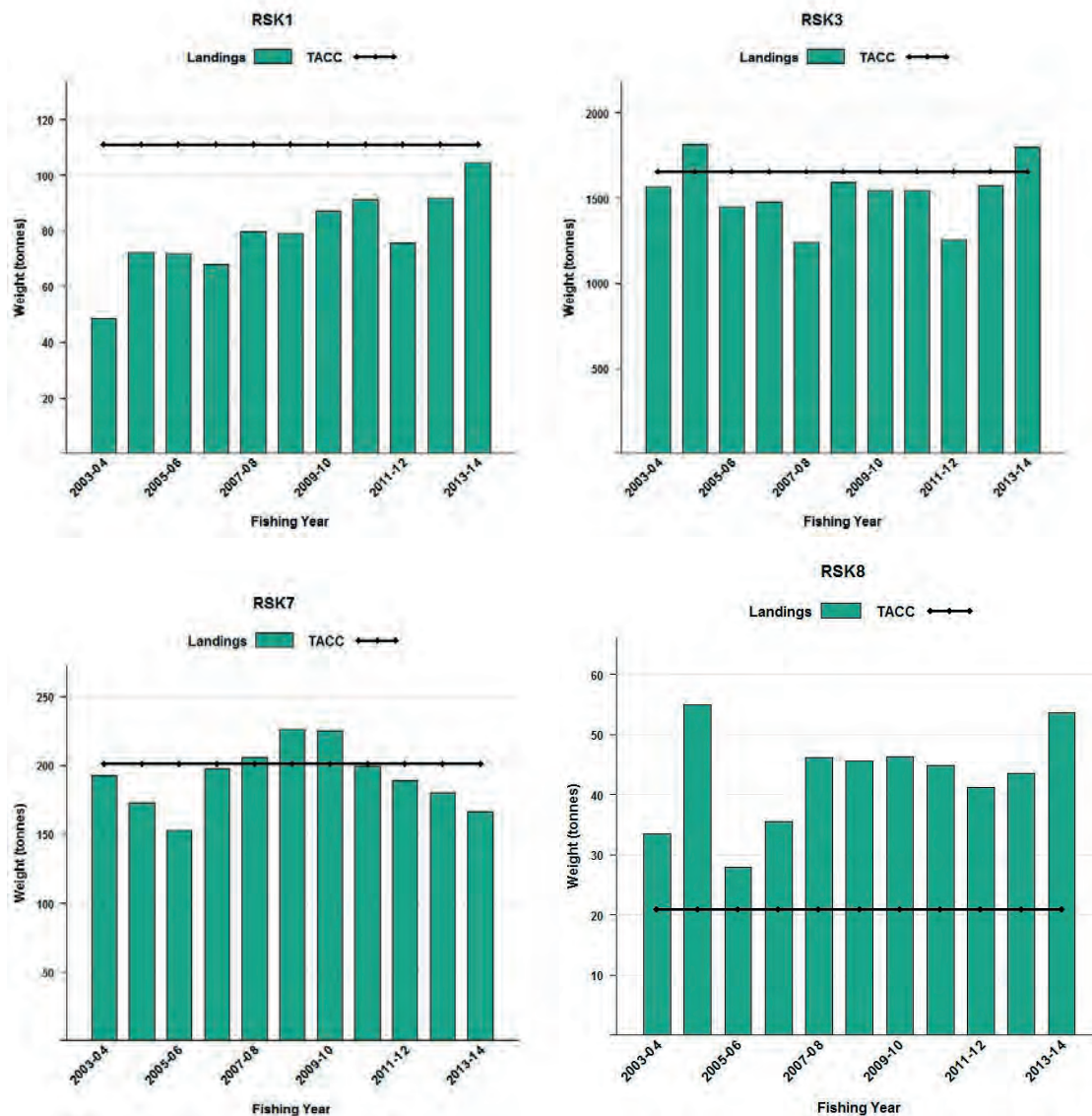


Figure 1: Reported commercial landings and TACC for the four main RSK stocks. From top left to bottom right: RSK 1 (Auckland East), RSK 3 (South East Coast, South East Chatham Rise, Sub-Antarctic, Southland), RSK 7 (Challenger), and RSK 8 (Central Egmont, Auckland West).

## 2. BIOLOGY

Little is known about the reproductive biology of rough skates. Rough skates reproduce by laying yolky eggs, enclosed in leathery cases, on the seabed. Rough skates lay their eggs in spring-summer (Francis 1997). Two eggs are laid at a time, but the number of eggs laid annually by a female is unknown. A single embryo develops inside each egg case and the young hatch at about 10–15 cm pelvic length (body length excluding the tail) (Francis 1997).

Rough skates grow to at least 79 cm pelvic length, and females grow larger than males. The greatest reported age is 9 years for a 70 cm pelvic length female, and females may live longer than males (Francis et al 2001a, b). There are no apparent differences in growth rate between the sexes. Males reach 50% maturity at about 52 cm and 4 years, and females at 59 cm and 6 years. The most plausible estimate of  $M$  is 0.25–0.35. Biological parameters relevant to stock assessment are shown in Table 4.

## ROUGH SKATE (RSK)

**Table 4: Estimates of biological parameters for Rough skates (RSK).**

Fishstock	Estimate			Source
<u>1. Natural mortality (M)</u>				
RSK 3	0.25–0.35			Francis et al (2001b)
<u>2. Weight = a (length)<sup>b</sup> (weight in g, length in cm pelvic length)</u>				
	a	b		
RSK males	0.0393	2.838		Francis (1997)
RSK females	0.0218	3.001		Francis (1997)
<u>3. von Bertalanffy growth parameters</u>				
	K	t <sub>0</sub>	L <sub>∞</sub>	
RSK 3 (both sexes)	0.16	-1.2	91.3	Francis et al (2001b)
RSK 3 (both sexes)	0.096	-0.78	151.8	Francis et al (2004)

### 3. STOCKS AND AREAS

Nothing is known about stock structure or movement patterns in skates. Rough skates are distributed throughout most of New Zealand, from the Three Kings Islands to Campbell Island and the Chatham Islands, including the Challenger Plateau, Chatham Rise and Bounty Plateau. Rough skates have not been recorded from QMA 10.

In this report, rough skate landings have been presented by QMA. QMAs would form appropriate management units in the absence of any information on biological stocks.

### 4. STOCK ASSESSMENT

This is the first stock assessment for skates. No yield estimates have been made for skates.

#### 4.1 Estimates of fishery parameters and abundance

Relative biomass estimates are available for rough skates from a number of trawl survey series (Table 5). Biomass estimates are not provided for surveys of: (a) west coast North Island because of major changes in survey areas and strata during the series; or (b) east Northland, Hauraki Gulf and Bay of Plenty because of the low relative biomass of rough skates present (usually less than 100 t). In the first survey of each of two series -east coast South Island and Chatham Rise- the two skate species were not (fully) distinguished. Furthermore, there are doubts about the accuracy of species identification in some other earlier surveys (prior to 1996). Consequently, trends in biomass of individual species must be interpreted cautiously. To enable comparison among all surveys within each series, total skate biomass is also reported.

As the catch from the South Island trawl surveys changes without wide inter-annual fluctuations and the CVs are relatively low it appears that they are able to track rough skate biomass in FMA 3, 7, and on the Stewart Snare. West Coast South Island surveys show that the relative biomass of rough skate in FMA 7 declined in the early 2000s but has since increased marginally.

#### 4.2 Biomass estimates

##### 4.2.1 Trawl Surveys

Indices of relative biomass are available from recent *Tangaroa* and *Kaharoa* trawl surveys of the Chatham Rise, East Coast South Island and West Coast South Island (Table 5, and Figures 2-5).

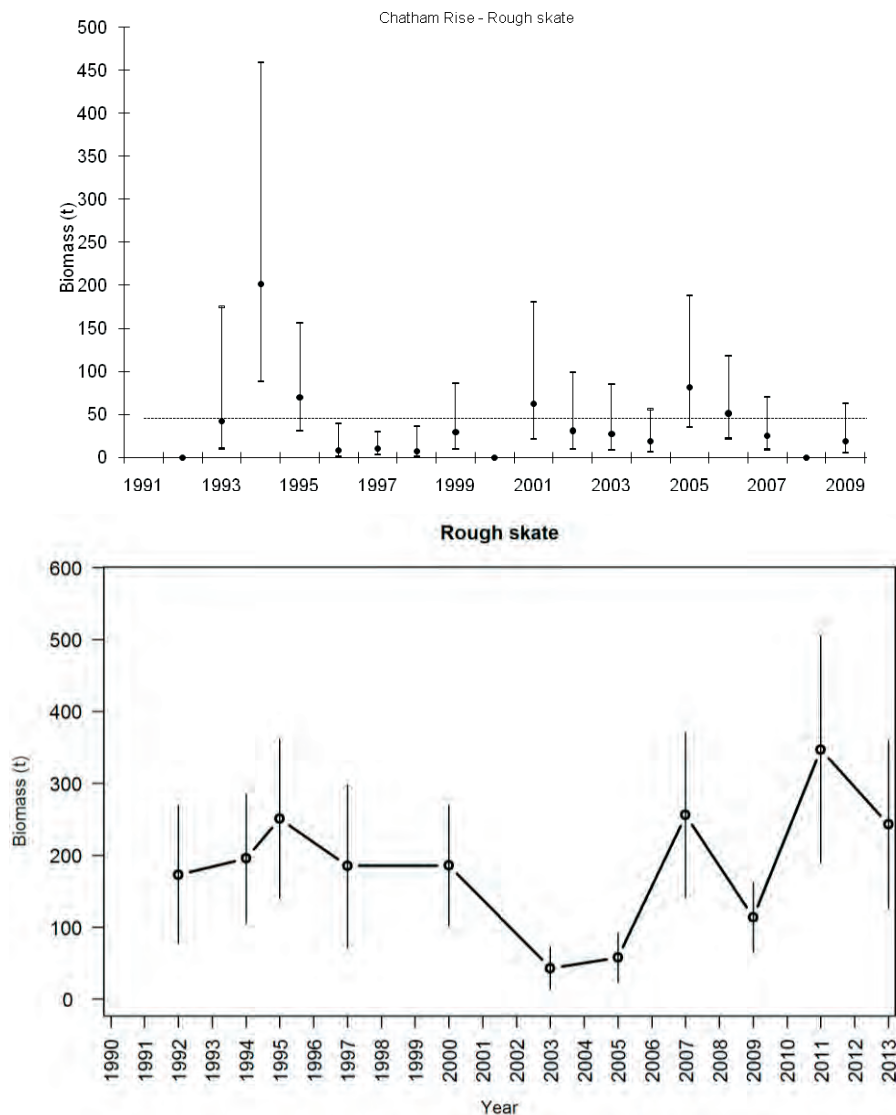
Estimates of biomass for RSK from Chatham Rise and WCSI trawl surveys are provided in Figure 2. Although CVs are reasonably large, biomass appears to have fluctuated without trend for both surveys since the early 1990s.

The East Coast South Island winter surveys from 1991 to 1996 (30–400 m) were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range; but these were

discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007, and this time were expanded to include the 10–30 m depth range, in order to monitor elephantfish and red gurnard. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

The 2014 RSK biomass estimate in the core strata (30–400 m) for the east coast South Island trawl survey was highest in the time series and more than double that of the highest biomass estimate of the 1990s (Figure 3). The additional biomass captured in the 10–30 m depth range accounted for 30%, 20% and 38%, of the biomass in the core plus shallow strata (10–400 m) for 2007, 2012, and 2014 respectively, indicating that in terms of biomass, it is essential to monitor the core plus shallow strata (10–400 m).

The length distributions for the east coast South Island winter trawl surveys core strata (30–400 m) have no clear modes, comprise multiple year classes, and small skate tend to be found in shallow water. (Beentjes et al. 2015). The survey appears to be monitoring pre-recruited lengths down to 1+ age and the full recruited distribution, but no individual cohorts are discernible. Length frequency distributions are reasonably consistent among surveys with no lengths measured before 1996. The addition of the 10–30 m depth range has changed the shape of the length frequency distribution only slightly with more smaller skate present (Beentjes et al. 2015).



**Figure 2: Rough skate biomass  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) and the time series mean (dotted line) estimated from the Chatham Rise (Top) and West Coast South Island (Bottom) trawl surveys.**

## ROUGH SKATE (RSK)

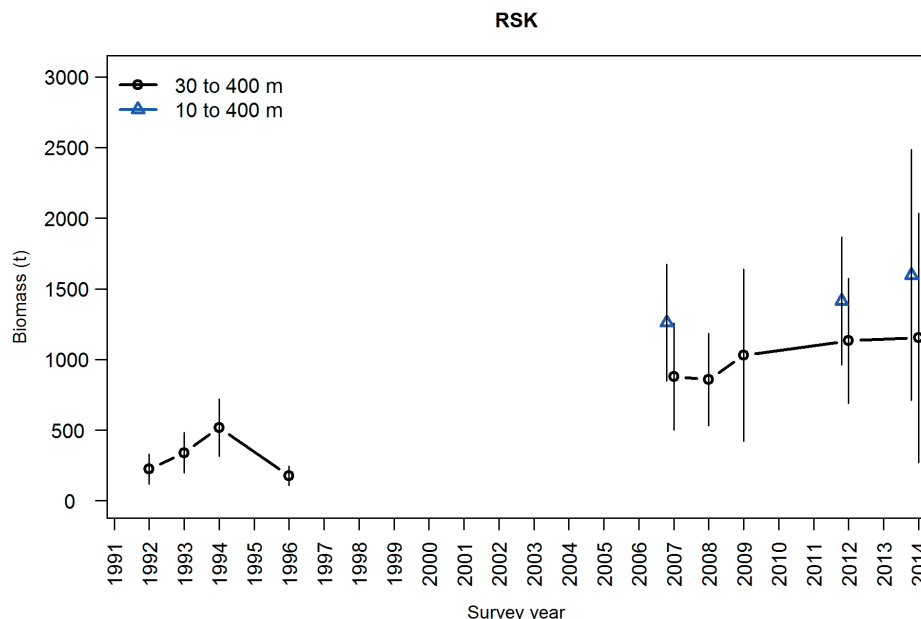


Figure 3: Rough skate total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m), and core plus shallow strata (10–400 m) in 2007, 2012, and 2014

### 4.3 Yield estimates and projections

*MCY* cannot be estimated.

The *MCY* estimator that has the lowest data requirements ( $MCY = cY_{AV}$ , Method 4), relies on selecting a time period during which there were “no systematic changes in fishing mortality (or fishing effort, if this can be assumed to be proportional to fishing mortality)”. This method was not applied because no information is currently available on skate fishing mortality, or on trawl fishing effort in the main skate fishing areas.

*CAY* cannot be estimated.

### 4.4 Other factors

Species that constitute a minor bycatch of trawl fisheries are often difficult to manage using TACCs and ITQs. Skates are widely and thinly distributed, and would be difficult for trawlers to avoid after the quota had been caught. A certain level of incidental bycatch is therefore inevitable. However, skates are relatively hardy, and frequently survive being caught in trawls (though mortality would depend on the length of the tow and the weight of fish in the cod end). Skates returned to the sea alive probably have a greater chance of survival than most other fishes.

Table 5: Doorspread biomass estimates (t) and coefficients of variation (CV %) of rough skates and total skates (both rough and smooth).

Year	Trip Code	Rough skate		<u>Total</u>		<u>Total</u>	CV (%)
		Biomass	CV	skates Biomass	CV	<u>Biomass</u> Estimate	
East coast North Island							
1993	KAH9304	76	28	99	-	-	-
1994	KAH9402	189	12	333	-	-	-
1995	KAH9502	52	20	72	-	-	-
1996	KAH9602	309	24	394	-	-	-
South Island west coast and Tasman/Golden Bays (FMA 7)							
1992	KAH9204	173	27	512	-	-	-
1994	KAH9404	196	23	537	-	-	-
1995	KAH9504	251	22	566	-	-	-
1997	KAH9701	185	30	487	-	-	-
2000	KAH0004	186	23	326	-	-	-
2003	KAH0304	43	34	134	-	-	-
2005	KAH0503	58	30	138	-	-	-
2007	KAH0704	256	23	300	-	-	-

Table 5 |Continued|

Year	Trip Code	Rough skate		Total		Total	CV (%)
		Biomass	CV	skates Biomass	CV	Biomass Estimate	
South Island west coast and Tasman/Golden Bays (FMA 7)							
2009	KAH0904	114	21	181	-	-	-
2011	KAH1104	347	23	532	-	-	-
East coast South Island (FMA 3)							
Winter		30–400 m				10–400 m	
1991	KAH9105	-	-	1928	25	-	-
1992	KAH9205	224	24	829	16	-	-
1993	KAH9306	335	21	993	21	-	-
1994	KAH9406	517	20	823	15	-	-
1996	KAH9606	177	19	562	18	-	-
2007	KAH0705	878	22	1 580	-	1 261	16
2008	KAH0806	858	19	1 412	-	-	-
2009	KAH0905	1 029	30	1 765	-	-	-
2012	KAH1207	1 113	20	2 138	-	1 414	16
2014	KAH1402	1 153	38	1 790	-	1 597	28
East coast South Island (FMA 3) Summer							
1996–97	KAH9618	1 336	15	2 057	-	-	-
1997–98	KAH9704	1 082	13	1 567	-	-	-
1998–99	KAH9809	1 175	10	1 625	-	-	-
1999–00	KAH9917	329	23	698	-	-	-
2000–01	KAH0014	222	34	470	-	-	-
Chatham Rise							
1991–92	TAN9106	-	-	2 129	-	-	-
1992–93	TAN9212	55	83	1 126	-	-	-
1994	TAN9401	220	44	1 178	-	-	-
1995	TAN9501	76	43	845	-	-	-
1996	TAN9601	11	100	1 522	-	-	-
1997	TAN9701	12	58	1 944	-	-	-
1998	TAN9801	10	100	1 935	-	-	-
1999	TAN9901	34	60	1 772	-	-	-
2000	TAN0001	0	-	1 369	-	-	-
2001	TAN0101	72	59	2 393	-	-	-
2002	TAN0201	37	65	2 148	-	-	-
2004	TAN0401	22	60	2 066	-	-	-
2005	TAN0501	89	45	1 869	-	-	-
2006	TAN0601	56	45	1 577	-	-	-
2007	TAN0701	29	56	1 951	-	-	-
2008	TAN0801	0	-	1 376	-	-	-
2009	TAN0901	23	67	1 185	-	-	-
2010	TAN1001	-	-	1 576	-	-	-
2011	TAN1101	-	-	1 009	-	-	-
2012	TAN1201	-	-	813	-	-	-
2013	TAN1301	38	78.5				
2014	TAN1401	37	69.1				
Stewart-Snares Shelf							
1993	TAN9301	592	20	1 120	-	-	-
1994	TAN9402	1 064	15	1 406	-	-	-
1995	TAN9502	801	7	1 136	-	-	-
1996	TAN9604	1 055	11	1 559	-	-	-
Survey discontinued							
Stewart-Snares Shelf and Sub-Antarctic (Summer)*							
1991	TAN9105	37	72	419	-	-	-
1992	TAN9211	52	69	165	-	-	-
1993	TAN9310	132	57	249	-	-	-
2000	TAN0012	201	56	267	-	-	-
Stewart-Snares Shelf and Sub-Antarctic (Autumn)							
1992	TAN9204	48	100	141	-	-	-
1993	TAN9304	251	57	428	-	-	-
1996	TAN9605	22	71	857	-	-	-
1998	TAN9805	71	77	607	-	-	-

\*Biomass estimates are for core 300–800 m strata only

## 5. STATUS OF THE STOCKS

No estimates of current and reference biomass are available.

## ROUGH SKATE (RSK)

For rough skate it is unknown if recent catch levels or the TACC will cause their populations to decline. Reported landings and TACCs for the 2013–14 fishing year are summarised in Table 6.

**Table 6: Summary of TACCs (t), and reported landings (t) for rough skates for the most recent fishing year.**

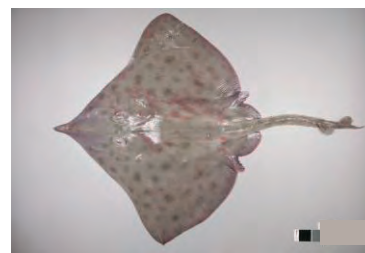
Fishstock		FMA	2013–14 Actual TACC	2013–14 Reported landings
RSK 1	Auckland (East) Central (East)	1 & 2	111	104
RSK 3	South-east (Coast) (Chatham), Southland, and Sub-Antarctic	3, 4, 5 & 6	1 653	1798
RSK 7	Challenger	7	201	166
RSK 8	Central (West), Auckland (West)	8 & 9	21	54
RSK 10	Kermadec	10	0	0
Total			1 986	2 122

## 6. FOR FURTHER INFORMATION

- Beentjes, M P (2005) Identification and reporting of commercial skate landings. *New Zealand Fisheries Assessment Report 2005/16*. 18 p.
- Beentjes, M P; MacGibbon, D J (2013) Review of QMS species for inclusion in the east coast South Island winter trawl survey reports. *New Zealand Fisheries Assessment Report 2013/35*. 102 p.
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**SMOOTH SKATE (SSK)***(Dipturus innominata)*

Uku

**1. FISHERY SUMMARY****1.1 Commercial fisheries**

Smooth skate (*Dipturus innominata*, SSK), which are also known as barndoor skates, are fished commercially in close association with rough skates (RSK) in New Zealand. Smooth skates grow considerably larger than rough skates, but both species are landed and processed. Two other species of deepwater skate (*Bathyraja shuntovi* and *Raja hyperborea*) are large enough to be of commercial interest but are relatively uncommon and probably comprise a negligible proportion of the landings.

Skate flesh ammoniates rapidly after death, so the wings are removed at sea, and chilled or frozen. On arrival at the shore factories, the wings are machine-skinned, graded and packed for sale. Most of the product is exported to Europe, especially France and Italy. Skates of all sizes are processed, though some factories impose a minimum weight limit of about 1 kg (200 g per wing), and occasionally wings from very large smooth skates are difficult to market.

Smooth skates occur throughout New Zealand, but are most abundant around the South Island in depths down to 500 m. Most of the catch is taken as bycatch by bottom trawlers, but skates are also taken by longliners. Significant longline bycatch has been reported from the Bounty Plateau in QMA 6. While there is no clear separation of the depth ranges inhabited by rough and smooth skates, smooth skates tend to occur slightly deeper than rough skate (Beentjes & Stevenson 2000, 2001, Stevenson & Hanchet 2000).

Many fishers and processors did not previously distinguish rough and smooth skates in their landing returns, and coded them instead as “skates” (SKA). Because it is impossible to determine the species composition of the catch from landings data prior to introduction of these species into the QMS, all pre-QMS data reported here consist of the sum of the three species codes RSK, SSK and SKA. Landings have been converted from processed weight to whole weight by application of conversion factors.

There have been historical changes to the conversion factors applied to skates by MAF Fisheries and

## SMOOTH SKATE (SSK)

Ministry of Fisheries. No record seems to have been kept of the conversion factors in use before 1987, so it is not possible to reconstruct the time series of landings data using the currently accepted factors. Consistent and appropriate conversion factors have been applied to skate landings since the end of the 1986–87 fishing year. Before that, it appears that a lower conversion factor was applied, resulting in an underestimation of landed weight by about 20%. No correction has been made for that in this report.

New Zealand annual skate landings, estimated from a variety of sources, are shown in Table 1. No FSU deepwater data were available before 1983, and it is not known whether deepwater catches, including those of foreign fishing vessels, were significant during that period. CELR and CLR data are provided by inshore and deepwater trawlers respectively. “CELR estimated” landings were always less than “CELR landed” landings, because the former include only the top five fish species (by weight) caught by trawlers, whereas the latter include all species landed. As a relatively minor bycatch, skates frequently do not fall into the top five species. The sum of the “CELR landed” and CLR data provides an estimate of the total skate landings. This estimate usually agreed well with LFRR data supplied by fish processors, especially in 1993–94 and 1994–95, but in 1992–93 the difference was 467 t. The “best estimate” of the annual historical landings comes from FSU data up to 1985–86, and LFRR data thereafter.

**Table 1: New Zealand skate landings for calendar years 1974–1983, and fishing years (1 October – 30 September) 1983–84 to 1995–96. Values in parentheses are based on part of the fishing year only. Landings do not include foreign catch before 1983, or unreported discards. FSU = Fisheries Statistics Unit; CELR = Catch, Effort and Landing Return; CLR = Catch Landing Return; LFRR = Licensed Fish Receivers Return; Best Estim. = best available estimate of the annual skate catch; - = no data.**

Year	FSU			CELR		CELR		LFRR	Best Estimate
	Inshore	Deepwater	Total	Estim.	Landed	CLR	+CLR		
1974	23	-	-	-	-	-	-	-	23
1975	30	-	-	-	-	-	-	-	30
1976	28	-	-	-	-	-	-	-	28
1977	27	-	-	-	-	-	-	-	27
1978	36	-	-	-	-	-	-	-	36
1979	165	-	-	-	-	-	-	-	165
1980	441	-	-	-	-	-	-	-	441
1981	426	-	-	-	-	-	-	-	426
1982	648	-	-	-	-	-	-	-	648
1983	634	178	812	-	-	-	-	-	812
1983–84	686	298	983	-	-	-	-	-	983
1984–85	636	250	886	-	-	-	-	-	886
1985–86	613	331	944	-	-	-	-	-	944
1986–87	723	285	1 007	-	-	-	-	1 019	1 019
1987–88	1 005	421	1 426	-	-	-	-	1 725	1 725
1988–89	(530)	(136)	(665)	(252)	(265)	(28)	(293)	1 513	1 513
1989–90	-	-	-	780	1 171	410	1 581	1 769	1 769
1990–91	-	-	-	796	1 334	359	1 693	1 820	1 820
1991–92	-	-	-	1 112	1 994	703	2 698	2 620	2 620
1992–93	-	-	-	1 175	2 595	824	3 418	2 951	2 951
1993–94	-	-	-	1 247	2 236	788	3 024	2 997	2 997
1994–95	-	-	-	956	1 973	829	2 803	2 789	2 789
1995–96	-	-	-	-	-	-	-	2 789	2 789

Total skate landings (based on the “best estimate” in Table 1) were negligible up to 1978, presumably because of a lack of suitable markets and the availability of other more abundant and desirable species. Landings then increased linearly to reach nearly 3000 t in 1992–93 and 1993–94, and remained between 2600 and 3100 t until the separation of skate species under the QMS. Reported landings of smooth skate are provided in Table 2.

Smooth (SSK) skates were introduced into the QMS as a separate species from 1 October 2003 with allowances, TACCs and TACs as follow in Table 3. Figure 1 shows the historical landings and TACC values for the main SSK stocks. Owing to problems associated with identification of rough and smooth skates, reported catches of each species are probably not accurate (Beentjes 2005). Initiatives to



improve identification of these species begun in 2003 may have resulted in more accurate data.

**Table 2: Reported landings (t) of SKA and SSK by QMA and fishing year, 1996–97 to 2013–14.**

Fishstock	SSK 1		SSK 3		SSK 7		SSK 8		SSK 10		Total
FMA	1–2		3–6		7		8–9		10		All
Skate (SKA)*	Land.	TACC	Land.	TACC	Land.	TACC	Land.	TACC	Land.	TACC	Total
1996–97	43	-	894	-	380	-	30	-	0	-	1 347
1997–98	44	-	855	-	156	-	31	-	0	-	1 086
1998–99	48	-	766	-	228	-	12	-	0	-	1 054
1999–00	75	-	775	-	253	-	25	-	0	-	1 128
2000–01	88	-	933	-	285	-	28	-	0	-	1 334
2001–02	132	-	770	-	311	-	35	-	0	-	1 248
2002–03	121	-	857	-	293	-	32	-	0	-	1 303
2003–04	< 1	-	< 1	-	< 1	-	< 1	-	0	-	1
Smooth skate (SSK)											
1996–97	10	-	782	-	102	-	5	-	0	-	899
1997–98	5	-	901	-	121	-	4	-	0	-	1 031
1998–99	5	-	1 011	-	100	-	15	-	0	-	1 131
1999–00	5	-	877	-	73	-	16	-	0	-	971
2000–01	9	-	859	-	104	-	7	-	0	-	979
2001–02	17	-	794	-	89	-	7	-	0	-	907
2002–03	19	-	704	-	167	-	3	-	0	-	893
2003–04	79	37	431	579	146	213	15	20	0	0	671
2004–05	82	37	408	579	125	213	15	20	0	0	630
2005–06	72	37	468	579	163	213	12	20	0	0	715
2006–07	58	37	473	579	155	213	6	20	0	0	693
2007–08	47	37	422	579	171	213	21	20	0	0	661
2008–09	38	37	332	579	168	213	22	20	0	0	560
2009–10	36	37	290	579	194	213	26	20	0	0	546
2010–11	27	37	307	579	243	213	32	20	0	0	609
2011–12	24	37	283	579	209	213	27	20	0	0	544
2012–13	36	37	292	579	231	213	39	20	0	0	598
2013–14	43	37	336	579	225	213	39	20	0	0	641

\*Use of the code SKA ceased once skates were introduced into the QMS in October 2003 and rough skates and smooth skates were recognised as a separate species. From this time all landings of skates have been reported against either the RSK or SSK code.

**Table 3: Recreational and customary non-commercial allowances (t), Total Allowable Commercial Catches (TACC, t) and Total Allowable Catch (TAC, t) declared for SSK on introduction into the QMS in October 2003.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other Mortality	TACC	TAC
SSK 1 (FMAs 1–2)	1	1	1	37	40
SSK 3 (FMAs 3–6)	1	1	6	579	587
SSK 7	1	1	2	213	217
SSK 8 (FMAs 8–9)	1	1	1	20	23
SSK 10	0	0	0	0	0

## 1.2 Recreational fisheries

Recreational fishing surveys indicate that skates are very rarely caught by recreational fishers.

## 1.3 Customary non-commercial fisheries

Quantitative information on the level of customary non-commercial take is not available.

## 1.4 Illegal catch

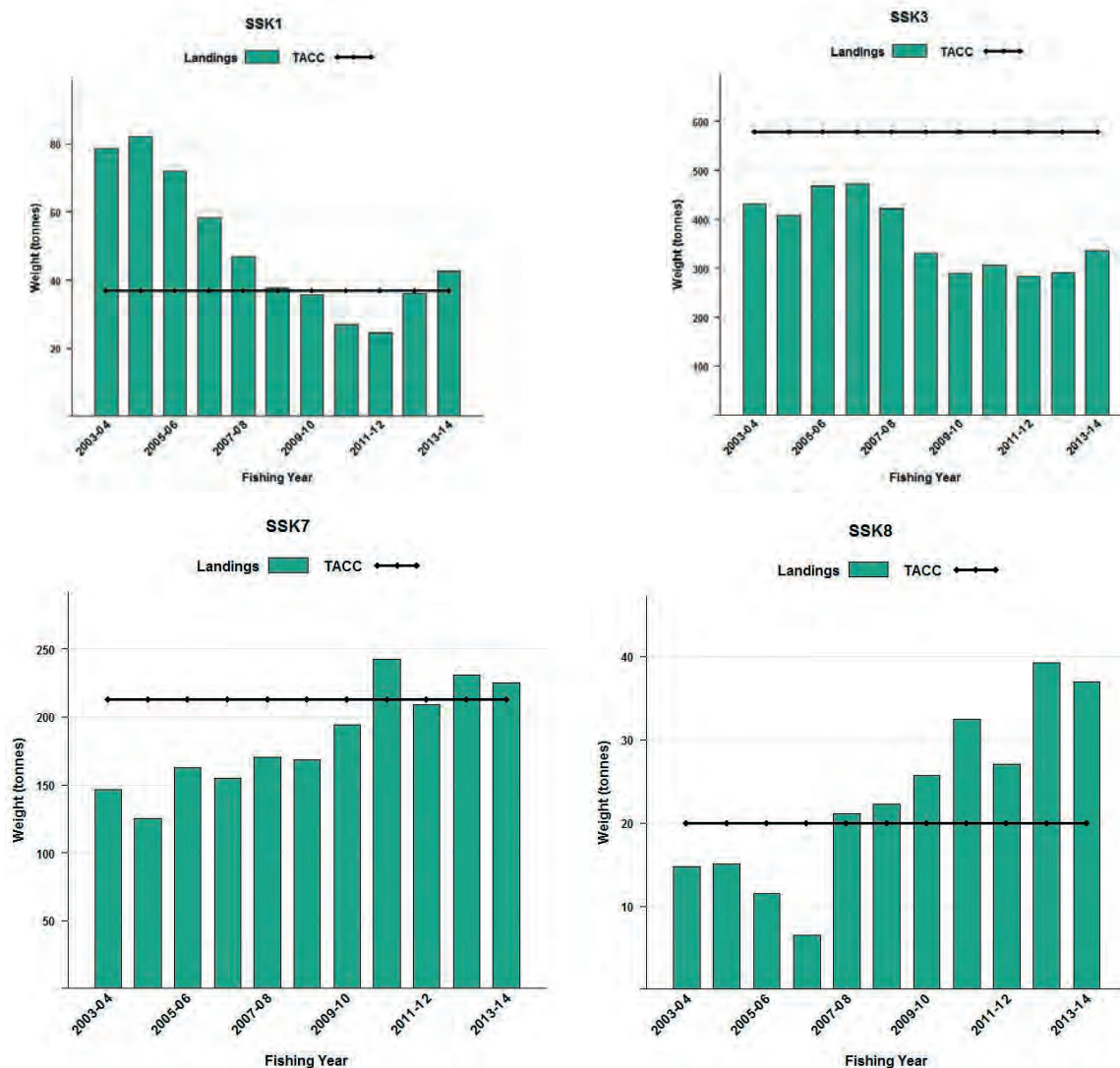
Quantitative information on the level of illegal catch is not available.

## 1.5 Other sources of mortality

Because skates are taken mainly as bycatch of bottom trawl fisheries, historical catches have probably been proportional to the amount of effort in the target trawl fisheries. Past catches were probably higher

## SMOOTH SKATE (SSK)

than historical landings data suggest because of unrecorded discards and unrecorded foreign catch before 1983.



**Figure 1: Reported commercial landings and TACCs for the four main SSK stocks. From top left to bottom right: SSK 1 (Auckland East), SSK 3 (South East Coast, South East Chatham Rise, Sub-Antarctic, Southland), SSK 7 (Challenger), and SSK 8 (Central Egmont, Auckland West).**

## 2. BIOLOGY

Little is known about the reproductive biology of smooth skates. Smooth skates reproduce by laying yolky eggs, enclosed in leathery cases, on the seabed. Two eggs are laid at a time, but the number of eggs laid annually by a female is unknown. A single embryo develops inside each egg case and the young hatch at about 10–15 cm pelvic length (body length excluding the tail) (Francis 1997).

The greatest reported age for smooth skate is 28 years for a 155 cm pelvic length female (Francis et al 2004). Females grow larger than males, and also appear to live longer. There are no apparent differences in growth rate between the sexes. Males reach 50% maturity at about 93 cm and 8 years, and females at 112 cm and 13 years. However, the small sample size of mature animals, particularly females, means that the maturity ogives are poorly defined. The most plausible estimate of  $M$  is 0.10–0.20. Biological parameters relevant to stock assessment are shown in Table 4.

**Table 4: Estimates of biological parameters for skates.**

Fishstock	Estimate			Source
1. Natural mortality ( $M$ )				
SSK 3	0.12–0.15			Francis et al (2004)
2. $\text{Weight} = a (\text{length})^b$ (weight in g, length in cm pelvic length)				
	a	b		
SSK both sexes	0.0268	2.933		Francis (1997)
3. von Bertalanffy growth parameters*				
	$K$	$t_0$	$L_\infty$	
SSK 3 (both sexes)	0.095	-1.06	150.5	Francis et al (2001b)
SSK 3 (Males)	0.117	-1.28	133.6	Francis et al (2004)

### 3. STOCKS AND AREAS

Nothing is known about the stock structure or movement patterns of smooth skates. Smooth skates are distributed throughout most of New Zealand, from the Three Kings Islands to Campbell Island and the Chatham Islands, including the Challenger Plateau, Chatham Rise and Bounty Plateau. Smooth skates have not been recorded from QMA 10.

In this report, smooth skate landings have been presented by QMA. QMAs form appropriate management units in the absence of any information on biological stocks.

### 4. STOCK ASSESSMENT

#### 4.1 Biomass estimates

Relative biomass estimates are available for smooth skates from a number of trawl survey series (Table 5). Biomass estimates are not provided for surveys of: (a) west coast North Island because of major changes in survey areas and strata during the series; or (b) east Northland, Hauraki Gulf and Bay of Plenty because of the low relative biomass of smooth skates present (usually less than 100 t). In the first survey of each of two series (east coast South Island and Chatham Rise) the two skate species were not (fully) distinguished. Furthermore, there are doubts about the accuracy of species identification in some other earlier surveys (prior to 1996). Consequently, trends in biomass of individual species must be interpreted cautiously. To enable comparison among all surveys within each series, total skate biomass is also reported.

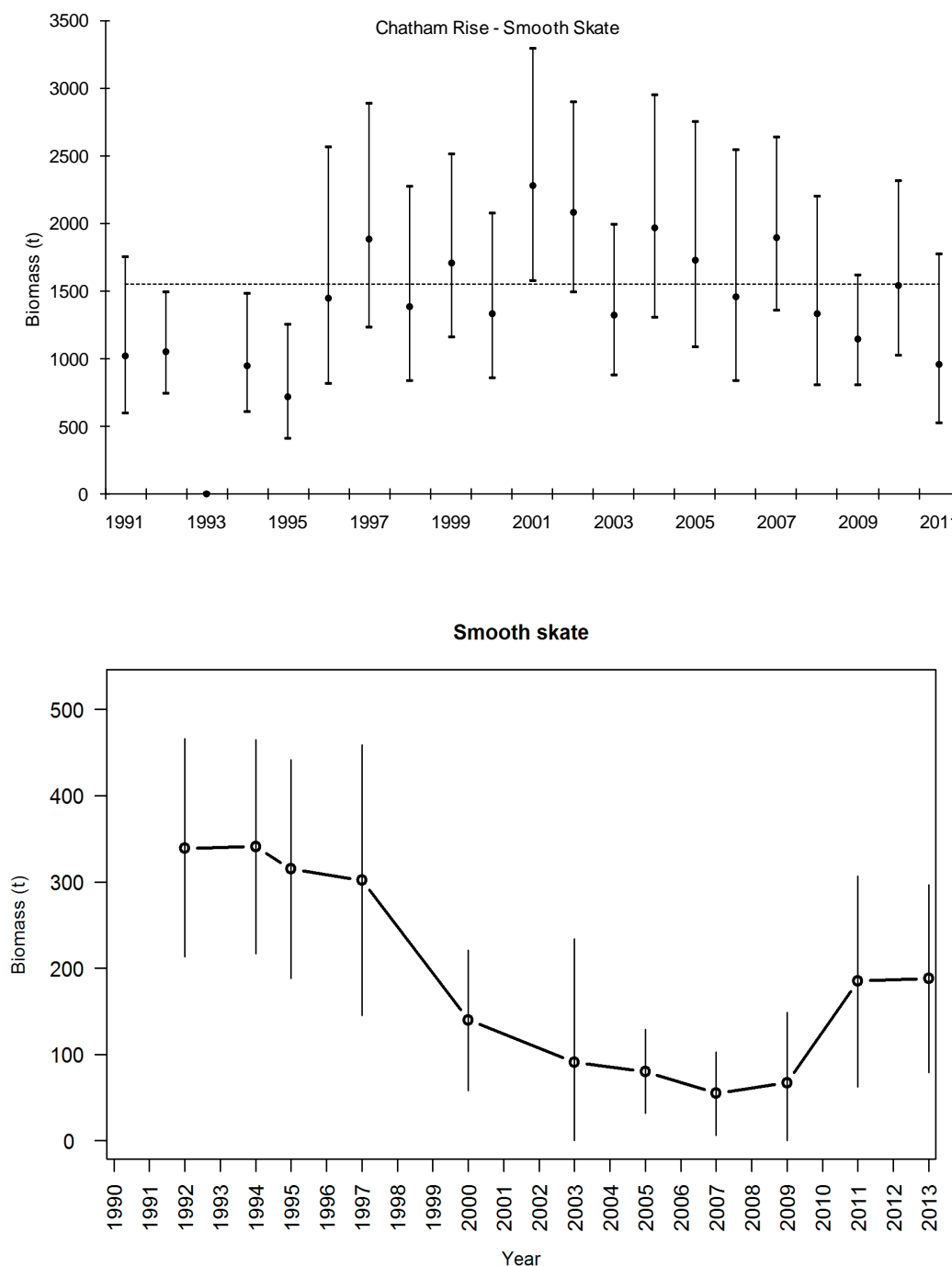
As the catch from the South Island trawl surveys changes without wide inter-annual fluctuations and the CVs are relatively low it appears that they are able to track smooth skate biomass in FMAs 3 and 7, and on the Chatham Rise. West Coast South Island surveys (Figure 2) show that the relative biomass of smooth skate in FMA 7 declined substantially from 1997 to 2009, but appear to have increased in 2011 and 2013. Smooth skate relative biomass on the Chatham Rise increased to 2001, and has declined since then.

The East Coast South Island winter surveys from 1991 to 1996 (30–400 m) were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range; but these were discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007, and this time were expanded to include the 10–30 m depth range, in order to monitor elephantfish and red gurnard. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

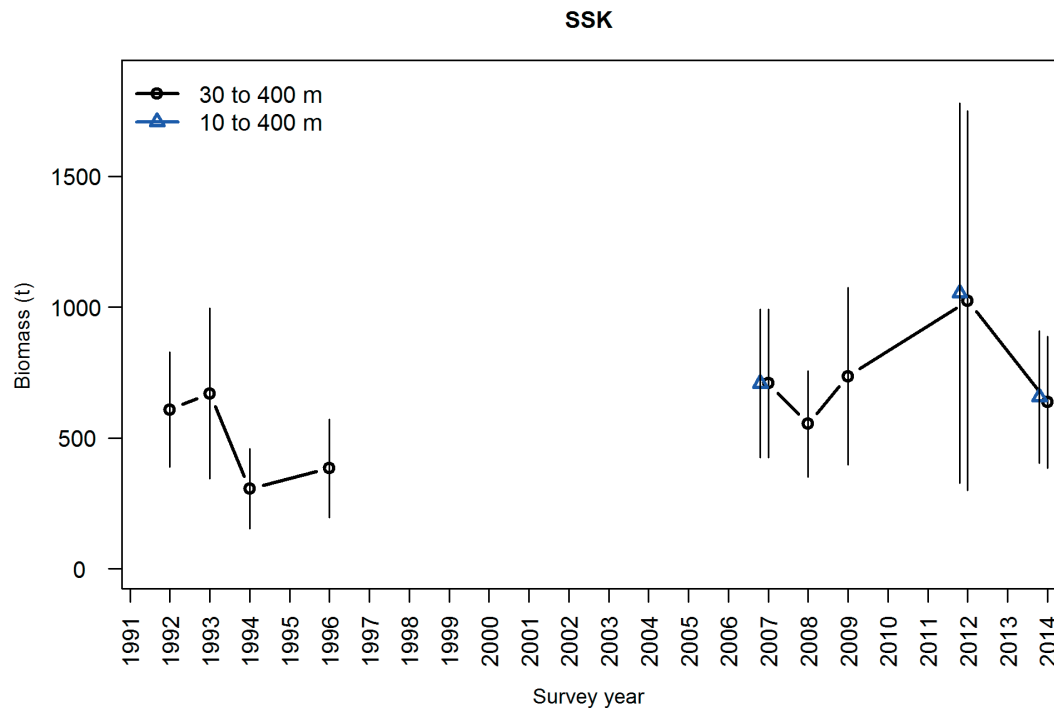
Smooth skate biomass estimates in the core strata (30–400 m) for the east coast South Island winter trawl surveys in recent years were higher overall than in the 1990s (Figure 3). The additional biomass captured in the 10–30 m depth range was negligible in 2007, 2012 and 2014, indicating that in terms of biomass, only the existing core strata time series in 30–400 m should be monitored.

## SMOOTH SKATE (SSK)

The smooth skate length distributions for the east coast South Island winter trawl surveys have no clear modes and comprise multiple year classes with the possibility of a juvenile mode centred about 20 cm corresponding to 0+ fish in shallower depths (Beentjes et al. 2015). The rest of the distribution includes multiple year classes from about 1 to 25 years. The 30–100 m strata tend to have more larger skates than the deeper strata. The surveys appears to be monitoring pre-recruited lengths down to 0+ age, but probably not the full extent of the recruited distribution. Length frequency distributions are reasonably consistent among surveys with differences mainly confined to recruitment of the first few year classes. No lengths were measured before 1996. The addition of the 10–30 m depth range has not changed the shape of the length frequency distribution (Beentjes et al. 2015).



**Figure 2: Smooth skate biomass  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) estimated from the Chatham Rise (top) and west coast South Island (bottom) trawl surveys.**



**Figure 3: Smooth skate total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m), and core plus shallow strata (10–400 m) in 2007, 2012 and 2014.**

#### 4.3 Yield estimates and projections

*MCY* cannot be estimated.

The *MCY* estimator that has the lowest data requirements ( $MCY = cY_{AV}$ ; Method 4), relies on selecting a time period during which there were “no systematic changes in fishing mortality (or fishing effort, if this can be assumed to be proportional to fishing mortality)”. This method was not applied because no information is currently available on skate fishing mortality, or on trawl fishing effort in the main skate fishing areas.

*CAY* cannot be estimated.

#### 4.4 Other factors

Species that constitute a minor bycatch of trawl fisheries are often difficult to manage using TACCs and ITQs. Skates are widely and thinly distributed, and would be difficult for trawlers to avoid after the quota had been caught. A certain level of incidental bycatch is therefore inevitable. However, skates are relatively hardy, and frequently survive being caught in trawls (although mortality would depend on the length of the tow and the weight of fish in the cod end). Skates returned to the sea alive probably have a greater chance of survival than most other fishes.

# SMOOTH SKATE (SSK)

**Table 5: Doorspread biomass estimates (t) and coefficients of variation (CV %) of smooth skates and total skates (smooth and rough).**

		Smooth skate		Total skates	
Year	Trip Code	Biomass	CV	Biomass	CV
East coast North Island					
1993	KAH9304	23	52	99	-
1994	KAH9402	144	38	333	-
1995	KAH9502	20	59	72	-
1996	KAH9602	85	36	394	-
South Island west coast and Tasman/Golden Bays (FMA 7)					
1992	KAH9204	339	19	512	-
1994	KAH9404	341	18	537	-
1995	KAH9504	315	20	566	-
1997	KAH9701	302	26	487	-
2000	KAH0004	140	29	326	-
2003	KAH0304	91	79	134	-
2005	KAH0503	80	30	138	-
2007	KAH0704	55	44	300	-
2009	KAH0904	67	61	181	-
2011	KAH1004	185	33	532	-
2013	KAH1305	188	29	-	-
East coast South Island (FMA 3) Winter			30–400 m	10–400 m	
1991	KAH9105	-	-	1 928	25
1992	KAH9205	609	18	833	16
1993	KAH9306	670	24	1 010	21
1994	KAH9406	306	25	823	15
1996	KAH9606	385	24	562	18
2007	KAH0705	705	20	1 587	-
2008	KAH0806	554	18	1 412	-
2009	KAH0905	736	23	1 765	-
2012	KAH1207	1 025	35	2 158	-
2014	KAH1402	637	20	1 790	-
East coast South Island (FMA 3) Summer					
1996–97	KAH9618	721	32	2 057	-
1997–98	KAH9704	485	21	1 567	-
1998–99	KAH9809	450	26	1 625	-
1999–00	KAH9917	369	30	698	-
2000–01	KAH0014	248	33	470	-
Chatham Rise					
1991–92	TAN9106	-	-	2 129	-
1992–93	TAN9212	1 071	18	1 126	-
1994	TAN9401	958	23	1 178	-
1995	TAN9501	769	31	845	-
1996	TAN9601	1 511	30	1 522	-
1997	TAN9701	1 932	22	1 944	-
1998	TAN9801	1 425	26	1 935	-
1999	TAN9901	1 738	20	1 772	-
2000	TAN0001	1 369	23	1 369	-
2001	TAN0101	2 321	19	2 393	-
2002	TAN0201	2 111	17	2 148	-
2003	TAN0301	1 355	21	1 387	-
2004	TAN0401	2 006	21	2 066	-
2005	TAN0501	1 780	24	1 869	-
2006	TAN0601	1 521	29	1 577	-
2007	TAN0701	1 922	17	1 951	-
2008	TAN0801	1 376	26	1 376	-
2009	TAN0901	1 162	18	1 185	-
2010	TAN1001	1 576	21	1 576	-
2011	TAN1101	1 009	32	1 009	-
2012	TAN1201	813	22	813	-
2013	TAN1301	1 494	19.6		-
2014	TAN1401	1 309	22		
Stewart-Snares Shelf					
1993	TAN9301	528	20	1 120	-
1994	TAN9402	342	21	1 406	-
1995	TAN9502	335	19	1 136	-
1996	TAN9604	504	29	1 559	-
Survey discontinued					
Stewart-Snares Shelf and Sub-Antarctic (Summer)*					
1991	TAN9105	382	23	419	-
1992	TAN9211	113	47	165	-
1993	TAN9310	117	43	249	-
2000	TAN0012	434	66	267	-
Stewart-Snares Shelf and Sub-Antarctic (Autumn)*					
1992	TAN9204	93	61	141	-
1993	TAN9304	177	33	428	-
1996	TAN9605	835	39	857	-
1998	TAN9805	536	62	607	-

\*Biomass estimates are for core 300–800 m strata only

## 5. STATUS OF THE STOCKS

No estimates of current or reference biomass are available.

SSK 7 relative biomass estimates from West Coast South Island trawl surveys revealed a strong decline. Although this decline is cause for concern, the reason for the decline is uncertain and requires further investigation.

For all other skate QMAs it is Unknown if recent catch levels or the TACC will cause skate populations to decline. Reported landings and TACCs for the 2013–14 fishing year are summarised in Table 6.

**Table 6: Summary of TACCs (t), and reported landings (t) for smooth skates for the most recent fishing year.**

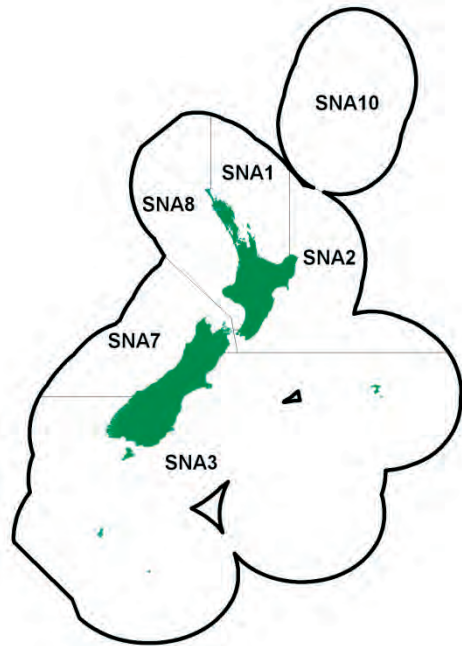
Fishstock		FMA	2013–14 Actual TACC	2013–14 Reported landings
SSK 1	Auckland (East) Central (East)	1 & 2	37	43
SSK 3	South-east (Coast) (Chatham), Southland, and Sub-Antarctic	3, 4, 5 & 6	579	336
SSK 7	Challenger	7	213	225
SSK 8	Central (West), Auckland (West)	8 & 9	20	37
SSK 10	Kermadec	10	0	0
Total			849	641

## 6. FOR FURTHER INFORMATION

- Beentjes, M P (2005) Identification and reporting of commercial skate landings. *New Zealand Fisheries Assessment Report 2005/16*. 18 p.
- Beentjes, M P; MacGibbon, D J (2013) Review of QMS species for inclusion in the east coast South Island winter trawl survey reports. *New Zealand Fisheries Assessment Report 2013/35*. 102 p.
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## SNAPPER (SNA)

(*Pagrus auratus*)  
 Tamure, Kouarea



## 1. FISHERY SUMMARY

### 1.1 Commercial fisheries

The snapper fishery is one of the largest and most valuable coastal fisheries in New Zealand. The commercial fishery, which began its development in the late 1800s, expanded in the 1970s with increased catches by trawl and Danish seine. Following the introduction of pair trawling in most areas, landings peaked in 1978 at 18 000 t (Table 1). Pair trawling was the dominant method accounting for on average 75% of the annual SNA 8 catch from 1976 to 1989. In the 1980s an increasing proportion of the SNA 1 catch was taken by longlining as the Japanese "iki jime" market was developed. By the mid-1980s catches had declined to 8500–9000 t, and some stocks showed signs of overfishing. The fisheries had become more dependent on the recruiting year classes as stock size decreased. With the introduction of the QMS in 1986, TACCs in all Fishstocks were set at levels intended to allow for some stock rebuilding. Decisions by the Quota Appeal Authority saw TACCs increase to over 6000 t for SNA 1, and from 1330 t to 1594 t for SNA 8 (Table 2).

In 1986–87, landings from the two largest Fishstocks (i.e., SNA 1 and SNA 8) were less than their respective TACCs (Table 2), but catches subsequently increased in 1987–88 to the level of the TACCs (Figure 1). Landings from SNA 7 remained below the TACC after introduction to the QMS, and in 1989–90 the TACC was reduced to 160 t. Changes to TACCs that took effect from 1 October 1992 resulted in a reduction for SNA 1 from 6010 t to 4904 t, an increase for SNA 2 from 157 t to 252 t, and a reduction for SNA 8 from 1594 t to 1500 t. The TACC for SNA 1 was exceeded in the 1992–93 fishing year by over 500 t. Some of this resulted from carrying forward of up to 10% under-runs from previous years by individual quota holders, but most of this over-catch was not landed against quota holdings (deemed penalties were incurred for about 400 t).



**Table 1: Reported landings (t) for the main QMAs from 1931 to 1990.**

Year	SNA 1	SNA 2	SNA 7	SNA 8	Year	SNA 1	SNA 2	SNA 7	SNA 8
1931–32	3 355	0	69	140	1961	5 887	481	583	1 178
1932–33	3 415	0	36	159	1962	6 502	495	582	1 352
1933–34	3 909	18	65	213	1963	6 967	504	569	1 456
1934–35	4 317	113	7	190	1964	7 269	541	574	1 276
1935–36	5 387	106	10	108	1965	7 991	471	780	1 182
1936–37	6 369	48	194	103	1966	8 762	619	1 356	1 831
1937–38	5 665	64	188	85	1967	9 244	695	1 613	1 477
1938–39	6 145	77	149	89	1968	10 328	650	1 037	1 491
1939–40	5 918	76	158	71	1969	11 318	687	549	1 344
1940–41	5 100	80	174	76	1970	12 127	665	626	1 588
1941–42	4 791	110	128	62	1971	12 709	717	640	1 852
1942–43	4 096	53	65	57	1972	11 291	716	767	1 961
1943–44	4 456	43	29	75	1973	10 450	676	1 258	3 038
1944	4 909	37	96	69	1974	8 769	586	1 026	4 340
1945	4 786	42	118	124	1975	6 774	681	789	4 217
1946	5 150	59	232	244	1976	7 743	751	1 040	5 326
1947	5 561	25	475	251	1977	7 674	308	714	3 941
1948	6 469	40	544	215	1978	9 926	365	2 720	4 340
1949	5 655	172	477	277	1979	10 273	569	1 776	3 464
1950	4 945	229	514	318	1980	7 274	554	732	3 309
1951	4 173	205	574	364	1981	7 714	247	592	3 153
1952	3 665	176	563	361	1982	7 089	135	591	2 636
1953	3 581	203	474	1 124	1983	6 539	145	544	1 814
1954	4 180	211	391	1 093	1984	6 898	163	340	1 536
1955	4 323	254	504	1 202	1985	5 876	177	270	1 866
1956	4 615	278	822	1 163	1986	5 969	130	253	959
1957	5 129	325	1 055	1 472	1987	4 016	152	210	1 072
1958	5 007	369	721	1 128	1988	5 038	210	193	1 565
1959	5 607	286	650	1 114	1989	5 754	364	292	1 571
1960	5 889	389	573	1 202	1990	5 826	428	200	1 551

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. The "QMA totals" are approximations derived from port landing subtotals, as follows: SNA 1, Mangonui to Whakatane; SNA 2 Gisborne to Wellington/Makara; SNA 7, Marlborough Sounds ports to Greymouth; SNA 8 Paraparaumu to Hokianga.
3. Before 1946 the "QMA" subtotals sum to less than the New Zealand total because data from the complete set of ports are not available. Subsequent minor differences result from small landings in SNA 3, not listed here.
4. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
5. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings.

**Table 2: Reported landings (t) of snapper by Fishstock from 1983–84 to 2012–13 and gazetted and actual TACCs (t) for 1986–87 to 2012–13. QMS data from 1986–present. [Continued on next page].**

Fishstock FMAs	SNA 1		SNA 2		SNA 3		SNA 7		SNA 8	
	1		2		3,4,5,6		7		8,9	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983–84†	6 539	-	145	-	2	-	375	-	1 725	-
1984–85†	6 898	-	163	-	2	-	255	-	1 546	-
1985–86†	5 876	-	177	-	0	-	188	-	1 828	-
1986–87	4 016	4 710	130	130	< 1	32	257	330	893	1 331
1987–88	5 038	5 098	152	137	1	32	256	363	1 401	1 383
1988–89	5 754	5 614	210	157	< 1	32	176	372	1 527	1 508
1989–90	5 826	5 981	364	157	< 1	32	294	151	1 551	1 594
1990–91	5 273	6 002	428	157	< 1	32	160	160	1 659	1 594
1991–92	6 176	6 010	373	157	< 1	32	148	160	1 459	1 594
1992–93	5 427	4 938	324	252	< 1	32	165	160	1 543	1 500
1993–94	4 847	4 938	307	252	< 1	32	147	160	1 542	1 500
1994–95	4 857	4 938	308	252	< 1	32	150	160	1 436	1 500
1995–96	4 938	4 938	280	252	< 1	32	146	160	1 558	1 500
1996–97	5 047	4 938	351	252	< 1	32	162	160	1 613	1 500
1997–98	4 525	4 500	286	252	< 1	32	182	200	1 589	1 500
1998–99	4 412	4 500	283	252	2	32	142	200	1 636	1 500
1999–00	4 509	4 500	390	252	< 1	32	174	200	1 604	1 500
2000–01	4 347	4 500	360	252	< 1	32	156	200	1 631	1 500
2001–02	4 374	4 500	252	252	1	32	141	200	1 577	1 500
2002–03	4 487	4 500	334	315	< 1	32	187	200	1 558	1 500
2003–04	4 469	4 500	339	315	< 1	32	215	200	1 667	1 500
2004–05	4 641	4 500	399	315	< 1	32	178	200	1 663	1 500
2005–06	4 539	4 500	389	315	< 1	32	166	200	1 434	1 300
2006–07	4 429	4 500	329	315	< 1	32	248	200	1 327	1 300
2007–08	4 548	4 500	328	315	< 1	32	187	200	1 304	1 300
2008–09	4 543	4 500	307	315	< 1	32	205	200	1 345	1 300
2009–10	4 465	4 500	296	315	< 1	32	188	200	1 280	1 300

## SNAPPER (SNA)

**Table 2 [Continued]**

Fishstock FMAs	SNA 1		SNA 2		SNA 3		SNA 7		SNA 8	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
2010–11	4 516	4 500	320	315	< 1	32	206	200	1 313	1 300
2011–12	4 614	4 500	358	315	< 1	32	216	200	1 360	1 300
2012–13	4 457	4 500	310	315	< 1	32	211	200	1 331	1 300
2013–14	4 459	4 500	313	315	< 1	32	210	200	1 275	1 300

Fishstock QMAs	SNA 10		Total	
	Landings	TACC	Landings§	TACC
1983–84†	0	-	9 153	-
1984–85†	0	-	9 228	-
1985–86†	0	-	8 653	-
1986–87	0	10	5 314	6 540
1987–88	0	10	6 900	7 021
1988–89	0	10	7 706	7 691
1989–90	0	10	8 034	7 932
1990–91	0	10	7 570	7 944
1991–92	0	10	8 176	7 962
1992–93	0	10	7 448	6 858
1993–94	0	10	6 842	6 883
1994–95	0	10	6 723	6 893
1995–96	0	10	6 924	6 893
1996–97	0	10	7 176	6 893
1997–98	0	10	6 583	6 494
1998–99	0	10	6 475	6 494
1999–00	0	10	6 669	6 494
2000–01	0	10	6 496	6 494
2001–02	0	10	6 342	6 494
2002–03	0	10	6 563	6 557
2003–04	0	10	6 686	6 557
2004–05	0	10	6 881	6 557
2005–06	0	10	6 527	6 357
2006–07	0	10	6 328	6 357
2007–08	0	10	6 367	6 357
2008–09	0	10	6 399	6 357
2009–10	0	10	6 230	6 357
2010–11	0	10	6 355	6 357
2011–12	0	10	6 547	6 357
2012–13	0	10	6 309	6 357
2013–14	0	10	6 256	6 357

† FSU data. SNA 1 = Statistical Areas 001–010; SNA 2 = Statistical Areas 011–016; SNA 3 = Statistical Areas 018–032; SNA 7 = Statistical Areas 017, 033–036, 038; SNA 8 = Statistical Areas 037, 039–048. § Includes landings from unknown areas before 1986–87.

**Table 3: TACs, TACCs and allowances (t) for snapper by Fishstock from 1 October 2013.**

Fishstock	TAC	TACC	Customary allowance	Recreational allowance	Other mortality
SNA 1	8050	4 500		50	450
SNA 2	450	315	14	90	31
SNA 3		32.3			-
SNA 7	306	200	16	90	-
SNA 8	1 785	1 300	43	312	130
SNA 10		10			

From 1 October 1997 the TACC for SNA 1 was reduced to 4500 t, within an overall TAC of 7550 t, while the TACC for SNA 7 was increased to 200 t within an overall TAC of 306 t. In SNA 2, the bycatch of snapper in the tarakihi, gurnard and other fisheries resulted in overruns of the snapper TACC in all years from 1987–88 up to 2000–01. From 1 October 2002, the TACC for SNA 2 was increased from 252 to 315 t, within a total TAC of 450 t. Although the 315 t TACC was substantially over-caught from 2002–03 to 2006–07, catches have since been closer to the TACC. From 1 October 2005 the TACC for SNA 8 was reduced to 1300 t within a TAC of 1785 t to ensure a faster rebuild of the stock. Table 3 shows the TACs, TACCs and allowances for each Fishstock from 1 October 2013. All commercial fisheries have a minimum legal size (MLS) for snapper of 25 cm.

### Foreign fishing

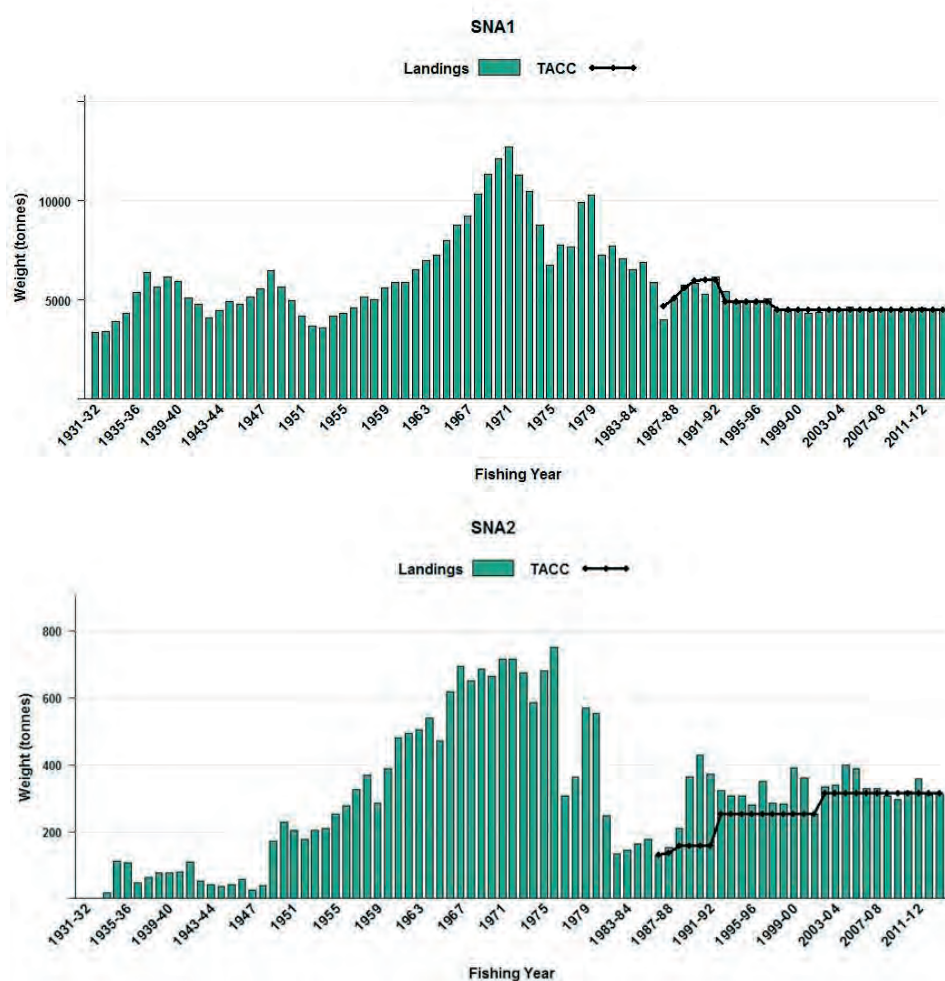
Japanese catch records and observations made by New Zealand naval vessels indicate that significant quantities of snapper were taken from New Zealand waters by Japanese vessels from the late 1950s until 1977. There are insufficient data to quantify historical Japanese catch tonnages for the respective snapper stocks. However, trawl catches have been reported by area from 1967 to 1977, and longline catches from 1975 to 1977 (Table 4). These data were supplied to the Fisheries Research Division of MAF in the late 1970s; however, the data series is incomplete, particularly for longline catches.

**Table 4: Reported landings (t) of snapper from 1967 to 1977 by Japanese trawl and longline fisheries.**

Year	(a) Trawl	Trawl catch (all species)	Total snapper trawl catch	SNA 1	SNA 7	SNA 8
1967		3092	30	NA	NA	NA
1968		19 721	562	1	17	309
1969		25 997	1 289	-	251	929
1970		31 789	676	2	131	543
1971		42 212	522	5	115	403
1972		49 133	1 444	1	225	1 217
1973		45 601	616	-	117	466
1974		52 275	472	-	98	363
1975		55 288	922	26	85	735
1976		133 400	970	NA	NA	676
1977		214 900	856	NA	NA	708

Year	(b) Longline	Total Snapper	SNA 1	SNA 7	SNA 8
1975		1 510	761	-	749
1976		2 057	930	-	1 127
1977		2 208	1 104	-	1 104



**Figure 1: Total reported landings and TACCs for the four main SNA stocks. SNA 1 (Auckland East) and SNA 2 (Central East). [Continued on next page].**

## SNAPPER (SNA)

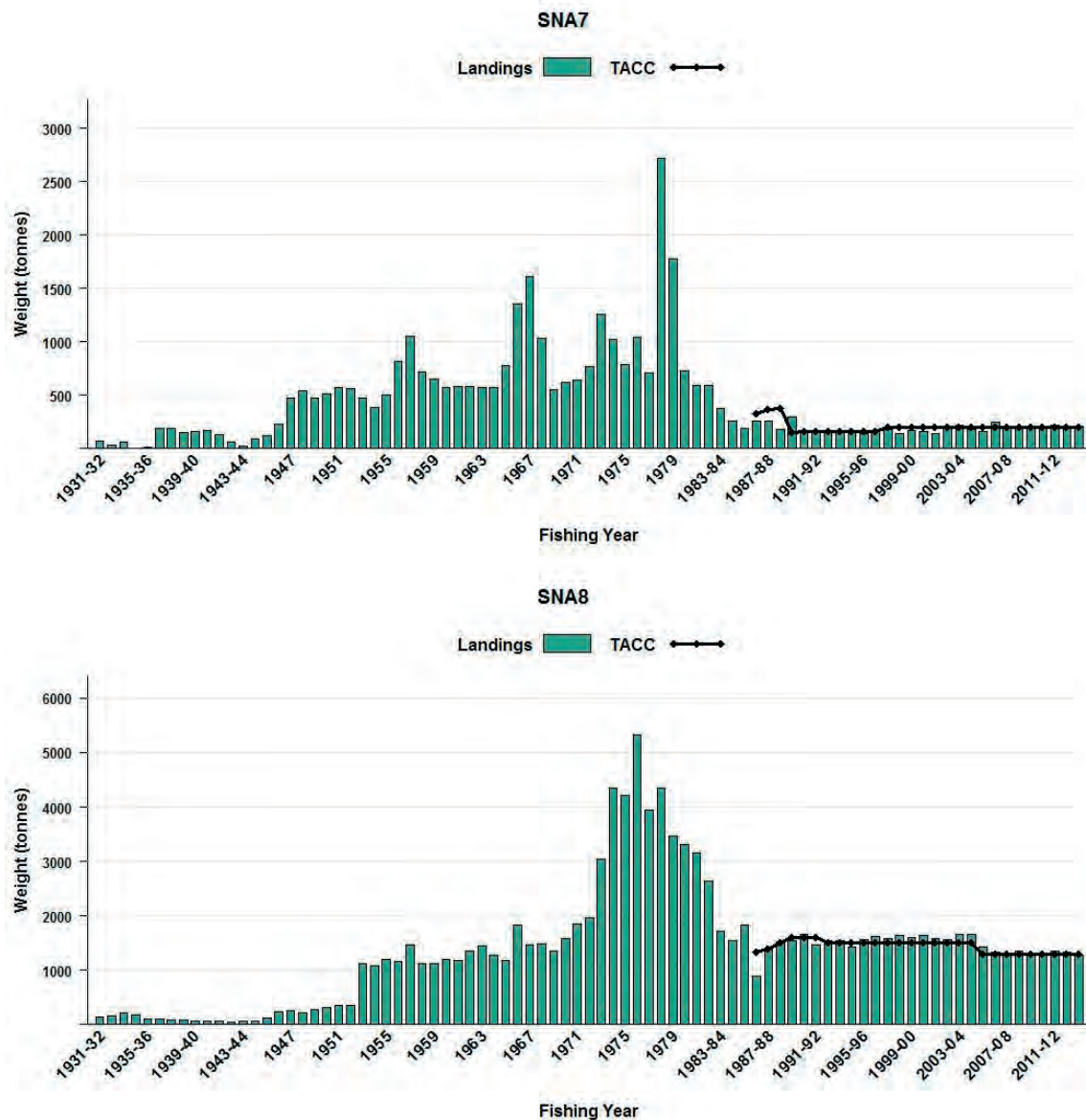


Figure 1 [Continued]: Total reported landings and TACC for the four main SNA stocks. From top to bottom: SNA 7 (Challenger) and SNA 8 (Central Egmont).

### 1.2 Recreational fisheries

The snapper fishery is the largest recreational fishery in New Zealand. It is the major target species on the northeast and northwest coasts of the North Island and is targeted seasonally around the rest of the North Island and the top of the South Island. The current allowances within the TAC for each Fishstock are shown in Table 3.

#### 1.2.1 Management controls

The two main methods used to manage recreational harvests of snapper are minimum legal size limits (MLS) and daily bag limits. Both of these have changed over time (Table 5). The number of hooks permitted on a recreational longline was reduced from 50 to 25 in 1995.

**Table 5: Changes to minimum legal size limits and daily bag limits used to manage recreational harvesting levels in snapper stocks, 1985–2014.**

Stock	MLS	Bag limit	Introduced
SNA 1	25	30	1/01/1985
SNA 1	25	20	30/09/1993
SNA 1	27	15	1/10/1994
SNA 1	27	9	13/10/1995
SNA 1	30	7	1/04/2014
SNA 2	25	30	1/01/1985
SNA 2	27	10	1/10/2005
SNA 3	25	30	1/01/1985
SNA 3	25	10	1/10/2005
SNA 7	25	30	1/01/1985
SNA 7 (excl Marlborough Sounds)	25	10	1/10/2005
SNA 7 (Marlborough Sounds)	25	3	1/10/2005
SNA 8	25	30	1/01/1985
SNA 8 (FMA 9 only)	25	20	30/09/1993
SNA 8 (FMA 9 only)	27	15	1/10/1994
SNA 8	27	10	1/10/2005

### 1.2.2 Estimates of recreational harvest

There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

The first estimates of recreational harvest were calculated using an onsite approach, a tag ratio method for SNA 1, in the mid-1980s (Table 6). A tonnes per tag ratio was obtained from commercial tag return data and this tonnage was multiplied by the number of tags returned by recreational fishers to estimate recreational harvest tonnages. The tag ratio method requires that all tagged fish caught by recreational fishers are recorded, or at least that the under-reporting rate of recreational fishers is the same as that of commercial fishers. This was assumed, although no data were available to test the assumption. If the recreational under-reporting rate was greater than that of the commercial fishers a negative bias would result. In SNA 8 there was evidence that many tags recovered by commercial fishing were reported as recreational catch during the 1991 tag recapture phase, which would give a positive bias to estimates.

The next method used to generate recreational harvest estimates was the offsite regional telephone and diary survey approach: MAF Fisheries South (1991–92), Central (1992–93) and North (1993–94) regions (Teirney et al 1997). Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005) and a rolling replacement of diarists in 2001 (Boyd & Reilly 2004) allowed estimates for a further year (population scaling ratios and mean weights were not re-estimated in 2001). Other than for the 1991–92 MAF Fisheries South survey, the diary method used mean weights of snapper obtained from fish measured at boat ramps.

The harvest estimates provided by these telephone diary surveys are no longer considered reliable for various reasons. With the early telephone/diary method, fishers were recruited to fill in diaries by way of a telephone survey that also estimates the proportion of the population that is eligible (likely to fish). A “soft refusal” bias in the eligibility proportion arises if interviewees who do not wish to co-operate falsely state that they never fish. The proportion of eligible fishers in the population (and, hence, the

## SNAPPER (SNA)

harvest) is thereby under-estimated. Pilot studies for the 2000 telephone/diary survey suggested that this effect could occur when recreational fishing was established as the subject of the interview at the outset. Another equally serious cause of bias in telephone/diary surveys was that diarists who did not immediately record their day's catch after a trip sometimes overstated their catch or the number of trips made. There is some indirect evidence that this may have occurred in all the telephone/diary surveys (Wright et al 2004).

**Table 6: Recreational catch estimates for snapper stocks. Totals for a stock are given in bold. The telephone/diary surveys ran from December to November but are denoted by the January calendar year. Mean fish weights were obtained from boat ramp surveys (for the telephone/diary and panel survey catch estimates). Numbers and mean weights are not calculated in the tag ratio method. [Continued on next page].**

Stock	Year	Method	Number of fish (thousands)	Mean weight (g)	Total weight (t)	CV
<u>SNA 1</u>						
East Northland	1985	Tag ratio	-	-	370	
Hauraki Gulf	1985	Tag ratio	-	-	830	
Bay of Plenty	1984	Tag ratio	-	-	400	
Total	1985 <sup>1</sup>	Tag ratio	-	-	<b>1 600</b>	
Total	1994	Telephone/diary	3 804	871	<b>2 857</b>	
East Northland	1996	Telephone/diary	684	1 039	711	
Hauraki Gulf/Bay of Plenty	1996	Telephone/diary	1 852	870	1 611	
Total	1996	Telephone/diary	2 540	915	<b>2 324</b>	
East Northland	2000	Telephone/diary	1 457	1 154	1 681	
Hauraki Gulf	2000	Telephone/diary	3 173	830	2 632	
Bay of Plenty	2000	Telephone/diary	2 274	872	1 984	
Total	2000	Telephone/diary	6 904	904	<b>6 242</b>	
East Northland	2001	Telephone/diary	1 446	- <sup>5</sup>	1 669	
Hauraki Gulf	2001	Telephone/diary	4 225	- <sup>5</sup>	3 507	
Bay of Plenty	2001	Telephone/diary	1 791	- <sup>5</sup>	1 562	
Total	2001	Telephone/diary	7 462	- <sup>5</sup>	<b>6 738</b>	
Hauraki Gulf	2003–04	Aerial-access	-	-	1 334	0.09
East Northland	2004–05	Aerial-access	-	-	557	0.13
Hauraki Gulf	2004–05	Aerial-access	-	-	1 345	0.10
Bay of Plenty	2004–05	Aerial-access	-	-	516	0.10
Total	2004–05	Aerial-access	-	-	<b>2 419</b>	<b>0.06</b>
East Northland	2011–12	Aerial-access	-	-	718	0.14
Hauraki Gulf	2011–12	Aerial-access	-	-	2490	0.08
Bay of Plenty	2011–12	Aerial-access	-	-	546	0.12
Total	2011–12	Aerial-access	-	-	<b>3 754</b>	<b>0.06</b>
East Northland	2011–12	Panel survey	686	1 266	869	0.13
Hauraki Gulf	2011–12	Panel survey	2 215	1 022 / 987 <sup>6</sup>	2 254	0.12
Bay of Plenty	2011–12	Panel survey	691	956 / 1 003 <sup>6</sup>	669	0.12
Total	2011–12	Panel survey	3 592	1 025	<b>3 792</b>	<b>0.08</b>
<u>SNA 2</u>						
	1993	Telephone/diary	28	1 282	<b>36</b>	
	1996	Telephone/diary	31	1 282 <sup>2</sup>	<b>40</b>	
	2000	Telephone/diary	268	1 200 <sup>4</sup>	<b>322</b>	
	2001	Telephone/diary	144	- <sup>5</sup>	<b>173</b>	
	2011–12	Panel survey	55	1027	<b>57</b>	<b>0.25</b>
<u>SNA 7</u>						
Tasman/Golden Bays	1987	Tag ratio	-	-	<b>15</b>	
Total	1993	Telephone/diary	77	2 398 <sup>3</sup>	<b>184</b>	
Total	1996	Telephone/diary	74	2 398	<b>177</b>	
Total	2000	Telephone/diary	63	2 148	<b>134</b>	
Total	2001	Telephone/diary	58	- <sup>5</sup>	<b>125</b>	
Total	2005–06	Aerial-access	-	-	<b>42.6</b>	<b>0.17</b>
Total	2011–12	Panel survey	110	799	<b>88</b>	<b>0.17</b>

Table 6 [Continued].

<u>Stock</u>	Year	Method	Number of fish (thousands)	Mean weight (g)	Total weight (t)	
<u>SNA 8</u>						
Total	1991	Tag ratio	-	-	<b>250</b>	
Total	1994	Telephone/diary	361	658	<b>238</b>	
Total	1996	Telephone/diary	271	871	<b>236</b>	
Total	2000	Telephone/diary	648	1 020	<b>661</b>	
Total	2001	Telephone/diary	1 111	-	<b>1 133</b>	
Total	2007	Aerial-access	-	-	<b>260</b>	<b>0.10</b>
Total	2011–12	Panel survey	557	770 / 1 255 / 1160 <sup>7</sup>	<b>630</b>	<b>0.16</b>

<sup>1</sup> The Bay of Plenty programme was carried out in 1984 but is included in the 1985 total estimate

<sup>2</sup> Mean weight obtained from 1992–93 boat ramp sampling

<sup>3</sup> Mean weight obtained from 1995–96 boat ramp sampling

<sup>4</sup> Mean weight obtained from 1999–2000 commercial landed catch sampling

<sup>5</sup> The 2000 mean weights were used in the 2001 estimates

<sup>6</sup> Separate mean weight estimates were used for summer (1 October 2011 to 30 April 2012) and for winter (1 May to 30 September 2012)

<sup>7</sup> Separate mean weight estimates were used for harbours (Kaipara and Manukau)/North coast (open coast fishery north of Tirua Point)/South coast (open coast fishery south of Tirua point)

The recreational harvest estimates provided by the 2000 and 2001 telephone diary surveys are thought to be implausibly high for many species including snapper, which led to the development of an alternative maximum count aerial-access onsite method that provides a more direct means of estimating recreational harvests for suitable fisheries. The maximum count aerial-access approach combines data collected concurrently from two sources: a creel survey of recreational fishers returning to a subsample of ramps throughout the day; and an aerial survey count of vessels observed to be fishing at the approximate time of peak fishing effort on the same day. The ratio of the aerial count in a particular area to the number of interviewed parties who claimed to have fished in that area at the time of the overflight was used to scale up harvests observed at surveyed ramps, to estimate harvest taken by all fishers returning to all ramps. The methodology is further described by Hartill et al (2007).

This aerial-access method was first employed in the Hauraki Gulf in 2003–04 and was then extended to survey the wider SNA 1 fishery in 2004–05. This approach has subsequently been used to estimate recreational harvests from SNA 7 (2005–06 fishing year) and SNA 8 (2006–07). The Recreational and Snapper Working Groups both concluded that this approach generally provided reliable estimates of recreational harvest for these fish stocks.

In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the implementation of a national panel survey during the 2011–12 fishing year (Wynne-Jones et al 2014). The panel survey used face-to-face interviews of a random sample of 30, 390 New Zealand households to recruit a panel of fishers and non-fishers for a full year. The panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews.

#### 1.2.2.1 SNA 1

The most recent aerial-access survey was conducted in QMA 1 in 2011–12 (Hartill et al 2013), to independently provide harvest estimates for comparison with those generated from a concurrent national panel survey (excluding the Chatham Islands). Both surveys appear to provide plausible results that corroborate each other, and are therefore considered to be broadly reliable. Harvest estimates provided by these surveys are given in Table 5. Regional harvest estimates provided by the 2004–05 and 2011–12 aerial-access surveys were used to inform the 2013 stock assessment for SNA 1. Note that neither of these estimates includes catch taken on recreational charter vessels, or recreational catch taken under s111 general approvals.

#### 1.2.2.2 SNA 8

In 2005, the Snapper Working Group and Plenary considered recreational catches from SNA 8. Two alternative levels were assumed for the recreational catch from 1990 to 2004, either 300 t or 600 t. The Plenary considered these values were likely to bracket the true average level of catch in this period. The

estimate from the 2006–07 aerial overflight survey of the SNA 8 fishery (260 t) suggests that the assumed value of 300 t may have been the more plausible. There are potential sources of bias associated with the aerial-access estimate, both negative (a potential underestimation of the shore based harvest, especially to the south) and positive (over reporting of harvests by charter boat operators in a log book survey which are included in the estimate).

The 2011-12 national panel survey (excluding the Chatham Islands) provided plausible results, and is considered to be broadly reliable. The harvest estimate provided by this survey for SNA 8 is given in Table 5 and suggests that in that year the 600 t value is more plausible. Note that this estimate does not include catch taken on recreational charter vessels, or recreational catch taken under s111 general approvals.

### **1.2.3 Monitoring harvest**

In addition to estimating absolute harvests, a system to provide relative estimates of harvest over time for key fishstocks has been designed and implemented for some key recreational fisheries. The system uses web cameras to continuously monitor trends in trailer boat traffic at key boat ramps. This monitoring is complemented by creel surveys that provide estimates of the proportion of observed boats that were used for fishing, and of the average harvest of snapper and kahawai per boat trip. These data are combined to provide relative harvest estimates for SNA 1. Differences between aerial-access harvest estimates in the Hauraki Gulf in 2004-05 and in 2011–12 are very similar to those inferred from the web cameras index, which suggests that web camera based relative harvest indices are robust for snapper. The web camera/creel index suggests that the recreational snapper harvest in the Hauraki Gulf decreased by about a quarter (-26%) between 2011–12 and 2012-13, followed by a further substantial decline in 2013-14 (-71% from the 2011-12 harvest). In East Northland, the catch in 2012-13 was slightly higher than that in 2011-12 (+16%), but it then declined to a similar degree below the 2011-12 catch in 2013-14 (-18%). In the Bay of Plenty the harvest decreased between 2011–12 and 2012-13 (-15%), followed by a further more substantial decline in 2013-14 (-47% from the 2011-12 harvest). These data reflect the variability of recreational harvests, in particular that it is not just abundance which drives harvest levels, but also changes in localised availability.

## **1.3 Customary non-commercial fisheries**

Snapper form important fisheries for customary non-commercial, but the annual catch is not known.

## **1.4 Illegal catch**

No new information is available to estimate illegal catch. For modelling SNA 1 and SNA 8 an assumption was made that non-reporting of catch was 20% of reported domestic commercial catch prior to 1986 and 10% of reported domestic commercial catch since the QMS was introduced. This was to account for all forms of under-reporting. These proportions were based on the black market trade in snapper and higher levels of under-reporting (to avoid tax) that existed prior to the introduction of the QMS. The 10% under-reporting post-QMS accounts for the practice of “weighing light” and the discarding of legal sized snapper.

## **1.5 Other sources of mortality**

No estimates are available regarding the amount of other sources of mortality on snapper stocks; although high-grading of longline fish and discarding of under-sized fish by all methods occurs. An at-sea study of the SNA 1 commercial longline fishery in 1997 (McKenzie 2000) found that 6–10% of snapper caught by number were under 25 cm (MLS). Results from a holding net study indicate that mortality levels amongst lip-hooked snapper caught shallower than 35 m were low.

Estimates for incidental mortality were based on other catch-at-sea data using an age-length structure model for longline, trawl, seine and recreational fisheries. In SNA 1, estimates of incidental mortality for the year 2000 from longline were less than 3% and for trawl, seine and recreational fisheries between 7% and 11% (Millar et al. 2001). In SNA 8, estimates of trawl and recreational incidental mortality were lower, mainly because of low numbers of 2 and 3 year old fish estimated in 2000.



In SNA 1, recreational fishers release a high proportion of their snapper catch, most of which was less than 27 cm (recreational MLS). An at sea study in 2006–07 recorded snapper release rates of 54.2% of the catch by trailer boat fishers and 60.1% of the catch on charter boats (Holdsworth & Boyd 2008). Incidental mortality estimated from condition at release was 2.7% to 8.2% of total catch by weight depending on assumptions used.

## 2. BIOLOGY

Snapper are demersal fish found down to depths of about 200 m, but are most abundant in 15–60 m. They are the dominant fish in northern inshore communities and occupy a wide range of habitats, including rocky reefs and areas of sand and mud bottom. They are widely distributed in the warmer waters of New Zealand, being most abundant in the Hauraki Gulf.

Although all snapper undergo a female phase as juveniles, after maturity each individual functions as one sex (either male or female) during the rest of its life. Sexual maturity occurs at an age of 3–4 years and a length of 20–28 cm; and the sex ratio of the adult population is approximately 50:50. Snapper are serial spawners, releasing many batches of eggs over an extended season during spring and summer. The larvae have a relatively short planktonic phase which results in the spawning grounds corresponding fairly closely with the nursery grounds of young snapper. Juvenile snapper (0+) are known to reach high abundances in shallow west and east coast harbours and estuaries around the northern half of the North Island and have also been observed in catches from trawl surveys conducted in shallow coastal waters around northern New Zealand, including Tasman and Golden Bays. Despite observations of spawning condition adults along the Wairarapa and Kapiti coasts, 0+ snapper have yet to be found in these areas. Young snapper disperse more widely into less sheltered coastal areas as they grow older. Large schools of snapper congregate before spawning and move on to the spawning grounds, usually in November–December. The spawning season may extend to January–March in some areas and years before the fish disperse, often inshore to feeding grounds. The winter grounds are thought to be in deeper waters where the fish are more widespread.

Water temperature appears to play an important part in the success of recruitment. Generally strong year classes in the population correspond to warm years, weak year classes correspond to cold years. (Francis 1993)

Growth rate varies geographically and from year to year. Snapper from Tasman Bay/Golden Bay and the west coast of the North Island grow faster and reach a larger average size than elsewhere. Snapper have a strong seasonal growth pattern, with rapid growth from November to May, and then a slowing down or cessation of growth from June to September. They may live up to 60 years or more and have very low rates of natural mortality. An estimate of  $M = 0.06 \text{ yr}^{-1}$  was made from catch curves of commercial catches from the west coast North Island pair trawl fishery in the mid-1970s. These data were re-analysed in 1997 and the resulting estimate of  $0.075 \text{ yr}^{-1}$  has been used in the base case assessments for SNA 1, 2, and 7 (and SNA 8 up to 2004). In the 2005 assessment for SNA 8, natural mortality was estimated within the model.

Estimates of biological parameters relevant to stock assessment are shown in Table 7.

## SNAPPER (SNA)

**Table 7: Estimates of biological parameters.**

Fishstock	Estimate		Source
<u>1. Instantaneous rate of natural mortality (<math>M</math>)</u>			
SNA 1, 2 & 7	0.075		Hilborn & Starr (unpub. analysis)
SNA 8	0.051 or 0.054		Estimated within model
<u>2. Weight = <math>a(\text{length})^b</math> (Weight in g, length in cm fork length)</u>			
All	$a = 0.04467$	$b = 2.793$	Paul (1976)
<u>3. von Bertalanffy growth parameters</u>			
	<u>Both sexes combined</u>		
	$K$	$t_0$	$L_\infty$
SNA 1	0.102	-1.11	58.8
SNA 2	0.061	-5.42	68.9
SNA 7	0.122	-0.71	69.6
SNA 8	0.16	-0.11	66.7
4. Age at recruitment (years)			
SNA 1*	4 (39%) 5 (100%)		Gilbert et al (2000)
SNA 7	3		MPI (unpub. data)
SNA 8	3		Gilbert & Sullivan (1994)

\* For years when not estimated

\* For years when not estimated

### 3. STOCKS AND AREAS

New Zealand snapper are thought to comprise either seven or eight biological stocks based on: the location of spawning and nursery grounds; differences in growth rates, age structure and recruitment strength; and the results of tagging studies. These stocks comprise three in SNA 1 (East Northland, Hauraki Gulf and BoP), two in SNA 2 (one of which may be associated with the BoP stock), two in SNA 7 (Marlborough Sounds and Tasman/Golden Bay) and one in SNA 8. Tagging studies reveal that limited mixing occurs between the three SNA 1 biological stocks, with greatest exchange between BoP and Hauraki Gulf.

### 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

This section was updated for the 2013 Fishery Assessment Plenary after review by the Aquatic Environment Working Group. An issue-by-issue analysis is available in the 2012 Aquatic Environment and Biodiversity Annual Review ([www.mpi.govt.nz/Default.aspx?TabId=126&id=1644](http://www.mpi.govt.nz/Default.aspx?TabId=126&id=1644)).

#### 4.1 Role in the ecosystem

Snapper are one of the most abundant demersal generalist predators found in the inshore waters of northern New Zealand (Morrison & Stevenson 2001, Kendrick & Francis 2002), and as such are likely to be an important part of the coastal marine ecosystem (Salomon et al 2008). Localised depletion of snapper probably occurs within the key parts of the fishery (Parsons et al 2009), and this has unknown consequences for ecosystem functioning in those areas.

##### 4.1.1 Trophic interactions

Snapper are generalists, occupying nearly every coastal marine habitat less than 200 m deep. Owing to this generalist nature there is a large potential for a variety of trophic interactions to involve snapper. The diet of snapper is diverse and opportunistic, largely feeding on crustaceans, polychaetes, echinoderms, molluscs and other fish (Godfriaux 1969, Godfriaux 1974). As snapper increase in size, harder bodied and larger diet items increase in importance (e.g. fish, echinoids, hermit crabs, molluscs and brachyuran crabs) (Godfriaux 1969, Usmar 2012). There is some evidence to suggest a seasonal component to snapper diet, with high proportions of pelagic items (e.g. salps and pelagic fish such as pilchards) observed during spring in one study (Powell 1937).

There is some evidence to suggest that snapper have the ability to influence the environment that they occupy in some situations. On some rocky reefs, recovery of predators inside marine reserves (including snapper and rock lobster, *Jasus edwardsii*) has led to the recovery of algal beds through predation exerted on herbivorous urchins (Babcock et al 1999; Shears & Babcock 2002). Snapper competes with other species; overlap in diet is likely with a number of other demersal predators (e.g. tarakihi, red gurnard, trevally, rig, and eagle ray). The wide range of prey consumed by these species and differences in diet preference and habitat occupied, however, is likely to reduce the amount of competition overall (Godfriaux 1970, 1974). The importance of snapper as a food source for other predators is poorly understood.

#### 4.1.2 Ecosystem Indicators

Tuck et al (2009) used data from the Hauraki Gulf trawl survey series to derive fish-based ecosystem indicators using diversity, fish size, and trophic level. This trawl survey ran until 2000 and covers a key component of the distribution of snapper. The survey has not been conducted since, however, and the current inshore trawl surveys cover only the southern end of snapper distribution in New Zealand. Tuck et al (2009) showed decreasing trends in the proportion of species with low resilience (from FishBase, Froese & Pauly 2000) and the proportion of demersal fish species in waters shallower than 50 m in the Hauraki Gulf. Several indices of fish diversity showed significant declines in muddy waters shallower than 50 m, especially in the Firth of Thames. Tuck et al (2009) did not find size-based indicators as useful as they have been overseas, but there was some indication that the maximum size of fish has decreased in the Hauraki Gulf survey area, especially over sandy bottoms. Since 2008, routine measurement of all fish species in New Zealand trawl surveys has been undertaken and this may increase the utility of size-based indicators in the future.

#### 4.2 Incidental catch (fish and invertebrates)

Most snapper taken in SNA 1 and 8, and some taken in SNA 7, is the declared target species, but some snapper is taken as a bycatch in a variety of inshore trawl and line fisheries. No summaries of observed fish and invertebrate bycatch in snapper target fisheries are currently available, so the best available information is from research fishing conducted in the areas where target fisheries take place. Although the gear used for these surveys may be different than that used in the fishery itself (e.g. smaller mesh cod ends are used in trawl surveys), they are conducted in the same areas and provide some insight as to the fish and invertebrate species likely to be caught in association with snapper.

More than 70 species have been captured in trawl surveys within SNA 1 but catches are dominated by snapper. Kendrick & Francis (2002) noted the following species in more than 30% of tows by research vessels *Ikateri* and *Kaharoa*: jack mackerels (three species), John dory, red gurnard, sand flounder, leatherjacket, rig, eagle ray, lemon sole, and trevally (see also Langley 1995a, Morrison 1997, Morrison and Francis 1997, Jones et al 2010). Smaller numbers of invertebrates are captured including green-lipped mussel, arrow squid, broad squid, octopuses, and scallop (Langley 1995a, Morrison 1997, Morrison & Francis 1997 and Jones et al 2010). For SNA 1, information on the bycatch associated with research longlining during tagging surveys is also available, although restricted to the inner and western parts of the Hauraki Gulf. The most common bycatch species in this area included: rig, school shark, hammerhead shark, eagle ray, stingrays, conger eel, trevally, red gurnard, jack mackerels, blue cod, John dory, kingfish, frostfish and barracouta (Morrison and Parsons unpublished data).

Trawl surveys targeting juvenile snapper in Tasman and Golden Bays have captured more than 50 finfish species. Common bycatch species (Blackwell & Stevenson 1997) were: spiny dogfish, red cod, barracouta, red gurnard, jack mackerel (three species), hake, blue warehou, tarakihi and porcupine fish. Invertebrates captured included sponges, green-lipped mussel, octopuses, arrow squid, nesting mussel, and horse mussel. Over 80 species have been captured in trawl surveys within SNA 8. Red gurnard, jack mackerel (three species), trevally, barracouta, school shark, spiny dogfish, rig, John dory and porcupine fish were the most abundant finfish (Langley 1995b, Morrison 1998, Morrison & Parkinson 2001). Few invertebrates other than arrow squid were caught (Morrison & Parkinson 2001).

### 4.3 Incidental Catch (mammals, seabirds, turtles, and protected fish)

For protected species, capture estimates presented here include all animals recovered to the deck (alive, injured or dead) of fishing vessels but do not include any cryptic mortality (e.g., seabirds struck by a warp or caught on a hook but not brought onboard the vessel, Middleton & Abraham 2007, Brothers et al 2010).

#### 4.3.1 Marine mammal interactions

There were no observed captures of marine mammals in trawls targeting snapper between 2002–03 and 2011–12 but low observer coverage of inshore trawlers (average 0.85% in FMAs 1 and 9 over these years, Thompson & Abraham In prep.) means that the frequency of interactions is highly uncertain. In these same years, there were no observed marine mammal captures in snapper longline fisheries where coverage has averaged 1.6% of hooks set (3.0 and 4.3% in the two most recent years).

#### 4.3.2 Seabird interactions

There were only two observed captures of seabirds (one flesh-footed shearwater and one unidentified small bird) in trawls targeting snapper between 2002–03 and 2009–10 but low observer coverage of inshore trawlers (average 0.85% in FMAs 1 and 9 over these years, Thompson & Abraham In prep.) means that the frequency of interactions is highly uncertain. The estimated number of seabird captures in the snapper bottom longline fishery declined from 3 436 in 2000–01 to 247–644 in 2003–04 (depending on the model used, Table 8, estimates from MacKenzie & Fletcher 2006, Baird & Smith 2007, 2008, Abraham & Thompson 2010). The estimated number of captures between 2003–04 and 2006–07 appears to have been relatively stable at about 400–600 birds each year.

Between 2002–03 and 2011–12, there were 85 observed captures of birds in snapper longline fisheries (Table 9) but no estimates of total captures for the 2011–12 fishing year are yet available. The rate of capture varied between 0 and 0.1 birds per 1000 hooks observed, fluctuating without obvious trend. Seabirds observed captured in snapper longline fisheries were mostly fluttering shearwater (63%), flesh-footed shearwater (19%), and black (Parkinson's) petrel (14%), and all were taken in the Northland-Hauraki area (Table 10). These numbers should be regarded as only a general guide on the composition of captures because the observer coverage is low, is not uniform across the area, and may not be representative.

**Table 8: Model based estimates of seabird captures in the SNA 1 bottom longline fishery from 1998–99 to 2006–07 (from McKenzie & Fletcher 2006 (for vessels under 28 m), Baird & Smith 2007, 2008, Abraham & Thompson 2010). Numbers in parentheses are 95% confidence limits or estimated CVs.**

Fishing year	Model based estimates of captures					
	MacKenzie & Fletcher		Baird & Smith		Abraham & Thompson	
1998–99	1 464	(271 – 9 392)	–	–	–	–
1999–00	2 578	(513 – 13 549)	–	–	–	–
2000–01	3 436	(697 – 17 907)	–	–	–	–
2001–02	1 856	(353 – 11 260)	–	–	–	–
2002–03	1 583	(299 – 9 980)	–	–	739	(332 – 1 997)
2003–04	247	(51 – 1 685)	546	(CV = 34%)	644	(301 – 1 585)
2004–05	–	–	587	(CV = 42%)	501	(245 – 1 233)
2005–06	–	–	–	–	469	(222 – 1 234)
2006–07	–	–	–	–	457	(195 – 1 257)

**Table 9: Number of tows by fishing year, observed, and estimated seabird captures in the snapper bottom longline fishery, 2002–03 to 2011–12. No. obs, number of observed hooks; % obs, percentage of hooks observed; Rate, number of captures per 1000 observed hooks. Estimates are based on methods described in Abraham et al (2013) and are available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Estimates from 2002–03 to 2010–11 are based on data version 20120531 and preliminary estimates for 2011–12 are based on data version 20130304.**

	Fishing effort			Observed captures		Estimated captures		
	All hooks	No. obs	% obs	Number	Rate	Mean	95% c.i.	% included
2002–03	13 661 602	0	0.0	0	-	580	314–857	100.0
2003–04	12 193 788	193 893	1.6	10	0.052	488	268–723	100.0
2004–05	11 510 191	250 985	2.2	13	0.052	420	227–618	100.0
2005–06	11 694 613	116 290	1.0	12	0.103	355	196–527	100.0
2006–07	10 347 591	62 360	0.6	0	0	361	186–543	100.0
2007–08	9 048 572	0	0.0	0	-	312	160–474	100.0
2008–09	8 956 484	268 746	3.0	20	0.074	306	170–453	100.0
2009–10	11 022 455	485 668	4.4	30	0.062	347	196–508	100.0
2010–11	11 346 632	0	0.0	0	-	366	191–552	100.0
2011–12†	11 032 280	0	0.0	0	-	-	-	-

† Provisional data, no model estimates available.

**Table 10: Number of observed seabird captures in the snapper longline fishery, 2002–03 to 2011–12, by species or species group. The risk ratio is an estimate of aggregate potential fatalities across trawl and longline fisheries relative to the Potential Biological Removals, PBR (from Richard & Abraham 2013 where full details of the risk assessment approach can be found). It is not an estimate of the risk posed by fishing for snapper. Other data version 20130304.**

Species	Risk Ratio	Captures (Northland and Hauraki)
Black petrel	Very high	28
Flesh footed shearwater	Very high	37
Pied shag	Very low	2
Black backed gull	-	1
Buller's shearwater	-	1
Fluttering shearwater	-	3
Red billed gull	-	1
Gannets	N/A	2
Unidentified seabird	N/A	12
<b>Total</b>	<b>N/A</b>	<b>85</b>

### 4.3.3 Sea turtle interactions

Between 2002–03 and 2011–12 there has been ten observed capture of a green turtles across the snapper longline fishery occurring in the Northland and Hauraki fishing area. Observer records documented the green turtle as captured and released alive (MPI Unpublished data).

## 4.4 Benthic interactions

A proportion of the commercial catch of snapper is taken using bottom trawls in Benthic Optimised Marine Environment Classification (BOMEC, Leathwick et al 2009) classes A, C (northern shelf) and H (shelf break and upper-slope) (Baird & Wood 2012), and at least 90% of trawls occur shallower than 100 m depth (Baird et al 2011, tabulating only data from TCEPR forms). Trawling for snapper, like trawling for other species, is likely to have effects on benthic community structure and function (e.g. Thrush et al 1998, Rice 2006) and there may be consequences for benthic productivity (e.g. Jennings 2001, Hermesen et al 2003, Hiddink et al 2006, Reiss et al 2009). These consequences are not considered in detail here but are discussed in the 2012 Aquatic Environment and Biodiversity Annual Review.

## 4.5 Other considerations

### 4.5.1 Spawning disruption

Fishing within aggregations of spawning fish may have the potential to disrupt spawning behaviour and, for some fishing methods or species, may lead to reduced spawning success. No research has been conducted on disruption of snapper spawning, but aggregations of spawning snapper often receive high commercial and recreational fishing effort (Ministry for Primary Industries unpublished data). Areas likely to be important for snapper spawning include the Hauraki Gulf (Cradock Channel, Coromandel Harbour to the Firth of Thames, and between the Noises, Tiritiri Matangi and Kawau Islands (Zeldis & Francis 1998)), Rangaunu and Doubtless Bay, the Bay of Islands, eastern Bay of Plenty, and the coastal areas adjacent to the harbour mouths on the west coast such as the Manukau and Kaipara Harbours (Hurst et al 2000).

### 4.5.2 Genetic effects

Fishing, environmental changes, including those caused by climate change or pollution, could alter the genetic composition or diversity of a species. Bernal-Ramírez et al (2003) estimated genetic diversity and confidence limits for snapper in Tasman Bay and the Hauraki Gulf. They showed a significant decline of both mean heterozygosity and mean number of alleles in Tasman Bay, but only random fluctuations in the Hauraki Gulf. In Tasman Bay, there was a decrease in genetic diversity at six of seven loci examined, compared with only one in the Hauraki Gulf. Hauser et al (2003) associated this decline with overfishing of the SNA 7 stock and estimated the effective population size in Tasman Bay as only 46–176 individuals between 1950 and 1998.

### 4.5.3 Habitat of particular significance to fisheries management

Habitat of particular significance for fisheries management (HPSFM) does not have a policy definition (Ministry for Primary Industries, 2013) although work is currently underway to generate one. For juvenile snapper, it is likely that certain habitats, or locations, are critical to successful recruitment of snapper. Post settlement juvenile snapper (10–70 mm fork length) associate strongly with three-dimensional structured habitats in estuaries, harbours and sheltered coastal areas (such as beds of seagrass and horse mussels, Morrison unpublished data, Thrush et al 2002, Parsons et al 2009). The reason for this association is currently unclear, but the provision of food and shelter are likely explanations. Some potential nursery habitats appear to contribute disproportionately to their area. The Kaipara Harbour in northern New Zealand contributes a disproportionately high proportion of successful recruits to the SNA 8 fishery (M. Morrison unpublished data) and a similar situation exists for snapper from Port Phillip Bay in Australia (Hamer et al 2011). These habitats are subject to land-based stressors (Morrison et al 2009) that may affect their production of juvenile snapper and recruitment to the SNA 8 fishery.

## 5. STOCK ASSESSMENT

Stock assessments for SNA 2 and SNA 8 were last completed in 2009 and 2005 respectively. Based on a preliminary assessment undertaken in 2012, a new assessment of SNA 1 was conducted in 2013. A new assessment for SNA 7 was conducted in 2015.

### 5.1 SNA 1 (Auckland East)

#### 5.1.1 Model structure

The model used for the 2013 assessment was written using CASAL (Bull et al 2012) and is a development of the three-stock, three-area model used in the 2012 assessment (Francis & McKenzie In prep. a). The 2012 assessment was given a quality ranking of “2” due to lack of convergence of MCMCs and poor estimates of the extent of depletion in 1970. These problems have largely been resolved in the new assessment.

The model covered the time period from 1900 to 2013 (i.e., fishing years 1899–1900 to 2012–13), with two time steps in each year (Table 11).

The assessment explicitly modelled the movement of fish between areas and assumed a Home Fidelity (HF) movement dynamic. Under the HF movement, fish spawn in their home area and some move to other areas at other times of the year where they are subject to fishing. There were two sets of migrations: in time step 1, all fish returned to their home (i.e., spawning) area just before spawning; and in time step 2, some fish moved away from their home area into another area. This second migration may be characterised by a  $3 \times 3$  matrix, in which the  $ij$ th element,  $p_{ij}$ , is the proportion of fish from the  $i$ th area that migrate to the  $j$ th area.

The model partitions the modelled population by age (ages 1–20, where the last age was a plus group), stock (three stocks, corresponding to the parts of the population that spawn in each of three subareas of SNA 1), area (the three subareas), and tag status (grouping fish into six categories – one for untagged fish, and one each for each of five tag release episodes). That is, at any point in time, each fish in the modelled population would be associated with one cell in a  $20 \times 3 \times 3 \times 6$  array, depending on its age, the stock it belonged to, the area it was currently in and its tag status at that time. To avoid confusion about areas and stocks we use two-letter abbreviations (EN, HG, BP) for areas, and longer abbreviations (ENLD, HAGU, BOP) to denote stocks. As with previous snapper models (e.g., Gilbert et al 2000), this model did not distinguish fish by sex.

**Table 11: Annual model time steps and the processes and observations used in each time step** Note that the home area for a fish is where it spawns (and was recruited). Each year some fish migrate away from their home ground (in step 2) and then return home in step 1 of the following year.

Time step	Model processes (in temporal order)	Observations <sup>2,3</sup>
1	age incrementation, migration to home area, recruitment, spawning, tag release	
2	migration from home area, natural and fishing mortality <sup>1</sup>	biomass, length and age compositions, tag recapture

<sup>1</sup>Fishing mortality was applied after half the natural mortality

<sup>2</sup>The tagging biomass estimate was assumed to occur immediately before the mortality; all other observations occurred half-way through the mortality

<sup>3</sup>See Table 13 for more details of all observations

A total of 168 parameters were estimated in the base model (Table 12). The six migration parameters define the  $3 \times 3$  migration matrix described above (there are only six parameters because the proportions in each row of the matrix must sum to 1). Selectivities were assumed to be age-based and double normal, and to depend on fishing method but not on area. Three selectivities were estimated for commercial fishing (for longline, single trawl, and Danish seine); one for the (single trawl) research surveys, and two for recreational fisheries (for before and after a change in recreation size limit in 1995). All priors on estimated parameters were uninformative except for the usual lognormal prior on year-class strengths (with coefficient of variation (CV) 0.6).

Year class strengths (YCS) were estimated as free parameters but only for years where there was at least one observation of catch-at-age. The YCS estimation period in the model was also the period over which the  $R_0$  parameter was also estimated. YCS estimation conformed to the Haist parameterisation in which the mean of the YCSs is constrained to 1 (Bull et al 2012). For years where YCS could not be estimated as free parameters, YCS was set to 1.

**Table 12: Details of parameters that were estimated in the model.**

Type	Description	No. of parameters	Prior
$R_0$	Mean unfished recruitment for each stock	3	uniform-log
YCS	Year-class strengths by year and stock	136 <sup>1</sup>	lognormal <sup>2</sup>
Migration	Proportions migrating from home grounds	6	uniform
Selectivity	Proportion selected by age by a survey or fishing method	18	uniform
$q$	Catchability (for relative biomass observations)	<u>5</u>	uniform-log
		168	

<sup>1</sup>In the MPD run YCSs were estimated for years 1966–2007 for ENLD, 1951–2007 for HAGU, and 1971–2001 for BOP; in the MCMC run the most recent years, 2008–2012, were also estimated.

<sup>2</sup>With mean 1 and coefficient of variation 0.6

Some parameters were fixed, either because they were not estimable with the available data (notably natural mortality and stock-recruit steepness were fixed at values determined by the Working Group), or because they were estimated outside the model (Table 13). As in 2012, mean length at age was

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specified by yearly values (rather than a von Bertalanffy curve) because these values showed a strong trend for the older ages. Data were available for 1994–2010 for ENLD, and for 1990–2010 for HAGU and BOP. In each stock, mean lengths for earlier years were set to the average values over these years, and for later years (including projections) to the 2006–2010 average.

**Table 13: Details of parameters that were fixed in the model.**

Natural mortality	0.075 y <sup>-1</sup>
Stock-recruit steepness (Beverton & Holt)	0.85
Tag shedding (instantaneous rate, 1985 tagging)	0.486 y <sup>-1</sup>
Tag detection (1985 and 1994 tagging)	0.85
Proportion mature	0 for ages 1-3, 0.5 for age 4, 1 for ages > 4
Length-weight [mean weight (kg) = $a$ (length (cm)) <sup><math>b</math></sup> ]	$a = 4.467 \times 10^{-3}$ , $b = 2.793$
Mean lengths at age	provided for years 1990–2010 <sup>1</sup>
Coefficients of variation for length at age	0.10 at age 1, 0.20 at age 20
Pair trawl selectivity	$a_1 = 6$ y, $\sigma_L = 1.5$ y, $\sigma_R = 30$ y
<sup>1</sup> See text for details	

The most important change from the model used in the 2012 assessment was that the catch history was revised and extended back to 1900, and it was assumed that each stock was at its unfished level ( $B_0$ ) in 1900. Two other changes of consequence affected the tag-recapture data sets that were ‘condensed’ (i.e., the number of length classes in each data set was substantially decreased by combining adjacent length classes until each remaining length class contained at least 5 observed recaptures) and iteratively reweighted, together with the composition data sets (for details see Francis & McKenzie In prep. b). Other minor changes included dropping small fisheries (pro-rating their catches over the remaining fisheries in the same area) and removing priors on recreational selectivities.

Five types of observations were used in the base stock assessment (Table 14). These were the same as in the 2012 assessment (Francis & McKenzie In prep. a) except for the addition of 2012 data points for each of the CPUE time series and the recreational length compositions.

**Table 14: Details of observations used in the stock assessment model**

Type	Likelihood	Area <sup>1</sup>	Source	Range of years	No. of years
Absolute biomass	Lognormal	BOP	1983 tagging	1983	1
Relative biomass (CPUE or survey)	Lognormal	BOP	longline	1990–2011	22
		ENLD	longline	1990–2011	22
		HAGU	longline	1990–2011	22
		BOP	single trawl	1996–2011	16
		HAGU	research survey	1983–2001	13
Type	Likelihood	Area <sup>1</sup>	Source	Range of years	No. of years
Age composition	Multinomial	HAGU	longline	1985–2010	22
		BOP	longline	1990–2010	19
		ENLD	longline	1985–2010	18
		HAGU	Danish seine	1970–1996	11
		HAGU	research survey	1985–2001	10
		HAGU	single trawl	1975–1994	6
		BOP	single trawl	1990–1995	4
Type	Likelihood	Area <sup>1</sup>	Source	Range of years	No. of years
Age composition	Multinomial	BOP	research survey	1990–1996	3
		ENLD	research survey	1990	1
		BOP	Danish seine	1995	1
Length composition		BOP	recreational fishing	1991–2012 <sup>2</sup>	14
		ENLD	recreational fishing	1991–2012 <sup>2</sup>	14
		HAGU	recreational fishing	1991–2012 <sup>2</sup>	14
Tag recapture 1985	Binomials	Area tagged <sup>1</sup>	Year tagged	Areas recaptured <sup>1</sup>	Years recaptured
		ENLD	1983	ENLD, HAGU	1984,
		HAGU	1983	ENLD, HAGU	1984, 1985
		ENLD	1993	ENLD, HAGU, BOP	1994, 1995
		HAGU	1993	ENLD, HAGU, BOP	1994, 1995
		BOP	1993	ENLD, HAGU, BOP	1994, 1995

<sup>1</sup>Areas are East Northland (ENLD), Hauraki Gulf (HAGU), and Bay of Plenty (BOP)

<sup>2</sup>All length composition data sets were split into pre-1995 (2 years) and post-1995 (11 years) because recreational selectivity was assumed to change in 1995



## Data weighting

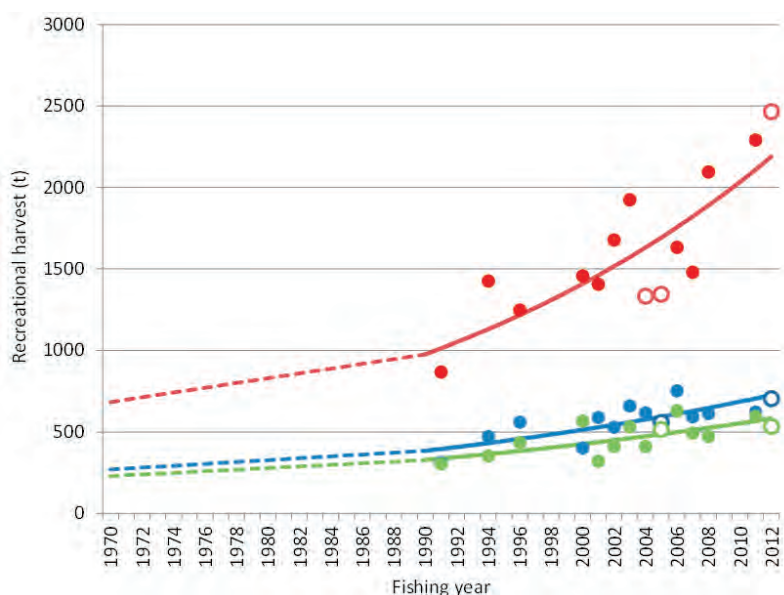
The approach to data weighting followed the methods of Francis (2011) except that a new method was used to weight the tag-recapture data (not discussed by Francis 2011) via the dispersion parameter (for details see Francis & McKenzie In prep. b). CVs on the various abundance data sets were defined *a priori* to be consistent with the most “plausible” fit the model was expected to achieve to the data (as agreed by the working group).

### 5.1.2 Catch History

#### Recreational catch

Direct estimates of annual recreational harvest from the three areas of SNA 1 (East Northland, Hauraki Gulf and Bay of Plenty) are available from aerial-access surveys conducted in 2004–05 and 2011–12 (Table 5) (Hartill et al 2007; MPI unpublished data).

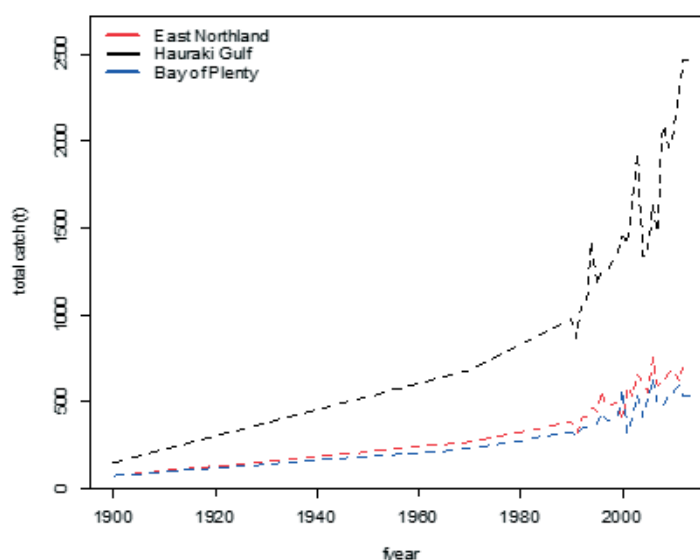
The recreational catch history used in the previous 2012 stock assessment for SNA 1 was based on commercial longline CPUE indices (1990 to 2011) scaled to the 2004–05 aerial-access estimates for each area of SNA 1. In 2012 the Working Group decided that commercial longline CPUE indices should not be used to inform recreational catch histories because the 2011–12 aerial-access harvest estimates were well above those predicted by the long line CPUE based approach used in 2012, particularly for the Hauraki Gulf. Instead the Working Group decided that an alternative creel survey based recreational kilogram per trip index provides a more realistic means of interpolating between the 2004–05 and 2011–12 aerial-access harvest estimates, in all three areas of SNA 1. Recreational kilogram per trip data are available for many of the years since 1991, especially since 2001, and these data explicitly take into account the 1995 changes to the recreational MLS and bag limits. These indices are based on creel survey data collected between January and April only. The geometric mean of the recreational kilogram per trip index over the period 2004–05 to 2011–12 was used to scale this index up to the level of the geometric mean of the two aerial-access harvest estimates. Exponential curves fitted to the recreational kg per trip index were used to provide interpolated catch estimates for years between 1990 and 2012 where no year index was available (Figure 2). The recreational harvest in 1970 was assumed to be 70% of the 1989–90 estimates in each area, with a linear increase in annual catch across the intervening years (Figure 2).



**Figure 2:** Recreational catch histories for the three areas of SNA 1 (Hauraki Gulf in red, East Northland in blue, and the Bay of Plenty in green). Open circles denote aerial-access survey estimates, closed circles denote recreational kilogram per trip indices scaled to the geometric mean of the aerial-access estimates, solid curved lines denote exponential fits to the scaled kilogram per trip indices which were used to predict harvests for those years for which creel survey data were not available, and dashed lines denote linear interpolations between 1990 and 1970 (when harvests were assumed to be at 70% of that predicted for 1990).

By choosing to scale recreational catch to the relative CPUE between years and scaling these estimates to the geometric mean of the two aerial surveys, the Working Group implicitly assumed that effort has remained constant throughout the period 1990–2012. Because recreational catch increased more rapidly than the BLL CPUE from 2007, the model estimated an increasing recreational exploitation rate in order to match the input catches. Increasing exploitation rates with fixed effort can only be resolved if recreational catchability also increased. The Working Group agreed that this was plausible even though relative recreational catchability must have increased by about 50% to account for the increased recreational catch estimates between 2005 and 2012. Projections also require the additional assumption that relative recreational catchability will remain at the values that were associated with the projected exploitation rate. The Working Group agreed to test the sensitivity of the projections to the catchability assumption by projecting forward using high and low recreational exploitation rate estimates: a) from 2013, the final model year, and b) from the average 1995–2005 exploitation rate, a period of relatively constant recreational catch incorporating the 2005 aerial catch estimate.

Recreational catch histories for each area for the period 1900 to 1970 were based on the average of two expert opinions of the harvest in 1900, provided by two regular members of the Marine Amateur Fishing Working Group. This averaged estimate was used to generate a linearly increasing recreational catch history for the period 1900 to 1970 (Figure 3).



**Figure 3: Assumed and derived recreational catch histories for the period 1900 to 2013, that were used in the 2013 SNA 1 assessment model.**

The customary harvest is not known and no additional allowance is made beyond the recreational catch.

### Commercial catch

The SNA 1 commercial catch histories for the various method area fisheries after 1989–90 were derived from the Ministry for Primary Industries (MPI) catch effort reporting database (*warehouse*); catches for method and area between 1981–82 and 1989–90 were constructed on the basis of data contained in archived MPI databases.

Commercial catch histories for the period 1915 through to 1982 were derived from two sources as follows:

- 1915–73: Annual Reports on Fisheries, compiled by the Marine Department to 1971 and the Ministry of Agriculture and Fisheries to 1973 as a component of their Annual Reports to Parliament published as Appendices to the Journal of the House of Representatives (AJHR). From 1931 to 1943 inclusive, data were tabulated by April–March years; these were equated with the main

calendar year (e.g. 1931–32 landings are treated as being from 1931). From 1944 onwards, data were tabulated by calendar year.

- 1974–82: Ministry of Agriculture and Fisheries, Fisheries Statistics Unit (FSU) calendar year records published by King (1985). The available data grouped catches for all species comprising less than 1% of the port totals as “Minor species”. An FSU hardcopy printout dated 23 March 1984 held by NIWA was used to provide species-specific catches in these cases (although this had little effect for snapper given that it is typically a major species in SNA 1 ports).

No commercial catch records are available prior to 1915; therefore, for the purposes of the current assessment the 1915 catch totals were applied back to 1900.

The only information available on the spatial distribution of SNA 1 landings before 1983 comes from “The Wetfish Report” (Ritchie et al 1975) in which snapper landings for old statistical areas were provided by year and month for the period 1960–1970. The boundaries of the old Statistical Areas 2, 3 and 4 are similar to those for the East Northland, Hauraki Gulf and Bay of Plenty substocks. However, Area 4 is smaller than the Bay of Plenty substock, whereas Area 2 is larger than East Northland and Area 3 is larger than Hauraki Gulf. Nevertheless, the match between old statistical areas and substock boundaries is likely to be close enough to use the catch split from “The Wetfish Report” to apportion SNA 1 landings among substocks. The percentage split by statistical area varied little over the 11-year period 1960–70:

Area 2: 17–20% (mean 19%)  
Area 3: 54–59% (mean 56%)  
Area 4: 22–29% (mean 25%).

The mean percentages for Areas 2, 3 and 4 were used to apportion 1960–70 SNA 1 landings among East Northland, Hauraki Gulf and Bay of Plenty respectively. In the absence of any information on the spatial distribution of catches before 1960, the same percentages were applied to SNA 1 landings for 1900–1959.

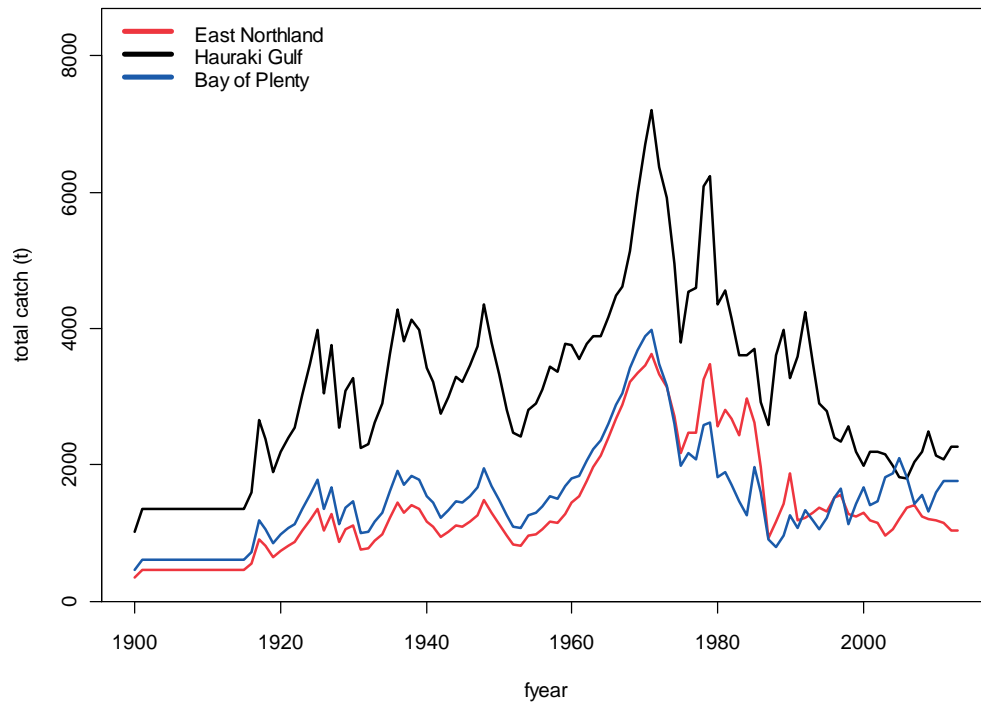
The historical SNA 1 commercial catch time-series was divided into four method fisheries: longline; single bottom trawl; pair bottom trawl; and Danish seine. Catches from “other” commercial methods (predominantly setnet) were not explicitly modelled but the catch totals were pro-rated across the fisheries in the same area. Information on specific catching methods becomes increasingly less reliable prior to 1973 so the area catch method splits from the early 1970s were applied back to 1900.

As was done for the 2000 and 2012 assessments; commercial catch totals prior to the 1986 QMS year were adjusted upwards to account for an assumed 20% level of under-reporting. Catch totals post QMS were likewise scaled assuming 10% under-reporting (Figures 4 and 5).

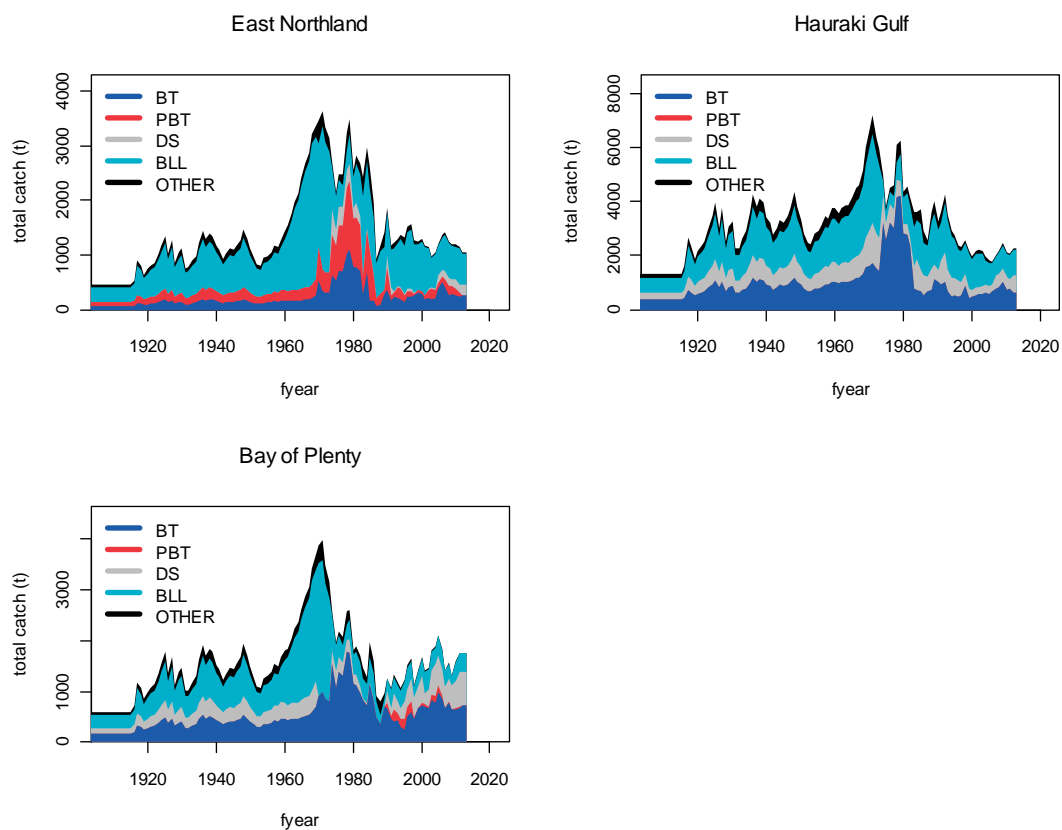
### **Estimation of foreign commercial landings**

In the 1997–98 SNA 1 assessment (Davies 1999), the foreign (Japanese longline) catch was assumed to have occurred between 1960 and 1977, with cumulative total removals over the period at three alternative levels: 20 000 t, 30 000 t and 50 000 t. The assumed pattern of catches increased linearly to a peak in 1968 then declined linearly to 1977; the catch was split evenly between east Northland and the Hauraki Gulf/Bay of Plenty. For the current assessment, the base case level of total foreign catch for the period between 1960 and 1977 was assumed to be 30 000 t, catch apportioned among the three substocks in the ratio 50% East Northland, 10% Hauraki Gulf and 40% Bay of Plenty and added to the domestic longline method totals.

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**Figure 4: Commercial catch histories by area (adjusted for under-reporting) plus foreign catch used as input to the 2013 SNA 1 assessment model.**



**Figure 5: Commercial catch histories by method and area (adjusted for under-reporting) used as input to the 2013 SNA 1 assessment model.**

### 5.1.3 Abundance indices

#### Trawl surveys

Trawl surveys were carried out in all three areas between the mid-1980s and 2000. Unfortunately, the only area for which a viable series of abundance estimates exists is the Hauraki Gulf. An index of relative numbers of fish surveyed from the Hauraki Gulf trawl survey series was fitted in the model and was assigned an overall CV of 0.15 (Table 14).

#### Longline CPUE

CPUE indices for the fishing years 1989–90 to 2011–12 were derived using data from bottom longline fisheries operating in the East Northland, Hauraki Gulf and Bay of Plenty areas within SNA 1 (see also McKenzie & Parsons 2012). Data for years prior to 2007–08 were fisher daily amalgamated catch totals, i.e. catch per day. After 1 October 2007 longline fishers were required to report catch and effort on a per set or event basis. Combining the data required aggregating the more detailed post 2007 data at the daily catch level. The validity of doing this was explored by looking for discontinuities in the annual median number of hooks reported by the core vessels over the form change interval. It was concluded that combining the two data series in a single analysis was appropriate.

Analysis was restricted to a subset of “core” vessels. The vessel selection process sought to:

- minimise the number of vessels in the analysis;
- maximise the proportion of total longline catch: threshold set at 60%;
- maximise the number of years in the fishery;
- maximise the average number of trips per year.

Standardised CPUE indices were derived as the coefficient of the year covariate in a log-linear regression model of daily log-catch (kg). Other variables offered to the model were vessel-id, target, month, statistical area, number of hooks and number of sets (refer McKenzie & Parsons 2012). Parameters selected by the model are given in Table 15.

Alternative analyses were undertaken, using more vessels, to include at least 80% of the total longline catch for the last five years. These analyses produced results consistent with those using fewer vessels and less of the catch suggesting that the derived standardised indices were relatively insensitive to the core vessel selection and the proportion of the total longline catch included.

The pattern in nominal (unstandardised) longline CPUE shows increasing trends in all three areas (Figure 6). Increasing trends in the standardised CPUE indices are also seen in the Hauraki Gulf and Bay of Plenty areas, however, the increase in Hauraki Gulf abundance is less steep than the unstandardised indices (Figure 6). The difference between the standardised and unstandardised longline indices is most pronounced for East Northland with the standardised indices being much flatter (Figure 6).

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**Table 15: Parameters (covariates) selected in the log-linear model standardisation of daily log-catch from longline (log catch-per-day) and bottom trawl (log catch per unit tow) by area along with the proportion of variance explained (model R-square) by the addition of each successive term (model R-square).**

### Long Line

#### East Northland

<i>parameter:</i>	Fyear	log (number_of_hooks)	vessel	month	target
<i>model R-square:</i>	0.06	0.30	0.35	0.39	0.41

#### Hauraki Gulf

<i>parameter:</i>	Fyear	log (number_of_hooks)	vessel	month
<i>model R-square:</i>	0.08	0.34	0.44	0.49

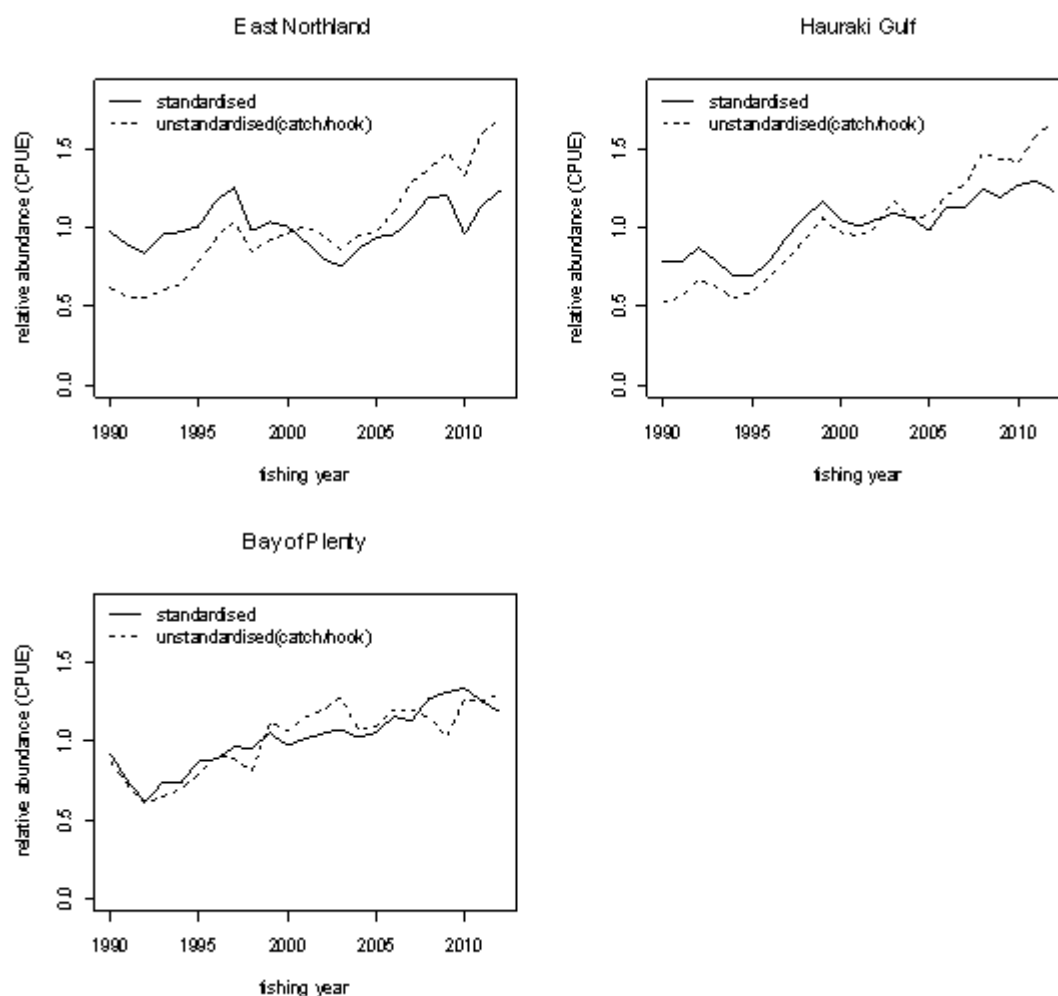
#### Bay of Plenty

<i>parameter:</i>	Fyear	vessel	log (number_of_hooks)	target
<i>model R-square:</i>	0.07	0.43	0.53	0.57

### Bottom Trawl

#### Bay of Plenty

<i>parameter:</i>	Fyear	target	vessel	depth	month	stat-area
<i>model R-square:</i>	0.01	0.10	0.15	0.17	0.19	0.21



**Figure 6: Longline CPUE indices of abundance (standardised and unstandardised) from 1990–2012 for the three component stocks of SNA 1.**

The area specific longline CPUE indices were fitted by the 2013 model, with each series assigned an overall CV of 0.15.

### Bay of Plenty single trawl CPUE

The Bay of Plenty single trawl CPUE data were available from fishing years 1989–90 to 2011–12 (a 23 year time series). However, three different catch effort form types have been in use during this period, partially limiting the temporal continuity of the series. Prior to the 1997–98 fishing year the majority of Bay of Plenty trawl fishers were using the less detailed daily CELR reporting forms. From 1995–96, however, a significant number of Bay of Plenty trawl fishers (over 70%) were reporting on Trawl Catch Effort Processing Returns (TCEPR) that provide effort details as well as latitude and longitude information for each tow. From the 2007–08 fishing year many Bay of Plenty trawl fishers moved onto the new Trawl Catch Effort Return (TCER) forms. The TCER forms are largely identical to the TCEPR forms but require catch details of the top 8, not 5, species to be recorded. It was decided not to include the CELR data in the CPUE standardisations and only to include years where a high proportion of TCEPR and TCER data were available; specifically the 1995–96 to 2011–12 fishing years (a 17 year time series).

As with the longline analysis both standardised and unstandardised CPUE indices were derived. In the unstandardised analysis CPUE was simply catch per tow, in the standardised analysis CPUE was log catch per tow (positive catches only). The following continuous effort variables were considered in the model selection (standardisation) process: Log (fishing duration); Log (net height); Log (net width); Log (gear depth); Log (engine power); Log (vessel length\*depth\*breadth). Categorical variables considered were: fishing-year (forced); month; season (4), vessel; and statistical-area. In the Bay of Plenty trawl fishery 98% of the snapper catch is taken targeting five main species: SNA, TRE, TAR, GUR and JDO). Therefore “target” was included in the standardisation as a six level categorical variable (five target species plus an “other” category) (refer McKenzie & Parsons 2012 for details). Parameters chosen by the standardisation procedure are given in Table 15.

The standardised CPUE indices suggest that the Bay of Plenty trawl fishery experienced a slight increase in abundance between 1996 and 2008 and more recently from 2009–11 (Figure 7).

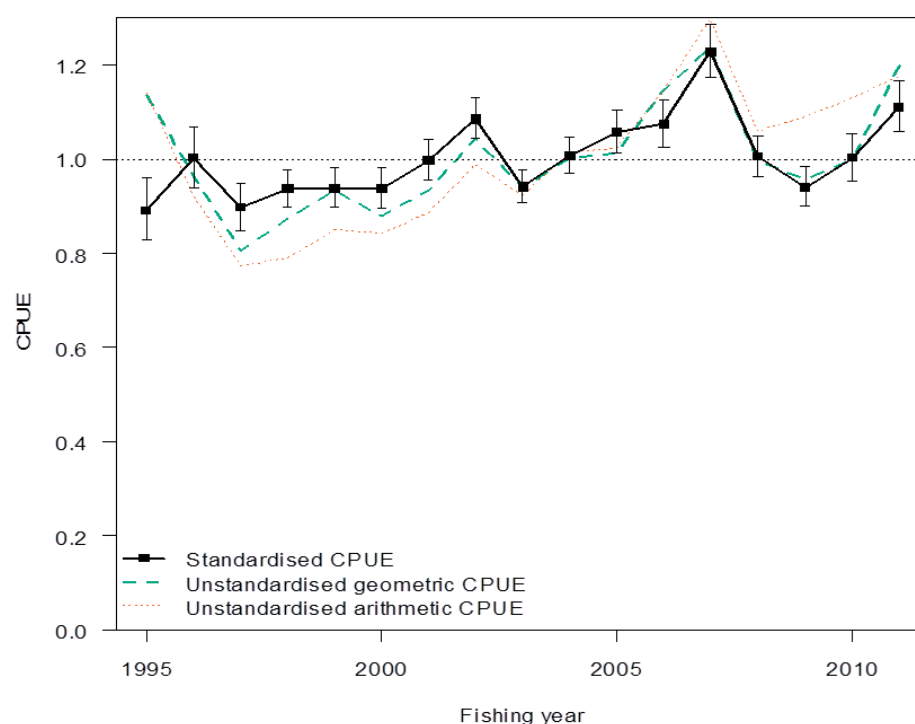


Figure 7: Single trawl CPUE indices of Bay of Plenty area abundance (standardised and unstandardised) from 1996–2012.

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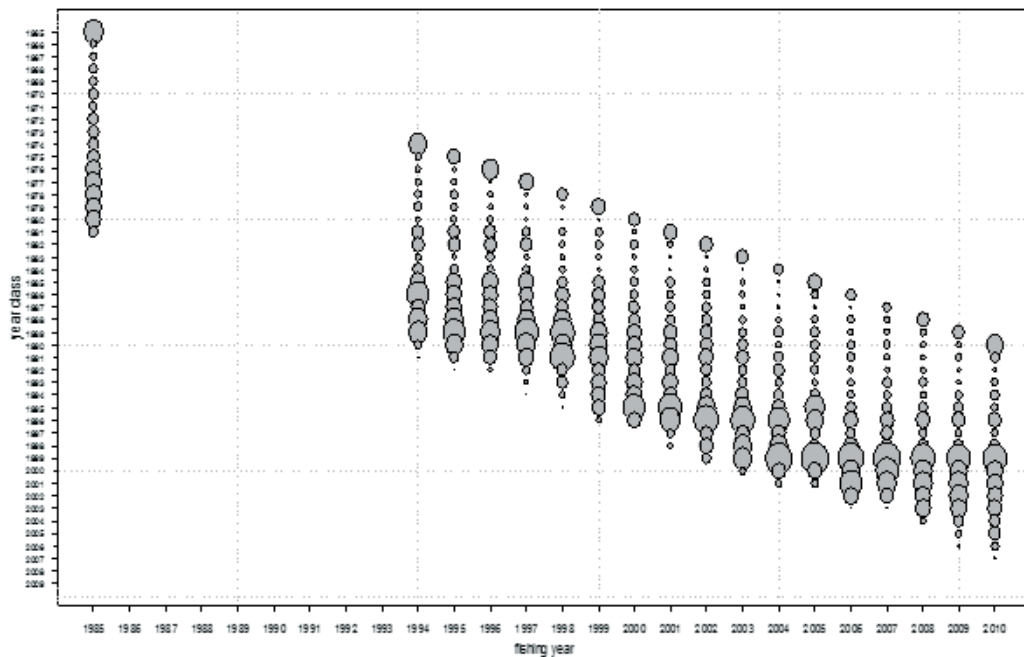
The single trawl Bay of Plenty CPUE was fitted with an assigned overall CV of 0.15 (section below; Table 14).

### 5.1.4 Catch at age and length observations

#### Commercial data

Catch-at-age observations from single trawl, Danish Seine and longline are available from the Bay of Plenty and Hauraki Gulf stocks; longline only for east Northland (Table 14).

Catch-at-age sampling since 1985 in East Northland shows a greater accumulation of fish older than 20 years than observed in the Hauraki Gulf or Bay of Plenty sub-stocks (Figures 8–10). The Bay of Plenty longline age composition is similar to SNA 8, with the fishery largely comprised of only 4–6 dominant age classes with few fish older than 20 years present in the catch samples (Figure 10).



**Figure 8: Relative year-class strength observed in the east Northland longline fishery 1984–85 to 2009–10. Year on the X-axis refers to the second part of the fishing year. The oldest year class is a 20+ group.**



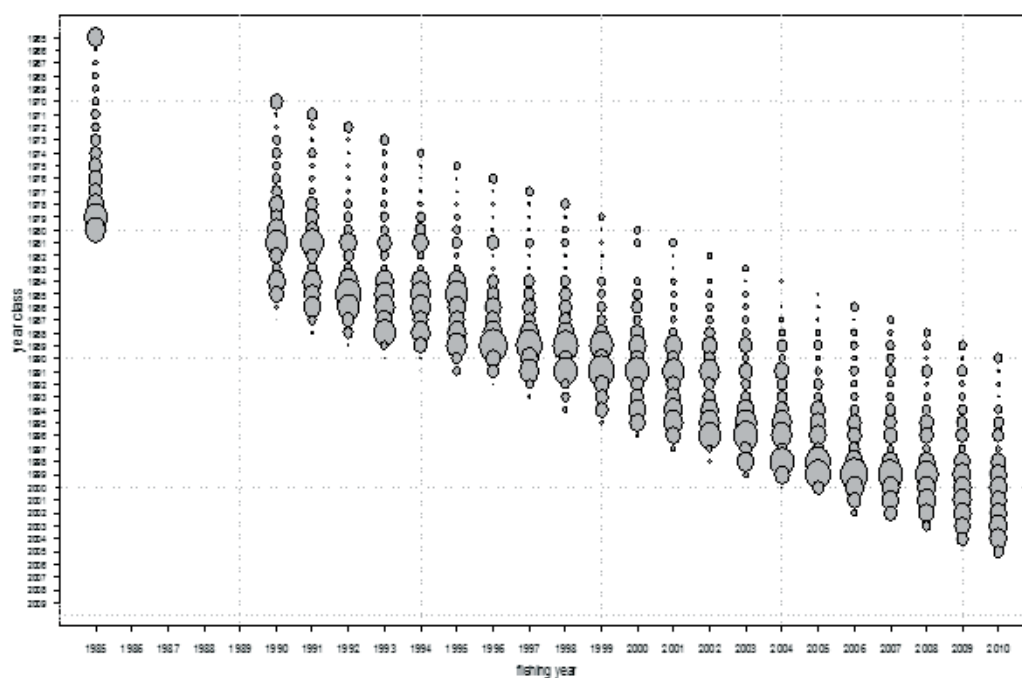


Figure 9: Relative year-class strength observed in the Hauraki Gulf longline fishery 1984–85 to 2009–10. Year on the X-axis refers to the second part of the fishing year. The oldest year class is a 20+ group.

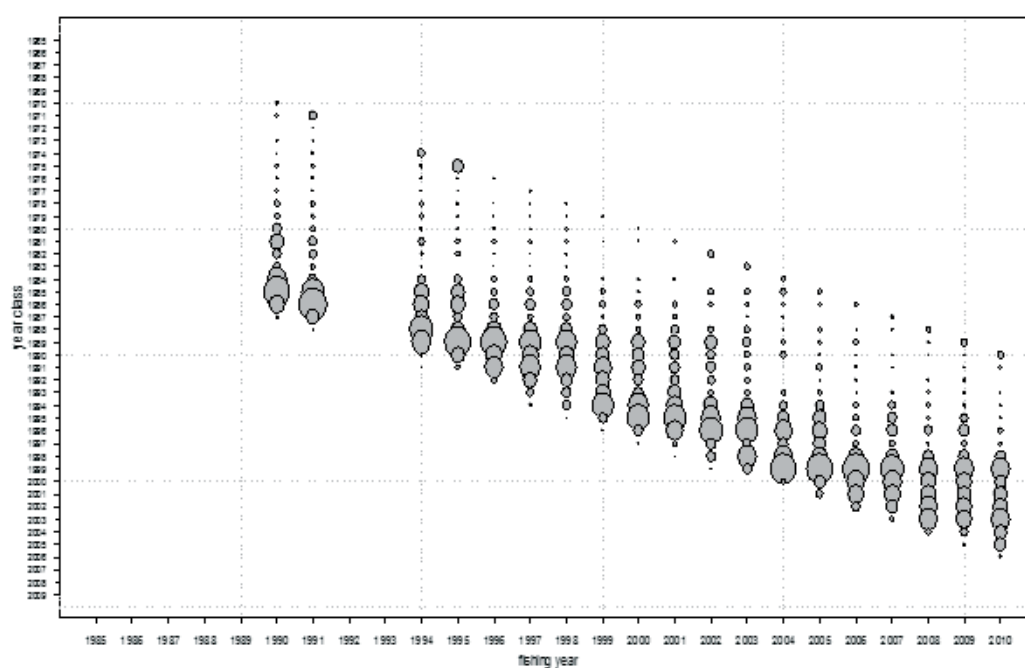


Figure 10: Relative year-class strength observed in the Bay of Plenty longline fishery 1990–91 to 2009–10. Year on the X-axis refers to the second part of the fishing year. The oldest year class is a 20+ group.

### **Recreational data**

Observations of recreational catch at length are available for most years after 1990, spanning the 1994 change in minimum legal size (Table 14).

### **Research Trawl data**

Catch-at-age observations from research trawl surveys are available for most surveys and fitted in the model for all areas (Table 14).

#### **5.1.5 Snapper 1983, 1985 and 1994 tagging programmes**

Analysis of past snapper tagging programmes revealed a number of sources of bias that need to be accounted for if these data are to be used for assessment purposes. Data from the 1985 and 1994 tagging programmes were corrected for bias and input directly into the assessment model. Data from the 1983 Bay of Plenty tagging programme were unavailable. The published biomass estimate (6000 t Sullivan et al 1988) was fitted in the model as a point estimate but given a high CV (0.4) in recognition of the likely inherent but unaccountable biases in the data.

### **Initial mortality**

The release data were adjusted for initial mortality outside the model using methods given in Gilbert & McKenzie (1999).

### **Tag-loss**

The effect of tag-loss was only an issue for the 1983 and 1985 tagging programmes where external tags were used. A revised estimate of tag loss was derived from a double-tagging experiment in 1985.

### **Trap avoidance**

Trap avoidance was found to occur for both trawl and longline tagged fish (Gilbert & McKenzie 1999), the result of this was that released fish were less likely to be recaptured using the same method.

Trawl and longline methods were used to tag fish in both the 1985 and 1994 tagging programmes. The CASAL models used the scaling factors derived by Gilbert & McKenzie (1999) to adjust the tagging data for trap-avoidance.

### **Detection of recaptured tags**

Because a fishery independent tag recovery process was used in the 1994 programme, a reliable estimate of tag under-detection was obtained. The model was provided this estimate to adjust the 1994 tag recovery data.

The recovery of tags in 1983 and 1984 programmes relied on fishers to voluntarily return tags. Estimates of under-reporting from these programmes are less precisely known but were assumed to be 15% (1988 Snapper Plenary Report).

### **Differential growth of tagged fish**

There is evidence that tagged fish may stop growing for 6 months after tagging (Davies et al 2006). The growth differential between tagged and untagged fish may bias results as the model will expect these fish to be larger than they are. As it was not possible to incorporate this source of bias in the model, it was assumed that, given that the majority of tags recovered in both programmes came from the first year after release, growth bias would be minimal.

### **Spatial Heterogeneity**

A primary objective when tagging fish for biomass estimation is to ensure homogeneous mixing of tags within each spatial stratum so that the probability of recovering a tagged fish is the same in all locations. Spatial heterogeneity impedes realisation of this objective. The potential bias caused by spatial heterogeneity may be high or low as it depends largely on the spatial distribution of recapture effort (i.e. fishing) within the spatial stratum. Heterogeneity was observed in both tagging programmes as mark rates varied amongst statistical areas and methods; and was most apparent in the 1994 Hauraki Gulf Danish seine catches (Gilbert & McKenzie 1999). The results of simulation modelling using

Hauraki Gulf data from the 1994 programme showed that under scenarios where the difference in the spatial mark-rates was high (up to 4-fold) and catch examination tonnages were spatially disproportionate, the level of bias (+/-) in the biomass estimate could be as high as 35% (Davies et al 1999b). However for scenarios where fishing was more uniform across strata, the expected level of bias was likely to be only 10%. To further investigate potential bias introduced by heterogeneity in the 1994 tagging programme, fish tagged and released by the Hauraki Gulf Danish seine fishery were excluded from the analysis. This increased the 1995 Hauraki Gulf biomass estimate by 15%, from 30 000 t to 34 000 t (Davies et al 1999a). Evidence for spatial heterogeneity in East Northland and the Bay of Plenty was much weaker than for the Hauraki Gulf (Gilbert & McKenzie (1999). For the 2013 stock assessment all tag recovery data are used, including Danish seine recoveries from the Hauraki Gulf.

### 5.1.6 Stock Assessment Results

#### Spawning biomass by stock and by area and for HAGUBOP

Two versions of spawning-stock biomass (SSB) are presented in the following results. The first, labelled “by stock”, is calculated in the conventional way (in the model time step 1 – when spawning occurs and all fish are in their home grounds); the second, labelled “by area”, is calculated half-way through the mortality in time step 2, when some fish are away from their home ground. The former is the usual SSB, but the latter is better estimated and may be more relevant for management purposes.

Some SSB results are also presented for the Hauraki Gulf and Bay of Plenty combined (labelled HAGUBOP by stock, or HGBP by area) because there is some doubt about the relationship between fish in these two areas.

#### Base model

The base model MPD achieved good fits to the abundance data and reasonably good fits to the composition data. The fit to the tag-recapture data was negatively affected by a conflict between these data and the age compositions which caused an imbalance in the fits to the tag-recapture data: the observed tag rate (the proportion of fish with tags) was greater than the expected rate in 23 of the 26 data sets. Although the expected rate lay within the 95% confidence bounds in all but three data sets, this result indicates that the model is unable to fit the tagging data well. Issues with the original tagging data and analyses have been identified elsewhere (Gilbert et al 1999; Davies et al 1999b).

All estimated spawning biomass trajectories show substantial reductions up to 1999 (for East Northland) or about 1988 (for other stocks and areas), and then some increase thereafter (Figure 11, upper panels). In terms of current biomass, both the stock BOP and area BP are estimated to be more depleted (3–10%  $B_0$ ) than the other stocks and areas (15–30%  $B_0$ ) (Table 15). However, for all stocks and areas current biomass is 30–68% higher than its minimum value (Table 16). Stock HAGU and area HG are estimated to contain a much greater tonnage of fish than the other stocks and areas, both over the period of the assessment (Figure 11, upper panels) and in their unfished state (Table 16). ENLD/EN and BOP/BP are estimated to have contained broadly similar tonnages 53 000 to 112 000 t) before the fishery started; which was estimated to be the larger depends on whether we are considering the biomass by stock or by area.

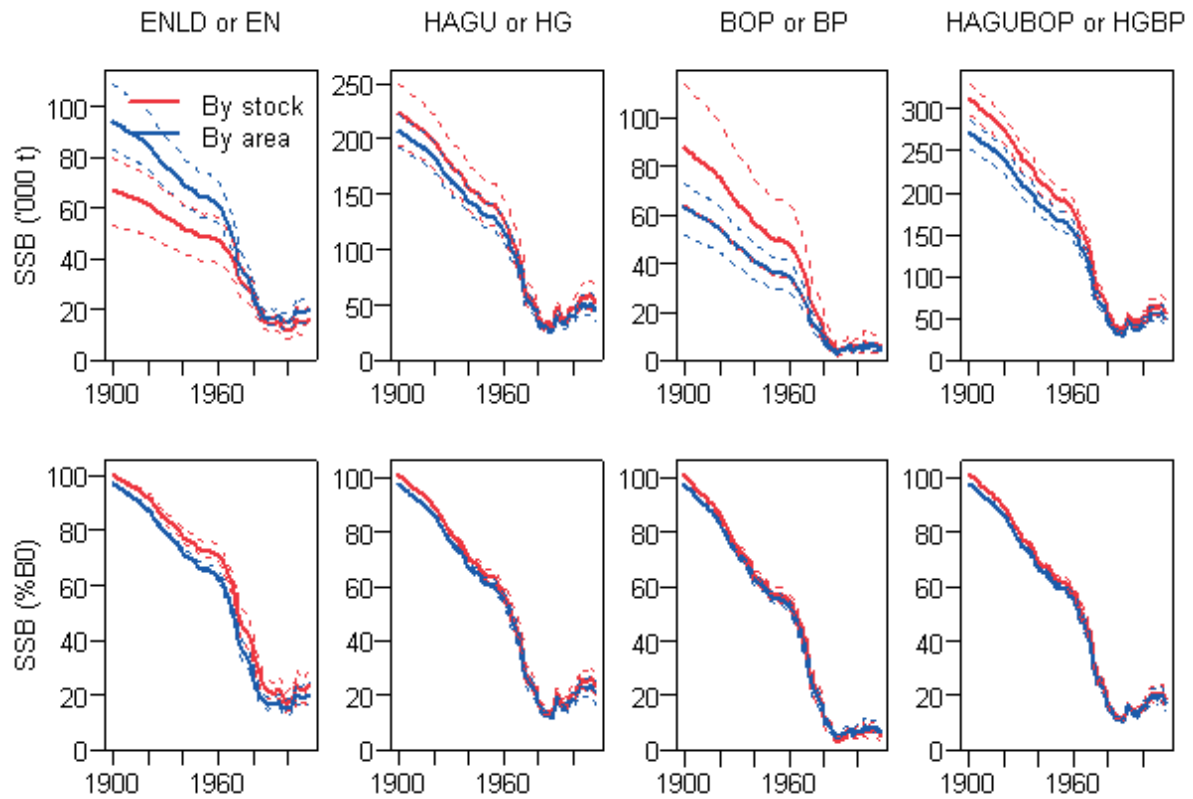


Figure 11: SSB trajectories by stock (red lines) and area (blue lines) from the base model. Solid lines are MCMC medians, broken lines are 95% confidence intervals.

Table 16: Base model estimates of unfished biomass ( $B_0$ ) and current biomass ( $B_{2013}$  as % $B_0$  and % $B_{min}$ ) by stock and area. Estimates are MCMC medians with 95% confidence intervals in parentheses.

	$B_0$ ('000 t)	$B_{2013}$ (% $B_0$ )	$B_{2013}$ (% $B_{min}$ ) <sup>1</sup>
By stock			
ENLD	66 (53, 79)	24 (18, 30)	137 (108, 176)
HAGU	220 (192, 246)	24 (19, 29)	168 (137, 206)
BOP	86 (63, 112)	6 (3, 9)	148 (104, 209)
HAGUBOP	306 (288, 325)	19 (15, 23)	167 (139, 201)
By area			
EN	96 (85, 111)	20 (16, 25)	130 (108, 159)
HG	211 (197, 227)	21 (17, 26)	167 (136, 204)
BP	64 (53, 74)	7 (5, 10)	145 (114, 185)
HGBP	276 (258, 292)	18 (15, 22)	165 (136, 199)

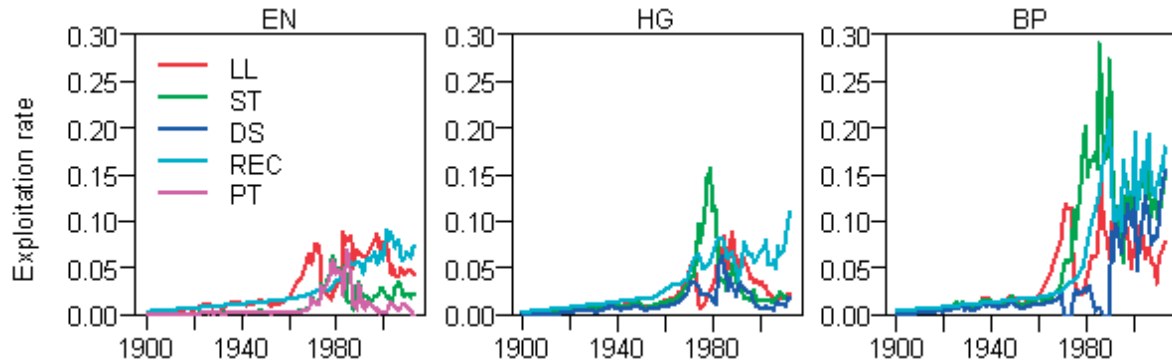
<sup>1</sup> $B_{min}$  was taken as  $B_{1999}$  for ENLD and EN, and as  $B_{1988}$  for other stocks and areas

The majority of fish do not move away from their home grounds, with migration being most common for BOP fish and least common for ENLD fish (Table 17). Uncertainty in the proportion migrating is greatest for fish from BOP. The estimated proportion migrating from BOP to ENLD appears to be unrealistically high when compared to the observed movements of tagged fish.

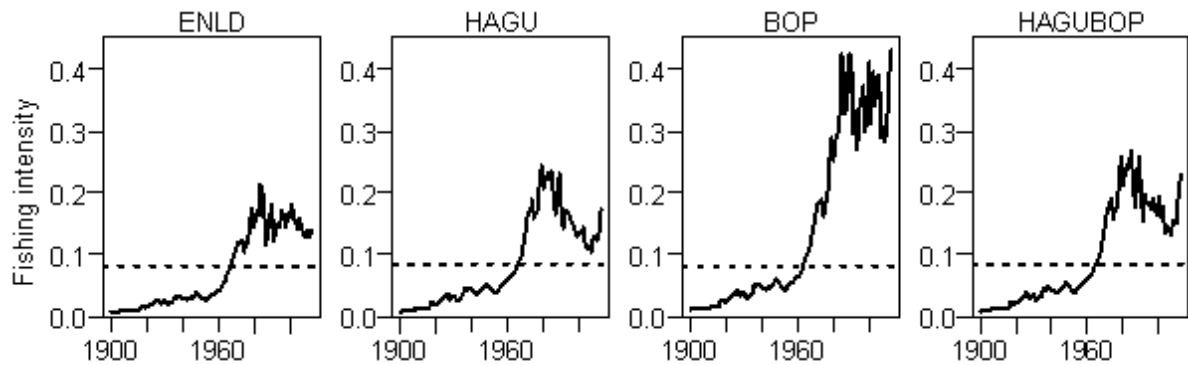
In all areas current exploitation rates by method are estimated to be highest for the recreational fishery (Figure 12). Fishing intensity is estimated to be highest in BOP. For ENLD and HAGU fishing intensity declined from peaks in the 1980s, but has increased in the HAGU since 2007 (Figure 13). The fishing intensity for the HAGUBOP stock rose sharply from the early 1960s and reached a peak in the 1980s. It then declined by approximately 50% to 2007, but has since increased to 86% of the 1985 peak (Figure 13). Estimates of year-class strength are precise only for a relatively narrow range of years, particularly for ENLD and BOP, where catch-at-age data are sparser (Figure 14).

**Table 17: Base case migration matrix (showing proportions of each stock migrating to each area in time step 2). Estimates are MCMC medians with 95% confidence intervals in parentheses.**

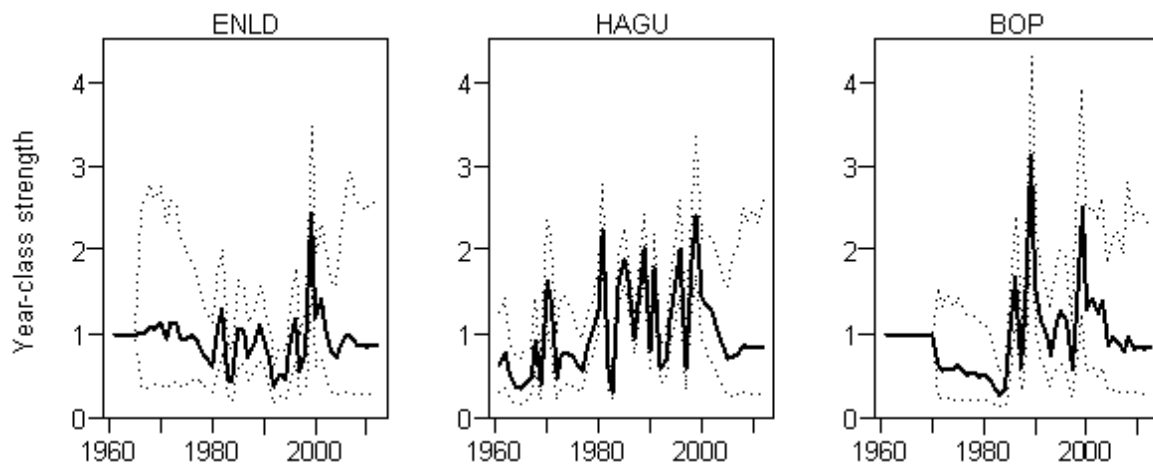
Stock	Area EN	Area HG	Area BP
ENLD	0.94 (0.89, 0.97)	0.05 (0.02, 0.10)	0.01 (0.00, 0.04)
HAGU	0.09 (0.05, 0.14)	0.87 (0.82, 0.91)	0.04 (0.02, 0.06)
BOP	0.17 (0.02, 0.36)	0.18 (0.07, 0.34)	0.63 (0.45, 0.83)



**Figure 12: MPD estimates of exploitation rates by fishery and year.**



**Figure 13: MPD estimates of fishing intensity by year and stock. Dotted lines show the intensity required to maintain the spawning biomass at 40%  $B_0$  ( $U_{40\%B_0}$ ).**



**Figure 14: Estimated year-class strengths by year and stock (a value of 1 indicates that the year class has the strength predicted by the stock-recruit relationship). Estimates are MCMC medians (solid lines) and 95% confidence intervals (dotted lines).**

No stock or area is at or above the target and none but the Bay of Plenty is below the hard limit. Probabilities of being below the soft limit range from 0.04 to 1.00 (Table 18).

**Table 18: Probabilities, by stock and area, relating current biomass to the target (40%  $B_0$ ) and limits (soft 20%  $B_0$ , and hard 10%  $B_0$ ).**

Probability	ENLD/EN		HAGU/HG		BOP/BP		HAGUBOP/HGBP	
	by stock	by area	by stock	by area	by stock	by area	by stock	by area
At or above target	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Below soft limit	0.12	0.52	0.04	0.34	1.00	1.00	0.74	0.89
Below hard limit	0.00	0.00	0.00	0.00	0.99	0.99	0.00	0.00

### Sensitivity analyses

Many alternative models were constructed and run to determine the sensitivity of the assessment to various model assumptions (Francis & McKenzie In prep. b).

Some changes of assumptions had comparatively little effect on stock status. The following changes fall into this category: alternative levels of trap shyness and tag loss; allowing the initial (1900) biomass to differ from  $B_0$ ; increasing the maximum age in the partition from 20 to 60; dropping tag-recapture data from Statistical Area 008 (the Bay of Plenty area closest to the Hauraki Gulf); and assuming that tagging in area BP occurred before HAGU fish in that area had returned home.

Two other alternative models were useful in demonstrating the sensitivity of the assessment to specific data sets. In one, the longline CPUE indices were replaced by their unstandardised values (which have quite different trends –see Figure 6), and in the other, the tag-recapture data were strongly down-weighted. In both cases there was a marked change in the estimated biomass trajectories; however, neither of these runs was considered to provide useful information on current stock status.

There are nine alternative models for which some results are presented (Table 19). Most of these alternative models are easily understood, but two merit more detailed description.

**Table 19: Brief descriptions of nine alternative models run to determine sensitivity to various model assumptions.**

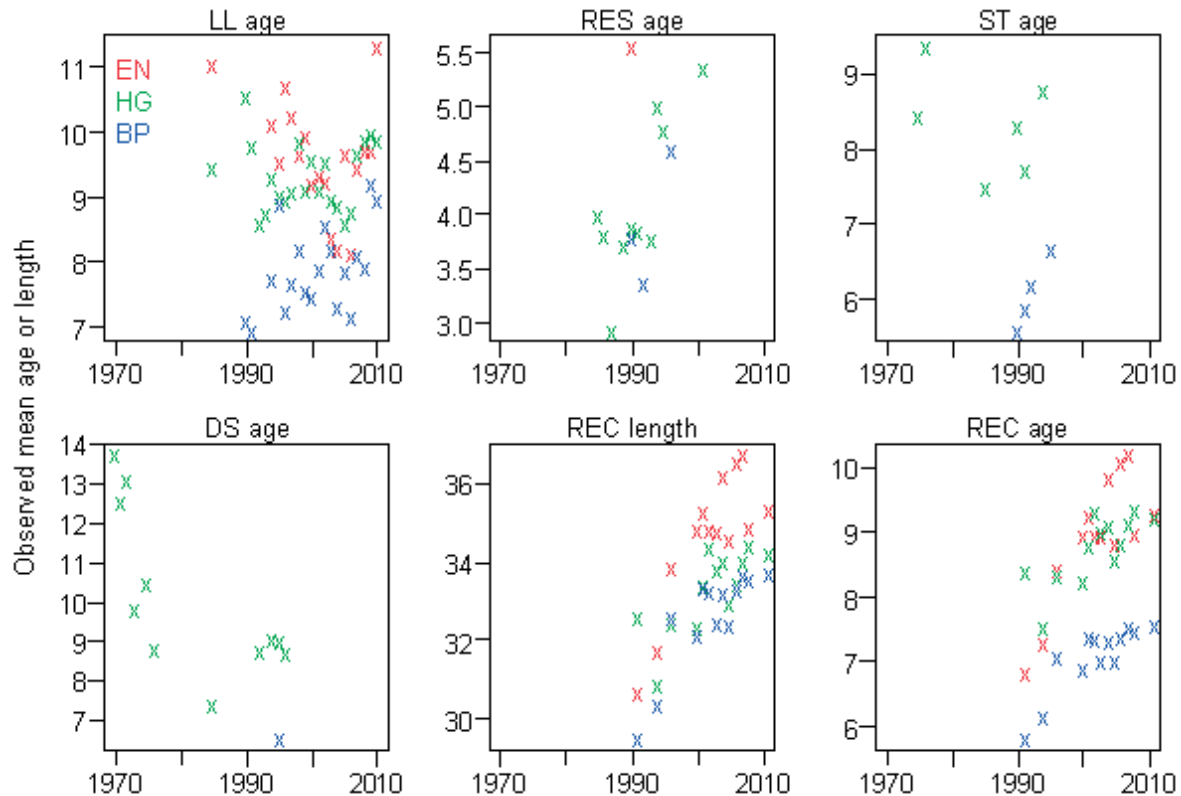
Label	Description
catch-lo/hi	Use alternative lower and higher catch histories
sel-by-area <sup>1</sup>	Assume that fishery selectivity depends on area, as well as fishing method
reweight	Age and tag-recapture data reweighted to reduce imbalance in fit to tag-recapture data
M-lo/hi	Replace the assumed value of natural mortality, $M = 0.075 \text{ y}^{-1}$ , with lower (0.05) and higher (0.10) values
steep-lo/hi	Replace the assumed value of stock-recruit steepness, 0.85, with lower (0.7) and higher (0.95) values
one-stock <sup>1</sup>	Replace the base three-stock (and three-area) model with 3 separate one-stock models: one for each area.

<sup>1</sup>MCMC runs were done for these sensitivities

The first, sel-by-area, was motivated by the observation that, for any given fishing method and year, the mean age (or mean length for recreational fisheries) of the catch was almost always lowest in area BP (Figure 15). In the base model this implied that the biomass was more depleted in BP than in the other areas because of the assumption that the selectivity of each fishing method is the same in all three areas. This assumption was removed in model sel-by-area (so that a separate selectivity curve was estimated for each combination of fishing method and area). Sel-by-area was considered as an alternative base case but the overall stock status differed little from the base that was chosen when BOP and HG stock status results were combined.

The one-stock models were constructed because of uncertainty about stock structure and fish movement between areas. Although it is clear that fish spawn in all three areas and move between areas (as assumed in the base model), the complexity of this structure and movement is unlikely to be well represented in the base model. For example, the proportion of fish migrating between areas in the relatively few years of the tag-recapture data may not be representative of what happened in other years. Also, the assumptions that (a) all fish were in their home area at the time of tagging, and (b) all recaptures occurred during the period that migrating fish were away from home, are likely to be only approximately true. The one-stock models offer an alternative, and much simpler, way of analysing the available data. Each of these models may be thought of as being constructed from the base model in the obvious way,

by removing the stock and area structures (and the associated migrations), and also the observations and fisheries that were associated with other areas. The only complicated part in this construction concerned the tag release and recapture observations (for details see Francis & McKenzie In prep. b).



**Figure 15: Observed mean age (for commercial fisheries and research surveys) or length (for recreational fisheries) by fishing method and area. In the bottom right-hand panel, the observed recreational mean lengths have been converted to ages using the mean length at age relationship (averaged over years 1994–2010) for each area.**

Results of the sensitivity analyses are presented in terms of their effects on current status (Figure 16). Regardless of whether current status was measured by stock or by area, all models estimated the Bay of Plenty spawning biomass to be the most depleted, and most estimated that the Hauraki Gulf was least depleted. The greatest sensitivity was shown with model sel-by-area, which estimated much less depletion for the Bay of Plenty (current biomass was 14%  $B_0$ , compared to 6–7%  $B_0$  in the base model), and model reweight, which estimated more depletion for the other areas. Estimates from sel-by-area were broadly similar to those from the one-stock models. Changes in both  $M$  and steepness had predictable effects (the same for all stocks and areas): lower values, which imply lower productivity, led to more depletion, and higher values to less depletion. Current status estimates were not very sensitive to alternative catch histories. Stock status was always slightly worse by stock than by area for Bay of Plenty, with the reverse being true for East Northland and Hauraki Gulf. Due to uncertainty about the relationship between BOP and HGU, stock status is also presented for the two stocks combined.

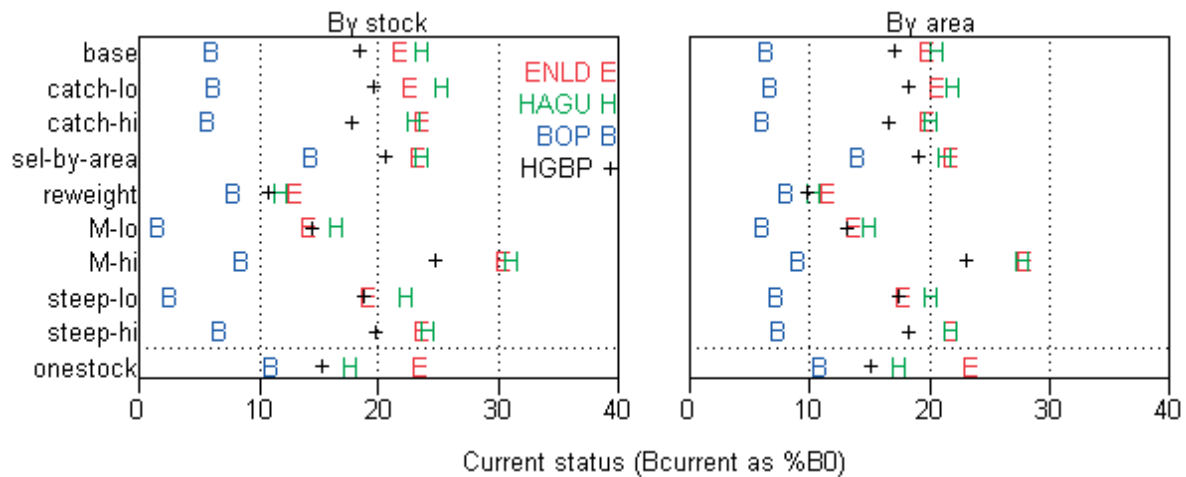


Figure 16: MPD estimates of current status ( $B_{2013}$  as  $\%B_0$ ), by stock and area, for the base model and some sensitivity analyses. The horizontal broken line separates the one-stock estimates from the others as a reminder that there is no distinction between spawning biomass by stock and by area for these models.

### 5.1.7 Yield estimates and projections

Five-year projections of the base case were carried out under “status quo” conditions, which were taken to mean constant catches (equal to the 2012 and 2013 catches) for the commercial fisheries and constant exploitation rate (equal to the average of the 2008–2012 rates) for the recreational fisheries. In these projections, simulated year-class strengths (YCSs) were resampled from the 10 most recent reliably estimated YCSs (deemed to be 1995–2004). The simulated YCSs included both the recent YCSs that were not estimated (due to the lack of recent age composition data) in the MPD (2008–2012) as well as the five “future” YCSs (2013–2017).

With status quo catches the biomass is likely to continue to increase for all stocks and areas (Figure 17). These results changed only slightly when the future exploitation rate for the recreational fishery in HG was changed from 0.0779 (the average of the 2008–2012 rates) to 0.0648 (the average for 1995–2005) or 0.1089 (the rate for 2013). Projections from the one-stock and sel-by-area sensitivity models predicted increasing or near-stable biomass for all stocks and areas.

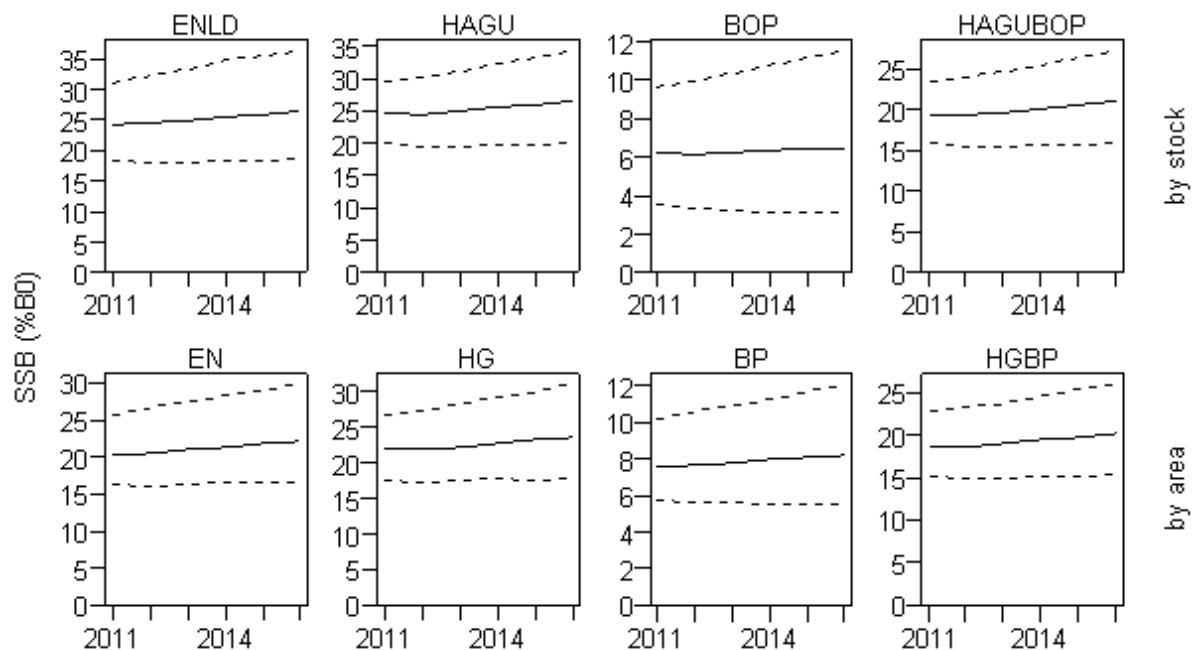


Figure 17: Projected spawning-stock biomass (SSB) by stock and by area. Estimates are MCMC medians (solid lines) and 95% confidence intervals (broken lines).



### Deterministic $B_{MSY}$

Deterministic  $B_{MSY}$  was calculated as 25–26%  $B_0$  for all individual stocks and areas and 30% for the combined Hauraki Gulf/Bay of Plenty. There are several reasons why  $B_{MSY}$ , as calculated in this way, is not a suitable target for management of the SNA 1 fishery. First, it assumes a harvest strategy that is unrealistic in that it involves perfect knowledge including perfect catch and biological information and perfect stock assessments (because current biomass must be known exactly in order to calculate target catch), a constant-exploitation management strategy with annual changes in TACs (which are unlikely to happen in New Zealand and not desirable for most stakeholders), and perfect management implementation of the TAC and catch splits with no under- or overruns. Second, it assumes perfect knowledge of the stock-recruit relationship, which is actually very poorly known. Third, it would be very difficult with such a low biomass target to avoid the biomass occasionally falling below 20%  $B_0$ , the default soft limit according to the Harvest Strategy Standard. Thus, the actual target needs to be above this theoretical optimum; but the extent to which it needs to be above has not been determined.

Results from the deterministic  $B_{MSY}$  calculations were used to determine the level of fishing that would maintain the spawning biomass at the interim target level of 40%  $B_0$ . This ranged from 19% to 59% of the 2013 level (Table 20).

**Table 20: Estimated levels of fishing – expressed as multiples of 2013 exploitation rates – that would be required to maintain spawning biomass at 40%  $B_0$ .**

	ENLD	HAGU	BOP	HAGUBOP
by stock	0.59	0.50	0.19	0.38
by area	0.55	0.46	0.21	0.38

### 5.1.8 Other factors

1. Uncertainty associated with some of the tagging assumptions is not explicitly incorporated into the model. Examples include confidence intervals on trap shyness, the duration of the mixing period, and clumping of recaptures (for example, higher recovery rates in 1994 Danish seine Hauraki Gulf catches).
2. A lack of recent catch-at-age data means that recent relative year class strengths were not available for projections of stock size. SNA 1 is currently only sampled for catch-at-age every three years.

### 5.1.9 Research requirements

1. As there is uncertainty in the relationship between standardised CPUE and abundance, it is necessary to investigate options for fishery-independent abundance estimates, such as a new tagging study.
2. The utility of longline CPUE as an index of abundance should be investigated by comparing the series used for the stock assessment with alternative series modelled using finer-scale catch-at-age information collected since the introduction of new statutory forms (LCER) in 2007.
3. A better understanding of stock boundaries and movement dynamics in the Bay of Plenty and the Hauraki Gulf is required before these two areas may be reliably modelled as separate. The location of juvenile nursery areas, particularly in the Bay of Plenty, would also be useful in this regard.
4. The sensitivity of the model to all forms of bias and uncertainty in the 1985 and 1994 tagging data, in particular spatial heterogeneity and trap avoidance, needs to be investigated.
5. A detailed evaluation of the interaction between growth and selectivity in each stock/area should be undertaken.
6. The optimal frequency of catch-at-age monitoring should be evaluated. The current three year cycle constitutes a 2/3 reduction in the number of independent observations available for any given year-class over annual sampling (i.e. is a loss of precision), and also may delay, by up to three years, our first awareness of extreme recruitment events. If both SNA 1 stock assessments catch-at-age sampling are to be conducted on a three year cycle, it is important that the assessment be timed for the year following the latest catch-at-age study. This would provide for more reliable projections.

## 5.2 SNA 2

Previous assessments of SNA 2 were done by Harley & Gilbert (2000) and Gilbert & Phillips (2003). A stock assessment for SNA 2 was conducted in 2009 (Langley 2010). The model incorporates seven years of catch at age data sampled from the commercial fishery between 1991–92 and 2007–08 and a standardised CPUE index for the bottom trawl fishery for the recent period of the fishery (1989–90 to 2008–09).

### 5.2.1 Model data sets

#### CPUE indices

A series of standardised indices were derived from the inshore trawl fishery for 1989–90 to 2008–09 (Kendrick & Bentley In prep.). These indices were accepted by the NINS WG; however, given that the indices are principally derived from a bycatch fishery, there are concerns that the indices are likely to be influenced by changes in regulations affecting the fishery. For example, the decline in the CPUE indices in the two most recent years may be attributable to changes in targeting behaviour caused by a considerable increase in the deemed value for SNA 2. Therefore, the resulting CPUE indices are unlikely to be a reliable index of abundance. In addition, the CPUE indices reveal a very large decline in the early years of the time series. These observations are inconsistent with the observed age frequency data from the fishery and the underlying population dynamic of the species.

#### Catch at age data

Seven years of age frequency data are available from the commercial fishery. There is considerable variability in the age compositions among years which is likely to be due, in part, to the sampling of the snapper bycatch from a number of different target fisheries. The age compositions are principally comprised of younger age classes and few old fish are sampled from the catch. Consequently, the age frequency distributions are likely to be uninformative regarding the cumulative impact of fishing mortality on the underlying population age structure. There are also concerns regarding the representative nature of the sampling and comparability of the ageing in earlier years.

#### Commercial catch

The pre-QMS catches are assumed to include a level of unreported catch (equivalent to 20%) of the reported catch. Following the introduction of the QMS, the unreported catch was assumed to be 10% of the reported catch in 1986 and then decline by 1% annually to 1996 and maintained at that level for the remainder of the model period.

#### Recreational catch

Four estimates of recreational catch are available for the SNA 2 fishery. Estimates were obtained by way of a diary survey in 1992–93 and 1996, and cover the whole of the SNA 2 fishery (Bradford 1998, Teirney et al 1997). The more recent recreational catch estimates (for 2000 and 2001) were substantially higher and were considered to be less reliable and consequently were not used.

Recreational catches from 1933–2008 were assumed using a step function that increased catches from 0 in 1933 by 5 t every 10 years with an annual catch of 45 t in the last decade. The assumed catch history was consistent with the lower estimates of recreational catch obtained in the 1990s.

#### Customary non-commercial catch

No estimates are available on the levels of customary non-commercial catch. It has been assumed that the recreational catch estimates include a portion of the catch representing the customary take.

### 5.2.2 Model structure

A statistical, age-structured population model was implemented using the Stock Synthesis software (Methot 2009). The model encompasses the 1933–2009 period. The model structure includes two sexes, 1–19 year age classes, and an accumulating age class for older fish (20+ years). The age structure of the population at the start of the model is assumed to be in an unexploited, equilibrium state.

The total annual catch is attributed to a single fishery and the CPUE indices represent an index of the vulnerable component of the population. There is considerable variability in the age frequency data

among years and, consequently, these data were assigned a relatively low weight in the total objective function (sample size of 50).

Preliminary model runs revealed that the model was highly sensitive to the assumptions regarding fishery selectivity. Two initial scenarios were considered: full selectivity of the older age classes (logistic selectivity) or estimation of the age selectivity of the older age classes (double normal). The double normal selectivity resulted in a very low selectivity for the older age classes and a very optimistic current stock status, although this was largely attributable to the model estimating a large, cryptic component of the population.

It was considered that there was insufficient information content in the age frequency data to estimate the selectivity of the older age classes due to confounding with fishing mortality. On that basis, it was decided to adopt an externally derived selectivity function. The selectivity of the Bay of Plenty SNA 1 single bottom trawl fishery (Gilbert et al 2000), modified to account for the more rapid growth of younger snapper in SNA 2, was applied to define the selectivity of the older age classes. The selectivity of the younger (1–5 year) age classes was based on the age-specific estimates of selectivity obtained from the double normal selectivity model.

It is important to note that the model results, particularly current stock status, are highly dependent on the selectivity function applied and, consequently, should be considered very uncertain. The model results were also highly sensitive to the relative weighting assigned the CPUE indices and the age frequency data. For this reason, the estimates of current stock status from the model are not reported. Nonetheless, other model stock indicators (particularly estimates of *MSY*) were less sensitive to the selectivity assumption and the model is likely to be more informative regarding estimates of yield.

Model assumptions:

- Natural mortality  $M = 0.075 \text{ y}^{-1}$  or  $0.06 \text{ y}^{-1}$ .
- Deterministic recruitment for 1933–1984 and 2003–2009 assuming no stock recruitment relationship. Recruitment deviates estimated for 1985–2002 assuming a standard deviation of the natural logarithm of recruitment ( $\sigma_R$ ) equal to 0.6.
- Fishery selectivity was temporally invariant and fixed based on an externally derived selectivity function.
- SNA 2 specific growth parameters (Table 21).

Two model runs are presented based on the alternative values assumed for natural mortality.

Model uncertainty was estimated using a Markov chain Monte Carlo (MCMC) approach. However, the model is highly constrained by the assumptions that the key parameters (selectivity,  $M$ , and growth) are known without error and, therefore, the level of uncertainty is greatly under-estimated. The resulting estimate of virgin, equilibrium recruitment ( $R_0$ ) is largely dependent on the historical catch history.

Current stock status is unknown and therefore stock projections are not considered informative.

### 5.2.3 Results

The model fit to both the age composition data and the CPUE indices is poor. There is a clear conflict between the two data sources as evidenced by the fit to the most recent years' data; the model fits the recent decline in the CPUE indices only by estimating lower year class strengths than evident in the commercial age frequency observations. Conversely, the model is unable to fit to the strong decline in the CPUE indices in the early 1990s given the observed age compositions.

The biomass trajectory derived from the model displays a strong decline in biomass during the 1960s and 1970s concomitant with the higher levels of catch during the period (Figure 18). The estimated biomass trajectory is highly constrained throughout this period and during the preceding years due to structural assumptions of the model, principally the fixed selectivity, deterministic recruitment and fixed biological parameters. The model is essentially estimating an  $R_0$  that is consistent with these

assumptions and thereby yields a minimum level of virgin biomass necessary to support the historical catches under the assumptions of deterministic recruitment.

**Table 21: The median and 5 and 95 percentiles of the marginal posterior distributions for SNA 2 model runs assuming different values for natural mortality (Steepness = 1).  $B_0$  is the virgin biomass (mature female);  $B_{MSY}$  is biomass at  $MSY$ ;  $MSY$  is maximum sustainable yield and includes under-reporting and non-commercial catch. The current stock status is very uncertain and, consequently, not reported (see text for details).**

Run	$B_0$	$B_{MSY}$	$MSY$	$B_{MSY}/B_0$
$M\ 0.075$	8 669 (8 583–8 816)	1 650 (1 634–1 678)	496 (491–505)	0.190 (0.190–0.190)
$M\ 0.06$	9 228 (9 166–9 314)	1 798 (1 786–1 815)	443 (440–447)	0.195 (0.195–0.195)

The fishing mortality rates derived from the model in the more recent period are determined, in part, by the observed age composition and the assumed selectivity function. Consequently, the assumed selectivity function has considerable influence on the estimates of current stock status. Further, given the conflict between the data sources, the relative weighting of the CPUE and age frequency data is also highly influential. On that basis, estimates of current stock status are not considered reliable and it is not possible to make conclusions regarding current stock status from the assessment models.

Nonetheless, for the range of model options investigated, the estimates of  $MSY$  are comparable. This is attributable to the similar estimates of  $R_0$  (and therefore  $B_0$ ) among the various model options. Again, the estimates of virgin biomass are consistent with the minimum biomass levels necessary to support the catch history during the period prior to the mid-1980s.

#### 5.2.4 Yield Estimates and projections

##### Maximum Sustainable Yield ( $MSY$ )

The two models yielded median values of  $MSY$  of 496 t and 443 t for the higher ( $M = 0.075$ ) and lower ( $M = 0.06$ ) natural mortality scenarios, respectively. The  $MSY$  estimates are highly constrained due to the structural assumptions of the model and the confidence intervals do not represent the high uncertainty associated with the yield estimates. These yield estimates are likely to be conservative as they are based on estimates of  $R_0$  that approach the minimum level of (deterministic) recruitment necessary to support the historical catches from the stock. Conversely, the models will over-estimate yields to the extent that the historical catches have been over-estimated i.e. the allowance for 20% over-catch of the reported catch.

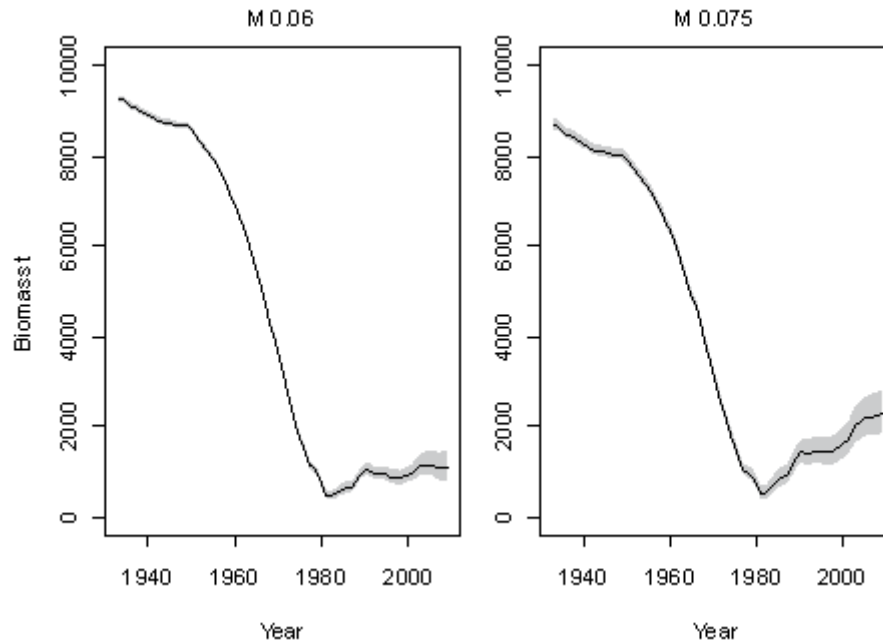


Figure 18: Biomass (median and 90 percentiles of the posterior distribution) for SNA 2 with the alternative assumptions of lower (0.06) and higher (0.075) natural mortality. Biomass is defined as mature, female biomass.

### 5.2.5 Other studies

Blackwell & McKenzie (2013) examined the results of commercial catch-at-age for the SNA 2 fishstock for the 2007–08 fishing year, and compared relative year-class strengths with previous estimates. These data support the existence of spatial differences in age frequency distribution between the northern and southern areas of SNA 2, delineated at around Mahia Peninsula. This result indicates that SNA 2 may be comprised of two separate biological stock units.

The northern area of SNA 2 accounted for 70% of the total SNA 2 commercial catch from 2007–08 to 2012–13. A recent study (Kendrick & Bentley in prep) investigated the potential to use fine-scale snapper catch and effort data from the inshore mixed target trawl fishery and tarakihi trawl fishery from 2007–08 to 2012–13 to derive separate CPUE indices for the two stock units. The resulting sets of annual indices were relatively similar for the two areas and, hence, the current study does not provide any additional evidence to support the existence of separate stock units. However, the CPUE indices may not be sufficiently reliable to adequately monitor stock abundance and/or detect significant differences in stock abundance over the relatively short time period. It is intended to update the analysis once a longer time series of fine-scale catch and effort data are available.

## 5.3 SNA 7 (Challenger)

A stock assessment of SNA 7 was undertaken in 2002 (Gilbert & Phillips 2003) following an initial assessment conducted by Harley & Gilbert (2000). These assessments incorporated a long time-series of historical catch and the magnitude of the overall catch produced estimates of virgin stock biomass that were relatively large. Correspondingly, the productivity of the stock was estimated to be relatively high. The stock was estimated to be in a depleted state during the 1980s based on low catches and the 1986–88 estimate of absolute biomass from a tag release/recapture programme (Kirk et al 1988). The assessments estimated that the stock had rebuilt to well above the  $B_{MSY}$  level by the early 2000s driven by the assumption that recruitment had fluctuated at about average levels during the 1980s and 1990s.

At that time, the model prediction of increasing stock abundance had not been corroborated by increasing catch levels or other information external to the model. The stock assessment was externally reviewed in 2006. Based on that review, the Snapper Working Group concluded (25 September 2006) that the estimates of recent stock biomass from the assessment model were unrealistically high and the

assessment was not suitable for management of the fishery. The Working Group concluded that a further SNA 7 assessment should not be conducted until a reliable index of abundance was available for the stock.

The development of a time-series of CPUE indices from the SNA 7 trawl fishery (Hartill & Sutton 2011) has enabled a new stock assessment to be conducted. An initial model was configured that was similar in structure to the earlier assessment and many of the historical data sets were sourced directly from Harley & Gilbert (2000). The model results were accepted as a preliminary assessment by the 2014 Plenary, although a range of issues were identified that required further development. These issues included the incorporation of recent (2013–14) age composition data, an update of the CPUE indices, restructure of commercial catch history by fishing method, and reviews of historical age composition data and the 1987 tag biomass estimate. Each of these issues was addressed in the intervening period and the 2015 the stock assessment model was refined and updated accordingly (Langley in prep.).

### **5.3.1 Model data sets**

#### **CPUE indices**

A characterisation of the SNA 7 fishery identified three fisheries operating in Tasman Bay/Golden Bay that could potentially provide indices of abundance. These were the trawl fisheries targeting SNA, FLA, and BAR. Although standardised indices derived from all three fisheries showed a high degree of inter-annual variability, the general long-term trend was broadly the same. The characterisation suggested that all three fisheries could potentially interact with different components of the stock, both spatially and temporally. The Southern Inshore Working Group suggested that catch data from all three fisheries should be combined into a single model that explicitly considered the manner in which these fisheries might interact with the components of the Tasman Bay/Golden Bay snapper stock. The resulting combined fishery CPUE index was considered to be the most plausible index of abundance available for SNA 7 (Hartill & Sutton 2011).

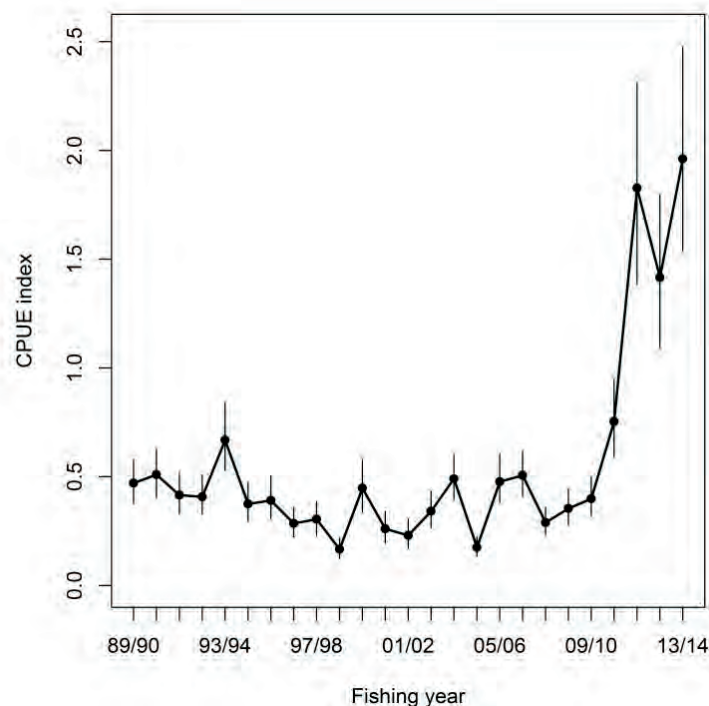
The CPUE analysis was updated to include data from 2009–10 to 2011–12, while maintaining the equivalent model structure for the lognormal GLM (Langley 2013). In addition, a binomial model was to predict the incidence of snapper catch in the BT(MIX) fishery. The binomial indices increased considerably over the last few years following an increase in the proportion of fishing events that caught snapper while targeting flatfish and barracouta. The annual delta-lognormal indices were derived from combining the lognormal and binomial indices.

A range of alternative CPUE indices were derived using different catch and effort data sets and model configurations. The resulting CPUE indices from the range of model options were comparable. The delta lognormal (all years) model was considered the preferred CPUE index for the stock on the basis that it incorporated all available information from the fishery.

The Working Group accepted the delta lognormal (all years) index for monitoring the SNA 7 fishery. This index is also generally comparable with the trend in CPUE indices derived independently from the SNA 7 BPT trawl fishery. Both sets of indices exhibit a very strong increase during the 2010–11 and 2011–12 fishing seasons. Standardised CPUE from the single trawl and pair trawl fisheries is estimated to have increased during 2008–09 to 2011–12 by 450% and 700%, respectively.

The fine-scale trawl catch and effort data collected from the fishery from 2007–08 onwards revealed no obvious spatio-temporal changes in the operation of the fishery that might have contributed towards the recent large increase in the CPUE indices. Further, the CPUE indices obtained from the standardised CPUE analysis of these recent data are comparable to the indices derived from the longer-term CPUE models (all years).

In 2015, the CPUE analysis was updated to include data 2012–13 and 2013–14 (Figure 19). The high CPUE first apparent in 2011–12 persisted in 2012–13 and 2013–14.



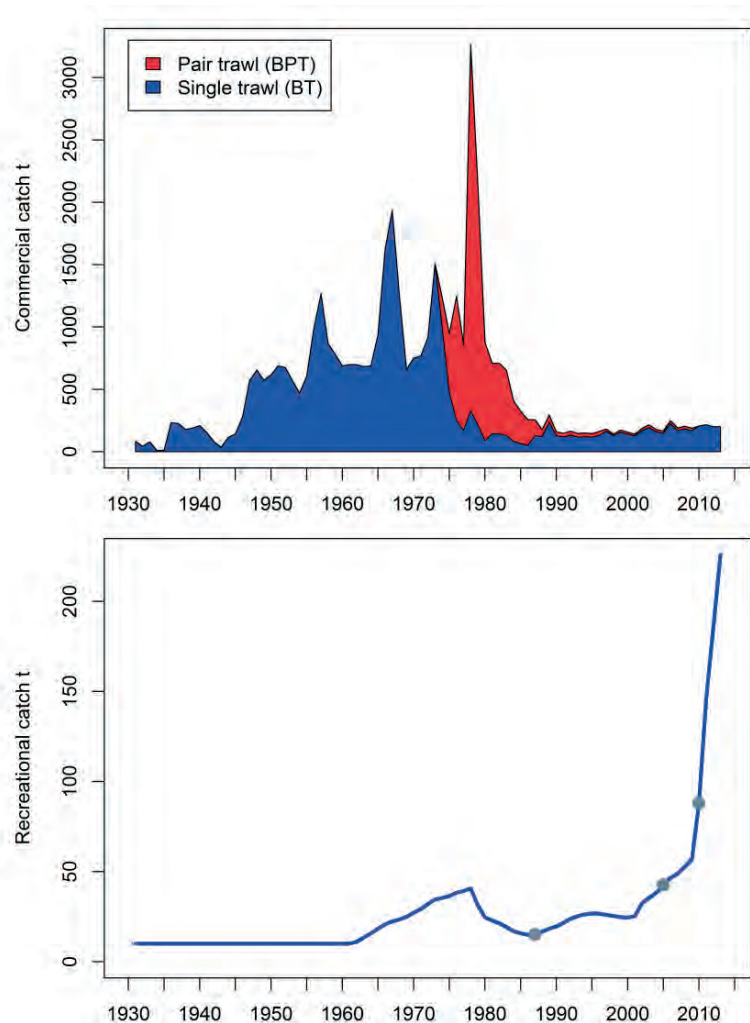
**Figure 19: Relative CPUE indices derived from the delta lognormal (all years) model for the combined single trawl fishery. The vertical lines represent the 95% confidence intervals. The confidence intervals were derived using a bootstrapping procedure. Note: model years are denoted by the year at the start of the fishing year (e.g. 1989 represents the 1989–90 fishing year) to reflect the strong seasonal peak in catches during October–December.**

### Other model data

The other main data inputs included in the 2015 stock assessment model are, as follows:

- Commercial catch history (1931–2014) apportioned by pair trawl (BPT) and single trawl (BT) fishing methods. The annual catches include an additional 20% allowance for under-reported catch prior to the introduction of the QMS (Figure 20).
- Recreational catch history (see below for details).
- Commercial age frequency data: BPT from pre QMS) era (N=5 and BT from QMS era (N=8).
- An estimate of 1987 stock biomass from a tag release-recovery programme (N=1) (Kirk et al 1988).
- Recent commercial size grade data from BT fishery (2004–2013, N=10) (see Langley 2013).

The recreational catch history was formulated based on the three reliable estimates of recreational catch for SNA 7 (1987, 2005–06 and 2011–12) (Figure 20). The point estimates were used to determine estimates of recreational exploitation rates in each year based on the annual estimates of biomass from preliminary model runs. Exploitation rates were interpolated between successive recreational catch estimates to determine annual estimates of recreational catch from 1987 to 2013. For the period prior to 1987, the exploitation rate was extrapolated, declining by 10% per annum, to the early 1960s when a lower threshold of 10 t per annum was attained. Annual recreational catches of 10 t were assumed prior to 1963. An alternative approach to derive the recreational catch history, based on the ratio of the recreational catch estimate to commercial CPUE, yielded a very similar catch history.



**Figure 20. Commercial (top) and recreational catch histories for SNA 7 included in the stock assessment models. The commercial catch history includes an allowance for 20% unreported catch prior to the QMS. The grey points represent the survey estimates of recreational catch.**

### Model structure and assumptions

A statistical age structured population model for SNA 7 was implemented using Stock Synthesis (Methot & Wetzell 2013). The main model structural assumptions for the base model option are as follows:

- Initial population (1931) is in an unexploited, equilibrium state. Two sexes, 30 age classes, including plus group. Model data period 1931–2014.
- Recruitment for 1931–1949 at equilibrium level (from Beverton-Holt SRR); recruitment deviates estimated 1950–2008. Recruitment for 2009–2014 from SRR.
- Fishery selectivities are age based and temporally invariant.
- Selectivity for the commercial BPT fishery has full selection for all recruited age classes (parameterised using a logistic selectivity function).
- Selectivity for the commercial BT fishery parameterised using a double-normal selectivity function that allows for decreasing selectivity of the older age classes.
- The recreational fishery has an equivalent selectivity to the commercial BT fishery.
- All CPUE indices were assigned a CV of 25% (based on RMSE from preliminary model runs).
- The tag biomass estimate was assumed to represent the proportion of the stock biomass that had recruited to the commercial BPT fishery in 1987. The tag biomass estimate was assigned a CV of 30% following Harley & Gilbert (2000). The moderate CV was adopted to reflect concerns regarding the reliability of the tag biomass estimate.



- Relative weightings (ESS) of the age composition and size grade data were informed following the approach of Francis (2011); the BPT age compositions were assigned an ESS of 8.5, BT age ESS 10 and BT size grade ESS 8.

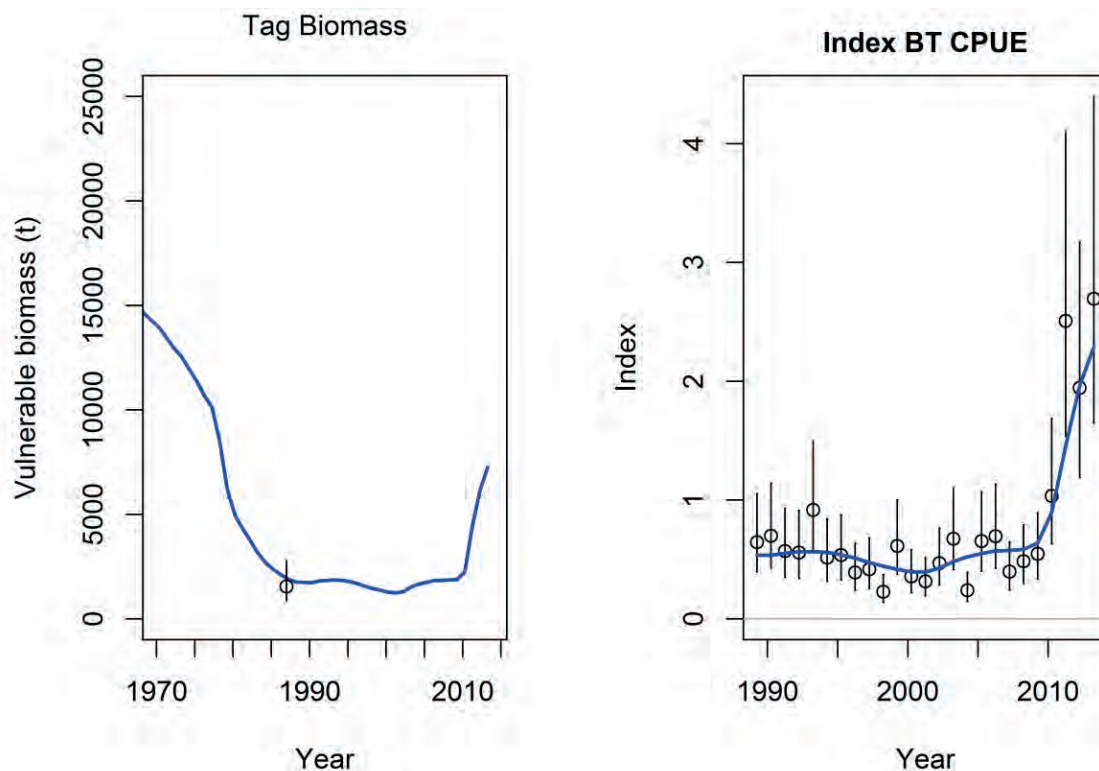
**Table 22: Details of parameters that were fixed in the base model.**

Natural mortality	0.075 y <sup>-1</sup>
Stock-recruit steepness (Beverton & Holt)	0.90
Std deviation of rec devs (sigmaR)	1.5
Proportion mature	0 for ages 1-2, 1 for ages > 2
Length-weight [mean weight (kg) = $a$ (length (cm)) <sup>b</sup> ]	$a = 4.467 \times 10^{-5}$ , $b = 2.793$
Growth parameters	
Coefficients of variation for length at age	0.05

**Table 23: Estimated parameters for the base model and model sensitivities.**

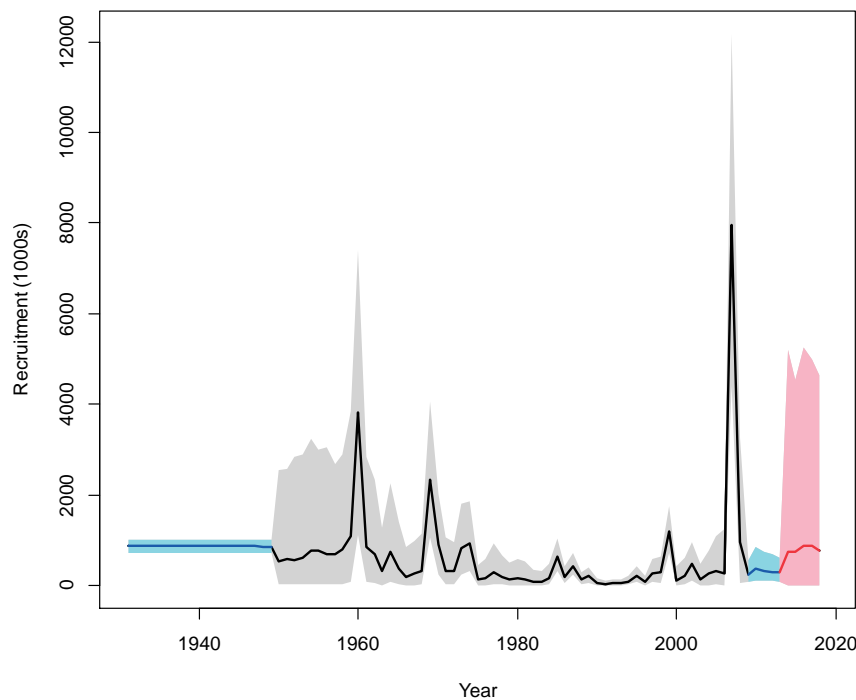
Parameter	Number of parameters	Parameterisation, priors, constraints
LnR0	1	Uniform, uninformative
Rec devs (1950-2008)	59	SigmaR 1.5
Selectivity BPT commercial	2	Logistic
Selectivity BT commercial	6	Double normal
Selectivity tag	-	Equivalent to commercial 1
CPUE q	1	Uniform, uninformative

For the base model option, the model biomass approximates the point estimate of the 1987 recruited biomass from the tagging programme (Figure 21). The model also fits reasonably the time series of CPUE indices. Stock biomass is predicted to have increased considerably since 2009 (2009–10 fishing year) following the sharp increase in the recent CPUE indices, although the model does not fit the full extent of the increase in CPUE in 2011–12 to 2013–14 (Figure 21).



**Figure 21: Biomass trajectories (MPD) for the base model option presenting the fit to the tag biomass estimate (left panel) and the CPUE indices (right panel). The point represents the biomass estimate from the 1987 tagging programme with the lognormal confidence interval (for an assumed CV of 0.30).**

The recent increase in the CPUE series is consistent with strong recruitment in recent years. This is evident from the dominant 2007 year class in the 2013–14 age composition and, correspondingly, the model estimates an exceptionally strong 2007 year class to fit the CPUE and age composition data (Figure 22). The higher recent recruitment is also consistent with the increased proportion of smaller fish in the size grade data in 2012–13 and 2013–14.



**Figure 22: Annual recruitment for the base model (MCMC results). Recruitment deviates were estimated for 1950–2008. The line represents the median and the shaded area represents the 95% credible interval.**

Recruitment in the most recent period (2009–2014) was not estimated in the base model and recruitment in this period was constrained at a level below the long-term average. This is due to the recruitment estimation procedure, whereby a bias correction factor is applied to the estimated recruitments to ensure the long-term average recruitment level is consistent with the  $R_0$  level. In this case the bias correction factor is due to the high SigmaR (1.5) and the constraint applied to ensure the 2009–2014 recdevs approximate zero. A model sensitivity was conducted to extend the full recruitment estimation period to include 1950–2010 (*RecDev2010*). This sensitivity estimated a relatively strong 2010 year class, improving the fit to the 2013–14 age composition, but did not appreciably change the fit to the CPUE indices. These results indicate a degree of conflict between the extent of the increase in the CPUE indices and the recent age/size composition data. An improvement in the fit to the recent CPUE indices could only be achieved by a substantial reduction in the ESS of the recent age/size composition data and a corresponding increase in the strength of the 2007 year class (approx. 20%).

An additional model run was conducted to evaluate the influence of the tagging biomass estimate. There is general consensus that the tagging biomass estimate is likely to be negatively biased, although the extent of the bias is unknown. The tagging biomass estimate may have considerable influence on the assessment model as it is the earliest source of direct abundance information available and, consequently, is likely to influence the estimation of stock depletion that occurred during the period of high catches (late 1970s–early 1980s). For this run, the tagging biomass estimate was doubled while maintaining the CV of 30%. This resulted in a 30% increase in stock biomass in 1987 and an elevated biomass level throughout the subsequent period (17% in 2014) without a corresponding increase in  $SB_0$ . This indicates that any potential bias is relatively small. A model run that excluded the tag biomass estimate produced results that were virtually identical to the base model.

A number of additional model sensitivities were conducted to evaluate the influence of key biological parameters, specifically natural mortality and steepness of the stock-recruitment relationship (Table 24). The sensitivities were treated as single changes from the base model.

**Table 24. Description of model sensitivities.**

Sensitivity run	Description
NatMort sensitivity	$M = 0.06$
Steepness sensitivity	$h = 0.75$
RecDev2010	Recruitment deviates estimated for 1950–2010

Model uncertainty was estimated using MCMC (sampling from 1 million MCMC draws at an interval of 1000).

Stock status (current 2014 and forecast to 2018) for the SNA 7 spawning biomass was reported relative to the default hard limit of 10%  $SB_0$  and the default soft limit of 20%  $SB_0$  and interim target biomass level of 40%  $SB_0$ . Fishing mortality (2014 and 2018) was reported relative to the corresponding interim target biomass level i.e.  $F_{SB40\%}$ . The interim target biomass level was proposed at the SINS WG, and was based on the default value for a low productivity stock as described by the Harvest Strategy Standard.

Current (2014) biomass is estimated to be above the soft limit (20%  $SB_0$ ) for the base model and model sensitivities (Figure 23 and Table 25). Current biomass is very likely to be below the interim target biomass level (40%  $SB_0$ ) for all model options, while current rates of fishing mortality are Likely (> 60%) to be below the corresponding fishing mortality threshold ( $F_{SB40\%}$ ) (Figure 24 and Table 25). The model sensitivity that estimated the more recent recruitment deviates (RecDev2010) was the most optimistic of the scenarios considered.

**Table 25: Estimates of current (2014–15) and virgin spawning biomass (median and the 95% confidence interval from the MCMCs) and probabilities of current biomass being above specified levels and probability of fishing mortality being below the level of fishing mortality associated with the interim target biomass level. X is  $\Pr(F_{2014} < F_{SB40\%})$ .**

Model option	$SB_0$	$SB_{2014}$	$SB_{2014}/SB_0$	$\Pr(SB_{2014} > X\% SB_0)$			$F_{SB40\%}$	$F_{2014}/F_{SB40\%}$	X
				40%	20%	10%			
<b>Base</b>	15497 (12865-17843)	4522 (2493-6919)	0.293 (0.16-0.45)	0.086	0.912	0.994	0.0557 (0.053-0.058)	0.836 (0.546-1.51)	0.761
NatMort sensitivity	17365 (15391-19721)	4124 (1897-6376)	0.237 (0.11-0.37)	0.012	0.726	0.983	0.0471 (0.045-0.049)	1.008 (0.712-2.357)	0.369
Steepness sensitivity	16250 (12809-20844)	4535 (2014-7047)	0.281 (0.12-0.44)	0.051	0.858	0.986	0.0542 (0.047-0.059)	0.858 (0.555-1.929)	0.717
RecDev2010	15820 (13270-18303)	5121 (2635-8245)	0.328 (0.16-0.52)	0.201	0.944	0.997	0.0561 (0.053-0.058)	0.741 (0.458-1.443)	0.864

For all model options, estimates of current and equilibrium yield were derived for the stock based on the fishing mortality rate that corresponds to the interim target biomass level (Table 26). Equilibrium yields at the interim target biomass level are estimated to be about 600–800 t per annum.  $F_{SB40\%}$  yields at 2014–15 biomass levels are lower than at 40%  $B_0$ . Current  $F_{SB40\%}$  yields are broadly comparable to the level of current catch (425 t).

**Table 26: Estimates of yield at  $F_{SB40\%}$  at the 2014-15 biomass levels and at 40%  $B_0$ , for the base model and the model sensitivities. The values represent the median and the 95% confidence interval from the MCMCs.**

Model option	$F_{SB40\%}$	
	Yield at 40% $B_0$	Yield at current biomass
<b>Base</b>	699 (585-808)	504 (275-772)
NatMort sensitivity	660 (582-751)	388 (178-596)
Steepness sensitivity	713 (595-862)	492 (209-757)
RecDev2010	716 (607-832)	570 (292-926)

### Projections

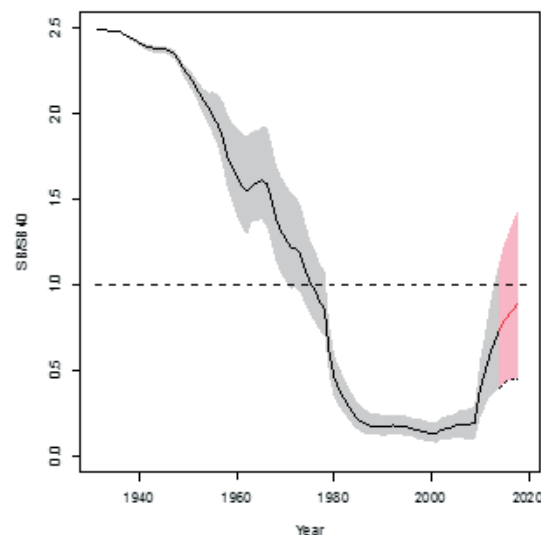
For all model options (base and sensitivities), stock projections were conducted for the 4 year period following the terminal year of the model (i.e. 2015–2018) based on the status quo (2014) commercial (200 t) and recreational catches (225 t). During the projection period, recruitments were resampled from the lognormal distribution around the geometric mean.

Two additional projections were undertaken as sensitivities to the base model: 1) recreational catch during 2015–18 increasing in proportion to projected stock abundance (increasing to 354 t in 2018) (*ProjRecCatch*) and 2) annual recruitment set to zero during 2014–2018 (*ProjRecruitZero*). The latter option was conducted to investigate the relative influence of future recruitments on the stock status at the end of the projection period.

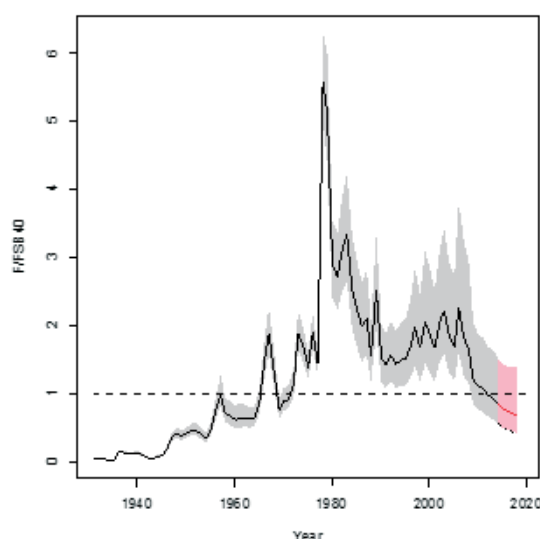
The model projections are largely driven by the continued increase in the biomass of the 2007 year class, resulting in an increase in total biomass during the projection period (Figure 23). For all model runs considered, spawning biomass in 2018 is forecast to be well above the soft limit (20%  $SB_0$ ), increasing towards the target biomass ( $SB_{40\%}$  level), although for most model runs the stock is Unlikely (probability < 40%) to attain the target biomass level by 2018 (Table 27).

**Table 27: Probability of the spawning biomass being above default biomass limits and interim target level in 2018 from model projections for the base case and model sensitivities.**

Model option	$Pr(SB\ 2018 > X\% SB_0)$		
	10%	20%	40%
Base with projected recreational catch	0.996	0.949	0.318
Base with capped recreational catch	0.997	0.959	0.345



**Figure 23. Annual trend in spawning biomass relative to the 40%  $SB_0$  interim target biomass level for the base model. The line represents the median and the shaded area represents the 95% confidence interval. The projection period (2015–2018) is in red. The dashed line represents the interim target level.**



**Figure 24. Annual trend in fishing mortality relative to the  $FSB_{40\%}$  interim target biomass level for the base model. The line represents the median and the shaded area represents the 95% credible interval. The projection period (2015–2018) is in red. The dashed line represents the interim target level.**

### Qualifying comments

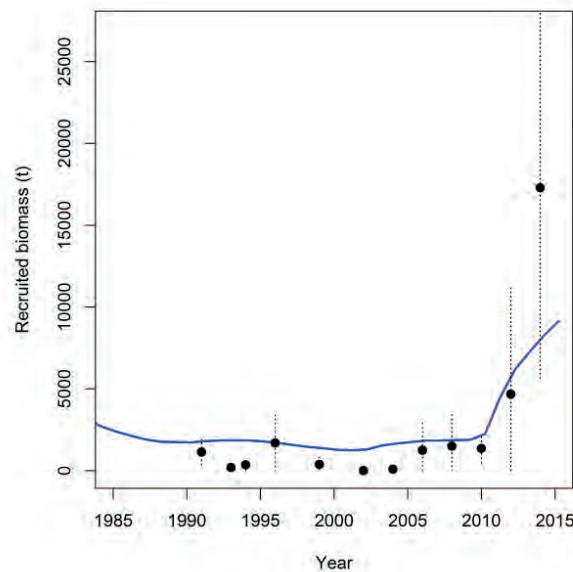
The 1987 tag biomass estimate is considered to be an underestimate of the total recruited biomass due to the relatively small proportion of older fish estimated to be in the tagged fish population. However, model testing, either excluding or increasing the tag biomass estimate, has indicated that the assessment is relatively insensitive to the tag biomass estimate, especially with the assumed level of precision (CV 30%).

Recent trends in stock abundance, and the associated estimate of the strength of the 2007 year class, are dependent on the large increase in the CPUE indices, especially between 2010–11 and 2011–12 which corresponded to the recruitment of the 2007 year class to the commercial fishery (at age 4 years). The CPUE indices are assumed to be directly proportional to stock abundance. This assumption cannot be evaluated explicitly in the absence of other indices of stock abundance. However, an analysis of fine-scale trawl-based catch and effort data did not reveal any appreciable shift in the spatial operation of the fishery that would result in an increase in the vulnerability of snapper to the trawl fishery. In the more recent years, there does appear to have been an extension of the period that snapper are available to the trawl fishery within Tasman Bay. However, CPUE indices derived from the October–January period are not substantially different from the base CPUE indices (October–May).

The 2007 year class is likely to have been fully recruited to the commercial fishery in the latter period of the CPUE time-series (2012–13 and 2013–14). Therefore, the estimation of the strength of the 2007 year class is likely to be relatively insensitive to variation in the selectivity of the younger age classes in the fishery (3–4 years old). The importance of the 2007 year class is supported by information from the time series of Tasman Bay/Golden Bay *Kaharoa* trawl surveys which caught higher numbers of snapper in recent years (pers. comm. Michael Stevenson, NIWA).

The time-series of trawl survey biomass estimates of recruited (25+ cm FL) snapper from Tasman/Golden Bay reveal a large increase in relative abundance from 2010–11 that is broadly consistent with the trend in stock abundance from the stock assessment model (Figure 25). The length composition of the snapper sampled by the trawl survey in 2014–15 is also consistent with the length and age structure of the commercial catch. The trawl survey data have not been incorporated in the stock assessment as it has previously been considered that the survey is unlikely to adequately monitor juvenile and adult snapper abundance; the survey does not sample the shallower areas of Tasman/Golden Bay and catch rates of snapper are variable, resulting in broad confidence intervals

associated with the biomass estimates. Nonetheless, the correspondence between the results from the trawl survey and the assessment modelling indicate that the survey may be of some utility for ongoing monitoring of SNA 7.



**Figure 25.** A comparison of the trend in recruited biomass derived from the SNA 7 stock assessment (blue line) and Kaharoa WCSI trawl survey biomass estimates of recruited (25+ cm F.L.) snapper from the Tasman/Golden Bay area (points). For comparability, the trawl survey biomass estimates were scaled by the ratio of average of the two series from 1992–2014. The last trawl survey biomass index included in the series is the March–April 2015 survey, and is preliminary.

Limited information is available regarding the magnitude of recent recruitment (2009–2014). There is some indication from the 2013–14 age composition that the 2010 year class may be relatively strong although insufficient data are available to estimate the magnitude of this year class.

### Future research needs

The updated assessment has included a thorough review of the historical (pre QMS) data from the fishery and there is very limited scope to further refine the early period of the assessment model, including the estimation of  $SB_0$  based reference points.

The current and projected stock status is sensitive to the reliability of the estimate of the strength of the 2007 year class and the strength of subsequent recruitment, especially the 2010 year class. Further sampling of the age composition of the commercial catch would provide information regarding the relative strength of these year classes. Ideally, sampling would be undertaken once the 2010 year class is fully recruited to the commercial fishery (2015–16 or 2016–17). In the interim, the commercial size grade data may provide some indication of the strength of the 2010 year class.

In recent years, the recreational fishery is assumed to have accounted for a similar level of catch to the commercial fishery, based on the increase in stock biomass. Quantification of the current level of recreational catch would improve the precision of current estimates of total catch from SNA 7. The determination of an estimate of recreational catch may also provide the opportunity to collect size composition data from the recreational fishery. These data would enable an evaluation of the current assumptions regarding the age-based selectivity of the recreational fishery.

The potential utility of the current *RV Kaharoa* survey estimate should be re-examined. In addition, an analysis of the utility of improving the SNA 7 survey estimate by adding stations in areas < 20 m in depth should be undertaken.

#### 5.4 SNA 8 (Auckland West/Central West)

A revised assessment of SNA 8 was completed in 2005 including updated observations on:

- method-specific catch weights to 2003–04;
- catch-at-age for commercial pair and single trawl in 2003–04; and,
- single trawl CPUE time series from 1996–2004 incorporating tow duration as the unit of effort from core vessels in the fleet.

New information added to the 2005 assessment included:

- single trawl catch-at-age 1974 to 1976;
- pair trawl catch-at-age with recalculated observations for 1974 to 1976; 1978 to 1980;
- mean size-at-age 1975, 1976 and 1979;
- pair trawl catch-at-sea length frequency in 1986; and,
- boat ramp samples of recreational length frequency in 1991, 1994, 1996 and 2000.

Using this new information assisted the estimation of selectivities-at-length for the single trawl, pair trawl and recreational fishing methods, and natural mortality. A revised time series of observed and assumed mean size-at-age was input to the model for the period 1931–2004.

#### Estimates of fishery parameters and abundance

The assessment model was written using CASAL (Bull et al 2004). It was age-based but included approximations for length-based selectivities. It models the SNA 8 exploitation history by maximising the likelihood fit to a time series of observations. Bayesian estimates for the fitted parameters were the means of the estimated marginal posterior distributions; priors were specified for key model parameters such as  $R_0$  (mean recruitment),  $q$  (catchability coefficient), selectivity at length, natural mortality and year class strengths. For particular types of observations the model incorporates process error as defined by Bull et al (2004). Stochastic projections of the model to 2025 were undertaken to assess the probability of population increase and the decline in annual harvest proportions under alternative future catch levels.

Model assumptions:

- an equilibrium unexploited population in 1931, calculated using constant annual recruitment, was assumed to represent virgin stock biomass;
- the level of under-reporting for domestic commercial catch was assumed to be 20% before 1987 and 10% after 1987;
- Japanese longline catch in the period 1965–74 was assumed to be 2000 t per year;
- YCS was estimated for the 1971–2000 year classes (30 parameters);
- 1971–2000 represented mean recruitment, i.e., average year class strength (YCS) = 1.0;
- the catch at age fit assumed a multinomial distribution;
- CPUE, trawl survey YCS indices, and tag-recapture biomass and population proportions at length were fitted assuming log-normal distributions;
- 1990 and 2002 tag-recapture estimates were fitted as absolute biomass and proportions-at-length assuming log-normal distributions;
- the CVs assumed for the 1990 and 2002 absolute biomass estimates were 0.3 and 0.2 respectively;
- selectivity-at-length was estimated for the single trawl, pair trawl and recreational methods as independent parameters; time-variant recreational selectivities were specified to take account of changed minimum legal size (MLS) from 25 cm to 27 cm in October 1994;
- selectivity-at-length for the longline method was assumed to be constant at a value of 1.0.

#### Catch at age

Catch at age information from the Ministry stock monitoring programme dataset was available for the following methods and years:

- pair trawl 1974–76, 1978–80, 1986–87, 1989–90, 2000–04,
- single trawl 1974–76, 1991–04.

## SNAPPER (SNA)

For the period 1974 to 1980, estimates were calculated as the mean catch-at-age weighted by the catches taken in each season sampled in that year.

### Year class strength (YCS)

The age structured model was constructed to estimate constant annual recruitment (number of 1-year-old fish entering the stock) from 1928 to 1970. Year class strength information came from catch at age data and trawl survey indices (Table 28). Separate catchability coefficients were estimated for the 2+ and 3+ indices to account for differences in vulnerability. The annual YCS's were estimated as indices relative to the average recruitment for 1971–2000.

**Table 28: SNA 8 trawl survey indices of relative year class strength with the ages at which individual year classes were sampled.**

Survey year	Year class	Index	CV	Age surveyed
1987	1984	0.82	0.27	3+
	1985	2.73	0.28	2+
1989	1986	0.78	0.10	3+
	1987	0.67	0.20	2+
1991	1988	0.18	0.37	3+
	1989	0.96	0.32	2+
1994	1991	1.27	0.15	3+
	1992	0.79	0.26	2+
1996	1993	0.93	0.31	3+
	1994	0.89	0.20	2+
1999	1996	1.90	0.13	3+
	1997	0.29	0.19	2+

### Recreational catch

Recreational catch estimates range between 236 and 1133 t (Table 5). The uncertainty in these estimates discussed above, means that their utility is mainly limited to identifying a plausible range. The Working Group agreed to use two alternative recreational catch scenarios that were deemed to represent the upper and lower bounds of average recreational catch. For the lower catch scenario an annual recreational catch of 300 t was assumed between 1990 and 2004. For the higher catch scenario the 1990 to 2004 value was 600 t. For both scenarios the 1931 catch was assumed to be 20% of the 1990 catch and the intermediate year catches were determined by linear interpolation. These two recreational catch scenarios were used in the alternative stock assessments presented below. No additional catch is assumed for customary catch above either recreational level.

### CPUE analyses

A time series of annual pair trawl CPUE indices (catch per day) for 1974–91 for SNA 8 was derived by Vignaux (1993). The recent time series of single and pair trawl catch and effort data cover the period 1989–90 to 2003–04. There was a shift to more detailed reporting forms in 1994–95. To use the data prior to this year, a coarser unit of effort must be defined over the whole time series that limits the resolution of a descriptive effort variable. In past analyses the unit used was catch per tow (Davies et al 1999). Davies et al found that there were significant differences between pair and single trawl CPUE after 1989–90. The Snapper Working Group rejected the pair trawl index after 1990–91 on the grounds that it possibly contained duplicated effort data.

For the 2004 assessment a time series of single trawl CPUE indices was calculated using the recent detailed catch-effort data reported since 1994–95. The effort term was catch per nautical mile derived from “tow speed” and “tow duration”. Covariates in the general linear model included: a length/breadth/depth (LBD) parameter representing vessel-power; month; stat-area; and target. Zero catches were included in the GLM by the addition of 1 kg to all recorded catch estimates. The index derived from the GLM fit is given in Figure 26.

This series was updated to 2003–04 for the 2005 assessment and a GLM standardisation was undertaken using the same parameters as in 2004. The data showed a decreasing trend in the proportion of zero catches which the WG felt was important to include in the standardised model. Various methods were attempted to include this information, such as adding a constant to the zero catches or using a combined model where the zero catches were modelled separately based on a binomial distribution and then



combining the binomial model with the lognormal model (positive catch data) using a delta method. The former approach resulted in unacceptable model diagnostics and the delta method showed that the effect of adding the trend in proportion zero catch was relatively minor compared to the trend obtained from the positive catch data. Consequently the WG recommended not including the zero catch data in the GLM fits but that this issue could be explored more fully in future assessments.

The WG also requested that the LBD parameter previously used to describe vessel fishing power be replaced by an individual categorical “vessel” variable and that the analysis be restricted to vessels which had been active in the fishery for at least three years. This data selection resulted in the construction of two datasets describing the catch and effort data for the top 20 and the top 12 catching vessels.

The updated single trawl GLM index showed a shallow decreasing trend from 1995–96 to 2000–01 followed by a general increase to 2003–04 (Figure 27). The Working group considered these indices were more appropriate than the analysis used to generate the 2004 series, given that the 2005 analysis was based on data from core vessels only and that the model diagnostics were acceptable. There was virtually no difference between the year indices based on the data from the top 20 or the top 12 vessels and the WG adopted the series based on the top 12 vessels to include in the SNA 8 assessment model.

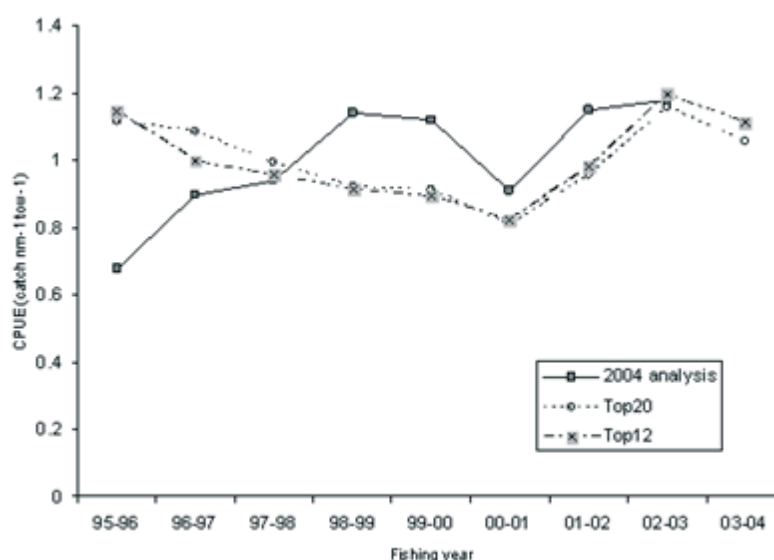


Figure 26: Single trawl CPUE indices of catch per n. mile used in the 2004 and 2005 assessments.

### 2002 Tagging program biomass

A tag-recapture programme was carried out in 2002 and 2003 to estimate recruited population size in SNA 8. In February 2002, 22 854 fish were tagged with internal passive integrated transponder tags. Fish 20 cm and larger were tagged from 335 trawl tows distributed from Ninety Mile Beach to South Taranaki, out to a depth of 75 m. SNA 8 was divided into five inshore strata (less than 75 m) and five adjacent offshore strata. Fish were not tagged from the offshore strata because of the likely high mortality rate of snapper that are caught in deeper water. It was assumed that fish would mix between inshore and offshore strata. Some fish under 25 cm were tagged to allow the estimation of the growth rate of recruiting fish. Commercial landings were scanned for tags between October 2002 and July 2003. The fishing location of each landing or part-landing was recorded. The primary data were therefore the release location and size of each fish tagged; the location, date, weight and a length frequency sample of each part-landing that was scanned; and a unique identifier (tag number) and length for each recaptured fish.

Ancillary data were required to allow the estimation of initial (immediate post-tagging) mortality, scanner failure rates and the difference between the growth rates of tagged and untagged fish. Length frequency samples taken during the release phase were also used to improve the precision of the

estimates of numbers at length. Evidence obtained from double-tagged fish showed that tag deterioration and tag loss did not occur over the duration of the experiment.

### Estimation

Maximum likelihood was used to estimate the recruited population size as a vector of numbers at length in each of the ten strata in February 2002. A model was developed to calculate the binomial likelihood of a tagged fish being either recaptured or not recaptured in each scanned landing. Likelihoods for initial survival, movement, growth of fish and scanner failure were included. Binomial likelihoods were also calculated for the numbers of survivals from three initial mortality experiments (in 1992, 1994 and 2002) where tagged fish were retained in a holding net for two weeks. The probability of a tagged fish being detected by each scanner was calculated from a series of tag seeding trials. A normal likelihood involving the growth of untagged fish was calculated from sample proportions by age and length from commercial landings and research trawl survey samples. Multinomial likelihoods were also obtained for length frequency samples taken during the release and the recapture phases.

A total of 103 parameters were estimated. These were: 16 numbers at length parameters for each inshore/offshore pair of strata; a North/South movement parameter; two growth parameters for tagged fish and two for untagged fish; a phase parameter for growth seasonality; a parameter for growth variability; five scanner success rate parameters; three initial survival rate parameters; four release phase selectivity parameters and four recapture phase (commercial fishery) selectivity parameters.

The population in each stratum between 15 and 80 cm was obtained by interpolating between adjacent pairs of the 16 numbers at length parameters. The numbers of fish between 15 and 24 cm was estimated to account for the recruitment of fish below 25 cm into the population in the period from February 2002 (tag release) to October 2002 to July 2003 (recapture period).

Because fish were not tagged from the offshore strata there was a confounding of inshore/offshore movement and the offshore population size. The populations in the offshore strata were therefore assumed to have the same proportions at length as the adjacent inshore strata and two non-estimated parameters were also required: inshore/offshore movement and the proportion of fish whose home stratum was offshore.

Each fish had a hypothetical home stratum. The probability that a fish would, at any time, be in another stratum was a constant function of how far that stratum was from the home stratum, dependent on the two movement parameters. Thus the model did not allow net movement over time. Inshore and offshore movement was equally likely and northerly and southerly movement was equally likely. The probability of movement more than one stratum north or south declined as a power function of the movement parameter. Impermeable boundaries were assumed at the north of the Ninety Mile Beach stratum and at the south of South Taranaki.

### Results

The estimated biomass in each stratum is given in Table 29. A substantial fraction of the total biomass (37%) comes from fish above 55 cm in length. The CV of the recruited population biomass estimate was 0.12. The estimated numbers per centimetre length class have CVs that fall from 0.24 at 25 cm to a minimum of 0.06 in the mid-30s and then rise to exceed 0.30 at 66 cm, based on the estimated Hessian matrix. Estimates in adjacent length classes are highly correlated with correlation coefficients exceeding 0.85 above 31 cm. CASAL does not at present contain any multivariate likelihood function with covariances. To simply ignore these high correlations would give these data excessive weighting.

**Table 29: Estimated population biomass.**

Stratum name	Biomass (t)	
	< 75 m	≥ 75 m
Ninety Mile Beach	685	104
Kaipara	887	135
Manukau	3 465	526
North Taranaki	2 131	324
South Taranaki	1 897	288
Total		10 442
CV of total		0.12

The estimate of biomass from the 1990 tagging programme in SNA 8 was recalculated. After correcting for sources of bias, the revised estimate was 9505 t; a CV of 0.18 was assumed. The programme also provided estimates of the recruited population length composition. The CVs assumed for these (0.11 to 0.48) were double those derived from the 2002 programme.

After consideration of the low CVs estimated from the two tagging programmes, the Working Group agreed to fit the absolute biomass estimates and proportions at length for the 1990 and 2002 tagging data in both alternative runs, but to increase the CVs of the absolute biomass estimate to 0.3 for the 1990 programme and to 0.2 for the 2002 value.

### **Mean weight-at-age estimates**

Comparison of mean weight at age data from the age samples over time indicated that, on average, fish at the same age were heavier in the 1990s than in the 1970s. It is not known what has caused this change in mean weight-at-age, but it is possible that it results from density-dependence or from changes in the mean temperature. This shift in mean weight at age has important implications for the calculation of the  $B_0$  and  $B_{MSY}$  reference points because they will differ, depending on which set of mean weight at age are used.

The WG agreed to calculate all biomass levels prior to 1980 using the mean weight at age derived from the 1975–79 catch-at-age samples. Biomass levels after 1989 used the post-1989 mean weight-at-age estimates. Biomass levels in the period from 1980 to 1988 used mean weight at age values calculated from the mean of the two sets of available estimates. This means in the model that  $B_0$ , based on the 1931 initial equilibrium biomass, has been calculated using the mean weight-at-age levels appropriate to the 1970s.

### **Revised selectivity estimates from tagging**

Length-based selectivity curves for single and pair trawl were obtained from the tagging estimator model, primarily from the recapture phase length frequencies. Both had steeply declining right hand limbs with 50% selectivity at 49.2 and 54.1 cm respectively. Although these estimates were consistent with the lower recapture rates of larger fish, previous estimates and other data in the population model suggested shallower declines, especially for pair trawl. In the population model runs single and pair trawl length-based selectivities were estimated as independent parameters, with the tagging selectivity estimates defining the means of informed priors. Alternative recreational length-based selectivities before and after 1994 were estimated to take account of the effect of a change in the minimum legal size (MLS) from 25 cm to 27 cm in October 1994. Knife-edge left hand limbs and the join parameters corresponding to the MLS values were assumed, with the right hand limbs of the selectivity functions being estimated.

### **Assumed error and priors**

The level of observational and process error (*see* Bull et al 2004) assumed for fitting to the observational data is given in Table 24. Process error was added to CPUE, trawl survey recruitment indices (TSI), and boat ramp length frequency data. The level of process error for CPUE was set such that the total CV was approximately 0.2 to 0.3. Process error for TSI and boat ramp length frequency data was added to reduce the relative weight of these observations in the overall model fit (Table 30). The list of priors assumed for model parameters is given in Table 31. The uniform prior for YCS was deliberately chosen to overcome a problem with the YCS parameterisation for calculating Bayesian estimates using the MCMC algorithm; the impact of this on the assessment has not been determined.

The natural weighting for the observations fitted in the model is that which produces a standard deviation for the standardised residuals that is close to 1.0. This was not the weighting used in the SNA 8 model. A lower weighting was assigned to the catch-at-age data and pair trawl length frequency data (low effective sample sizes) to maintain the relative weight of the tagging programme estimates in the overall model fit.

**Table 30: Observation error assumed for data input to the SNA 8 model (effective sample size =  $N$ , coefficient of variation = CV), and process error assumed.**

Observation type	Observation error	Process error	Error type
Catch at age pair trawl post-1986	$N = 13$ to 63	0	Multinomial
Catch at age single trawl post-1991	$N = 13$ to 72	0	Multinomial
Catch at age pair trawl 1974–80	$N = 8$ to 86	0	Multinomial
Catch at age single trawl 1974–76	$N = 7$ to 35	0	Multinomial
CPUE pair trawl 1974–1991	CV range = 0.07–0.67	0.2	Log-normal
CPUE single trawl 1996–2004	CV range = 0.023–0.047	0.2	Log-normal
Tag biomass 1990	CV = 0.3	0	Log-normal
Observation type	Observation error	Process error	Error type
Tag biomass 2002	CV = 0.2	0	Log-normal
Tag population proportions at length 1990	CV range = 0.11–1.28	0	Log-normal
Tag population proportions at length 2002	CV range = 0.06–0.76	0	Log-normal
Trawl survey 2+ year class strength index	CV range = 0.19–0.32	0.2	Log-normal
Trawl survey 3+ year class strength index	CV range = 0.10–0.37	0.4	Log-normal
Boat ramp recreational catch length frequency	$N = 100$	$N = 60$	Multinomial
Pair trawl catch-at-sea length frequency 1986	$N = 10$	0	Multinomial

**Table 31: Assumed model priors.**

Parameter	Prior	Specification
Mean recruitment, $R_0$	Uniform-log	Range = ( $10^4$ , $10^8$ )
Year class strengths (1971–2000)	Uniform	Range = (0.01, 20.0)
Catchability coefficients (CPUE and trawl survey indices), $q_1$ , $q_2$ , $q_3$ , $q_4$	Uniform-log	Range = ( $10^{-9}$ , 3.0)
Selectivity (all double-normal) - single and pair trawl	Normal	Means = tag 2002 estimates (6 parameters) CVs range = 0.11 - 0.63
Selectivity (all double-normal) - recreational	Normal	Means = 12 cm above Ljoin (2 parameters) CV = 0.5
Natural mortality, $M^*$	Log-normal	Mean = 0.075, CV = 0.5

\*  $M$  was fixed in the MCMC for both runs at the value estimated in the MPD

### Alternative model runs

A range of alternative models were explored to test the sensitivity of the model to alternative assumptions concerning the value of natural mortality, assumed catch history and the information obtained from the tagging programmes. The WG finally agreed on two runs that differed only in the level of recreational catch assumed (either 300 t or 600 t from 1990 to 2004). Both runs fit the tag-recapture data from 1990 and 2002 as absolute biomass estimates plus proportions at length.

### Results

As the weights at age vary over the time period of the model it is necessary to determine what population parameters should be used in defining the virgin biomass. The 1989–2004 length-at-age data give greater weights-at-age than the 1975–79 data. It was inferred that these increased growth rates were a result of density dependence rather than of a positive relationship with mean water temperature. The WG agreed that virgin stock biomass ( $B_0$ ) should therefore be defined as that resulting from mean recruitment and the 1975–79 mean weights-at-age and is equal to the modelled 1931 biomass.

The model estimates of natural mortality were 0.051 and 0.054, depending on which level of recreational catch was assumed. These estimates are lower than the value (0.075) assumed in previous SNA 8 assessments, based on the catch-at-age data collected in the 1970s, but analysed independently of the assessment model. The model fit to the observations was significantly improved when estimating natural mortality compared to a model fit when assuming a fixed value of 0.075. The effect of lower estimates of natural mortality is to reduce the estimates of mean recruitment and the stock productivity.

The mean of the posterior distributions and 90% credible intervals for  $B_0$  and  $B_{04}$  are shown in Table 25 for the alternative runs. A higher  $B_0$  estimate was obtained for the run that assumed higher recreational catch (R600), but stock status was similar. This range for  $B_0$  is not considered to adequately describe the full uncertainty in  $B_0$  for a number of reasons:

- the model may be described as a “total catch history model”, so the time series of historical catches strongly determines the estimate of  $B_0$ . The alternative recreational catch history resulted in a higher estimate of  $B_0$  but with similar levels of uncertainty. There is further

substantial uncertainty in the assumed catch history for Japanese longline catch, commercial catch overruns and the pattern of recreational catches.

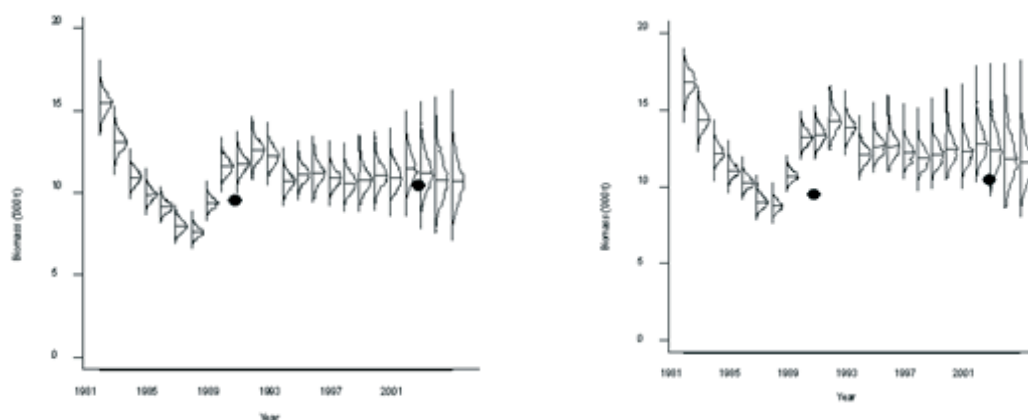
- There are a large number of observations to which the model was fitted over the period 1974 to 2004. Amongst these the catch-at-age data in the 1970s has moderate leverage on the estimates of  $R_0$  and  $M$ . An evident constraint on the model biomass is that it remains above zero in the mid-1980s while at the same time fits the absolute abundance estimates from the later tagging programmes. Throughout this period, 1986 to 1990, there was strong agreement in the model fit to six of the data types. The model fits to these data serves to constrain the estimates of  $R_0$  and  $M$ , and, hence,  $B_0$ .
- The model trajectory differed somewhat from the recent CPUE index. However the observed indices were within a narrow range (0.9 to 1.2) and the fit was consistent with the CV's.

**Table 32: Mean of posterior distributions of biomass for the SNA 8 model using recreational catch levels of 300 t (R300) and 600 t (R600).  $B_0$  is virgin stock biomass.  $B_{04}$  is the start of year biomass for 2003–04, and  $B_{04}/B_0$  is the ratio of 2003–04 biomass to  $B_0$ . The 90% credible intervals were derived from the marginal posterior distributions for the Base case. The biomass units are 1000 t.**

Model run	$B_0$	5%	95%	$B_{04}$	5%	95%	$B_{04}/B_0$	5%	95%
R300	110	108	112	10.8	8.5	13.4	9.8%	7.8%	12.1%
R600	117	114	119	11.7	9.2	14.6	10.0%	8.0%	12.5%

The Working Group discussed the use of appropriate reference points for reporting the stock status of SNA 8. Because the model uses variable growth curves through the calculation period,  $B_{MSY}$  will vary depending on the assumed growth rate and how growth might vary with stock size. For instance, if a constant mean size-at-age equal to that for 1931–2004 was used,  $B_{MSY} = 18.3\% B_0$ . Alternatively, if the 1989–2004 mean size-at-age were used,  $B_{MSY} = 17.5\% B_0$ . Ideally, a functional relationship defining density dependent growth would be used to calculate the SNA 8  $B_{MSY}$  but the functional relationship of size-at-age with density is not defined and was not possible to model in the time available. Based on exploratory modelling of density-dependent growth, the Working Group adopted  $20\% B_0$ , where  $B_0$  is the Base case model estimate of biomass in 1931, as the definition for  $B_{MSY}$ . Under the mean size-at-age for 1931–2004 the catch to biomass ratio at  $B_{MSY}$  was 0.098.

Bayesian posterior estimates for the model parameters were derived from MCMC chains of 3.2 million (R300) and 2.6 million (R600) iterations (Figure 27). It was necessary to hold  $M$  constant at the MPD values (0.051 and 0.054) to produce convergence of the MCMC. The MCMC traces for the two main model runs showed no obvious signs of non-convergence.



**Figure 27: Posterior distributions of the biomass trajectories for the SNA 8 model estimates assuming historical recreational catch of 300 t (left panel) and 600 t (right panel) with the tagging programme estimates of biomass (solid circles).**

### Estimates of yield and projections

Projections of population biomass have been modelled assuming future commercial catch over the range 500 to 1500 t, with a 10% overrun component. Two options were investigated for future recreational catch in projections: firstly, assuming a constant recreational exploitation rate at the level estimated in the model in 2004 ( $F_{rec}$ ); and secondly, assuming a constant catch capped at the level assumed for 1990–2004 ( $R_{cap}$ ). Two alternative levels were assumed for the recreational catch from 1990 to 2004, either 300 t or 600 t. The WG considered these values were likely to bracket the true average level of catch in this period. The impact of the increase in minimum legal size (MLS) in the recreational fishery has been incorporated into the model assumptions. A projection was also investigated that included zero future removals (commercial or non-commercial) from the population in all years. This was to determine the maximum rate of rebuilding possible for the population.

The posteriors of the model parameters were sampled for projections while assuming stochastic recruitments (by randomly resampling with replacement the year class strengths (Figure 28) in each draw), and constant commercial catches. Constant mean size-at-age using the 1989–2004 mean was assumed. At each catch level, simulations were carried out, projecting forward to 2025. For projections assuming future annual recreational exploitation rates are constant ( $F_{rec}$ ) the value was estimated from the model MPD value (i.e. the recreational catch to absolute biomass ratio in 2004).

In this case the commercial catch was assumed to be constant at the alternative levels, however, the recreational catch varied as stock size and age structure changed. For projections assuming constant future recreational catch ( $R_{cap}$ ) this did not occur.

Under all future recreational catch options and at alternative levels of future TACC the stock is predicted to increase on average (Table 33, and Figure 29). The rate of increase was slightly lower for  $F_{rec}$  options (constant recreational exploitation rate, Figure 29a and 29c) compared to the  $R_{cap}$  projection options (constant recreational catch, Figure 29b and 29d). The rate of rebuilding varied widely depending upon the assumed future TACC.

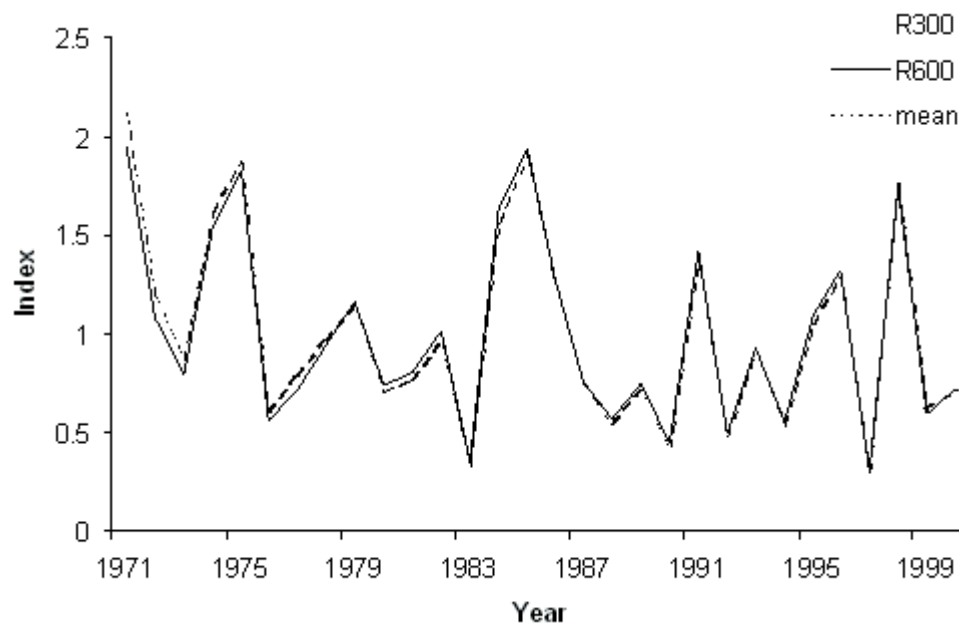


Figure 28: SNA 8 Base case model MPD estimates of the relative strengths of the 1971 to 2000 year classes.

Under the  $F_{rec}$  projection option, recreational take increases as the stock increases but is mediated by the domed recreational selectivity curve. The high proportion of young fish in the population after a period of rapid rebuild gives recreational fishers higher catches for the same effort. Under the slower rebuild the young fish make up a relatively smaller fraction of the population leading to relatively smaller recreational catch.

In summary the SNA 8 stock is predicted to increase under any future TACC level and alternative recreational catch assumptions. However, with a TACC of 1500 t the rate of rebuild is very slow.

### Other factors that may modify assessment results

The WG considered that there were a number of other factors that should be considered in relation to the stock assessment results presented here for SNA 8. The current assessment produces very precise results, which are the product of the available data and various model assumptions. However, many of the model assumptions may be violated to some extent. Some of the more important considerations are:

- the tagging estimates may be biased;
- the MPD residuals are not consistent with the statistical assumptions of the model and give extra weight to the tagging estimates;
- natural mortality is not known exactly (as was assumed in the MCMCs);
- the catch history is uncertain with regard to Japanese longline catch and commercial catch overruns in addition to recreational catch.

A full exploration of these factors has not been performed. Additional sensitivity runs taking account of these factors would produce a greater range of uncertainty than is present in the current assessment.

**Table 33: SNA 8 projection estimates for the R300 and R600 model runs under two alternative options for recreational catch: a) constant proportional recreational catch (Frec) equivalent to the proportional recreational harvest in 2005; and b) constant annual recreational catch (Rcap). Estimates are shown for a range of future TACCs and for a projection under zero removals, i.e. TACC = 0 t and zero recreational catch.  $B_{05}$  and  $B_{10}$  are start of year biomasses for 2004–05, and 2009–10, respectively.  $P(B_{10} > B_{05})$  is the probability of  $B_{10}$  exceeding  $B_{05}$  and  $E(\cdot)$  denotes expected value. The 90% credible interval for  $B_{10} > B_{05}$  were derived from the marginal posterior distributions.  $CR_{2010}$  is recreational catch in 2010.  $E(B_y)$  denotes the year  $B_{MSY}$  is expected to be reached.**

(a) R300_Rcap								
TACC	$E(B_{05})$	$E(B_{10})$	$B_{10}/B_{05}$			$P(B_{10} > B_{05})$	$E(CR_{2010})$	Year when $E(B_y) = B_{MSY}$
	(t)	(t)	Expected	5%	95%			
500	10 891	18 538	1.7	1.29	2.13	1	300	2011
1 000	10 882	15 266	1.39	0.99	1.81	0.94	300	2014
1 250	10 869	13 709	1.25	0.83	1.67	0.84	299	2018
1 375	10 866	12 876	1.17	0.74	1.59	0.74	297	2021
1 500	10 904	12 206	1.1	0.71	1.51	0.64	296	>2025
(b) R300_Frec								
TACC	$E(B_{05})$	$E(B_{10})$	$B_{10}/B_{05}$			$P(B_{10} > B_{05})$	$E(CR_{2010})$	Year when $E(B_y) = B_{MSY}$
	(t)	(t)	Expected	5%	95%			
0	10 929	23 614	2.18	1.77	2.68	1	-	2010
500	10 929	17 747	1.63	1.3	2.01	0.96	561	2012
1 000	10 901	14 746	1.35	1.02	1.71	0.96	472	2016
1 250	10 913	13 288	1.21	0.84	1.57	0.83	426	2022
1 375	10 929	12 556	1.14	0.79	1.48	0.75	401	>2025
(c) R600_Rcap								
TACC	$E(B_{05})$	$E(B_{10})$	$B_{10}/B_{05}$			$P(B_{10} > B_{05})$	$E(CR_{2010})$	Year when $E(B_y) = B_{MSY}$
	(t)	(t)	Expected	5%	95%			
500	11 693	18 429	1.57	1.17	2.01	0.99	600	2012
1 000	11 713	15 353	1.3	0.87	1.74	0.88	599	2016
1 250	11 683	13 781	1.17	0.76	1.58	0.73	596	2020
1 375	11 676	13 087	1.1	0.7	1.53	0.64	591	>2025
1 500	11 695	12 337	1.04	0.67	1.46	0.53	583	>2025
(d) R600_Frec								
TACC	$E(B_{05})$	$E(B_{10})$	$B_{10}/B_{05}$			$P(B_{10} > B_{05})$	$E(CR_{2010})$	Year when $E(B_y) = B_{MSY}$
	(t)	(t)	Expected	5%	95%			
0	11 730	25 592	2.2	1.77	2.7	1	-	2010
500	11 676	17 346	1.49	1.19	1.84	1	1 013	2014
1 000	11 729	14 596	1.24	0.93	1.57	0.9	856	2021
1 250	11 710	13 106	1.11	0.8	1.43	0.71	767	>2025
1 375	11 702	12 419	1.05	0.75	1.39	0.59	726	>2025

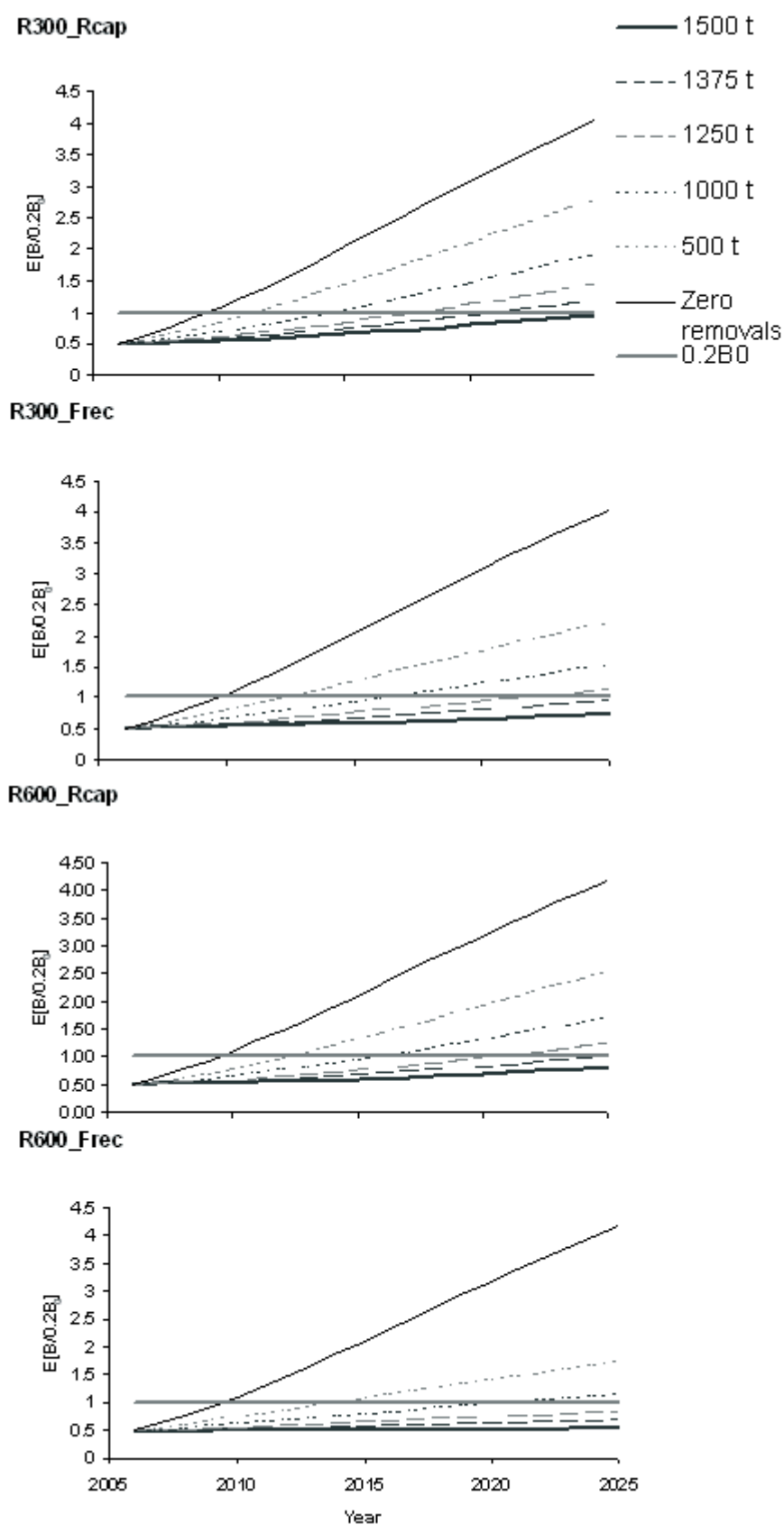


Figure 29: Mean of expected biomass relative to 20% of virgin biomass ( $B_0$ ) forecast to 2025 for the R300 and R600 models under two alternative options for recreational catch: Frec, constant annual exploitation rate at the MPD level estimated in 2004; and, Rcap, constant annual catch of 300 or 600 t respectively. For each model option a range of future TACC levels were investigated (500 to 1500 t), and compared to an option for zero removals from the population.



## 6. STATUS OF THE STOCKS

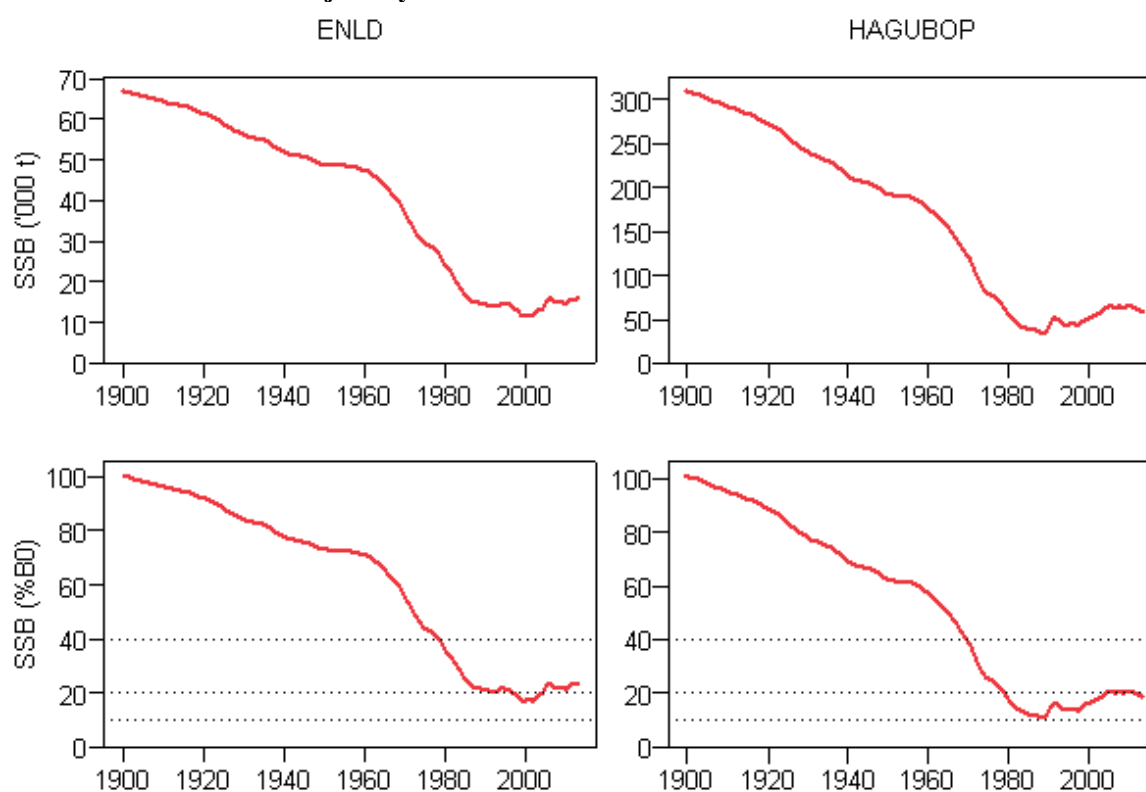
### Stock Structure Assumptions

New Zealand snapper are thought to comprise either seven or eight biological stocks based on the location of spawning and nursery grounds; differences in growth rates, age structure and recruitment strength; and the results of tagging studies. These stocks are assumed to comprise three in SNA 1 (East Northland, Hauraki Gulf and Bay of Plenty), two in SNA 2 (one of which may be associated with the Bay of Plenty stock), two in SNA 7 (Marlborough Sounds and Tasman/Golden Bay) and one in SNA 8. Tagging studies reveal that limited mixing occurs between the three SNA 1 biological stocks, with greatest exchange between the Bay of Plenty and Hauraki Gulf.

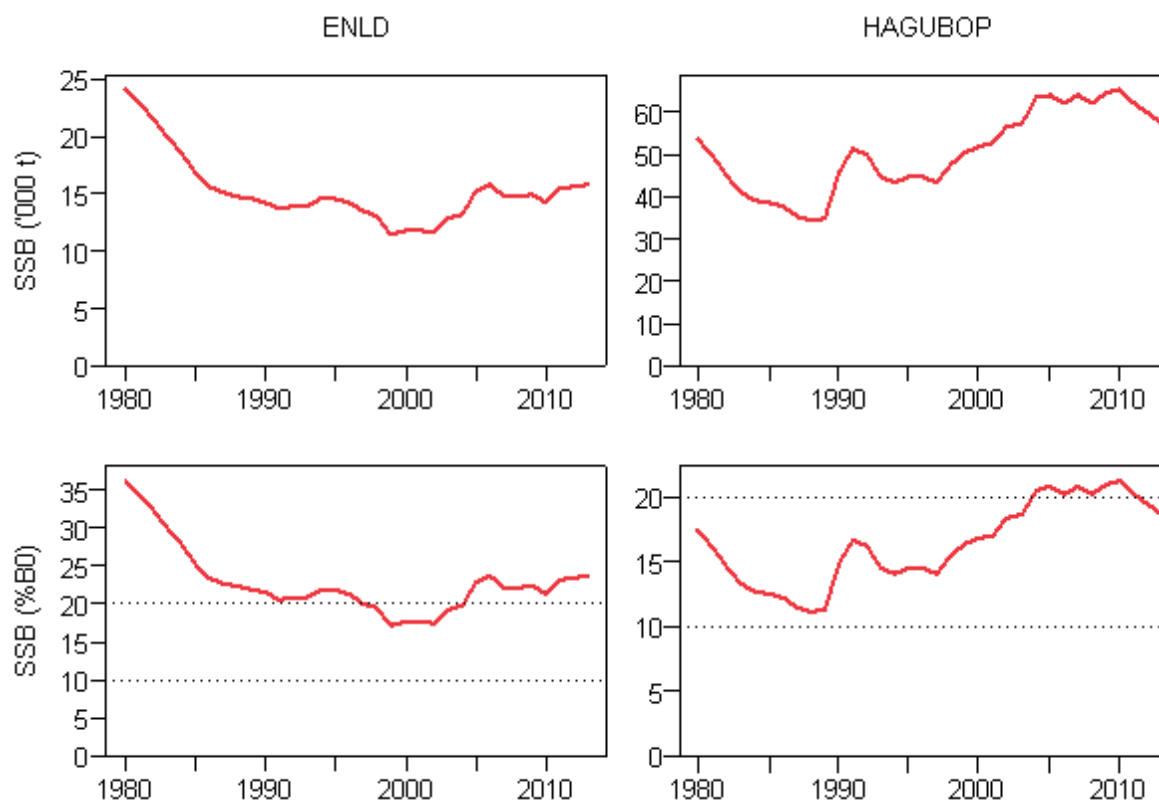
#### • SNA 1

The 2013 assessment was based on three stocks: East Northland, Hauraki Gulf and Bay of Plenty; however, results for Hauraki Gulf and the Bay of Plenty are combined in the summaries below due to uncertainties about movement of the two stocks between the two areas.

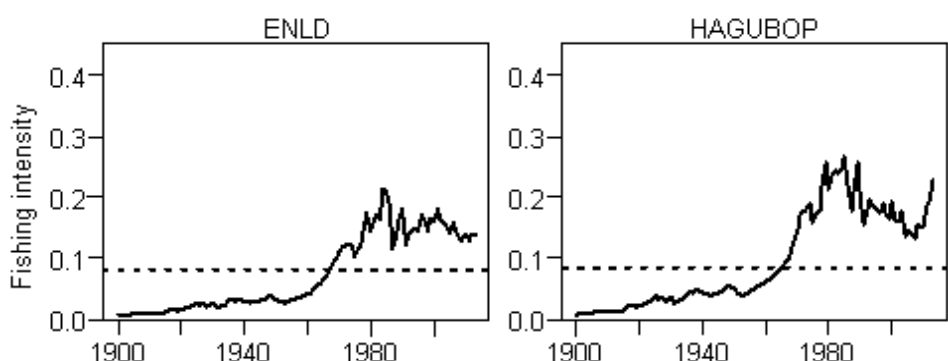
<b>Stock Status</b>	
Year of Most Recent Assessment	2013
Assessment Runs Presented	Base case models ( $M = 0.075$ , $h = 0.85$ ) for East Northland and the Hauraki Gulf and Bay of Plenty to 2012–13
Reference Points <sup>3</sup>	Interim target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $U_{40\%B_0}$
Status in relation to Target	<u>East Northland</u> $B_{2013}$ was estimated to be 24% $B_0$ ; Very Unlikely (< 10%) to be at or above the target  <u>Hauraki Gulf + Bay of Plenty</u> $B_{2013}$ was estimated to be 19% $B_0$ ; Very Unlikely (< 10%) to be at or above the target
Status in relation to Limits	<u>East Northland</u> $B_{2013}$ is About as Likely as Not (40–60%) to be below the soft limit $B_{2013}$ is Very Unlikely (< 10%) to be below the hard limit  <u>Hauraki Gulf + Bay of Plenty</u> $B_{2013}$ is About as Likely as Not (40–60%) to be below the soft limit $B_{2013}$ is Very Unlikely (< 10%) to be below the hard limit
Status in relation to Overfishing	<u>East Northland</u> Overfishing is Likely (> 60%) to be occurring  <u>Hauraki Gulf+Bay of Plenty</u> Overfishing is Likely (> 60%) to be occurring

**Historical Stock Status Trajectory and Current Status**

**MCMC base model SSB and status trajectories by stock (dotted lines indicate target (40%  $B_0$ ), soft limit (20%  $B_0$ ) and hard limit (10%  $B_0$ )).**



**MCMC base model SSB and status trajectories by stock, for the period since 1980 (dotted lines indicate soft limit (20%  $B_0$ ) and hard limit (10%  $B_0$ )).**

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	<p><u>East Northland</u> Stock biomass was estimated to have experienced a long steep decline from about 1960 to 1985, and has fluctuated without trend since then.</p> <p><u>Hauraki Gulf+Bay of Plenty</u> Stock biomass was estimated to have experienced a long steep decline from about 1960 to about 1988, after which it gradually increased to 2010 and then declined slightly.</p>
Recent Trend in Fishing Mortality or Proxy	<div style="text-align: center;">  </div> <p><u>East Northland</u> The fishing intensity for this stock rose sharply from the early 1960s, reached a peak in the early 1980s, and has since declined slightly.</p> <p><u>Hauraki Gulf + Bay of Plenty</u> The fishing intensity for this stock rose sharply from the early 1960s and reached a peak in the 1980s. It then declined by approximately 50% to 2007, but has since increased to 86% of the 1985 peak.</p>
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Model five year projections using recent catches for the commercial fleet and recent exploitation rates for the recreational fishery from the MCMCs predict increasing SSBs in East Northland and in the Hauraki Gulf-Bay of Plenty combined.
Probability of Current Catch or TACC causing Biomass to remain below, or to decline below, Limits (5 years)	<p><u>Soft limit</u> East Northland: Very Unlikely (&lt; 10%) Hauraki Gulf + Bay of Plenty: Unlikely (&lt; 40%)</p> <p><u>Hard limit</u> East Northland: Very Unlikely (&lt; 10%) Hauraki Gulf + Bay of Plenty: Very Unlikely (&lt; 10%)</p>
Probability of Current Catch or TAC causing Overfishing to continue or to commence	<p><u>East Northland</u> Current catch is Very Likely (&gt; 90%) to cause overfishing to continue</p> <p><u>Hauraki Gulf + Bay of Plenty</u> Current catch is Very Likely (&gt; 90%) to cause overfishing to continue</p>

Assessment Methodology and Evaluation		
Assessment Type	Level 1 - Quantitative stock assessment.	
Assessment Method	Spatially-disaggregated, 3-stock, age-structured, single-sex model undertaken in CASAL	
Assessment Dates	Latest assessment: 2013	Next assessment: 2016
Overall assessment quality rank	1 - High Quality	
Main data inputs (rank)	- Proportions-at-age from the commercial fisheries, and historic trawl surveys	1 – High Quality
	- Proportions-at-length from the recreational fishery	1 – High Quality
	- Estimates of biological parameters (e.g. growth, age-at-maturity and length/weight)	1 – High Quality
	- Standardised longline CPUE indices	1 – High Quality
	- Standardised single trawl for the BoP	1 – High Quality
	- Estimates of recreational harvest	1 – High Quality
	- Commercial catch	1 – High Quality
	- Tag-based biomass estimates (BoP - 1983)	2 – Medium or Mixed Quality: data no longer available
	- Data from tagging experiments in 1985 (HG, EN)	1 – High Quality
	- Data from tagging in 1994 (all areas)	1 – High Quality
Data not used (rank)	-	
Changes to Model Structure and Assumptions	- Catch history extended back to 1900 and stocks assumed to be at $B_0$ in 1900 - tag-recapture data sets condensed and reweighted	
Major Sources of Uncertainty	- Stock structure and degree of exchange between BoP and HG - Conflict between catch-at-age and tagging data - Relationship between standardised longline CPUE and abundance, as the methodology may not account for perceived changes in fishing behaviour - Temporal trends in growth rate	
Qualifying Comments		
Working Group and Plenary members had difficulty reaching consensus on the reliability of the assessment. Some members felt the assessment was robust to uncertainties, while others were concerned that alternative assumptions could affect outcomes about stock status.		

<b>Fishery Interactions</b>
Main QMS bycatch species are trevally, red gurnard, John dory and tarakihi. Incidental captures of sea turtles and seabirds occur in the bottom longline fisheries, including black petrel, that are ranked as at very high risk in the Seabird Risk Assessment. <sup>1</sup>

<sup>1</sup> The risk was defined as the ratio of the estimated annual number of fatalities of birds due to bycatch in fisheries to the Potential Biological Removal (PBR), which is an estimate of the number of seabirds that may be killed without causing the population to decline below half the carrying capacity. Richard & Abraham (2013).

• SNA 2

<b>Stock Status</b>	
Year of Most Recent Assessment	2010
Assessment Runs Presented	Two model runs, both with a steepness fixed at 1, are reported with alternative values of natural mortality and a fixed fishery selectivity function.
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ (HSS default) Hard Limit: 10% $B_0$ (HSS default)
Status in relation to Target	Unknown
Status in relation to Limits	Soft: Unlikely (< 40%) Hard: Unlikely (< 40%)
<b>Historical Stock Status Trajectory and Current Status</b>	
Due to the unreliability of the assessment no figure is displayed.	

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	For the range of model runs investigated, estimates of $MSY$ (443–496 t) are higher than the recent catch levels (376 t). By inference, the stock biomass would be expected to have increased slowly over the last decade if recruitment has been maintained at or above long-term average levels.
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	The broad range of ages present in the catch suggests that the stock is unlikely to be at very low levels.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Given that the catch is below the range of $MSY$ estimates, it is Likely that biomass would increase at current catch levels provided that recruitment is maintained at or above average levels.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Unlikely (< 40%)

<b>Assessment Methodology</b>		
Assessment Type	Level 1- Quantitative Stock Assessment	
Assessment Method	Bayesian statistical catch at age model implemented in Stock Synthesis	
Main data inputs	<ul style="list-style-type: none"> <li>- Proportions at age data from the commercial fishery</li> <li>- Estimates of biological parameters (e.g., <math>M</math>, growth, age-at-maturity and length/weight)</li> <li>- Commercial catch</li> <li>- Standardised single trawl CPUE index of abundance</li> <li>- Estimates of recreational harvest</li> <li>- Estimates of commercial over catch</li> </ul>	
Period of Assessment	Latest assessment: 2010	Next assessment: to be determined
Changes to Model Structure and Assumptions	The previous assessment was done in 2002. The 2010 model includes three additional years of catch-at-age data from the commercial fishery and a series of CPUE indices (1989–90 to 2008–09). The most crucial difference between the two	

## SNAPPER (SNA)

	assessments is the assumptions relating to the selectivity of the commercial fishery. The previous assessment assumed logistic selectivity (full selectivity for older age classes) while the current assessment assumed a fixed dome-shaped selectivity.
Major Sources of Uncertainty	<ul style="list-style-type: none"> <li>– There is a high degree of uncertainty regarding the assumed selectivity function for the commercial fishery. Furthermore, selectivity of the commercial fishery is likely to have changed over the history of the fishery.</li> <li>– The CPUE indices are unlikely to represent a reliable index or abundance.</li> <li>– The catch-at-age data do not track year classes well and may not be representative of the catch.</li> <li>– The values of <math>M</math> have been derived from other snapper stock and may not be appropriate for SNA 2.</li> <li>– There is uncertainty regarding the catch history prior to the introduction of the QMS.</li> <li>– There is assumed to be no stock-recruitment relationship.</li> </ul>

### Qualifying Comments

There is a high level of uncertainty associated with the assessment, with the result that stock status and projections cannot be reliably determined. However, estimates of  $MSY$  were robust to the range of assumptions investigated but are dependent on the assumptions regarding historical catch. For the range of model scenarios considered, estimates of  $MSY$  were higher than the recent and current levels of catch.

Despite the limitations of the catch-at-age data, the broad range of ages present in the catch suggests that the stock is unlikely to be at very low levels.

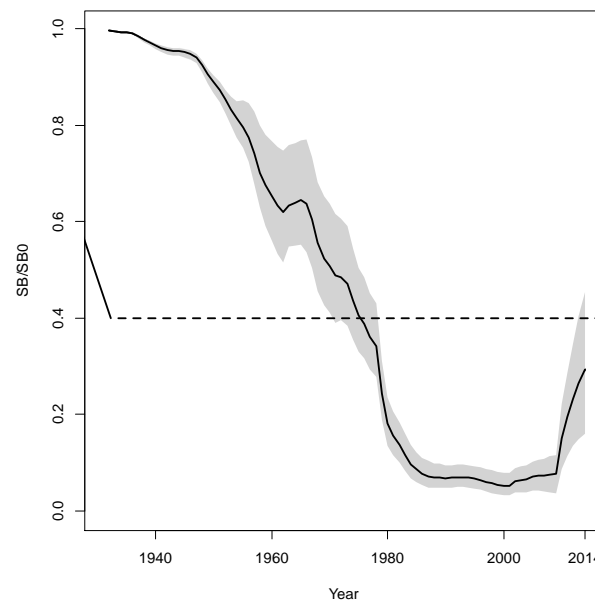
Age compositions of the commercial catch from SNA 2 indicate the existence of separate stock units. The northern stock unit (north of Mahia Peninsula) accounts for most (about 70%) of the annual catch from the fishery. The two stock units may have been subject to differential exploitation rates and, therefore, the stock status could differ between areas. The relative productivity of the two stock units is unknown.

### Fishery Interactions

Snapper is a bycatch of the main inshore fisheries within SNA 2, principally the red gurnard and tarakihi bottom trawl fisheries. The operation of these fisheries is constrained by the SNA 2 TACC.

## • SNA 7

Stock Status	
Year of Most Recent Assessment	2015
Assessment Runs Presented	Base case model and sensitivities
Reference Points	Target: Interim target 40% $SB_0$ Soft Limit: 20% $SB_0$ Hard Limit: 10% $SB_0$ Interim overfishing threshold: $F_{SB40\%}$
Status in relation to Target	$B_{2014-15}$ was estimated to be 29% $B_0$ ; Very Unlikely (< 10%) to be at or above the target
Status in relation to Limits	Soft Limit: Unlikely (< 40%) to be below Hard Limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	$F$ was estimated to be 0.836 $F_{SB40\%}$ ; overfishing is Unlikely (< 40%) to be occurring

**Historical Stock Status Trajectory and Current Status**

Annual trend in spawning biomass relative to the 40%  $SB_0$  interim target biomass level for the base model. The line represents the median and the shaded area represents the 95% confidence interval. The dashed line represents the interim target level.

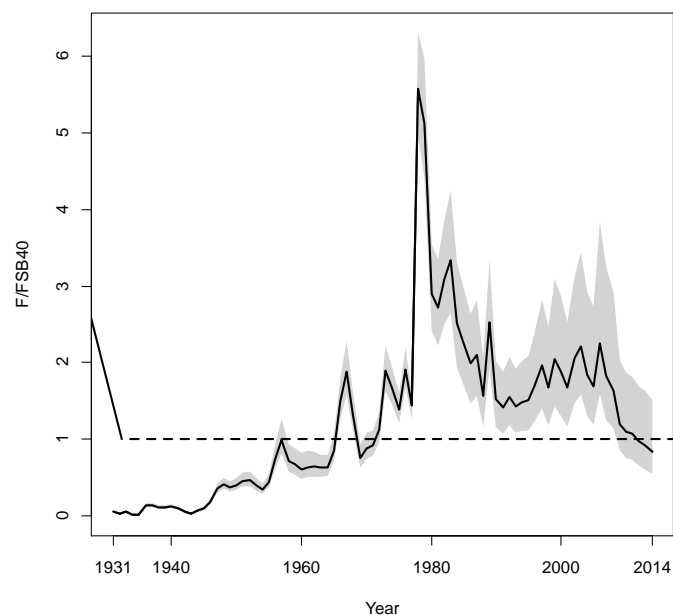
**Fishery and Stock Trends**

Recent Trend in Biomass or Proxy

Biomass was at an historical low level in the early 2000s and has increased rapidly since 2009 due to the recent recruitment of one or two large year classes.

Recent Trend in Fishing Mortality or Proxy

Fishing mortality has declined steadily since 2006.



Annual trend in fishing mortality relative to the  $FSB_{40\%}$  interim target biomass level for the base model. The line represents the median and the shaded area represents the 95% credible interval. The dashed line represents the interim target level.

Other Abundance Indices

The West Coast South Island trawl survey corroborates the recent strong recruitment.

Trends in Other Relevant Indicators or Variables

-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Biomass is expected to increase over the next 4 years, although the extent of the increase is dependent on the magnitude of the estimates of recent recruitment (2007 and 2010 year classes).
Probability of Current Catch or TAC causing Biomass to remain below or to decline below Limits	Soft Limit: Very Unlikely (< 10%) Hard Limit: Very Unlikely (< 10%)
Probability of Current Catch or TAC causing Overfishing to continue or to commence	Very Unlikely (< 10%)

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 1 – Full Quantitative Stock Assessment	
Assessment Method	Age-structured Stock Synthesis model with MCMC estimation	
Assessment Dates	Latest assessment: 2015	Next assessment: 2017
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Commercial catch history</li> <li>- Tagging biomass estimate</li> <li>- CPUE indices</li> <li>- Historical commercial age frequency</li> <li>- Recent commercial age frequency</li> <li>- Recreational catch history</li> <li>- Commercial size grade data</li> </ul>	<ul style="list-style-type: none"> <li>1 – High Quality</li> <li>2 – Medium or Mixed Quality: whether the older ages are indexed by the tagging study is uncertain</li> <li>1 – High Quality</li> <li>2 – Medium or Mixed Quality: needs to be better characterised by method of capture</li> <li>1 – High Quality</li> <li>2 – Medium or Mixed Quality: historical levels poorly known</li> <li>2 – Medium or Mixed Quality: quality of the grading is unknown</li> </ul>
Data not used (rank)	Kaharoa trawl survey estimates	3 – Low Quality: survey not designed to provide abundance index for SNA 7
Changes to Model Structure and Assumptions	<ul style="list-style-type: none"> <li>- Catch-at-age for 2013-14</li> <li>- Restructure of commercial catch history by method</li> <li>- Review of historical catch-at-age data</li> </ul>	
Major Sources of Uncertainty	<ul style="list-style-type: none"> <li>- Strength of recent recruitment (2007 and 2010 year classes)</li> <li>- Recent and projected levels of recreational catch</li> </ul>	

<b>Qualifying Comments</b>
The magnitude of the 2007 year class is largely driven by the recent commercial trawl CPUE indices.

<b>Fishery Interactions</b>
Snapper target fisheries have a bycatch of flatfish, red cod, gurnard, tarakihi and small amounts of barracouta and blue warehou. Snapper is taken as a bycatch of the inshore trawl fisheries operating within FMA 7, particularly within Tasman Bay and Golden Bay.

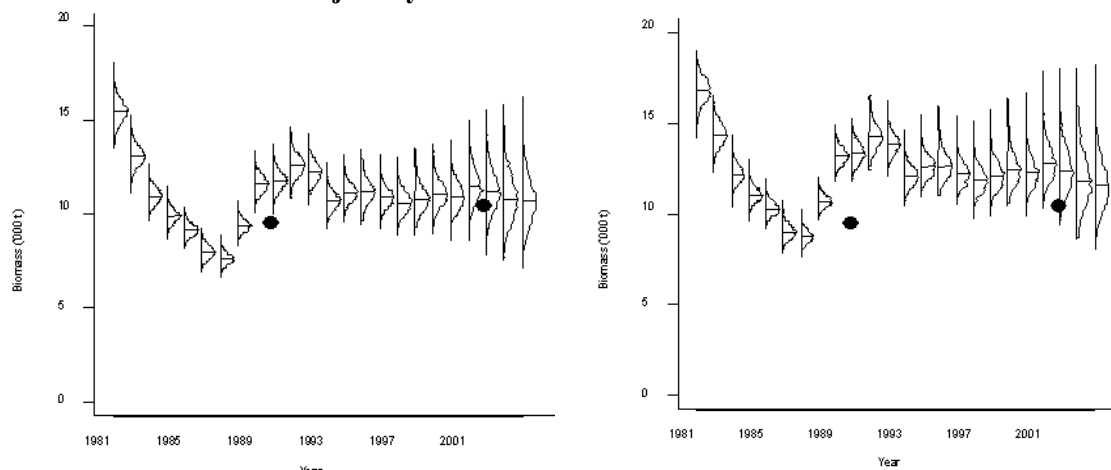


## SNA 8

**Stock Structure Assumptions**

Tagging, genetic and morphological studies have revealed that snapper off the west coast of the North Island (i.e., SNA 8) comprise a separate biological unit.

<b>Stock Status</b>	
Year of Most Recent Assessment	2005
Assessment Runs Presented	Given the uncertainty in estimates of recreational harvest, two alternate model runs 1) recreational harvest of 300 t and 2) recreational harvest of 600 t.
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ (HSS default) Hard Limit: 10% $B_0$ (HSS default)
Status in relation to Target	<b>R300</b> $B_{2004}$ estimated to be 9.8% $B_0$ , Very Unlikely (< 10%) to be at or above the target  <b>R600</b> $B_{2004}$ estimated to be 10% $B_0$ , Very Unlikely (< 10%) to be at or above the target
Status in relation to Limits	Soft Limit: Very Likely (> 90%) to be below (in 2005) Hard Limit: About as Likely as Not (40–60%)

**Historical Stock Status Trajectory and Current Status**

Posterior distributions of the biomass trajectories for the SNA 8 model estimates assuming historical recreational catch of 300 t (left panel) and 600 t (right panel) with the tagging programme estimates of biomass (solid circles).

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	Unknown
Trends in Other Relevant Indicators or Variables	Recent catch-at-age sampling shows that the age structure in the fishery has changed little over the last 20 years averaging around 6 years (this is the lowest average of all the snapper stocks). The fishery is held up in most years by only 4–5 dominant age classes with a negligible accumulation of biomass beyond 20 years. Given the current age structure the stock would be very vulnerable to recruitment failure extending more than 2–3 years in duration.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	The 2005 stock assessment indicated that current biomass (start of year 2004–05) was between 8% and 12% $B_0$ and the biomass was predicted to slowly increase at the TACC level of 1500 t. However, from 1 October 2005 the TACC was reduced to 1300 t to ensure a faster rebuild of the stock. At this TACC level the predicted rebuild to $B_{MSY}$ (20% $B_0$ ) occurred after 2018 in all cases assuming either constant recreational effort, or capped recreational catch at the alternative levels of 300 t or 600 t per year. Rebuilding tended to be slower for runs that allowed the recreational catch to rise with increasing biomass.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Unlikely (< 40%)

<b>Assessment Methodology</b>		
Assessment Type	Level 1 - Quantitative Stock Assessment	
Assessment Method	Age-structured Bayesian stock assessment implemented with CASAL software	
Main data inputs	<ul style="list-style-type: none"> <li>- Proportions at age data from the commercial fisheries, recreational fishery and historic trawl surveys.</li> <li>- Estimates of biological parameters (e.g., growth, age-at-maturity and length/weight).</li> <li>- Standardised single trawl CPUE index of abundance.</li> <li>- Sea Surface temperatures</li> <li>- Estimates of recreational Harvest</li> <li>- Commercial catch</li> <li>- Two tag-based biomass estimates</li> </ul>	
Period of Assessment	Latest assessment: 2005	Next assessment: Unknown
Changes to Model Structure and Assumptions	<p>A revised assessment of SNA 8 was completed in 2005 including updated observations on:</p> <ul style="list-style-type: none"> <li>• method-specific catch weights to 2003–04;</li> <li>• catch-at-age for commercial pair and single trawl in 2003–04; and,</li> <li>• single trawl CPUE time series from 1996–2004 incorporating tow duration as the unit of effort from core vessels in the fleet.</li> </ul> <p>New information added to the 2005 assessment included:</p> <ul style="list-style-type: none"> <li>• single trawl catch-at-age 1974 to 1976;</li> <li>• pair trawl catch-at-age with recalculated observations for 1974 to 1976; 1978 to 1980;</li> <li>• mean size-at-age 1975, 1976 and 1979;</li> <li>• pair trawl catch-at-length frequency in 1986; and,</li> <li>• boat ramp samples of recreational length frequency in 1991, 1994, 1996 and 2000.</li> </ul> <p>Using this new information assisted the estimation of selectivities-at-length for the single trawl, pair trawl and recreational fishing methods, and natural mortality. A revised time series of observed and assumed mean size-at-age was input to the model for the period 1931–2004.</p>	

Major sources of Uncertainty	<p>The current assessment produces very precise results, which are the product of the available data and various model assumptions. However, many of the model assumptions may be violated to some extent. Some of the more important considerations are:</p> <ul style="list-style-type: none"> <li>• the tagging estimates may be biased;</li> <li>• the MPD residuals are not consistent with the statistical assumptions of the model because extra weight was given to the tagging estimates;</li> <li>• natural mortality is not known exactly (as was assumed in the MCMCs);</li> <li>• the catch history is uncertain with regard to Japanese longline catch and commercial catch overruns in addition to recreational catch.</li> </ul> <p>A full exploration of these factors has not been performed. Additional sensitivity runs taking account of these factors would produce a greater range of uncertainty than is present in the current assessment.</p>
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### Qualifying Comments

An aerial overflight survey in 2007 estimated recreational harvest to be 260 t, thereby suggesting the 600 t run was less plausible than the 300 t estimate.

All SNA 8 stock assessments have an assumed steepness of 1.0 (i.e. spawning stock size has no effect on recruitment), which given the stock's low biomass relative to  $B_0$  is a questionable assumption. Alternative values of steepness have not been investigated for SNA 8.

### Fishery Interactions

The primary species caught in association with snapper in bottom trawl fisheries are trevally, red gurnard, John dory and tarakihi.

Yield estimates, TACCs and TACs for the 2012–13 fishing year are summarised in Table 34.

**Table 34: Summary of yield estimates (t), TACCs (t) and reported landings (t) for the most recent fishing year.**

Fish stock	FMAs	MCY	CAY <sub>99-00</sub>	MSY	2012–13 Actual TACC	2012–13 Commercial landings
SNA 1	1	9 911	8 712	10 050	4 500	4 457
SNA 2	2	-	-	440–500	315	310
SNA 3	3, 4, 5 & 6	-	-	-	32	< 1
SNA 7	7	-	-	850	200	211
SNA 8	8, 9	-	-	-	1 300	1 331
SNA 10	10	-	-	-	10	0
Total					6 357	6 309

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## SOUTHERN BLUE WHITING (SBW)

*(Micromesistius australis)*

## 1. FISHERY SUMMARY

### 1.1 Commercial fisheries

Southern blue whiting are almost entirely restricted in distribution to Sub-Antarctic waters. They are dispersed throughout the Campbell Plateau and Bounty Platform for much of the year, but during August and September they aggregate to spawn near the Campbell Islands, on Pukaki Rise, on Bounty Platform, and near the Auckland Islands over depths of 250–600 m. During most years, fish in the spawning fishery range between 35 and 50 cm fork length (FL), although occasionally a smaller size class of males (29–32 cm FL) is also present.

Reported landings for the period 1971 to 1977 are shown in Table 1. Estimated landings by area from the trawl catch and effort logbooks and QMRs are given from 1978 to the present in Table 2, while Figure 1 shows the historical landings and TACC values for the main southern blue whiting stocks. Landings were chiefly taken by the Soviet foreign licensed fleet during the 1970s and early 1980s, and the fishery fluctuated considerably peaking at almost 50 000 t in 1973 and again at almost 30 000 t in 1979. The Japanese surimi vessels first entered the fishery in 1986, and catches gradually increased to a peak of 76 000 t in 1991–92. A catch limit of 32 000 t, with area sub-limits, was introduced for the first time in the 1992–93 fishing year (Table 2). The total catch limit increased to 58 000 t in 1996–97 for three years. The southern stocks of southern blue whiting were introduced to the Quota Management System on 1 Nov 1999, with the TACCs given in Table 2. The fishing year was also changed to 1 April to 31 March to reflect the timing of the main fishing season. TACC changes since 2000–01 are shown in Table 2. A nominal TACC of 8 t (SBW 1) was set for the rest of the EEZ, and typically less than 10 t per year has been reported from SBW 1 since 2000–01.

Landings have been between 25 000 t and 40 000 t since 2000, with the majority of the catch currently taken by foreign charter vessels (predominantly large factory trawlers) producing headed and gutted or dressed frozen product and waste to fishmeal. On the Campbell Island Rise and the Bounty Platform the TACC has been almost fully caught in each year since 2005–06, except on the Campbell Island Rise in 2012–13 where the TACC was significantly under-caught. On the other grounds, the catch limits have often been under-caught in most years since their introduction. This reflects the economic value of the fish and difficulties experienced by operators in both timing their arrival on the grounds and locating the aggregations of fish. On the Pukaki Rise and Auckland Islands Shelf, operators have generally found it difficult to justify expending time to locate fishable aggregations, given the small allocation available in these areas, the small fish size and relatively low value of the product, and the



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more certain option available to fish southern blue whiting at Campbell Island where aggregations are concurrent.

The TACC for the Bounty Platform stock was increased to 9800 t for the 2008 season and further increased to 14 700 t for the 2009 and 2010 seasons but decreased to 6860 t for the 2011 season. In 2013, 2832 t were shelved, leaving the effective catch limit at 4028 t. From 1 April 2006, the TACC for the Campbell Island Rise stock was reduced from 25 000 t to 20 000 t, where it remained until 2009. For the 2010 season the catch limit for the Campbell stock was raised to 23 000 t, and in 2011 it was further raised to 29 400 t. Catch limits for Pukaki Rise and Auckland Islands have remained unchanged since 1997.

**Table 1: Reported annual landings (t) of southern blue whiting from 1971 to 1977.**

Fishing year	Total
1971	10 400
1972	25 800
1973	48 500
1974	42 200
1975	2 378
1976	17 089
1977	26 435

**Table 2: Estimated catches (t) and actual TACCs (or catch limits) of southern blue whiting by area from vessel logbooks and QMRs. – no catch limit in place. Before 1997–98 there was no separate catch limit for Auckland Is.**

Fishing year	Bounty Platform		Campbell Island Rise		Pukaki Rise		Auckland Is.		Total	
	Catch	Limit	Catch	Limit	Catch	Limit	Catch	Limit	Catch	Limit
1978 <sup>f</sup>	0	-	6 403	-	79	-	15	-	6 497	-
1978–79+	1 211	-	25 305	-	601	-	1 019	-	28 136	-
1979–80+	16	-	12 828	-	5 602	-	187	-	18 633	-
1980–81+	8	-	5 989	-	2 380	-	89	-	8 466	-
1981–82+	8 325	-	7 915	-	1 250	-	105	-	17 595	-
1982–83+	3 864	-	12 803	-	7 388	-	184	-	24 239	-
1983–84+	348	-	10 777	-	2 150	-	99	-	13 374	-
1984–85+	0	-	7 490	-	1 724	-	121	-	9 335	-
1985–86+	0	-	15 252	-	552	-	15	-	15 819	-
1986–87+	0	-	12 804	-	845	-	61	-	13 710	-
1987–88+	18	-	17 422	-	157	-	4	-	17 601	-
1988–89+	8	-	26 611	-	1 219	-	1	-	27 839	-
1989–90+	4 430	-	16 542	-	1 393	-	2	-	22 367	-
1990–91+	10 897	-	21 314	-	4 652	-	7	-	36 870	-
1991–92+	58 928	-	14 208	-	3 046	-	73	-	76 255	-
1992–93+	11 908	15 000	9 316	11 000	5 341	6 000	1 143	-	27 708	32 000
1993–94+	3 877	15 000	11 668	11 000	2 306	6 000	709	-	18 560	32 000
1994–95+	6 386	15 000	9 492	11 000	1 158	6 000	441	-	17 477	32 000
1995–96+	6 508	8 000	14 959	21 000	772	3 000	40	-	22 279	32 000
1996–97+	1 761	20 200	15 685	30 100	1 806	7 700	895	-	20 147	58 000
1997–98+	5 647	15 400	24 273	35 460	1 245	5 500	0	1 640	31 165	58 000
1998–00†	8 741	15 400	30 386	35 460	1 049	5 500	750	1 640	40 926	58 000
2000–01‡	3 997	8 000	18 049	20 000	2 864	5 500	19	1 640	24 804	‡35 140
2001–02‡	2 262	8 000	29 999	30 000	230	5 500	10	1 640	31 114	‡45 140
2002–03‡	7 564	8 000	33 445	30 000	508	5 500	262	1 640	41 795	‡45 140
2003–04‡	3 812	3 500	23 718	25 000	163	5 500	116	1 640	27 812	‡35 640
2004–05‡	1 477	3 500	19 799	25 000	240	5 500	95	1 640	21 620	‡35 640
2005–06‡	3 962	3 500	26 190	25 000	58	5 500	66	1 640	30 287	‡35 640
2006–07‡	4 395	3 500	19 763	20 000	1 115	5 500	84	1 640	25 363	‡30 640
2007–08‡	3 799	3 500	20 996	20 000	513	5 500	278	1 640	25 587	‡30 640
2008–09‡	9 863	9 800	20 483	20 000	1 377	5 500	143	1 640	31 867	‡36 948
2009–10‡	15 468*	14 700	19 040	20 000	4 853	5 500	174	1 640	39 540	‡42 148
2010–11‡	13 913	14 700	20 224	23 000	4 433	5 500	131	1 640	38 708	‡44 848
2011–12‡	6 660	6 860	30 971	29 400	686	5 500	92	1 640	38 412	‡43 400
2012–13‡	6 827	6 860	21 321	29 400	1 702	5 500	49	1 640	29 906	‡43 400
2013–14	4 278~	4 028	28 607	29 400	14	5 500	47	1 640	32 950	‡43 400

<sup>f</sup> 1 April–30 September + 1 October–30 September

† 1 October 1998–31 March 2000 # 1 April–31 March

‡ SBW 1 (all EEZ areas outside QMA6) had a TACC of 8 t, and reported catches of 9 t in 2000–01, 1 t in 2001–02, 16 t in 2002–03, 3 t in 2003–04, 9 t in 2004–05, 2 t in 2005–06, 7 t in 2006–07, 1 t in 2007–08, 21 t in 2008–09, 5 t in 2009–10, 8 t in 2010–11, 2 t in 2011–12, and 8 t in 2012–13.

\* Reported catch total for 2009–10 does not include fish lost when *FV Oyang 70* sank on 18 August 2010.

~In 2013, while the TACC remained at 6860 t, the ACE available to balance against catch was limited to 4028 t as 2832 t was shelved under a voluntary agreement with industry.

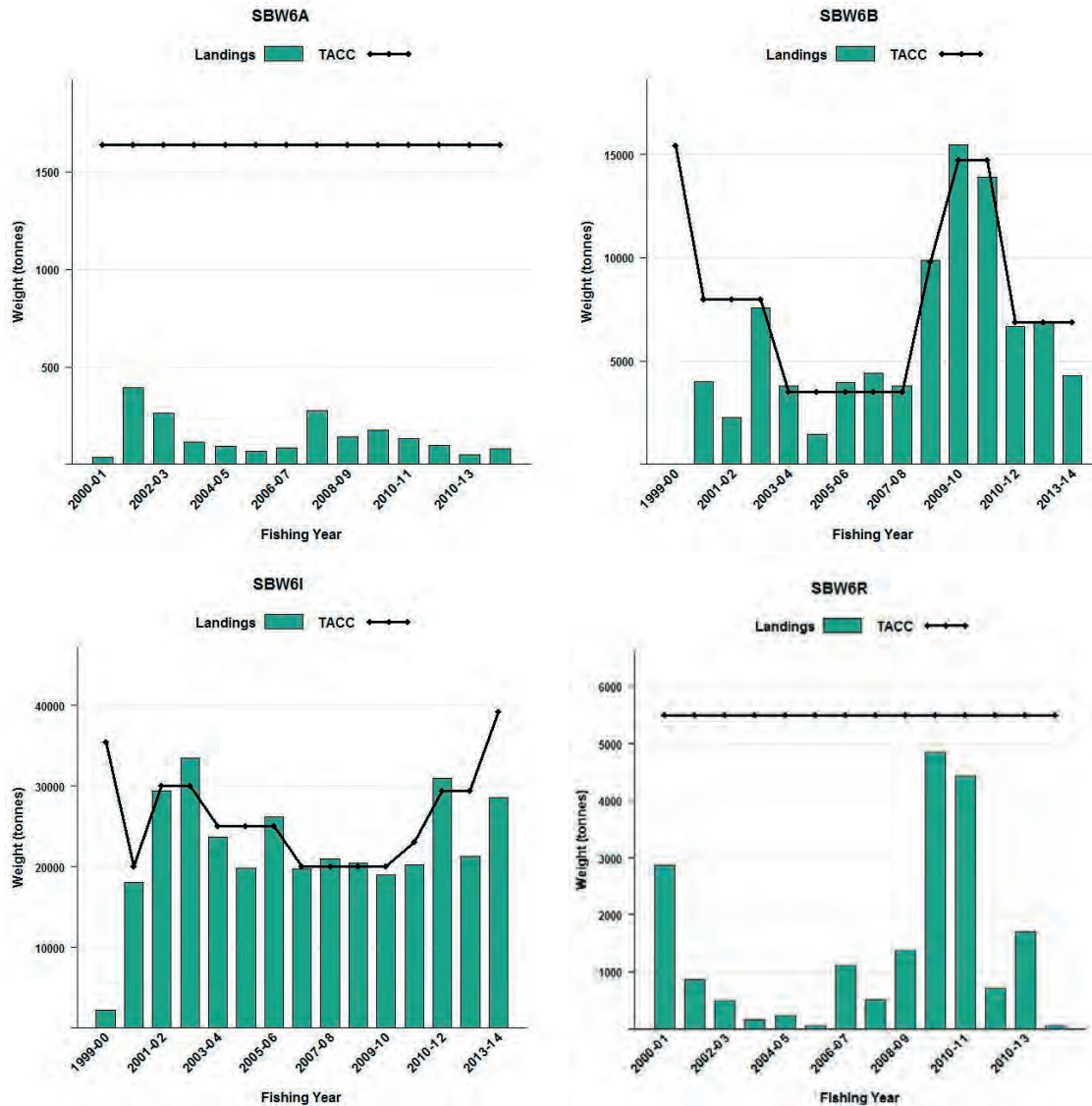


Figure 1: Reported commercial landings and TACC for the four main SBW stocks. From top left to bottom right: SBW 6A (Auckland Islands), SBW 6B (Bounty Platform), SBW 6I (Campbell Island Rise), and SBW 6R (Pukaki Rise). Note that these figures do not show data prior to entry into the QMS.

## 1.2 Recreational fisheries

There is no recreational fishery for southern blue whiting.

## 1.3 Customary non-commercial fisheries

Customary non-commercial take is not known to occur for southern blue whiting.

## 1.4 Illegal catches

The level of illegal and unreported catch is thought to be low. However, a number of operators have been convicted for area misreporting; where the catch returns have been revised, the corrected totals by area are shown in Table 2. In addition, the operators of a vessel were convicted for discarding fish without reporting the catch in 2004, and crew members estimated that between 40 and 310 t of southern blue whiting were illegally discarded during the two and a half week period fishing on the Campbell Island Rise.

## 1.5 Other sources of mortality

Scientific observers have occasionally reported discards of undersize fish and accidental loss from torn or burst codends. The amount of possible discarding was estimated by Clark *et al.* (2000) and Anderson (2004, 2009). Anderson (2004) quantified total annual discard estimates (including estimates of fish lost from the net at the surface) as ranging between 0.4% and 2.0% of the estimated southern blue whiting catch over all the southern blue whiting fisheries. Anderson (2009) reviewed fish and

## SOUTHERN BLUE WHITING (SBW)

invertebrate bycatch and discards in the southern blue whiting fishery based on observer data from 2002 to 2007. He estimated that 0.23% of the catch was discarded from observed vessels. The low levels of discarding occur primarily because most catch came from vessels that targeted spawning aggregations.

In August 2010, the F.V. *Oyang 70* sank while fishing for SBW on the Bounty Platform. It was fishing an area between 48°00' S and 48°20' S, and 179°20' E and 180°00' E between 15 and 17 August 2010, before sinking on 18 August 2010. The Ministry of Fisheries estimated that it had taken a catch of between 120 t and 190 t that was lost with the vessel.

## 2. BIOLOGY

Southern blue whiting is a schooling species that is confined to Sub-Antarctic waters. Early growth has been well documented with fish reaching a length of about 20 cm FL after one year and 30 cm FL after two years. Growth slows down after five years and virtually ceases after ten years. Ages have been validated up to at least 15 years by following strong year classes, but ring counts from otoliths suggest a maximum age of 25 years.

The age and length of maturity, and recruitment to the fishery, varies between areas and between years. In some years a small proportion of males mature at age 2, but the majority do not mature until age 3 or 4, usually at a length of 33–40 cm FL. The majority of females also mature at age 3 or 4 at a length of 35–42 cm FL. Ageing studies have shown that this species has very high recruitment variability.

Southern blue whiting are highly synchronised batch spawners. Four spawning areas have been identified: on Bounty Platform, Pukaki Rise, Auckland Islands Shelf, and Campbell Island Rise. The Campbell Island Rise has two separate spawning grounds, to the north and south respectively. Fish appear to recruit first to the southern ground but thereafter spawn on the northern ground. Spawning on Bounty Platform begins in mid-August and finishes by mid-September. Spawning begins 3–4 weeks later in the other areas, finishing in late September/early October. Spawning appears to occur at night, in mid-water, over depths of 400–500 m on Campbell Island Rise but shallower elsewhere.

Natural mortality (M) was estimated using the equation  $\log_e(100)/\text{maximum age}$ , where maximum age is the age to which 1% of the population survives in an unexploited stock. Using a maximum age of 22 years, M was estimated to equal 0.21. The value of 0.2 is assumed to reflect the imprecision of this value. Recent Campbell Island stock assessments have estimated M within the model, using an informed prior with a mean of 0.2 (see Table 3).

**Table 3: Estimates of biological parameters for the Campbell Island Rise southern blue whiting stock.**

Fishstock	Estimate		Source
<u>1. Natural mortality (M)</u>			
	Males	Females	
Campbell Island Rise	0.2	0.2	Hanchet (1991)
<u>2. Weight = a (length)<sup>b</sup> (Weight in g, length in cm fork length)</u>			
	Males		
	a	b	
Campbell Island Rise	0.00515	3.092	
	Females		
	a	b	
Campbell Island Rise	0.00407	3.152	Hanchet (1991)

Note: Estimates of natural mortality and the length-weight coefficients are assumed to be the same for the other stocks. Observed length-at-age data are used for all stocks.

## 3. STOCKS AND AREAS

Hanchet (1999) reviewed the stock structure of southern blue whiting. He examined historical data on southern blue whiting distribution and abundance, reproduction, growth, and morphometrics. There appear to be four main spawning grounds of southern blue whiting; on the Bounty Platform, Pukaki Rise, Auckland Islands Shelf, and Campbell Island Rise. There are also consistent differences in the size and age distributions of fish, in the recruitment strength, and in the timing of spawning between these four areas. Multiple discriminant analysis of data collected in October 1989 and 1990 showed that fish from Bounty Platform, Pukaki Rise and Campbell Island Rise could be distinguished on the basis of their morphometric measurements. The Plenary concluded that this constitutes strong evidence that

fish in these areas return to spawn on the grounds to which they first recruit. No genetic studies have been carried out, but given their close proximity, it is unlikely that there would be detectable genetic differences in the fish between these four areas.

For the purposes of stock assessment it is assumed that there are four stocks of southern blue whiting with fidelity within stocks: the Bounty Platform stock, the Pukaki Rise stock, the Auckland Islands stock, and the Campbell Island stock.

#### **4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS**

This section was updated for the May 2013 Fishery Assessment Plenary after review by the Aquatic Environment Working Group. This summary is from the perspective of the southern blue whiting fishery; a more detailed summary from an issue-by-issue perspective is available in the Aquatic Environment & Biodiversity Annual Review ([www.mpi.govt.nz/Default.aspx?TabId=126&id=1644](http://www.mpi.govt.nz/Default.aspx?TabId=126&id=1644)).

##### **4.1 Role in the ecosystem**

Southern blue whiting are one of the dominant (in terms of biomass) middle depth fish species found on the Campbell Plateau and Bounty Platform, over depths of 250–600 m. Francis et al (2002) categorised southern blue whiting as part of an upper slope assemblage and estimated its distribution to be centred on about 500 m depth and latitude 51° S. During August and September, southern blue whiting form large dense spawning aggregations on the Campbell Island Rise and Bounty Platform and, to a lesser extent, on the Pukaki Rise and near the Auckland Islands. The species is also found in much lower numbers on the Snares Shelf and Chatham Rise.

These stocks are characterised by highly variable year class strengths, with the strong year classes growing at a significantly lower rate than others (i.e., showing signs of density dependent growth). Their substantial abundance suggests that southern blue whiting are probably an important part of the Campbell Rise and Bounty Platform ecosystems, but their variability suggests that these systems may function differently at different times. For instance, very large changes have been observed in the abundance of southern blue whiting on the Bounty Plateau recently, with a 7-fold increase between 2005 and 2007 followed by a 4-fold decrease to 2009 (Dunn & Hanchet 2011a). The large increase was due to the very strong 2002 year class recruiting to the fishery but the rapid decline is not easily explained. Whatever the reason, there are likely to be implications for the role of the southern blue whiting population in the ecosystem during such events.

##### **4.1.1 Trophic interactions**

Crustaceans and teleosts are the dominant prey groups for southern blue whiting. Stevens et al (2011) showed that in the Sub-Antarctic (and similarly from the Chatham Rise), crustaceans occurred in 70% of stomachs, mainly euphausiids (37%), natant decapods (24%) and amphipods (11%). Teleosts occurred in 32% of stomachs, mainly myctophids (10%). Salps (7%) and cephalopods (2%) were of lesser importance.

Predation by marine mammals and large teleosts is probably the main source of mortality for adults, and juveniles are frequently taken by seabirds (MPI 2013). Large hake and ling taken as bycatch in the fishery have usually been feeding on southern blue whiting and large hoki caught during Sub-Antarctic trawl surveys have occasionally been feeding on juvenile southern blue whiting. Juvenile (90–130 mm FL) southern blue whiting were found to be the main prey item of black-browed albatross at Campbell Island during its chick rearing period in January 1997 (Cherel et al 1999) and are also regularly taken by grey-headed albatross and rockhopper penguins breeding at Campbell Island (Cherel et al 1999).

##### **4.1.2 Ecosystem Indicators**

Tuck *et al.* (2009) used data from the Sub-Antarctic trawl survey series to derive fish-based ecosystem indicators using diversity, fish size, and trophic level. This trawl survey has run almost continually using the same vessel since 1991 and covers much of the area inhabited by southern blue whiting. Tuck et al (2009) showed generally increasing trends in the proportion of threatened fish species and those with low resilience (from FishBase, Froese & Pauly 2000) and indices of fish diversity often showed

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positive trends. The proportion of piscivorous and demersal species and the mean trophic level generally declined over the time period, especially in areas where southern blue whiting are more common. Highly variable recruitment of dominant species like southern blue whiting may strongly influence such trends. Changes in fish size were less consistent, and Tuck et al (2009) did not find size-based indicators as useful as they have been overseas. Routine measurement of all fish species in New Zealand trawl surveys since 2008 may increase the utility of size-based indicators in the future.

### 4.2 Incidental catch (fish and invertebrates)

#### 4.2.1 Fish

The southern blue whiting fishery is characterised by large, “clean” catches of the target species with minimal fish bycatch. Anderson (2009) estimated that southern blue whiting accounted for more than 99% of the total estimated catch recorded by observers and more than 99% of the total reported catch from the fishery based on catch-effort forms. The main bycatch species recorded have been ling, hake, and hoki, with smaller amounts of porbeagle shark, jack mackerels, rattails, Ray’s bream, and silverside (see also Clark *et al.* 2000; Anderson 2004).

#### 4.2.2 Invertebrates

There is little invertebrate bycatch in this fishery even though most trawls are on or close to the seabed for at least part of the time (Cole et al 2007). Protected coral bycatch has been negligible in this fishery (Ramm 2012).

### 4.3 Incidental Catch (seabirds, mammals, and protected fish)

Southern blue whiting trawlers occasionally capture marine mammals (pinnipeds), including NZ sea lions and NZ fur seals (which were classified as “Nationally Critical” and “Not Threatened”, respectively, under the NZ Threat Classification System in 2010, Baker *et al.* 2010). Vessels in the southern blue whiting fishery also interact with and incidentally capture seabirds.

Ramm (2012) summarised observer data for bottom trawl fisheries of Seabirds, Mammals, and Coral Catch for the 2010-11 fishing year. Coral impacts are discussed under Invertebrates (Section 4.2.2).

#### 4.3.1 Marine mammal interactions

##### NZ sea lion interactions

The New Zealand (or Hooker’s) sea lion (*Phocarctos hookeri*) was classified as “Vulnerable” by International Union for Conservation of Nature (IUCN) in 2008 and as “Nationally Critical” under the NZ Threat Classification System in 2010. Pup production at the main rookeries (Auckland Islands) shows a steady decline since the late 1990s. Specific objectives for the management of NZ sea lion incidental captures are outlined in the fishery-specific chapters of the National Deepwater Plan for the fisheries with which NZ sea lions are most likely to interact. These fisheries include trawl fisheries for southern blue whiting (SBW). The southern blue whiting chapter of the National Deepwater Plan and includes Operational Objective 2.2: *Ensure that incidental New Zealand sea lion mortalities, in the southern blue whiting fishery at Campbell Island SBW6I, do not impact the long term viability of the sea lion population and captures are minimised through good operational practices.*

NZ sea lions forage to depths of up to 600 m within the habitat and depth range where spawning southern blue whiting are found (MPI, 2013). There is seasonal variation in the distribution overlap between NZ sea lions and the target species fisheries such as southern blue whiting (MPI, 2013). Breeding male sea lions, move ashore between November and January with occasional trips to sea, then migrate away from the Auckland Island area (Robertson *et al* 2006). Breeding females are in the Auckland Islands area year round, moving ashore to give birth for up to 10 days during December and January. Each female then divides her time between foraging at sea (for about 2days) and suckling her pup ashore (about 1.5 days; Chilvers *et al.* 2005).

There has been a steady increase in the number of observed and estimated captures of NZ sea lions in the Campbell Island southern blue whiting trawl fishery from close to zero before year 2000 to 11 observed captures and an estimated 25 captures in 2009–10 (Abraham & Thompson 2011, Thompson & Abraham 2012). A total of 11 sea lions were observed captured in 2009-10 of which 2 were released alive (Ramm 2012). The sea lion captures were all close to Campbell Island in SBW6I and were almost all males (91%). In the 2010-11 fishing year, there were 6 observed incidental captures. There were no

sea lion captures observed in the 70.3% of observed tows in the 2011-12 fishing year (Thompson *et al.* 2013) but 21 captures in 2012-13 (Table 4), mostly early in the season. The relatively high number of captures in 2012-13 was subject to internal review by management and external review by independent scientists under the Marine Stewardship Council certification scheme.

**Table 4: Number of tows by fishing year and observed and model-estimated total New Zealand sea lion captures in southern blue whiting trawl fisheries, 2002–03 to 2012–13. No. obs, number of observed tows; % obs, percentage of tows observed; Rate, number of captures per 100 observed tows. Estimates are based on methods described in Thompson *et al.* (2013) and are available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Data for 2002-03 to 2011-12 are based on data version 20130304 and preliminary data for 2012-13 are based on data version 20140131.**

	Tows	Observed				Estimated		
		No.ob	%obs	Captures	Rate	Captures	95%c.i.	%inc.
2002–03	638	275	43.1	0	0.00	0	0-3	100.0
2003–04	740	241	32.6	1	0.41	3	1-9	100.0
2004–05	870	335	38.5	2	0.60	5	2-12	100.0
2005–06	624	217	34.8	3	1.38	10	3-22	100.0
2006–07	630	224	35.6	3	1.34	15	6-29	100.0
2007–08	821	331	40.3	5	1.51	8	5-14	100.0
2008–09	1 187	299	25.2	0	0.00	1	0-7	100.0
2009–10	1 113	396	35.6	11	2.78	24	15-37	100.0
2010–11	1 171	433	37.0	6	1.39	15	8-24	100.0
2011–12	951	669	70.3	0	0.00	1	0-3	100.0
2012–13†	790	789	99.9	21	2.66	21	21 - 22	100.0

† Provisional data, no model estimates available.

#### NZ fur seal interactions

The New Zealand fur seal was classified as “Least Concern” by IUCN in 2008 and as “Not Threatened” under the NZ Threat Classification System in 2010 (Baker *et al* 2010).

Southern blue whiting has one of the highest observed capture rates of NZ fur seals for any observed fishery. The capture of fur seals in the southern blue whiting fishery has varied considerably between years ranging from an estimated low of 20 seals in 2002–03 to an estimated high of 140 seals in 1998–99, but has showed no overall trend through time (Table 5, Abraham & Thompson 2011, Thompson *et al* 2012, Thompson *et al* 2013) (Table 5). Almost all fur seals have been caught at the Bounty Platform in August and September when the southern blue whiting are in dense spawning aggregations. Recent changes in the management of foreign charter vessels has led to an increase in the observer coverage in these fisheries, in the 2012/13 fishing year, 100% of vessels were observed (Tables 4 and 5).

Recent changes in the way the spatial component of these fisheries has been incorporated into the estimation procedure has resulted in the estimates of the mean number of captures and the 95 c.i. of the estimated captures increasing in these fisheries.

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**Table 5: Number of tows by fishing year and observed and model-estimated total NZ fur seal captures in southern blue whiting trawl fisheries, 2002–03 to 2011–12.** No. obs, number of observed tows; % obs, percentage of tows observed; Rate, number of captures per 100 observed tows. Estimates are based on methods described in Thompson *et al.* (2013) and are available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Data for 2002–03 to 2011–12 are based on data version 20130304 and preliminary data for 2012–13 are based on data version 20140131.

	Tows	Observed				Estimated		
		No.obs	%obs	Captures	Rate	Captures	95%c.i.	%inc.
2002–03	638	275	43.1	8	2.91	19	8 – 60	100.0
2003–04	740	241	32.6	13	5.39	33	13 – 103	100.0
2004–05	870	335	38.5	33	9.85	94	35 – 369	100.0
2005–06	624	217	34.8	52	23.96	67	52 – 121	100.0
2006–07	630	224	35.6	13	5.80	24	13 – 69	100.0
2007–08	816	331	40.5	24	7.25	104	25 – 533	100.0
2008–09	1 189	301	25.3	17	5.65	109	24 – 389	100.0
2009–10	1 113	396	35.6	16	4.04	100	20 – 414	100.0
2010–11	1 171	433	37.0	36	8.31	71	38 – 229	100.0
2011–12	952	669	70.3	25	3.74	61	25 – 237	100.0
2012–13†	792	791	99.9	26	3.29	26	26 – 26	100.0

† Provisional data, no model estimates available.

### 4.3.2 Seabird interactions

Vessels are legally required to use seabird mitigation devices and also to adhere to industry Operating Procedures in regards to managing risk of environmental interactions. For protected species, capture estimates presented include all animals recovered to the deck (alive, injured or dead) of fishing vessels but do not include any cryptic mortality (e.g., seabirds struck by a warp or caught on a hook but not brought on board the vessel, Middleton & Abraham 2007, Brothers *et al.* 2010).

Mitigation methods such as streamer (tori) lines, Brady bird bafflers and offal management are used in the southern blue whiting trawl fishery. Warp mitigation was voluntarily introduced from about 2004 and made mandatory in April 2006 (Department of Internal Affairs 2006). The 2006 notice mandated that all trawlers over 28 m in length use a seabird scaring device while trawling (being “paired streamer lines”, “bird baffler” or “warp deflector” as defined in the Notice).

In the 2012–2013 fishing year, there were 20 observed captures of birds in southern blue whiting trawl fisheries at a rate of 2.53 birds per 100 observed tows (Table 6). Whilst a relatively high rate for these fisheries, this remains below the peak rate of 3.70 seen in 2010–11 (Table 6).

Overall, the impact that the southern blue whiting fisheries have on seabirds is very small. This can be seen in the proportions of the overall fisheries Potential Biological Removals (PBR) that are attributable to the blue whiting fisheries for each species, where all are less than 2% of the total (Table 7). Observed seabird captures since 2002–03 have been dominated by grey petrels (32 of the 54 observed seabird captures since 2002–03), a medium risk species where the blue whiting fisheries are estimated to be responsible for 1.8% of the PBR (Table 7).

**Table 6: Number of tows by fishing year and observed seabird captures in southern blue whiting trawl fisheries, 2002–03 to 2012–13.** No. obs, number of observed tows; % obs, percentage of tows observed; Rate, number of captures per 100 observed tows. Estimates are based on methods described in Abraham *et al.* (2013) and are available via <http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>. Data for 2002–03 to 2011–12 are based on data version 20130304 and preliminary data for 2012–13 are based on data version 20140131.

	Tows	Fishing effort		Observed captures		Estimated captures		
		No. Obs	% obs	Captures	Rate	Mean	95% c.i.	% included
2002–03	638	275	43.1	0	0.00	3	0–8	100.0
2003–04	740	241	32.6	0	0.00	4	0–12	100.0
2004–05	870	335	38.5	2	0.60	10	4–21	100.0
2005–06	624	217	34.8	2	0.92	5	2–11	100.0
2006–07	630	224	35.6	3	1.34	5	3–10	100.0
2007–08	818	331	40.5	3	0.91	6	3–10	100.0
2008–09	1 189	301	25.3	0	0.00	6	1–13	100.0

Table 6 [Continued].

	Fishing effort			Observed captures		Estimated captures		
	Tows	No. Obs	% obs	Captures	Rate	Mean	95% c.i.	% included
2009–10	1 113	396	35.6	10	2.53	15	11–21	100.0
2010–11	1 171	433	37.0	10	2.31	15	11–21	100.0
2011–12	952	669	70.3	4	0.60	6	4–9	100.0
2012–13†	792	791	99.9	20	2.53	20	20–20	100.0

† Provisional data, no model estimates available.

Recent changes in the way the spatial component of these fisheries has been incorporated into the estimation procedure has resulted in more changes than usual in the estimates of the mean number of captures and the 95 c.i. of the estimated captures.

#### Proportion of the PBR.

**Table 7: Risk ratio for seabirds predicted by the level two risk assessment for the target southern blue whiting (SBW) fishery and all fisheries included in the level two risk assessment, 2002–03 to 2011–12, showing seabird species with a risk ratio of at least 0.001 of PBR1. The risk ratio is an estimate of aggregate potential fatalities across trawl and longline fisheries relative to the Potential Biological Removals, PBR1 (from Richard and Abraham 2013 where full details of the risk assessment approach can be found). PBR1 applies a recovery factor of 1.0. Typically a recovery factor of 0.1 to 0.5 is applied (based on the state of the population) to allow for recovery from low population sizes as quickly as possible. This should be considered when interpreting these results. The DOC threat classifications are shown (Robertson et al 2013 at <http://www.doc.govt.nz/documents/science-and-technical/nztc4entire.pdf>). The numbers of observed seabird captures by species in the southern blue whiting trawl fisheries, 2002–03 to 2012–13 (<http://www.fish.govt.nz/en-nz/Environmental/Seabirds/>, version 20140131) are also shown.**

Species	PBR <sub>1</sub> (mean)	Risk ratio		Risk category	DoC Threat Classification	Total Captures
		SBW trawl	Total			
Salvin's albatross	975	0.013	2.756	Very high	Threatened: Nationally Critical	8
Chatham Island albatross	159	0.001	1.291	Very high	At Risk: Naturally Uncommon	0
NZ white-capped albatross	4044	0.001	0.700	Very high	At Risk: Declining	0
Gibson's albatross	260	0.001	0.467	High	Threatened: Nationally Critical	0
Cape petrel	840	0.001	0.303	High	At Risk: Naturally Uncommon	3
Antipodean albatross	295	0.002	0.301	High	Threatened: Nationally Critical	0
Northern royal albatross	396	0.001	0.271	Medium	At Risk: Naturally Uncommon	0
Southern royal albatross	441	0.003	0.264	Medium	At Risk: Naturally Uncommon	1
Westland petrel	241	0.000	0.263	Medium	At Risk: Naturally Uncommon	0
Northern giant petrel	217	0.003	0.215	Medium	At Risk: Naturally Uncommon	0
Campbell black-browed albatross	1017	0.004	0.189	Medium	At Risk: Naturally Uncommon	2
Grey petrel	2172	0.018	0.114	Medium	At Risk: Naturally Uncommon	32

Unidentified storm petrel<sup>1</sup>

<sup>1</sup> Released alive, species identity undefined.

#### 4.4 Benthic interactions

Southern blue whiting is principally taken using midwater trawls (94% for calendar years 2011–2013). About 55% of the trawl effort is fished on or near to the seabed (0–<5m off the seabed). Target southern blue whiting tows accounted for only 1% of all tows reported on TCEPR forms to have been fished on or close to the bottom between 1989–90 and 2004–05 (Baird et al 2011). Almost all southern blue whiting catch is reported on TCEPR forms (Black et al 2013). Tows are located in Benthic Optimised Marine Environment Classification (BOMECE, Leathwick et al 2009) classes F (upper slope), I, L (mid-slope), and M (mid-deep slope) (Baird & Wood 2012), and 95% were between 300 and 600 m depth (Baird et al 2011).

Where trawls for southern blue whiting are fished on the bottom, they are likely to have effects on benthic community structure and function (e.g., Cole et al. 2007, Rice 2006) and there may be consequences for benthic productivity (e.g., Jennings 2001, Hermesen et al 2003, Hiddink et al 2006, Reiss et al 2009). However, any consequences from southern blue whiting fishing, due to the gear type



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and scale of the fishery (typically less than 600 tows fished on the bottom per year), are likely to be relatively minor. A more general review of habitat interactions can be found in the Aquatic Environment and Biodiversity Annual Review 2013 (MPI, 2013).

The NZ EEZ contains 17 Benthic Protection Areas (BPAs) that are closed to bottom fishing and include about 52% of all seamounts over 1500 m elevation and 88% of identified hydrothermal vents.

### 4.5 Other considerations

#### 4.5.1 Spawning disruption

Fishing during spawning may disrupt spawning activity or success. Canadian research carried out on Atlantic cod (*Gadus morhua*) concluded that “Cod exposed to a chronic stressor are able to spawn successfully, but there appears to be a negative impact of this stress on their reproductive output, particularly through the production of abnormal larvae”, Morgan et al (1999). Morgan et al (1997) also reported disruption of a spawning shoal of Atlantic cod: “Following passage of the trawl, a 300-m-wide “hole” in the aggregation spanned the trawl track. Disturbance was detected for 77 min after passage of the trawl.” There has been no research carried out on the disruption of spawning southern blue whiting by fishing in New Zealand but fishing occurs almost entirely on spawning aggregations.

#### 4.5.2 Genetic effects

Fishing, environmental changes such as altered average sea temperatures (climate change), or pollution could alter the genetic composition or diversity of a species. There are no known studies of the genetic diversity of southern blue whiting from New Zealand. Genetic studies for stock discrimination are reported above under “Stocks and Areas”.

#### 4.5.3 Habitat of particular significance to fisheries management

Habitat of particular significance for fisheries management (HPSFM) does not have a policy definition (MPI, 2013) although work is currently underway to generate one. Studies have identified areas of importance for spawning and juvenile southern blue whiting where distribution plots highlight hotspot areas for the 0+, 1+, immature, and adult fish (O’Driscoll et al 2003). These are the Campbell Plateau and Bounty Platform, with minimal numbers recorded on the Chatham Rise.

## 5. STOCK ASSESSMENT

An updated assessment of the Campbell Island Rise stock was completed in 2014, using research time series of abundance indices from wide-area acoustic surveys from 1993 to 2013 and proportion-at-age data from the commercial fishery. New information included a wide area acoustic survey of the Campbell Island Rise carried out in August–September 2013. The general purpose stock assessment program, CASAL (Bull *et al.* 2012) was used and the approach, which used Bayesian estimation, was similar to that in previous assessments (Dunn & Hanchet 2011a,b).

A stock assessment was also completed for the Bounty Platform stock in 2014 using data up to 2013 from local area acoustic surveys of aggregations. Data from the most recent survey in 2013 were broadly consistent with observations in 2007–2008, but not consistent with the observed abundances in 2009–2012. The general purpose stock assessment program, CASAL (Bull *et al.* 2012) was used, with Bayesian estimation.

No new assessment is available for the Pukaki Rise stock due to the paucity of useful abundance data. No assessment has been made of the Auckland Islands Shelf stock. The years given in the biomass and yield sections of this report refer to the August–September spawning/fishing season.

### 5.1 Estimates of fishery parameters and abundance indices

Between 1993 and 2001, a series of wide area acoustic surveys for southern blue whiting were carried out by the *R/V Tangaroa* on the Bounty Platform. From 2004 to 2013, a series of local area aggregation surveys has been carried out from industry vessels fishing the Bounty Platform (O’Driscoll et al. in prep b). The fishing vessels have opportunistically collected acoustic data from the Bounty Platform fishing grounds using a random survey design over an ad-hoc area that encompassed an aggregation of southern

blue whiting (O'Driscoll et al. in prep b). The local area aggregation surveys have had mixed levels of success (Table 8).

**Table 8: Estimates of biomass (t) for immature and mature fish from wide-area acoustic surveys of the Bounty Platform from 1993–2001 (from Fu et al 2013); and mature fish from local aggregation surveys in 2004–2013 (O'Driscoll et al. in prep b); and the proportion of fishing mortality that was assumed to occur before the biomass estimate in each year (based on catch effort data, and sample dates for the acoustic snapshots). Sampling CVs for the surveys are given in parentheses.**

Year	Wide area surveys		Local aggregation surveys	
	Immature	Mature	Mature	Proportion
1993	15 269 (33%)	43 338 (58%)	-	-
1994	7 263 (27%)	17 991 (25%)	-	-
1995	0 (-)	17 945 (24%)	-	-
1997	3 265 (54%)	27 594 (37%)	-	-
1999	344 (37%)	21 956 (75%)	-	-
2001	668 (28%)	11 784 (35%)	-	-
2004	-	-	8 572 (69%)	0.73
2005	-	-	-	-
2006	-	-	11 949 (12%)	0.78
2007	-	-	79 285 (19%)	0.93
2008	-	-	75 889 (34%)	0.68
2009	-	-	16 640 (21%)	0.29
2010	-	-	18 074 (36%)	0.35
2011	-	-	20 990 (28%)	0.89
2012	-	-	16 333 (7%)	0.84
2013	-	-	28 533 (27%)	0.76

Acoustic data collected in 2005 could not be used because of inadequate survey design and acoustic interference from the scanning sonar used by the vessel for searching for fish marks. There was some concern that the surveys in 2006 and 2009 may not have sampled the entire aggregation as fish marks extended beyond the area being surveyed on some transects. However, the surveys in 2010–2012 appeared to have sampled the entire aggregation and gave a similar estimate of biomass to that in 2009. The 2013 aggregation survey was higher than the preceding four surveys, and was more consistent with the hypothesis that the surveys from 2009 to 2012 did not cover the entire population of southern blue whiting on the Bounty Platform

O'Driscoll (2011a) explored various reasons for the much lower observed biomass estimates from the surveys in 2009 and 2010 compared with 2007 and 2008. No reason in the survey methodology, equipment (including calibration), or changes in timing and extent of survey coverage could be found to explain the observed reduction in these estimates.

A standardised CPUE analysis was carried out for the Bounty Platform for data up to 2002. However, the results of this analysis were not consistent with the acoustic survey estimates, and the model structure and assumptions were inadequate to reliably determine the indices or associated variance. The indices were therefore rejected by the WG as indices of abundance and have not been used in assessments.

A wide-area survey of the Campbell Island Rise was carried out in August–September 2013 O'Driscoll et al. (in prep a). Estimates of mature biomass suggested an increase in biomass since 2011, although the point estimates were not as high as the 2009 survey. (Table 9).

**Table 9: Estimates of biomass (t) for immature and mature fish from wide-area acoustic surveys of the Campbell Island Rise 1993–2001 (from Fu et al 2013 and O'Driscoll et al. in prep a). Sampling CVs for the surveys are given in parentheses.**

Year	Wide area surveys	
	Immature	Mature
1993	35 208 (25%)	16 060 (24%)
1994	8 018 (38%)	72 168 (34%)
1995	15 507 (29%)	53 608 (30%)
1998	6 759 (20%)	91 639 (14%)
2000	1 864 (24%)	71 749 (17%)
2002	247 (76%)	66 034 (68%)
2004	5 617 (16%)	42 236 (35%)
2006	3 423 (24%)	43 843 (32%)
2009	24 479 (26%)	99 521 (27%)
2011	14 454 (17%)	53 299 (22%)
2013	8 004 (55%)	65 801 (25%)

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A standardised CPUE analysis of the Campbell Island stock was completed up until the 2002 fishing season. In the past there has been concern that because of the highly aggregated nature of the fishery, and the associated difficulty in finding and maintaining contact with the highly mobile schools in some years, the CPUE series may not be monitoring abundance. The indices have therefore not been used in the stock assessment since 1998.

Wide-area surveys of the Pukaki Rise were carried out between 1993 and 2000 (Fu et al. 2013), and more recently local area aggregation estimates by industry vessels (Table 10). The biomass estimates from the last two surveys (2010, 2012) were considered too small to be plausible (Table 10).

**Table 10: Estimates of biomass (t) for immature and mature fish from wide-area acoustic surveys of the Pukaki Rise 1993–2000 (from Fu et al 2013 and O’Driscoll 2013) and local area aggregation surveys from 2009–2012. Sampling CVs for the surveys are given in parentheses.**

Year	Wide area surveys			Vessel	Local aggregation surveys		
	Immature		Mature		Transects	Area (km <sup>2</sup> )	Biomass (%cv)
1993	9 558 (25%)		26 298 (32%)			-	
1994	125 (100%)	3 591 (48%)	21 506 (44%)			-	
1995	0 (-)		6 552 (18%)			-	
1997	1 866 (12%)		16 862 (34%)			-	
2000	1 868 (62%)	8 363 (74%)	6 960 (37%)			-	
2009			-	<i>Meridian 1</i>	4	50	188 (29%)
			-		5	283	9 459 (30%)
			-		5	71	6 272 (41%)
			-	<i>Aleksandr Buryachenko</i>	6	60	2 361 (12%)
			-		7	117	7 903 (26%)
			-		6	19	11 321 (38%)
2010			-	<i>Meridian 1</i>	10	364	1 085 (17%)
2012			-	<i>San Waitaki</i>	-	-	3 272 (21%)

## 5.2 Biomass estimates

### (i) Campbell Island stock (2014 stock assessment)

#### The stock assessment model

An updated stock assessment for the Campbell Island stock was completed in 2014.

**Table 11: Annual cycle of the stock model, showing the processes taking place at each step, and the available observations. Fishing mortality (F) and natural mortality (M) that occur within a time step occur after all other processes. M, proportion of M occurring in that time step.**

Period	Process	M	Length at age	Observations
1. Nov–Aug	Natural mortality	0.9	-	-
2. Sep–Oct	Age, recruitment, F, M	0.1	Matrix applies here	Proportion at age, acoustic indices

A two-sex, single stock and area Bayesian statistical catch-at-age model for the Campbell Island southern blue whiting stock was implemented in CASAL (Bull *et al.* 2012). The model partitioned the stock into immature and mature fish with two sexes and age groups 2–15, with a plus group at age 15. The model was run for the years 1979–2013. Five year projections were run for the years 2014–2018. The annual cycle was partitioned into two time steps. In the first time step (nominally the non-spawning season), 90% of natural mortality was assumed to have taken place. In the second time step (spawning season), fish matured, and were migrated to a spawning area where fish ages were incremented; the 2-year-olds were recruited to the population, and mature fish were subjected to fishing mortality. The remaining 10% of natural mortality was then applied to the entire population following fishing. A two sex model was used because there are significant differences observed between males and females in both the proportions at age in the commercial catch for fished aged 2–4 (see later) and their mean size at age (Hanchet & Dunn 2010). The stock recruitment relationship was assumed to be Beverton-Holt with a steepness of 0.9, with the proportion of males at recruitment (at age 2) assumed to be 0.5 of all recruits.

Southern blue whiting exhibit large inter-annual differences in growth, presumably caused by local environmental factors but also closely correlated with the occurrence of strong and weak year classes.

Hence, an empirical size-at-age matrix was used which was derived by qualitatively reviewing the empirically estimated mean sizes-at-age from the commercial catch-at-length and -age data (Hanchet & Dunn 2010). Missing mean sizes in the matrix were inferred from the relative size of their cohort and the mean growth of similar ages in other years; and cohorts with unusually small or large increments were similarly adjusted. For projections, the mean sizes-at-age were assumed to be equal to the estimated sizes-at-age in 2013.

In general, southern blue whiting on the Campbell Island Rise are assumed to be mature when on the fishing ground, as they are fished during spawning. Hence, it was assumed that all mature fish were equally selected by fishing, and that no immature fish were selected. The maximum exploitation rate ( $U_{max}$ ) was assumed to be 0.8. The proportion of immature fish that mature in each year was estimated for ages 2–5, with fish aged 6 and above assumed to be fully mature.

The model was started in 1979 and the numbers in the population at the start of the model were estimated for each age separately (i.e., described as a  $C_{initial}$  starting state in Bull *et al.* 2012). Estimates of the initial age structure were constrained so that the number of males within each age class was equal to the number of females within that age class.

### Observations

The model was fitted to a single time series of acoustic biomass estimates and the catch-at-age data from the fishery; the time series of acoustic biomass estimates came from a wide area survey series conducted by the research vessel Tangaroa for immature and for mature fish. The acoustic survey estimates were used as relative estimates of mid-season biomass (i.e., after half the catch has been removed), with associated CVs estimated from the survey analysis (Table 9)

Catch-at-age observations by sex were available for most years from the commercial fishery for the period 1979 to 2013. These catch-at-age data were fitted to the model as proportions-at-age, where estimates of the proportions-at-age and associated CVs by age were estimated using the NIWA catch-at-age software by bootstrap (Bull & Dunn 2002).

### Estimation

Model parameters were estimated using Bayesian methods implemented using the NIWA stock assessment program CASAL v2.30 (Bull *et al.* 2012). For initial runs only the mode of the joint posterior distribution was estimated. For the final runs presented here, the full posterior distribution was sampled using Markov Chain Monte Carlo (MCMC) methods, based on the Metropolis-Hastings algorithm.

MCMC chains were estimated using a burn-in length of 1 million iterations, with every 10 000<sup>th</sup> sample taken from the next 10 million iterations (i.e., a final sample of length 1000 was taken from the Bayesian posterior).

Equilibrium “virgin” biomass is equal to the population that there would have been if all the YCS were equal to one and there was no fishing. However, there was a period of unknown (and possibly large) catches from the Campbell Island stock before 1979, and there is high recruitment variability in the stock, so the initial 1979 biomass was allowed to differ from the equilibrium virgin biomass. The initial population in 1979 (ages 2 to 15+) was estimated for each of the ages in the initial population, and assumed to be equal by sex. Year class strengths were estimated for all years from 1977 to 2010, under the assumption that the estimates from the model should average one.

### Prior distributions and penalty functions

In general, the assumed prior distributions used in the assessment were intended to be non-informative with wide bounds (Table 12). The exceptions to this were the priors and penalties on the biomass catchability coefficient and on relative year class strengths. The prior assumed for the relative year class strengths was lognormal, with mean 1.0 and CV 1.3.

A log-normal prior was developed for the wide area acoustic survey catchability coefficient with mean 0.87 and C.V. 0.3, obtained using the approach of Cordue (1996), derived by P. Cordue (pers. comm., 2013). Various factors were included in the derivation of the prior including, mean target strength, acoustic system

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calibration, target identification, shadow or dead zone correction, and spatial availability (Table 13). While the analysis indicated a lower bound of 0.39, this did not account for recent updates to the target strength of southern blue whiting based on *in situ* measurements using an acoustic-optical system (AOS) (O'Driscoll et al. 2013). The AOS target strength estimate was based on observations of fish in the mouth of a trawl, which had a mean swimming angle of 16° and standard deviation of 15° (O'Driscoll et al. 2013). This may have over-estimated target strength of fish in spawning aggregations, as spawning fish are likely to have a different tilt angle distribution to those being herded by a trawl. Hence, the assessment models assumed a lower bound on the catchability prior of 0.1 to account for possibility of this bias.

Natural mortality was parameterised by the average of male and female, with the difference estimated with an associated normal prior with mean zero and standard deviation 0.05. Penalty functions were used to constrain the model so that any combinations of parameters that did not allow the historical catch to be taken were strongly penalised. A small penalty was applied to encourage the estimates of year class strengths to average to 1.

**Table 12: The distributions, priors, and bounds assumed for the various parameters being estimated for the Campbell Island stock assessment.**

Parameter	N	Distribution	Values		Bounds	
			Mean	CV	Lower	Upper
$B_0$	1	Uniform-log	-	-	20 000	250 000
Initial population (by sex)	14	Uniform	-	-	2e0	2e9
Male maturity	4	Uniform	-	-	1	20
Female maturity	4	Uniform	-	-	0.02	20
Year class strength	34	Lognormal	1.0	1.3	0.001	100
Wide area catchability mature $q$	1	Lognormal	1.0	0.2	0.1	1.71
Wide area catchability immature $q$	1	Uniform	-	-	0.1	1.71

**Table 13: Estimated 'best' and lower and upper bounds for the factors for the acoustic catchability prior (P. Cordue, pers. comm., 2013). The combined estimate corresponds to a lognormal prior with mean 0.87 and c.v. 0.3.**

Factor	Estimate		
	Lower	Best	Upper
Target strength	0.72	0.90	1.13
Target identification	0.90	1.15	1.45
Vertical availability	0.75	0.85	0.95
Areal availability	0.90	0.95	1.00
System calibration	0.90	1.00	1.10
Combined	0.39	0.84	1.71

## Model runs

The Working Group considered a base case and 2 sensitivities (Table 14). The base case included all of the acoustic biomass indices, the sensitivities excluded the 2009 acoustic biomass index and allowed for the estimation of the natural mortality rate for males and females.

Lognormal errors, with known CVs, were assumed for the relative biomass indices, while multinomial errors were assumed for the proportions-at-age data. However, the error terms allowed for sampling error only and additional variance, assumed to arise from differences between model simplifications and real world variation, was added to the sampling variance. This additional variance, termed process error, was estimated in the initial MPD run for the first model using all the available data, and assumed for the other two models. Process errors were estimated separately for the proportion-at-age data using the method of Francis (2011), and for the acoustic estimates from the wide area surveys (but was estimated to be nil).

**Table 14: Model run labels and descriptions.**

Model run	Description
1.1	Base case model
1.2	Model 1.1, but excluding the 2009 biomass index
1.3	Model 1.1, but with natural mortality estimated

## Results

The estimated MCMC marginal posterior distributions for spawning stock biomass trajectories are shown for the base case (model 1.1) in Figure 2, and the results summarised in Table 15 and 16. The run suggests that the stock biomass showed a steady decline from the early 1980s until 1993, followed

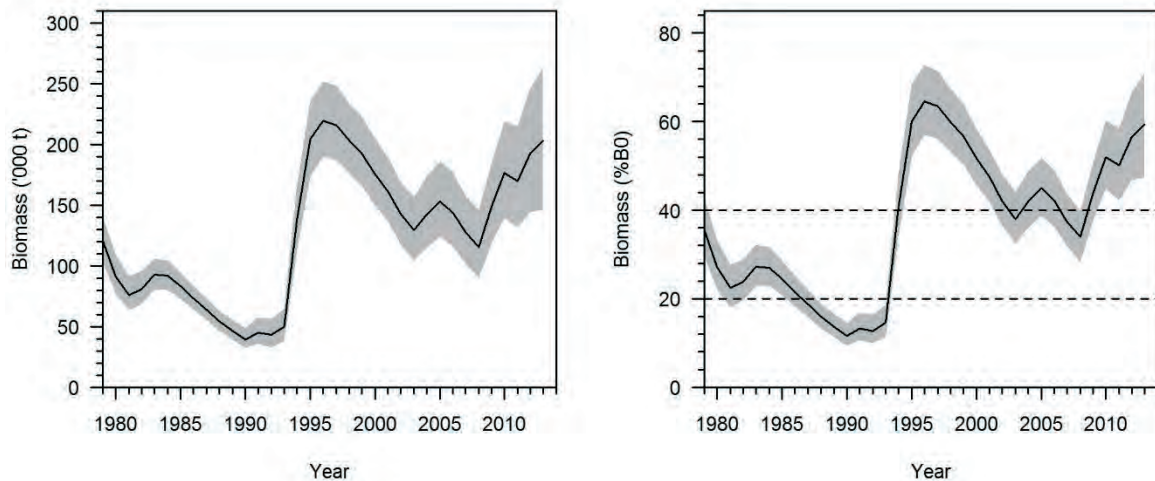
by a large increase to 1996 as a result of the strong 1991 year class. The population then declined until a moderate year class in 2003 and then a strong year class in 2006 resulted in a relatively stable stock size until 2009, and then increased in recent years as the 2006 and 2009 year classes recruited to the fishery. Exploitation rates and relative year class strengths are shown in Figure 3. Estimates of the adult acoustic  $q$  and  $M$  are given in Table 16.

**Table 15: Bayesian median and 95% credible intervals of equilibrium ( $B_0$ ), initial, and current biomass for the model runs 1.1 (base case), 1.2 (exclude 2009 index), and 1.3 (estimate  $M$ )**

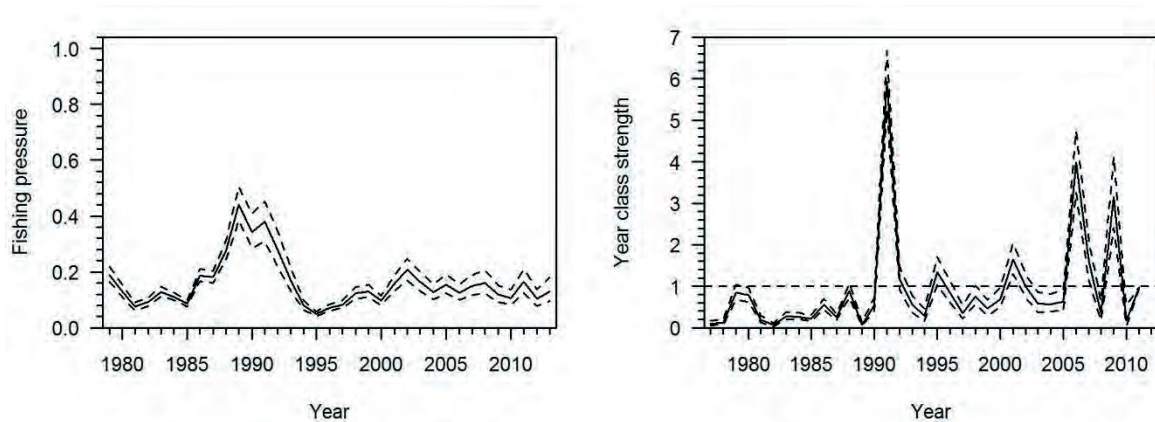
Model	$B_0$	$B_{2013}$	$B_{2013} (\%B_0)$
1.1 (Base case)	342 290 (307 800–391 080)	205 532 (145 856–284 562)	60 (48–74)
1.2	327 020 (295 550–368 730)	175 098 (123 444–239 085)	54 (42–65)
1.3	346 990 (297 650–433 560)	262 977 (167 817–406 478)	76 (54–97)

**Table 16: Bayesian median and 95% credible intervals of the catchability coefficients ( $q$ ) and natural mortality parameters for the wide area acoustic biomass indices for model runs 1.1 (base case) and the sensitivity cases.**

Model	Catchability		Natural mortality	
	Mature	Immature	Male	Female
1.1 (Base case)	0.41 (0.34–0.48)	0.28 (0.22–0.34)	–	–
1.2	0.41 (0.34–0.48)	0.26 (0.20–0.33)	–	–
1.3	0.31 (0.21–0.43)	0.17 (0.10–0.29)	0.26 (0.19–0.32)	0.26 (0.18–0.33)



**Figure 2: MCMC posterior plots of the trajectories of biomass (left) and current stock status ( $\%B_{2013}/B_0$ ) (right) for the Campbell Island stock for the base case model. The shaded regions are the 95% CIs.**



**Figure 3: Estimated posterior distributions of exploitation rates (left) and relative year class strength (right) for the Campbell Island stock for the base case model.**

Projections were made assuming fixed catch levels of 30 000 t. Projections were made using the MCMC samples, with recruitments drawn randomly from the distribution of year class strengths for the period

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1977–2010 estimated by the model and applied from year 2011 onwards. For projections, the mean sizes-at-age were assumed to be equal to the estimated sizes-at-age in 2013.

For each scenario, the probability that the mid-season biomass for the specified year will be less than the threshold level ( $20\% B_0$ ) is given in Table 17. The probability of dropping below the threshold biomass at catch levels of 30 000 t is less than 10% for all models and all years. Under average recruitment conditions the biomass is expected to increase in the next year, then decline.

**Table 17: Probability that the projected mid-season vulnerable biomass for 2014–2018 will be less than  $20\% B_0$ , and the median projected biomass ( $\%B_0$ ), at a projected catch of 30 000 t, 35 000 t, and 40 000 t, for the base case model assuming average recruitment over the period 1997–2010 for 2010+.**

Model	Catch (t)	Pr (SSB $< 0.2B_0$ )					Median SSB ( $\%B_0$ )				
		2014	2015	2016	2017	2018	2014	2015	2016	2017	2018
1.1 (base)	30 000	0.00	0.00	0.00	0.01	0.05	65	61	55	51	46
	35 000	0.00	0.00	0.00	0.02	0.09	64	59	52	46	41
	40 000	0.00	0.00	0.00	0.05	0.18	64	57	49	42	35

### (ii) Bounty Platform stock (2014 assessment)

An updated stock assessment for the Bounty Platform stock was completed for 2014. Preliminary model runs did not provide a satisfactory fit to both the high local area aggregation acoustic biomass estimates observed in 2007–2008 and the lower local area aggregation biomass estimates observed since 2009. Hence, the development of the assessment focused on evaluating models with different assumptions that allowed a comparison of the extent to which the high biomass and subsequent decline were fitted. The Working Group considered that the model that allowed for the larger biomass in 2007 and 2008 with a subsequent decline to 2013 was the most plausible, based on the observed changes in biomass in recent years and the fits to the age data. This model was developed as the base case, with sensitivities on the nature of the catchability prior that was assumed for the acoustic surveys.

### Population dynamics and model structure

A two-sex, single stock and area Bayesian statistical catch-at-age model for the Bounty Platform southern blue whiting stock was implemented in CASAL (Bull et al 2012). The model partitioned the stock into immature and mature fish with two sexes and age groups 2–15, with a plus group at age 15. The model was run for the years 1979–2013. Five year projections were run for the years 2014–2018. The annual cycle was partitioned into two time steps. In the first time step (nominally the non-spawning season), 90% of natural mortality was assumed to have taken place. In the second time step (spawning season), fish matured, and were migrated to a spawning area where fish ages were incremented; the 2-year-olds were recruited to the population, and mature fish were subjected to fishing mortality. The remaining 10% of natural mortality was then applied to the entire population following fishing. A two sex model was used because there are significant differences observed between males and females in both the proportions at age in the commercial catch for fished aged 2–4 (see later) and their mean size at age (Hanchet & Dunn 2010). The stock recruitment relationship was assumed to be Beverton-Holt with a steepness of 0.9, with the proportion of males at recruitment (at age 2) assumed to be 0.5 of all recruits.

Southern blue whiting exhibit large inter-annual differences in growth, presumably caused by local environmental factors but also closely correlated with the occurrence of strong and weak year classes. Hence, an empirical size-at-age matrix was used which was derived by qualitatively reviewing the empirically estimated mean sizes-at-age from the commercial catch-at-length and catch-at-age data (Hanchet & Dunn 2010). Missing mean sizes in the matrix were inferred from the relative size of their cohort and the mean growth of similar ages in other years; and cohorts with unusually small or large increments were similarly adjusted. For projections, the mean sizes-at-age were assumed to be equal to the estimated sizes-at-age in 2013. Estimates of the initial age structure were constrained so that the number of males within each age class was equal to the number of females within that age class.

The proportion of immature fish that mature in each year was estimated for ages 2–5, with fish aged 6 and above assumed to be fully mature. In addition, in order to account for years when slower growing cohorts potentially matured later, an annual shift parameter was estimated that varied the probability of fish maturing in that year, and was estimated for the years 2005–2010.

However, in developing the models for southern blue whiting on the Bounty Platform, it was found that in the exploratory model runs the estimates of the very large year class observed in 2002 were strongly confounded with model estimates of the overall mean recruitment, equilibrium ( $B_0$ ), and initial abundance ( $C_{initial}$ ). To resolve this issue, the mean year class strength constraint was modified to exclude the 2002 year class, i.e., the constraint that the mean of the relative year class strengths for years 1988–2010 equals one was replaced with the constraint that the mean of the relative year class strengths for the years 1988–2001 and 2003–2010 combined equals one. This modification removed most of the confounding between those parameters, and resulted in a more numerically stable model.

Note that in other, similar assessment models, the equilibrium unexploited spawning biomass ( $B_0$ ) is typically defined as being equal to the spawning biomass that there would have been if the mean relative year class strength was equal to one over some defined period and there was no fishing (see Bull et al 2012 for rationale). Here, as we ignore the 2002 year class in the averaging process, we define the equilibrium unexploited spawning biomass as being equal to the spawning biomass that there would have been if the mean relative year class strength was equal to one over the period 1998–2001 and 2003–2009 combined with no fishing. This modification has consequences, specifically projections that assume a mean relative year class strength of one ignore the possibility of a very strong year class such as that observed in 2002. Estimated biomass reference points would also be lower. To correct for this, the mean year class strength was recalculated when including the 2002 year class, and applied as an adjustment to estimates of  $B_0$ .

### Observations

The model was fitted to two time series of acoustic biomass estimates and the proportion-at-age data from the fishery. One time series of acoustic biomass estimates came from a wide area survey series conducted by the research vessel *Tangaroa* (Hanchet & Dunn 2010) for immature and for mature fish. The acoustic survey estimates were used as relative estimates of mid-season biomass (i.e., after half the catch has been removed), with associated CVs estimated from the survey analysis (Table 8).

The second time series of acoustic biomass estimates came from a series of southern blue whiting local area aggregation surveys carried out from industry vessels fishing the Bounty Platform (Table 8). It was assumed that the local area aggregation survey estimates were relative estimates of mature stock biomass after a proportion of the catch had been removed (see Table 8) and with a CV equal to the sampling CV estimated from the survey. These estimates were based on the revised target strength estimates of O'Driscoll et al 2013. However, as the coverage by the survey of the population was likely to have been different in each year, the series was assumed to be a time series with non-constant catchability. Hence the catchability coefficient ( $q$ ) for each year was allowed to be an independent parameter in the model. In order to use these survey estimates as a time series (allowing the biomass estimates to provide some information to the model) it was assumed that the local area aggregation survey catchability coefficients were related to the wide area acoustic survey estimates via a  $q$  ratio prior (see Section 6.5.7 of Bull et al 2012 for detail). Hence a prior distribution on the ratio of each individual survey and the *R/V Tangaroa* wide area surveys were specified, with the ratio prior assumed to be lognormally distributed and parameterised by a mean and CV.

Catch-at-age observations by sex were available from the commercial fishery for the period 1990–2012. These catch-at-age data were fitted to the model as proportions-at-age, where estimates of the proportions-at-age and associated CVs by age were estimated using the NIWA catch-at-age software by bootstrap (Bull & Dunn 2002).

### Estimation

Model parameters were estimated using Bayesian methods implemented using the NIWA stock assessment program CASAL v2.30 (Bull et al 2012). Model fits were evaluated at the maximum of the posterior density (MPD) by investigating model fits and residuals and also by examining the full posterior distributions sampled using Markov Chain Monte Carlo (MCMC) methods.

### Prior distributions and penalty functions

In general, the assumed prior distributions used in the assessment were intended to be non-informative with wide bounds (Table 18 and 19). The exceptions to this were the priors and penalties on biomass catchability



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coefficients (including the ratio priors) and on relative year class strengths. The prior assumed for the relative year class strengths was lognormal, with mean 1.0 and CV 1.3, for all year classes except for the 2002 year class. To allow for the possibility that the 2002 year class was much stronger than average, the lognormal prior CV was modified to be less constraining and set to 10.

A log-normal prior was developed for the wide-area acoustic survey catchability coefficient, derived from the posterior estimates of catchability in the base case Campbell Island assessment model above (Table 13). However, as the catchability in the Bounty Platform is unlikely to be identical to that from the Campbell Rise, the prior was broadened from the posterior by increasing the CV, and a lognormal prior with  $\mu=0.43$  and  $CV=0.2$  was used as the base case.

Priors for the local area aggregation surveys were non-informative, but a q-ratio prior was added to provide some limitation on the ratios between the local area aggregation surveys and the wide area acoustic catchability coefficient (Table 19). The specification of the q ratio prior was based on the assumption that (i) the wide area surveys covered all of the mature population, (ii) the 2004, 2007-2013 local area aggregation surveys also covered all of the mature population, and (iii) the 2006 survey missed a large, but unknown, proportion of the mature population.

**Table 18: The distributions, priors, and bounds assumed for the various parameters being estimated for the Bounty Platform stock assessment (q ratio priors are given in Table 19).**

Parameter	N	Distribution	Values		Bounds	
			Mean	CV	Lower	Upper
$B_0$	1	Uniform-log	-	-	20 000	250 000
Initial population (by sex)	14	Uniform	-	-	2e2	2e9
Male maturity	4	Uniform	-	-	1	20
Female maturity	4	Uniform	-	-	0.02	20
Maturity shift parameters	6	Uniform	-	-	-20	20
Year class strength	23	Lognormal	1.0	1.3 <sup>1</sup>	0.001	100
Wide area catchability mature q	1	Lognormal	0.41	0.2	0.1	1.71
Wide area catchability immature q	1	Uniform	-	-	0.1	1.71
2004 local area catchability q	1	Uniform	-	-	0.1	1.71
2006 local area catchability q	1	Uniform	-	-	0.1	1.71
2007 local area catchability q	1	Uniform	-	-	0.1	1.71
2008 local area catchability q	1	Uniform	-	-	0.1	1.71
2009 local area catchability q	1	Uniform	-	-	0.1	1.71
2010 local area catchability q	1	Uniform	-	-	0.1	1.71
2011 local area catchability q	1	Uniform	-	-	0.1	1.71
2012 local area catchability q	1	Uniform	-	-	0.1	1.71
2013 local area catchability q	1	Uniform	-	-	0.1	1.71

Note 1: Except for 2002. Here the CV = 10.

**Table 19: Aggregation survey biomass estimates for the Bounty Platform and the assumed q ratio prior, 2004-2013.**

Year	Biomass (CV%)†	q ratio prior	
		Down-weight 2009–2013	
		$\mu$	CV
2004	8 572 (69%)	1.00	0.050
2006	11 949 (12%)	0.50	0.50
2007	79 285 (19%)	1.00	0.05
2008	75 889 (34%)	1.00	0.05
2009	16 640 (21%)	1.00	0.50
2010	18 074 (36%)	1.00	0.50
2011	20 990 (28%)	1.00	0.50
2012	16 333 (7%)	1.00	0.50
2013	28 533 (27%)	1.00	0.50

† Biomass data from Table 8.

Based on the observations and preliminary model fits, the Working Group considered the most plausible model runs were those that down-weighted the acoustic observations from 2009 to 2013 relative to those in 2007 and 2008. The recent biomass observations and age structure were not consistent with those models that down weighted the 2007–2008 observations. However, the 2013 biomass observation and recent age structures were consistent with the observed biomass in 2007 and 2008, after taking account of fishing and natural mortality, if we assume that the 2009–2012 observations underestimated the true biomass.

These model results were found to be sensitive to the choice of the relative catchability coefficient, and hence two sensitivity runs were considered. The first up-weighted the prior, and assumed a lognormal prior with mean 0.41 and CV 0.1; the second down-weighted the prior and assumed a lognormal prior with mean 0.41 and CV 0.3. These model runs are described in Table 20.

Lognormal errors, with known CVs, were assumed for the relative biomass and proportions-at-age data. The CVs available for these data allow for sampling error only. However, additional variance, assumed to arise from differences between model simplifications and real world variation, was added to the sampling variance. The additional variance, termed process error, was estimated in each of the initial runs (MPDs) using all the available data. Process errors were estimated separately for the proportion-at-age data, and for the acoustic estimates from the wide area and local area aggregation surveys.

**Table 20: Model base case (6.3) and four sensitivity runs (4.2, 4.3, 6.6 and 6.7)**

Model	Description
6.3 Base case	Down weight 2009–2013 acoustic indices and estimated catchability with lognormal prior mean = 0.41, CV = 0.2
4.2	Down weight 2007–2008 acoustic indices (ignore the high acoustic biomass estimates in these years)
4.3	Down weight 2009–2013 acoustic indices (ignore the recent low acoustic biomass estimates)
6.6	Down weight 2009–2013 acoustic indices and estimated catchability with lognormal prior mean = 0.41, CV = 0.1
6.7	Down weight 2009–2013 acoustic indices and estimated catchability with lognormal prior mean = 0.41, CV = 0.3

## Results

The estimated MCMC marginal posterior distributions for spawning stock biomass trajectories are shown for the base case (model 6.3) in Figure 4, and the results are summarised in Table 21 and 22. The run suggests that the stock biomass was relatively low from the early 1990s till the arrival of the large 2002 year class into the fishery in 2007. Since 2007, the population has declined, even with the arrival of a moderately sized year class in 2007. Exploitation rates and relative year class strengths are shown in Figure 5. Estimates of the adult acoustic  $q$  are given in Table 22.

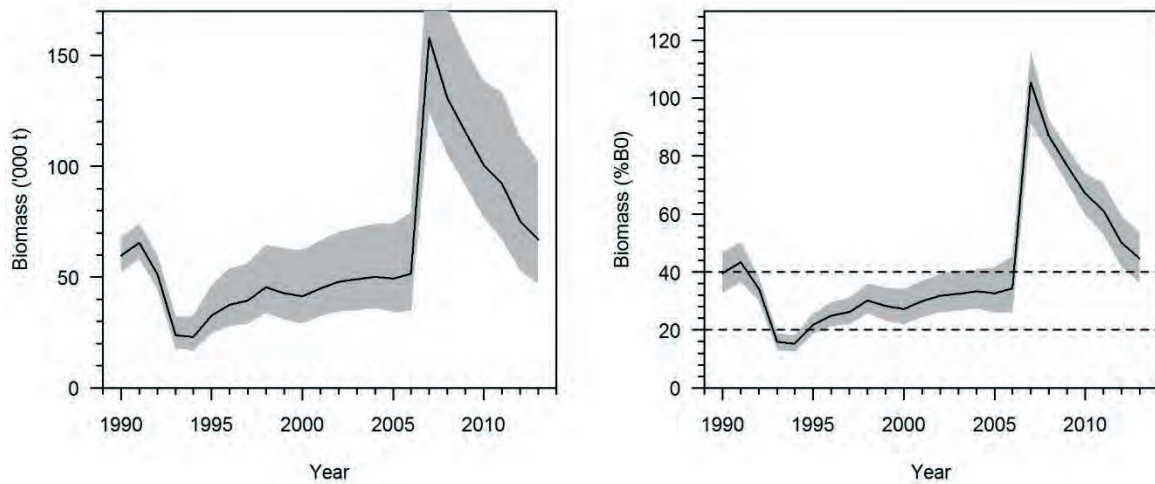
**Table 21: Bayesian median and 95% credible intervals of equilibrium initial biomass ( $B_0$ ), current biomass ( $B_{2014}$ ) and stock status ( $\%B_{2014} B_0$ ) for the model runs 6.3 (base case), 6.6 ( $q$  prior CV=0.1) and 6.7 ( $q$  prior CV=0.3). Models 4.2 and 4.3 had  $q$  fixed at 0.5).**

Model	$B_0$	$B_{2014}$	$B_{2014} (\%B_0)$
6.3 Base case	150 120 (126 140–189 050)	66 977 (46 837–102 237)	45 (36–54)
4.2	126 350 (118 880–140 110)	46 208 (42 635–50 294)	36 (34–39)
4.3	164 300 (151 770–179 920)	77 370 (64 240–94 477)	47 (42–54)
6.6	180 060 (159 890–205 860)	91 383 (73 509–114 100)	51 (45–57)
6.7	133 170 (112 380–169 380)	52 358 (34 126–82 344)	39 (30–50)

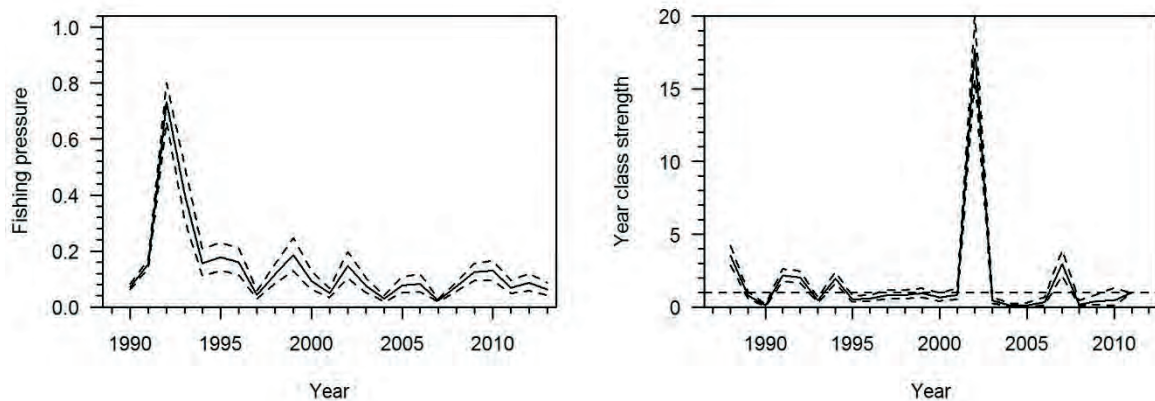
**Table 22: Bayesian median and 95% credible intervals of the catchability coefficients ( $q$ ) for the wide area acoustic biomass indices for model runs 6.3 (base case), 6.6 ( $q$  prior CV=0.1) and 6.7 ( $q$  prior CV=0.3). Models 4.2 and 4.3 had fixed values of  $q$ , with the mature acoustic  $q$  for these surveys set at 0.5,**

Model	Catchability	
	Mature	Immature
6.3 (Base case)	0.55 (0.43–0.67)	0.12 (0.10–0.15)
6.6	0.43 (0.39–0.48)	0.11 (0.10–0.15)
6.7	0.65 (0.48–0.80)	0.13 (0.10–0.16)

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**Figure 4: MCMC posterior plots of the biomass trajectories of (left)  $B_0$  and (right) current biomass ( $\%B_{2014}/B_0$ ) for the Bounty Platform stock for the base case.**



**Figure 5: Estimated posterior distributions of exploitation rates (left) and relative year class strength (right) for the Bounty Platform stock for the base case.**

Projections were made assuming fixed catch levels of 6860, 8000 and 10 000 t. They used the MCMC samples, with recruitments drawn from a lognormal distribution with mean of 1 and  $\sigma_R$  of 1.1 and applied from year 2011 onwards. For projections, the mean sizes-at-age were assumed to be equal to the estimated sizes-at-age in 2013.

For each scenario, the probability that the mid-season biomass for the specified year will be less than the threshold level (20%  $B_0$ ) is given in Table 23. The probability of dropping below the threshold biomass at a catch level of 6860 t is less than 5% for all years and for catch levels of 8000 and 10 000 t is less than 10% for all years. Under average recruitment conditions the biomass is expected to decline slowly.

**Table 23: Probability that the projected mid-season vulnerable Bounty Platform southern blue whiting biomass for 2014-2016 will be less than 20%  $B_0$ , and the median projected biomass (% $B_0$ ), at a projected catch of 6860t, 8000 t, and 10 000 t, for the base case model and four sensitivities, assuming average recruitment over the period 1988–2010 for 2011 onwards.**

Model	Catch (t)	Pr (SSB < 0.2 $B_0$ )			Median SSB (% $B_0$ )		
		2014	2015	2016	2014	2015	2016
6.3 (base)	6 860	0.00	0.00	0.00	47	44	43
	8 000	0.00	0.00	0.00	47	43	41
	10 000	0.00	0.00	0.00	47	42	38
4.2	6 860	0.00	0.00	0.00	54	54	54
	8 000	0.00	0.00	0.00	53	52	51
	10 000	0.00	0.00	0.00	52	48	45
4.3	6 860	0.00	0.00	0.00	71	72	72
	8 000	0.00	0.00	0.00	70	69	68
	10 000	0.00	0.00	0.00	69	67	65
6.6	6 860	0.00	0.00	0.00	77	73	71
	8 000	0.00	0.00	0.00	77	72	69
	10 000	0.00	0.00	0.00	77	70	65
6.7	6 860	0.00	0.00	0.00	60	56	54
	8 000	0.00	0.00	0.00	60	54	51
	10 000	0.00	0.00	0.01	59	51	46

### (iii) Pukaki Rise stock

An assessment for 2014 was planned for the Pukaki Rise stock but the Working Group did not accept that the 2012 acoustic survey provided an acceptably realistic biomass estimate for the stock, so no assessment was possible.

An assessment of the Pukaki Rise stock was carried out in 2002. The sSPA model was used to estimate the numbers at age in the initial population in 1989 and subsequent recruitment. The model estimates selectivity for ages 2, 3, and 4 and assumes that the selectivity after age 4 is 1.0. No stock-recruitment relationship is assumed in the sSPA.

Preliminary runs of the model were fitted to proportion-at-age data from 1989 to 2000, and the acoustic indices given in Table 24, which differ from those in Table 8 because they were calculated with an older estimate of target strength and sound absorption. The indices were fitted in the model as relative estimates of mid-season biomass (i.e., after half the catch has been removed), with the CVs as shown in Table 25. The proportion-at-age data are assumed to be multinomially distributed with a median sample size of 50 (equivalent to a CV of about 0.3). Details of the input parameters for the initial and sensitivity runs are given in Table 25.

**Table 24: R.V. *Tangaroa* age 2, 3 and 4+ acoustic biomass estimates (t) for the Pukaki Rise used in the 2002 assessment. Estimates differ from those in Table 8 because they were calculated with old estimates of target strength and sound absorption.**

Year	Age 1	Age 2	Age 3	Age 4+
1993	578	26 848	9 315	31 152
1994	13	1 193	6 364	35 969
1995	0	102	775	11 743
1997	22	2 838	864	34 086
2000	58	7 268	5 577	24 931

**Table 25: Values for the input parameters to the separable Sequential Population Analysis for the initial run and sensitivity runs for the Pukaki Rise stock.**

Parameter	Initial run	Sensitivity runs
M	0.2	0.15, 0.25
Acoustic age 3 and 4+ indices $CV$	0.3	0.1, 0.5
Acoustic age 1, 2 indices $CV$	0.7	0.5, 1.0
Weighting on proportion-at-age data	50	5, 100
Years used in analysis	1989-2000	1979-2000
Acoustic $q$	estimated	0.68, 1.4, 2.8

Biomass estimates in the initial run and also in the sensitivity runs all appeared to be over-pessimistic because the adult (4+) acoustic  $q$  was very high. For example, for the initial run the 4+ acoustic  $q$  was

## SOUTHERN BLUE WHITING (SBW)

estimated to be 2.7. The WG did not accept this initial run as a base case assessment, but agreed to present a range of possible biomass estimates. The Plenary also agreed to present a range, based on assumptions concerning the likely range of the value for the acoustic  $q$ .

Bounds for the adult (4+) acoustic  $q$  were obtained using the approach of Cordue (1996). Uncertainty over various factors including mean target strength, acoustic system calibration, target identification, shadow or dead zone correction, and areal availability were all taken into account. In addition to obtaining the bounds, a 'best estimate' for each factor was also calculated. The factors were then multiplied together. This independent evaluation of the bounds on the acoustic  $q$  suggested a range of 0.65–2.8, with a best estimate of 1.4. Clearly the  $q$  from the initial run is almost at the upper bound and probably outside the credible range. When the model was run fixing the acoustic  $q$  at 0.65 and 2.8, estimates of  $B_0$  were 18 000 t and 54 000 t, and estimates of  $B_{2000}$  were 8000 t and 48 000 t respectively (Table 26, Figure 6). Within these bounds current biomass is greater than  $B_{MAY}$ . Assuming the 'best estimate' of  $q$  of 1.4 gave  $B_0$  equal to 22 000 t and  $B_{2000}$  equal to 13 000 t.

Based on the range of stock biomass modelled in the assessment, the average catch level since 2002 (380 t) is unlikely to have made much impact on stock size. A more intensive fishery or more consistent catches from year to year would seem to be required to provide any contrast in the biomass indices. This stock has been only lightly exploited since 1993, when over 5000 t was taken in the spawning season.

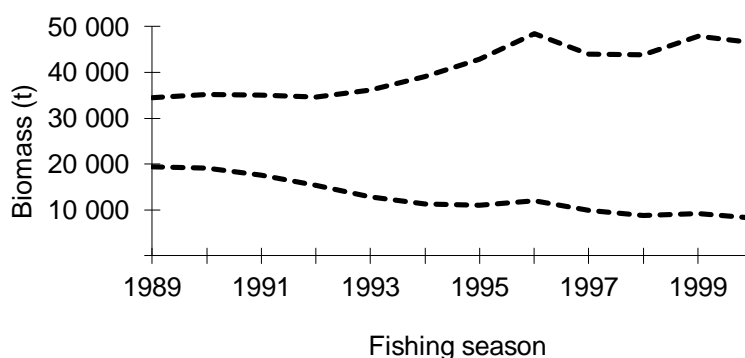


Figure 6: Mid-season spawning stock biomass trajectory bounds for the Pukaki Rise stock. Bounds based on acoustic  $q$  of 0.65 and 2.8.

Table 26: Parameter estimates for the Pukaki stock as a result of fixing the adult 4+ acoustic  $q$  at various values.  $B_{mid}$ , mid-season spawning stock biomass;  $N_{2,1992}$  size of the 1990 year class (millions). All values in  $t \times 10^3$ .

Fixing the acoustic $q$ value	$B_0$	$B_{mid\ 89}$	$B_{mid\ 00}$	$N_{2,1992}$	$B_{mid\ 00}$	(% $B_0$ )	$B_{mid\ 00}$ (% $B_{may}$ )
$q = 0.65$	54	36	48	63		88	246
$q = 1.4$	22	22	13	28		58	161
$q = 2.8$	18	19	8	23		44	123

### (iv) Auckland Islands stock

No estimate of current biomass is available for the Auckland Islands Shelf stock. The acoustic estimate of the adult biomass in 1995 was 7800 t.

## 5. STATUS OF THE STOCKS

### Stock Structure Assumptions

Southern blue whiting are assessed as four independent biological stocks, based on the presence of four main spawning areas (Auckland Islands Shelf, Bounty Platform, Campbell Island Rise, and Pukaki Rise), and some differences in biological parameters and morphometrics between these areas (Hanchet 1999).

The four main stocks SBW 6A (Auckland Islands), SBW 6B (Bounty Platform), SBW 6I (Campbell Island Rise), and SBW 6R (Pukaki Rise) cover the four main bathymetric features in the Sub-Antarctic QMA6. SBW 1 is a nominal stock covering the rest of the New Zealand EEZ where small numbers of fish may occasionally be taken as bycatch.

- **Auckland Islands (SBW 6A)**

<b>Stock Status</b>	
Year of Most Recent Assessment	-
Assessment Runs Presented	-
Reference Points	Management Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Unknown
<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Catches have fluctuated without trend
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	No reliable indices of abundance
Trends in Other Relevant Indicators or Variables	Catch in 2007 and 2008 was dominated by large (40–50 cm long) fish - no sign of recent strong year classes.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	Unknown

<b>Assessment Methodology</b>	
Assessment Type	Level 4 - Low information
Assessment Method	None
Main data inputs	Catch history - erratic catches with no trend Limited catch-at-age data (1993–1998) and 2008
Period of Assessment	-
Changes to Model Structure and Assumptions	-
Major Sources of Uncertainty	- No reliable time series of data available. - Catches have been erratic for the past 10 years and have been taken as bycatch in other middle depth fisheries so unlikely to provide reliable CPUE indices.

<b>Qualifying Comments</b>
There were several years of high catches (700–1100 t) during the mid 1990s but since then annual catches have averaged about 100 t. Good recruitment in southern blue whiting tends to be episodic and it is likely that the period of high catches was due to the presence of the strong year 1991 year class. Catches will probably remain low until another strong year class enters the fishery.

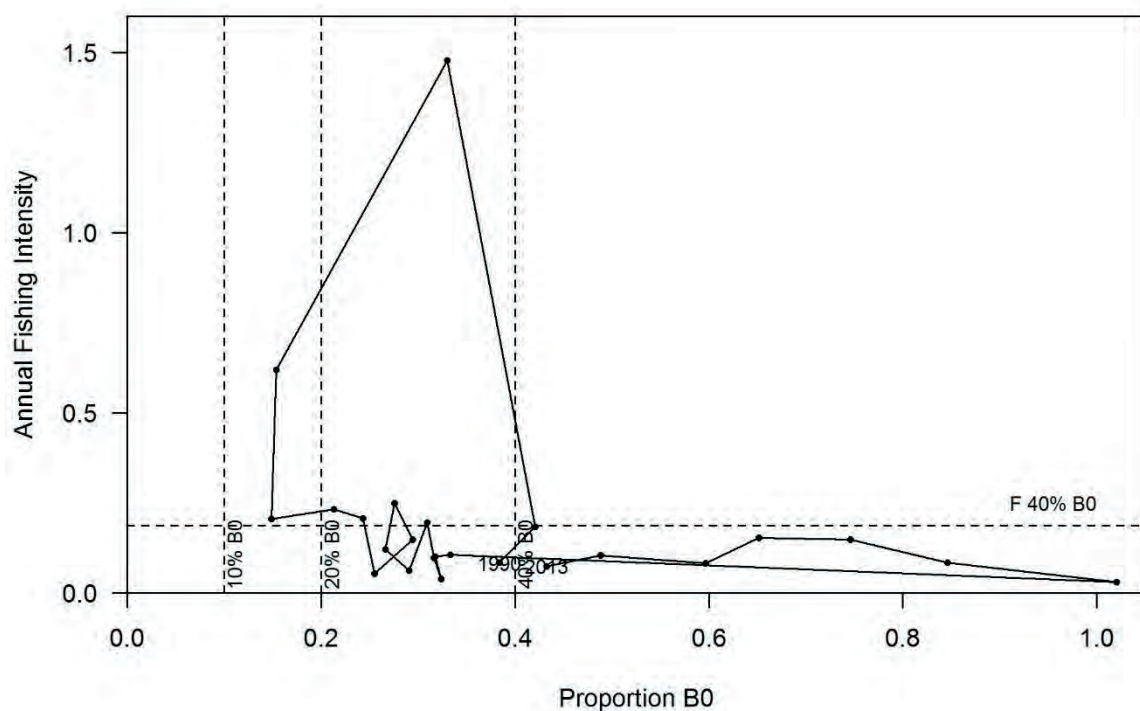
<b>Fishery Interactions</b>
There was virtually no fish bycatch when it was a target fishery during the mid 1990s.

## SOUTHERN BLUE WHITING (SBW)

### • Bounty Platform (SBW 6B)

Stock Status	
Year of Most Recent Assessment	2014
Assessment Runs Presented	MCMC estimates from integrated stock assessment model scenarios with different prior weighting of the time series of acoustic survey estimates.
Reference Points	Management Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{40\%B_0}$
Status in relation to Target	$B_{2013}$ was estimated to be between 40% $B_0$ and 50% $B_0$ . About as Likely As Not (40–60%) to be at or above the target
Status in relation to Limits	Unlikely (< 40%) that the current biomass is below the Soft Limit Very Unlikely (< 10%) that the current biomass is below the Hard Limit
Status in relation to Overfishing	Overfishing is Unlikely (< 40%) to be occurring.

### Historical Stock Status Trajectory and Current Status



Trajectory over time of fishing intensity ( $U$ ) and spawning biomass ( $\%B_0$ ), for the Bounty Platform southern blue whiting stock from the start of the assessment period in 1990 to 2013. The dotted vertical lines show the management target (40%  $B_0$ ) in stock status and fishing intensity, and the hard limit (10%  $B_0$ ) and soft limit (20%  $B_0$ ) in stock status. Biomass estimates are based on MCMC results, while fishing intensity is based on corresponding MPD results.

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Biomass was below the target level from 1993 to 2005 but, with the recruitment of the very strong 2002 year class, the stock increased to be at or above pre-exploitation levels until 2008 but has subsequently declined.
Recent Trend in Fishing Intensity or Proxy	Fluctuating at levels below the overfishing threshold, since 2002.

Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	Recruitment was estimated to be low from 1995 to 2001 but was extremely high in 2002 and has been low since then. The 2007 year class appears to be above average.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	The biomass of the Bounty stock is expected to decrease over the next 3 years at the current catch level as the 2002 and 2007 year classes are fished down.
Probability of Current Catch or TACC causing Biomass to remain below, or to decline below, Limits	Soft Limit: Very Unlikely (< 10%) over next 3 years Hard Limit: Exceptionally unlikely (< 1%) over next 3 years
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 1 – Quantitative Stock Assessment	
Assessment Method	Age-structured CASAL model with Bayesian estimation of posterior distributions	
Assessment Dates	Latest assessment: 2014	Next assessment: 2015
Overall assessment quality rank	2 – Medium Quality	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Wide area acoustic abundance indices</li> <li>- Acoustic abundance indices from local area aggregation surveys</li> <li>- Proportions at age data from the commercial fisheries and trawl surveys</li> <li>- Estimates of biological parameters</li> <li>- Estimates of acoustic target strength</li> </ul>	1 – High Quality  2 – Medium Quality (uncertainty in the proportion of the spawning aggregation covered by the surveys) 1 – High Quality  1 – High Quality  1 – High Quality
Data not used (rank)	Commercial CPUE	3 – Low Quality: does not track stock biomass
Changes to Model Structure and Assumptions	New model, with revised estimate of acoustic target strength.	
Major Sources of Uncertainty	- The proportion of the spawning biomass that is indexed by the local area aggregation survey in each year is variable and uncertain.	

<b>Qualifying Comments</b>
The catch-at-age data for the last seven years have been dominated by the strong 2002 year class. Local area aggregation acoustic surveys carried out in 2007 and 2008 suggested that this was an extremely strong year class, and suggested biomass of 73 000–76 000 t. However, surveys from 2002 to 2012 suggested a lower biomass. The observed decline between 2008 and 2009 was too great to be explained solely by fishing and average levels of natural mortality of the 2002 year class. While the high abundance observed in 2007 and 2008 was not seen by the aggregation surveys from 2009–2012, the higher observed abundance in 2013 is consistent with the abundance observed in the 2007 and 2008 surveys after accounting for natural and fishing mortality.

<b>Fishery Interactions</b>
There is virtually no fish bycatch in the fishery and, as this is principally a pelagic fishery, very little benthic impact. Protected species interactions are largely restricted to NZ fur seals and seabirds.

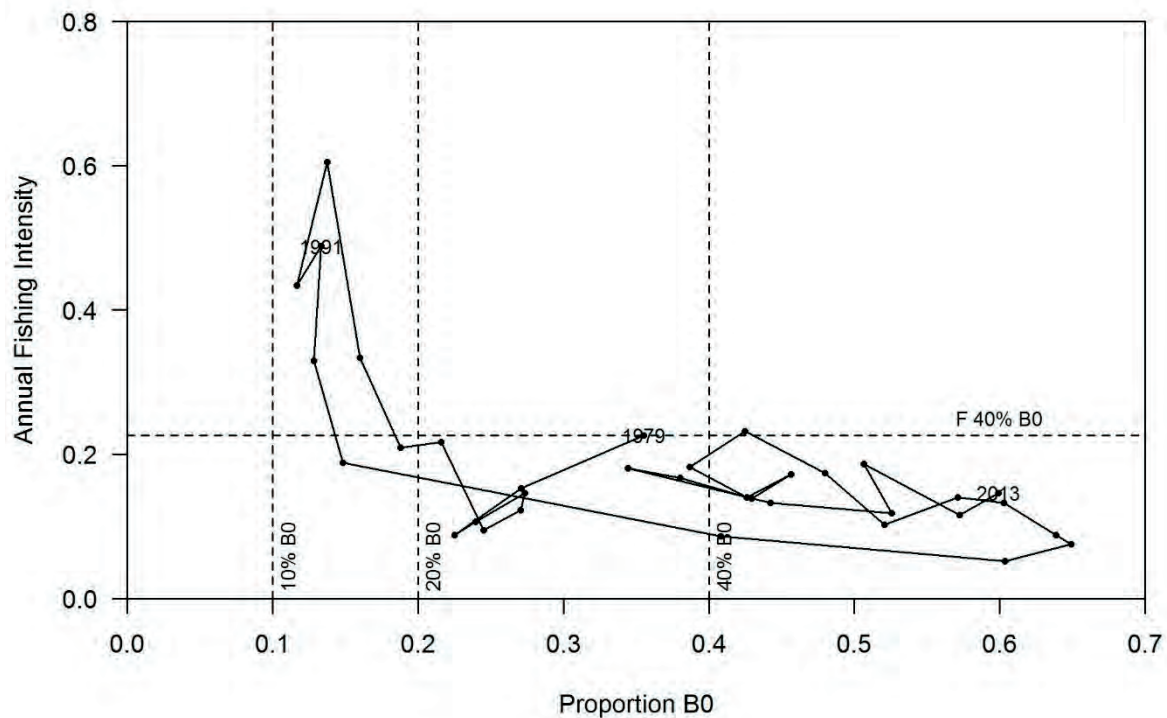


# SOUTHERN BLUE WHITING (SBW)

## • Campbell Island Rise (SBW 6I)

Stock Status	
Year of Most Recent Assessment	2014
Assessment Runs Presented	Base Case Stock Assessment Model
Reference Points	Management Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{40\% B_0}$
Status in relation to Target	$B_{2014}$ was estimated to at or above 50% $B_0$ and is Very Likely (> 90%) to be at or above the target
Status in relation to Limits	$B_{2014}$ is Exceptionally Unlikely (< 1%) to be below soft or hard limits
Status in relation to Overfishing	Overfishing is Very Unlikely (< 10%) to be occurring.

### Historical Stock Status Trajectory and Current Status



Trajectory over time of fishing intensity ( $U$ ) and spawning biomass (% $B_0$ ), for the Campbell Island Rise southern blue whiting stock from the start of the assessment period in 1979 to 2013. The dotted vertical lines show the management target (40%  $B_0$ ) in stock status and fishing intensity, and the hard limit (10%  $B_0$ ) and soft limit (20%  $B_0$ ) in stock status. Biomass estimates are based on MCMC results, while fishing intensity is based on corresponding MPD results.

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	With strong recent recruitment the biomass has increased well above the management target.
Recent Trend in Fishing Intensity or Proxy	Fishing pressure has declined with the increase in stock size.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	The 2006 and 2009 year classes appear to be very strong, but not as strong as the 1991 year class.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	At a TAC of 40,000 t, the biomass of the Campbell stock is expected to decrease slightly over the next 1–2 years.
Probability of Current Catch or TACC causing Biomass to remain below, or to decline below, Limits	Soft Limit: Exceptionally Unlikely (< 1%) over next 2–3 years Hard Limit: Exceptionally Unlikely (< 1%) over next 2–3 years
Probability of Current Catch or TACC causing Overfishing to continue or commence	Unlikely (< 40%)

<b>Assessment Methodology</b>		
Assessment Type	Level 1 - Quantitative Stock Assessment	
Assessment Method	Age-structured CASAL model with Bayesian estimation of posterior distributions	
Assessment Dates	Latest assessment: 2014	Next assessment: 2016
Overall assessment quality rank	1–High Quality	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Research time series based on acoustic indices</li> <li>- Proportions-at-age data from the commercial fisheries and trawl surveys</li> <li>- Estimates of biological parameters</li> </ul>	1–High Quality  1–High Quality 1–High Quality
Data not used (rank)	Commercial CPUE	3 – Low Quality: does not track stock biomass
Changes to Model Structure and Assumptions	<ul style="list-style-type: none"> <li>- Target strength was revised resulting in revised biomass estimates.</li> <li>- Plus group increased from 11 to 15.</li> </ul>	
Major Sources of Uncertainty	<ul style="list-style-type: none"> <li>- Uncertainty about the size of future age classes affects the reliability of stock projections.</li> <li>- Future mean weight at age in the projections.</li> </ul>	

<b>Qualifying Comments</b>
- The prior for the wide-area acoustic surveys was based on the previous target strength estimates relationship, which is likely to have led to underestimates of spawning stock biomass, as well as possible biases in stock status estimates.
<b>Fishery Interactions</b>
The principal protected species incidental captures are of New Zealand sea lions, New Zealand fur seals and seabirds. There is virtually no fish bycatch in the fishery and, as it is principally a pelagic fishery, very little benthic impact.

• **Pukaki Rise (SBW 6R)**

<b>Stock Status</b>	
Year of Most Recent Assessment	2002
Assessment Runs Presented	The results of three runs were presented assuming different values for the adult acoustic $q$ .
Reference Points	Interim Management Target: 40% $B_0$ Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Current status unknown. Believed to be only lightly exploited between 1993 and 2002
Status in relation to Limits	Current status unknown. Believed to be only lightly exploited between 1993 and 2002
Historical Stock Status Trajectory and Current Status	Unknown

## SOUTHERN BLUE WHITING (SBW)

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Catches over the last 10 years have fluctuated without trend.
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	No current reliable indices of abundance (wide area surveys were discontinued in 2000)
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis (2002)</b>	
Stock Projections or Prognosis	Unknown
Probability of Current Catch or TACC causing decline below Limits	Unknown

<b>Assessment Methodology</b>		
Assessment Type	Level 1 - Full Quantitative Stock Assessment	
Assessment Method	Age structured separable Sequential Population Analysis (sSPA) with maximum likelihood estimation	
Main data inputs	- Abundance indices from wide area acoustic surveys - Catch-at-age data	
Period of Assessment	Last assessment: 2002	Next assessment: 2015
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	The adult acoustic $q$ was estimated in the model to be 2.7 which the Working Group thought was unrealistically high. A run based on a more plausible value for $q$ suggested the 2000 biomass was above 50% $B_0$ .	

<b>Qualifying Comments</b>
Fishers reported large aggregations of fish and made good catches in 2009. However, aggregation surveys by industry vessels in 2009 yielded generally low biomass estimates which were at a level consistent with that during the 1990s. The Sub-Antarctic trawl surveys may provide an index of abundance for this stock, but this has yet to be determined. Catch at age data are available for 2007 and 2009 and suggest the catch is dominated by relatively young fish from the 2003–2006 year classes.

<b>Fishery Interactions</b>
There is negligible fish bycatch, benthic impact or marine mammal incidental captures in the target fishery.

**Table 27: Summary of TACCs and preliminary estimates of landings (t) (1 April–31 March fishing year).**

Area	2013–14	2013–14
	Actual TACC	Landings
SBW 1 (EEZ excluding Sub-Antarctic)	8	4
Campbell Island	29 400	28 607
Bounty Platform	6 860	4 278
Pukaki Rise	5 500	14
Auckland Islands Shelf	1 640	47
Total	43 408	32 950

## 6. FUTURE RESEARCH

For Campbell Island Rise southern blue whiting, the following issues were identified as candidates for further research or investigation:

- acoustic biomass estimates are based on a simple average of snapshots, the revision of the acoustic time series should be investigated with respect to weighting the snapshots by the inverse of the CVs;
- the prior for the Campbell wide-area surveys needs to be reconstructed to incorporate the revised target strength relationship;
- determine how to best represent mean weights at age in the projections given the negative relationship between year class strength and growth.

For Bounty Platform southern blue whiting, the following issues were identified as candidates for further research or investigation:

- acoustic biomass estimates are based on a simple average of snapshots, the revision of the acoustic time series should be investigated with respect to weight the snapshots by the inverse of the CVs;
- consider the utility of developing a standardised CPUE index for the Bounties stock for the purpose of corroborating the biomass time series;
- consider starting the Bounties model earlier to obtain a better estimate of  $B_0$ ;
- determine how to best represent mean weights at age in the projections given the negative relationship between year class strength and growth.

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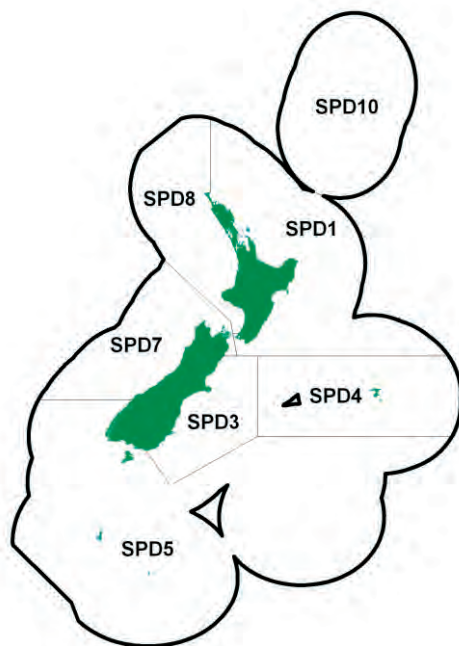
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## SPINY DOGFISH (SPD)

(*Squalus acanthias*)  
Makohuarau, Pioke, Kāraerae



## 1. FISHERY SUMMARY

### 1.1 Commercial fisheries

Spiny dogfish are found throughout the southern half of New Zealand, extending to East Cape and Manakau Harbour on the east and west coasts of the North Island respectively. A related species, the northern spiny dogfish (*Squalus mitsukurii*), is mainly restricted to North Island waters, overlapping with its conspecific in the central west coast area and around the Chatham Islands. Although they have different species codes for reporting purposes it is probable that some misidentification and misreporting occurs - particularly in FMAs 1, 8 and 9.

The best estimate of reported catch from the fishery is shown in the final column in Table 1. For the period 1980–81 to 1986–87 the best estimate of landings is the sum of the FSU data. For the period 1987–88 to 1996–97 this is the sum of the LFRR and the discards from the CELR and CLR. It has been assumed here that all the fish which have been caught and discarded will die, and that all the discarded fish have been recorded. Although neither assumption is likely to be true, and the biases they produce will at least partially cancel each other out, it is likely that the true level of discards is considerably higher. However, these figures are currently the best estimates of total removals from the fishery.

Before 1980–81 landings of rig and both *Squalus* species were included together and catches of the latter were probably small. Since then the reported catch of spiny dogfish has fluctuated between about 3000 and 7000 t. The reported catch by the deepwater fleet has remained fairly constant during most of the period, averaging 2000–4000 t, with a slight decrease in recent years. Reported catch by the inshore fleet has shown a steady increase throughout the period and is now at a similar level to the catch from the deepwater fleet.

Most of the spiny dogfish caught by the deepwater fleet are taken as a bycatch in the jack mackerel, barracouta, hoki, red cod, and arrow squid fisheries, in depths from 100 to 500 m. Some are packed whole but most are trunked and exported to markets in Asia and Europe.

**Table 1: Reported catches of spiny dogfish (t) by fishing year. FSU (Fisheries Statistics Unit), LFRR (Licensed Fish Receiver Return). Discards reported from CELR (Catch Effort Landing Return), and CLR (Catch Landing Return). Numbers in brackets are probably underestimates. (- no data).**

	FSU		LFRR	Discards	Best Estimate
	Inshore	Deepwater			
1980–81	-	(196)	-	-	196
1981–82	-	1 881	-	-	1 881
1982–83	(107)	2 568	-	-	2 675
1983–84	309	2 949	-	-	3 258
1984–85	303	3 266	-	-	3 569
1985–86	311	2 802	-	-	3 113
1986–87	870	2 277	2 608	-	3 147
1987–88	834	3 877	4 823	-	4 823
1988–89	(351)	(500)	3 573	(16)	3 589
1989–90	(14)	0	2 952	321	3 273
1990–91	-	-	5 983	333	6 316
1991–92	-	-	3 274	521	3 795
1992–93	-	-	4 157	616	4 773
1993–94	-	-	6 150	1 063	7 213
1994–95	-	-	4 793	628	5 421
1995–96	-	-	6 230	1 920	8 150
1996–97	-	-	4 887	2 572	7 459

Spiny dogfish are also taken as bycatch by inshore trawlers, setnetters and longliners targeting flatfish, snapper, tarakihi and gurnard. Because of processing problems due to their spines, sandpaper-like skin, and short shelf life, and their low economic value, many inshore fishers are not interested in processing and landing them. Furthermore, because of their sheer abundance they can at times severely hamper fishing operations for other commercial species and they are regarded by many fishers as a major nuisance. Trawlers working off Otago during the summer months often reduce towing times and headline heights, and at times leave the area altogether to avoid having to spend hours pulling hundreds of meshed dogfish out of trawl nets. Setnetters and longliners off the Otago coast, and in Tasman Bay and the south Taranaki Bight have also complained about spiny dogfish taking longline baits, attacking commercial fish caught in the nets or lines, and rolling up nets.

The catch by FMA from the FSU, CELR and CLR databases is shown in Table 3. Large catches have been made from FMAs 3, 5, 6, and 7 since 1982–83. Catches from FMA 4 have increased substantially since the mid-1990s. Landings from FMA 5 and 6 were most important in the early 1980s, with 1000–2000 t taken annually by factory trawlers. In more recent years FMA 3, and to a lesser extent, FMA 7 have become more important. The catch in both these areas is taken equally by factory trawlers and inshore fleets. The catch in FMA 1 is unlikely to be spiny dogfish which is considered to be virtually absent from the area, and so these catches should probably be attributed to *S. mitsukurii*.

Competitive quotas of 4075 t for FMA 3, and of 3600 t for FMAs 5 and 6, were introduced for the first time in the 1992–93 fishing year. These quotas were based on yields derived from trawl surveys using a method that is now considered obsolete, and harvest levels which are now considered unreliable. The reported catches exceeded the FMA 3 quota in 1997–98, 2000–01 and 2001–02 and the FMA 5/6 quota in 2001–02.

Spiny dogfish was introduced into the QMS in October 2004. Catches and TACCs are shown in Table 4, while Figure 1 depicts historical landings and TACC values for the main SPD stocks.

Prior to their introduction into the QMS spiny dogfish were legally discarded at sea (provided that total catch was reported). Although discard rates increased dramatically through the 1990s (Table 5), this is believed to reflect a change in reporting practise rather than an increase in the proportion of catch discarded.



## SPINY DOGFISH (SPD)

**Table 2: Reported landings (t) for the main QMAs from 1931 to 1982.**

Year	SPD 1	SPD 3	SPD 4	SPD 5	Year	SPD 1	SPD 3	SPD 4	SPD 5
1931	0	0	0	0	1957	0	0	0	0
1932	0	0	0	0	1958	0	0	0	0
1933	0	0	0	0	1959	0	0	0	0
1934	0	0	0	0	1960	0	0	0	0
1935	0	0	0	0	1961	0	0	0	0
1936	0	0	0	0	1962	0	0	0	0
1937	0	0	0	0	1963	0	0	0	0
1938	0	0	0	0	1964	0	0	0	0
1939	0	0	0	0	1965	0	0	0	0
1940	0	0	0	0	1966	0	0	0	0
1941	0	0	0	0	1967	0	0	0	0
1942	0	0	0	0	1968	0	0	0	0
1943	0	0	0	0	1969	0	0	0	0
1944	0	0	0	0	1970	0	0	0	0
1945	0	0	0	0	1971	0	0	0	0
1946	0	0	0	0	1972	0	0	0	0
1947	0	0	0	0	1973	0	0	0	0
1948	0	0	0	0	1974	0	0	0	0
1949	0	0	0	0	1975	0	0	0	0
1950	0	0	0	0	1976	0	0	0	0
1951	0	0	0	0	1977	0	0	0	0
1952	0	0	0	0	1978	1	20	0	38
1953	0	0	0	0	1979	2	130	67	74
1954	0	0	0	0	1980	0	39	13	149
1955	0	0	0	0	1981	2	123	92	203
1956	0	0	0	0	1982	20	291	31	2228

Year	SPD 7	SPD 8	Year	SPD 7	SPD 8
1931	0	0	1957	0	0
1932	0	0	1958	0	0
1933	0	0	1959	0	0
1934	0	0	1960	0	0
1935	0	0	1961	0	0
1936	0	0	1962	0	0
1937	0	0	1963	0	0
1938	0	0	1964	0	0
1939	0	0	1965	0	0
1940	0	0	1966	0	0
1941	0	0	1967	0	0
1942	0	0	1968	0	0
1943	0	0	1969	0	0
1944	0	0	1970	0	0
1945	0	0	1971	0	0
1946	0	0	1972	0	0
1947	0	0	1973	0	0
1948	0	0	1974	0	0
1949	0	0	1975	0	0
1950	0	0	1976	0	0
1951	0	0	1977	0	0
1952	0	0	1978	124	41
1953	0	0	1979	128	40
1954	0	0	1980	11	31
1955	0	0	1981	73	150
1956	0	0	1982	113	84

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns; Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

**Table 3: Reported landings of spiny dogfish by FMA. Proportions by area have been taken from CELR and CLR and pro-rated to the best estimate from Table 1. Competitive quotas of 4075 t for FMA 3, and of 3600 t for FMAs 5 and 6, were introduced for the first time in the 1992–93 fishing year.**

Year	FMA 1	FMA 2	FMA 3	FMA 4	FMA 5	FMA 6	FMA 7	FMA 8	FMA 9	FMA 10	Other	Total
1982–83	4	0	151	131	2 089	81	145	66	7			2 675
1983–84	22	18	409	347	565	1 700	119	63	16			3 258
1984–85	21	12	557	481	451	1 899	90	48	10			3 569
1985–86	13	11	892	411	537	1 017	120	92	20			3 113
1986–87	64	18	1 048	162	1 002	29	501	296	27			3 147
1987–88	50	9	1 664	172	642	16	1 402	841	27			4 823
1988–89	341	16	1 510	168	771	7	633	132	11			3 589
1989–90	36	14	2 243	136	241	2	521	80	0			3 273
1990–91	129	14	2 987	513	1 708	14	883	67	0			6 316
1991–92	54	23	1 801	66	538	33	1 031	249	0			3 795
1992–93	50	9	2 128	218	817	22	1 163	366	0			4 773
1993–94	51	34	3 165	358	1 158	21	2 212	214	0			7 213
1994–95	84	47	2 883	363	606	37	1 205	196	0			5 421
1995–96	68	177	2 558	969	1 147	152	1 205	186	15			7 052
1996–97	30	159	2 428	1 287	764	120	1 517	235	7	1	1	6 555
1997–98	52	165	5 042	917	428	223	2 389	1 172	34	0	11	10 433
1998–99	45	488	3 148	1 048	1 996	154	1 902	74	< 1	0	< 1	8 424
1999–00	15	328	3 309	994	1 163	189	1 505	25	7	0	5	7 540
2000–01	38	336	4 355	1 075	1 389	212	1 310	54	16	0	28	8 811
2001–02	12	222	4 249	1 788	3 734	487	961	71	12	0	-	11 530
2002–03	10	245	3 553	1 010	2 621	413	772	85	19	0	0	8 727
2003–04	12	91	2 077	516	1 032	302	423	20	5	0	0	4 477

**Table 4: Reported domestic landings (t) of spiny dogfish by Fishstock and TACC from 2004–05 to 2013–14.**

Fishstock FMA	SPD 1 1&2		SPD 3 3		SPD 4 4		SPD 5 5&6		SPD 7 7	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
2004–05	234	331	2 707	4 794	839	1 626	2 479	3 700	842	1 902
2005–06	186	331	3 831	4 794	1 055	1 626	2 298	3 700	832	1 902
2006–07	239	331	2 712	4 794	822	1 626	2 165	3 700	1 125	1 902
2007–08	156	331	2 082	4 794	1 397	1 626	1 501	3 700	928	1 902
2008–09	229	331	1 981	4 794	866	1 626	2 071	3 700	929	1 902
2009–10	128	331	1 855	4 794	667	1 626	2 205	3 700	1 116	1 902
2010–11	176	331	1 976	4 794	825	1 626	1 443	3 700	1 436	1 902
2011–12	187	331	1 607	4 794	740	1 626	1 390	3 700	1 704	1 902
2012–13	193	331	1 302	4 794	442	1 626	1 547	3 700	1 298	1 902
2013–14	226	331	1 411	4 794	1 090	1 626	2 068	3 700	914	1 902

Fishstock FMA	SPD 8 8&9		Total	
	Landings	TACC	Landings	TACC
2004–05	121	307	7 222	12 660
2005–06	108	307	8 311	12 660
2006–07	118	307	7 181	12 660
2007–08	124	307	6 188	12 660
2008–09	150	307	6 226	12 660
2009–10	194	307	6 166	12 660
2010–11	221	307	6 077	12 660
2011–12	252	307	5 880	12 660
2012–13	182	307	4 965	12 660
2013–14	122	307	5 831	12 660

**Table 5: Discard rates (% of catch) by FMA and fishing year (after Manning et al 2004).**

Fishing year	FMA										Average
	1	2	3	4	5	6	7	8	9	10	
1989–90	11	17	18	4	46	100	13	34	0	0	18
1990–91	7	0	6	2	29	11	21	24	0	0	11
1991–92	9	3	8	13	34	90	42	18	0	0	20
1992–93	13	47	5	51	39	43	20	80	0	0	21
1993–94	5	65	13	42	21	34	29	66	0	0	23
1994–95	2	52	8	31	20	74	29	64	98	0	19
1995–96	7	39	18	55	39	94	45	72	100	0	36
1996–97	15	61	26	40	70	68	59	89	93	0	44
1997–98	53	83	51	53	72	86	81	92	100	0	64
1998–99	20	92	57	60	29	78	82	63	0	0	58
1999–00	9	86	60	55	39	68	81	84	35	0	62
2000–01	37	70	60	77	57	77	72	56	29	0	64
Average	15	74	35	53	42	78	54	68	78	0	45

## SPINY DOGFISH (SPD)

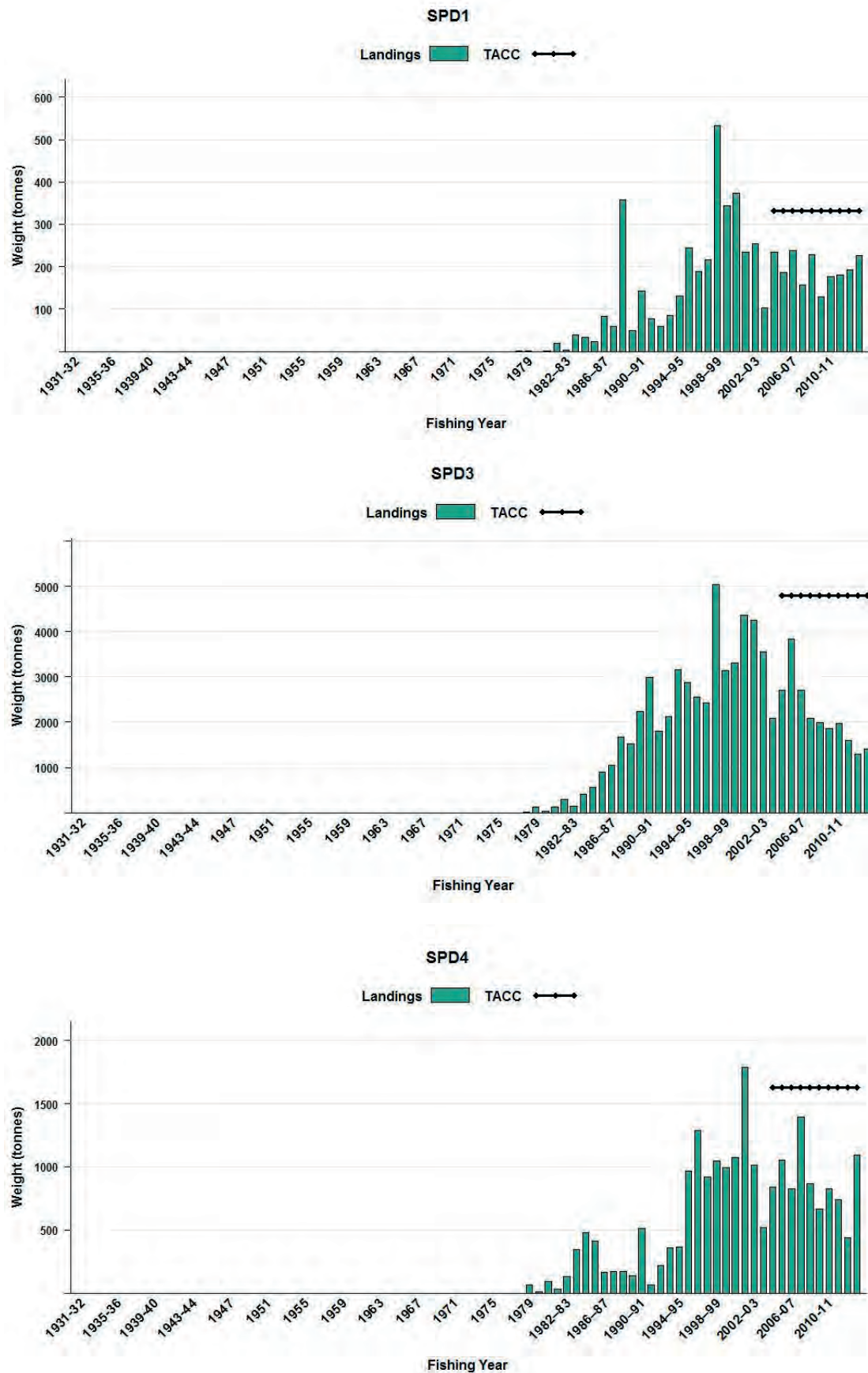


Figure 1: Reported commercial landings and TACCs for the six main SPD stocks. From top to bottom: SPD 1 (Auckland East, Central East), SPD 3 (South East Coast), SPD 4 (South East Chatham Rise. [Continued on next page].

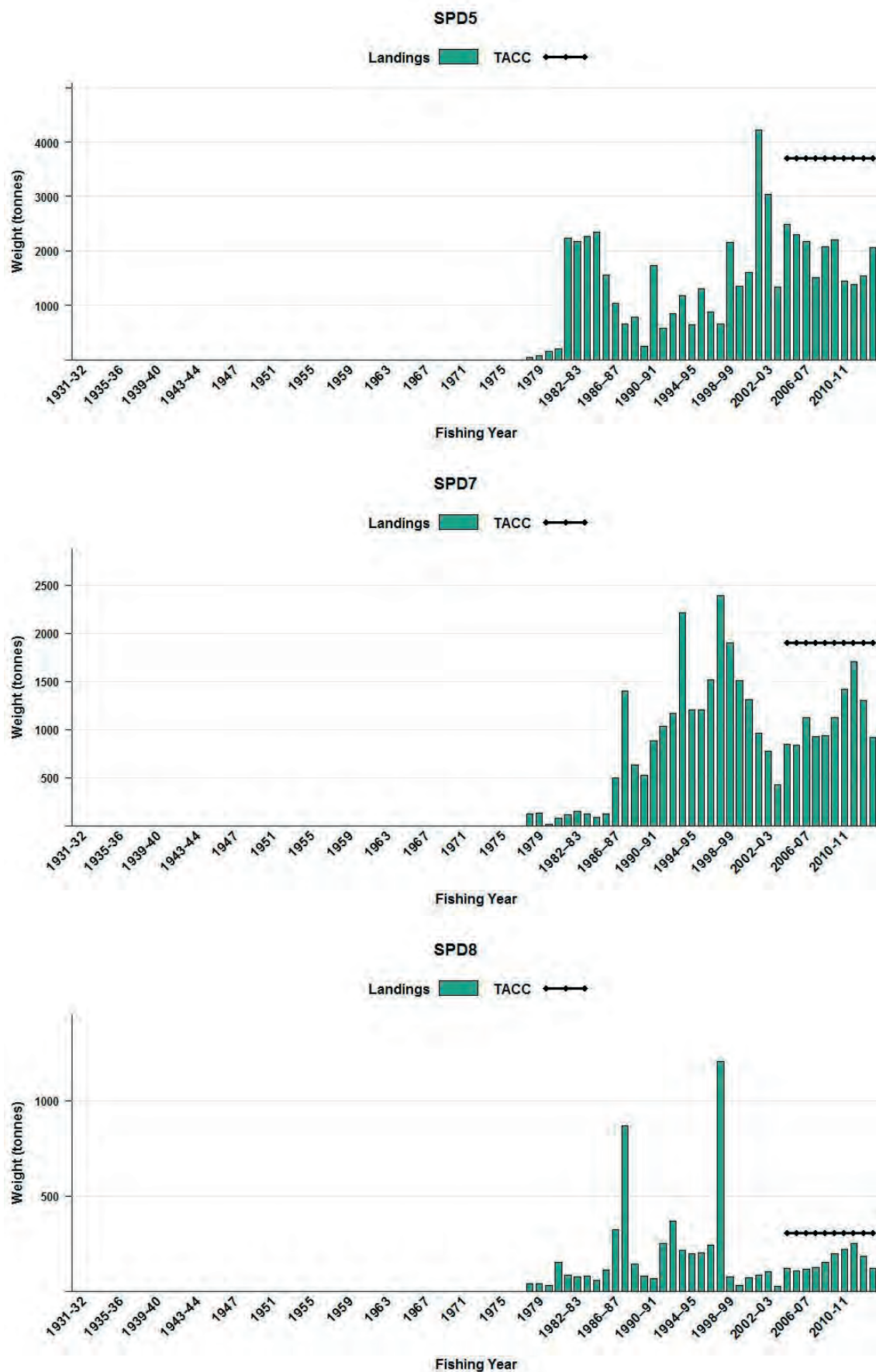


Figure 1 [Continued]: Reported commercial landings and TACCs for the six main SPD stocks. From top to bottom: SPD 5 (Sub-Antarctic, Southland), SPD 7 (Challenger), and SPD 8 (Central Egmont, Auckland West).

## 1.2 Recreational fisheries

Spiny dogfish are caught by recreational fishers throughout their geographical range in New Zealand. They are mainly taken as bycatch when targeting other more valued species using rod and line and setnet. In many parts of New Zealand, spiny dogfish are regarded by recreational anglers as a pest, often clogging nets and taking baits from hooks. Estimates of recreational landings obtained from three surveys in 1991–92 to 1993–94, 1996 and 1999–00 are given in Table 6. Overall, recreational landings probably comprise only a small proportion (less than 10 %) of the total spiny dogfish catch.

**Table 6: Estimated number and weight of spiny dogfish harvested by recreational fishers by Fishstock and survey. Surveys were carried out in different years in the MAF Fisheries regions: South in 1991–92, Central in 1992–93, North in 1993–94 (Teirney et al 1997) and nationally in 1996 (Bradford 1998) and 1999–00 (Boyd & Reilly 2005). Survey harvests are presented as a range to reflect the uncertainty in the estimates.**

Fishstock	Survey	Number	CV%	Harvest Range (t)	Point estimate (t)
1991–92					
FMA 3	South		23		120
FMA 5	South		-		2
FMA 7	South		92		11
1992–93					
FMA 2	Central		42		133
FMA 7	Central		35		46
FMA 8	Central		45		143
1993–94					
FMA 1,9	North		-		< 10
1996					
FMA 1	National	1 000	-	-	-
FMA 2	National	5 000	-	-	-
FMA 3	National	21 000	17	25–40	33
FMA 5	National	9 000	-	-	-
FMA 7	National	24 000	21	30–45	37
FMA 9	National	15 000	-	-	-
1999–00					
FMA 1	National	9 000	61	4.4–17.9	11
FMA 2	National	22 000	37	17.3–37.8	28
FMA 3	National	93 000	27	83.2–145.9	115
FMA 5	National	7 000	47	4.4–12.3	8
FMA 7	National	25 000	35	20.4–41.9	31
FMA 8	National	21 000	52	12.7–40.3	27
FMA 9	National	12 000	82	2.7–26.2	14

The Recreational Technical Working Group concluded that the harvest estimates from the diary surveys should be used only with the following qualifications: a) they may be very inaccurate; b) the 1996 and earlier surveys contain a methodological error; and c) the 2000 and 2001 estimates are implausibly high for many important fisheries.

## 1.3 Customary non-commercial fisheries

Maori fishers traditionally caught large numbers of “dogfish” and this included rig, school shark, and spiny dogfish. Quantitative information on the current level of customary non-commercial fisheries take is not available.

## 1.4 Illegal catch

It is unlikely that there is an illegal catch of spiny dogfish as the quota for this species has never been reached, and it has low commercial value.

## 1.5 Other sources of mortality

It is likely that there is a large amount of spiny dogfish discarded by fishers which is never reported on the returns. The level of mortality and any temporal trends from non-reported discards have not been estimated. The introduction of cost recovery charges in 1994–95 may account for the decline in reported discards in that year.

## 2. BIOLOGY

Spiny dogfish are widely distributed around the South Island and extend as far north as Manakau Harbour and East Cape on the west and east coasts of the North Island respectively. They are most abundant on the east coast of the South Island and the Stewart/Snares Shelf. They are found on the continental shelf and upper slope down to a depth of at least 500 m, but are most common in depths of 50–150 m. Schools are strongly segregated by size and sex. The size of fish in the commercial fishery is not known but will depend to a large extent on the method of capture and the area fished.

Spiny dogfish are born at a size of 18–30 cm total length (TL). They have been aged using fin spines, and early growth has been validated by following modes in length-frequency and eye lens weight frequency data. Males mature at 58 cm TL at age 6, and females mature at 73 cm TL at age 10. The maximum ages and lengths in a study of east coast South Island dogfish were 21 years and 90 cm TL for males, and 26 years and 111 cm TL for females.

$M$  was estimated using the equation  $\log_e 100/\text{maximum age}$ , where maximum age is the age to which 1% of the population survive in an unexploited stock. Using a maximum age of 26 gave an estimate of  $M$  of 0.18. This has been revised up to 0.2 to reflect the imprecision with which this estimate is known. A similar estimate of  $M$  was obtained using a survivorship table approach (Hanchet 1986). At an instantaneous mortality rate of  $0.2 \text{ year}^{-1}$  an initial population of 1000 females would replace themselves over their lifespan (given their length-at-age, length-at-maturity and fecundity-length relationships).

Female spiny dogfish give birth to young over an extended period between April and September, mainly on the shelf edge in depths of 200–300 m. Mating also occurs in deeper water (coincident with a movement of mature males offshore), after which females with young "candled" embryos move into shallower waters of 100 m or less. They remain there for 12 months until the embryos are 15 cm long after which they return to deeper water. Parturition occurs after a gestation period approaching 24 months, and is closely followed by mating and ovulation and the biennial cycle is repeated. Both the number and the size of the young increase linearly with the length of the mother. The number of young per litter ranges from 1 to 19.

Young of the year move inshore into shallower waters shortly after birth. Over the next few years they move steadily into deeper water but remain in size segregated schools comprising up to 2 or 3 age classes. Once maturity is reached both males and females undergo inshore/offshore migrations associated with reproductive activity. A north/south migration along the east coast South Island during autumn/spring has also been postulated but the full extent of this migration is unknown.

Spiny dogfish are found both on the bottom and in mid-water and feed on a very wide range of species, including *Munida*, krill, fish, squid, and crabs.

Biological parameters relevant to the stock assessment are shown in Table 7.

## 3. STOCKS AND AREAS

No specific research on the stock structure of spiny dogfish has been carried out. Limited tagging has been conducted, so the only available data come from seasonal trawl surveys, and fisheries landings data.

The analysis of *W.J. Scott* and *James Cook* surveys carried out from 1978 to 1983 clearly showed seasonal migrations of spiny dogfish along the east coast of South Island (ECSI). Spiny dogfish were most abundant in the southern part of the coast from October to April, and more abundant to the north in May to September. It is also clear from summer trawl surveys of the area that there is a resident part of the population of spiny dogfish on the Stewart/Snares Shelf over the summer months. However, there have been no comparable series of seasonal surveys there and so it is presently unclear whether the East Coast South

## SPINY DOGFISH (SPD)

Island (EC SI) fish migrate south as far as the Stewart/Snares Shelf. Until more data become available fish from the two areas should be treated as separate stocks.

**Table 7: Estimates of biological parameters of spiny dogfish for QMA 3 (Hanchet 1986).**

<u>1. Natural mortality (<i>M</i>)</u>											
0.2											
<u>2. Weight = a (length)<sup>b</sup> (Weight in g, length in cm fork length)</u>											
<u>Males</u>						<u>Females</u>					
a			b			a			b		
0.00275			3.05			0.00139			3.25		
<u>3. von Bertalanffy growth parameters</u>											
<u>Males</u>						<u>Females</u>					
<i>K</i>		<i>t</i> <sub>0</sub>		<i>L</i> <sub>∞</sub>		<i>K</i>		<i>t</i> <sub>0</sub>		<i>L</i> <sub>∞</sub>	
0.116		-2.88		89.5		0.069		-3.45		120.1	
<u>4. Maturity ogive</u>											
Age (years)	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>9</b>	<b>10</b>	<b>11</b>	<b>12</b>	➤ <b>12</b>
Males	0.00	0.02	0.21	0.68	1.00	1.00	1.00	1.00	1.00	1.00	1.00
Females	0.00	0.00	0.00	0.00	0.04	0.04	0.23	0.52	0.75	1.00	1.00

Seasonal trawl surveys were also carried out on West Coast South Island (WCSI) between June 1981 and April 1983 using the *W.J. Scott*. The catches showed a strong seasonal component being highest in summer and autumn and lowest in winter and spring. It is likely that some fish migrate north in winter, perhaps to the northern and southern Taranaki Bights, and Tasman Bay and Golden Bay. However, it is also clear from summer trawl surveys of the areas that there is a resident part of the population of spiny dogfish in the Taranaki Bights over the summer months. It may therefore be appropriate to treat fish from FMAs 7 and 8 as a single stock.

There is little commercial catch in FMAs 1, 2, 4, and 9, and little data on movement in or between the areas. Until more data have been obtained it would seem appropriate to manage spiny dogfish with the following five Fishstocks:

- SPD 1: FMAs 1 & 2
- SPD 3: FMA 3
- SPD 4: FMA 4
- SPD 5: FMAs 5 & 6
- SPD 7: FMAs 7, 8 & 9

## 4. STOCK ASSESSMENT

There are no estimates of current or virgin biomass.

### 4.1 Estimates of fishery parameters and abundance

Biomass indices of spiny dogfish from recent trawl surveys using *Tangaroa* and *Kaharoa* are summarised in Table 8 and Figure 2. Based on a combination of CVs, variability in biomass indices and the time span of each series, it is concluded that surveys only provide reliable indices of dogfish abundance off the west coast of the South Island and on the Chatham Rise. Relative biomass indices suggest that spiny dogfish became more abundant on the Chatham Rise during the early to mid 1990s. Apart from a temporary increase during the mid-1990s, the abundance of dogfish off the west coast of South Island appears to have been fairly stable between 1991 and 2003. Although the relevant surveys were discontinued, spiny dogfish appear also to have increased substantially in abundance off the east coast of the South Island and on the Stewart-Snares shelf in the mid 1990s.

### 4.2 Biomass estimates

Indices of relative biomass are available from several *Tangaroa* and *Kaharoa* trawl surveys, including recent surveys of the Chatham Rise, East Coast South Island and West Coast South Island (Table 8, and Figures 2-4).

### West Coast South Island Trawl Survey

SPD 7 is one of a suite of inshore stocks the WCSI trawl survey is designed to monitor. The depth range for this survey is 30–400m on the west coast of the South Island and >20m in Tasman and Golden Bay (MacGibbon and Stevenson, 2013). Biomass estimates have trended around the series mean with peaks in 1995 and 2009 (Figure 2).

### Chatham Rise Trawl Survey

The Chatham Rise Trawl Survey was designed primarily for Hoki and covers the depth range 200–400m. It therefore excludes a small portion of SPD habitat around the Mernoo Bank in < 200m. The survey biomass estimates for SPD increased from 1991 to 1995, and have cycled around the series mean since then (Figure 2). The Chatham rise SPD survey catch is dominated by mature females (60–100 cm), while that of the ECSI survey consists mostly of males and females < 60 cm (Beentjes et al. 2015; Stevens et al. 2015).

### ECSI

The East Coast South Island winter surveys from 1991 to 1996 (30–400 m) were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range; but these were discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007, and this time were expanded to include the 10–30 m depth range, in order to monitor elephantfish and red gurnard. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

Spiny dogfish biomass in the core strata (30–40 m) of the east coast of the South Island increased markedly in 1996 and although it has fluctuated, remained high until 2012 before a 43% decline in 2014 (Table 8, Figure 3). This represents the first substantial change in spiny dogfish biomass since the large 2.5 fold increase in 1996 in one year. Pre-recruited biomass was a small component of the total biomass estimate in the 1992 to 1994 surveys at 1–3% of total biomass, but since 1996 it ranged from 7 to 28%, and in 2014 it was the highest at 28% (Table 8, Figure 3). This is also reflected in the biomass of juvenile spiny dogfish (based on the length-at-50% maturity) which increased markedly from about 14% of total biomass before 1996, to between 33 and 57% in the last six surveys (Figure 4).

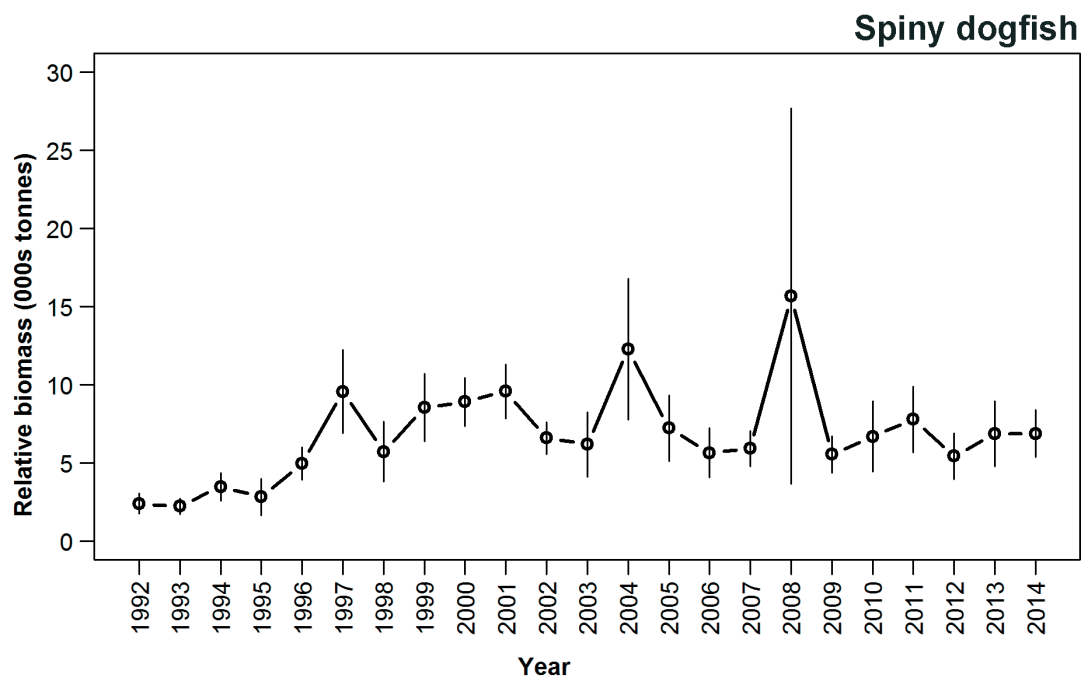
The additional spiny dogfish biomass captured in the 10–30 m depth range accounted for 5%, 8% and 10% of the biomass in the core plus shallow strata (10–400 m) for 2007, 2012 and 2014 respectively, indicating that it is important to monitor the shallow strata for spiny dogfish biomass (Table 8, Figure 3). Further, the addition of the 10–30 m depth range may be important for monitoring the small fish, as was evident in 2012 although in 2014 the smallest and largest fish were present in the shallow strata.

The spatial distribution of spiny dogfish hotspots varies, but overall this species is consistently well represented over the entire survey area, most commonly from 30 m to about 350 m.

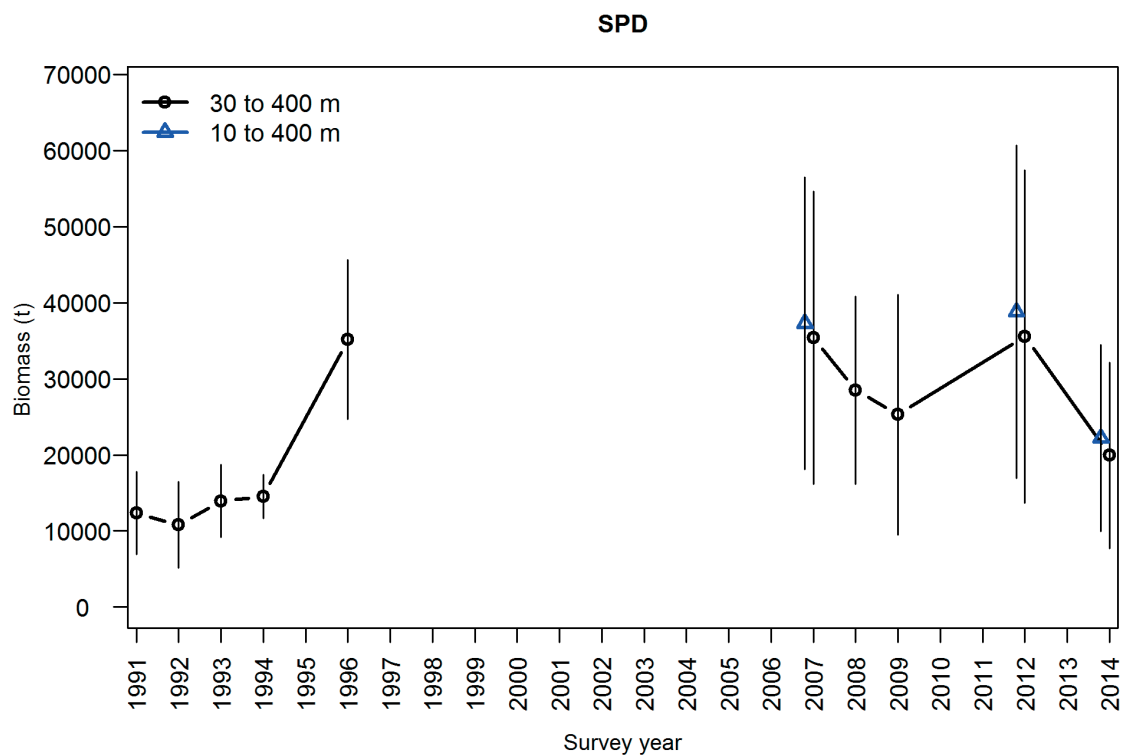
The size distributions of spiny dogfish in the 1992 to 1994 ECSI core strata (30–400 m) surveys were similar and generally bimodal for males, but less defined for females (Beentjes et al. 2015). From 1996 onwards smaller fish were more prominent and for females in particular, the proportions of large fish declined. The proportion of mature spiny dogfish in 2014 was the lowest since 1994, commensurate with the relatively low biomass estimate for 2014 (Figure 4). In 2009, 2012 and 2014, unlike previous years, there were signs of a strong juvenile cohort recruiting to the population, although this has not translated to increased adult biomass in 2014. Spiny dogfish on the ECSI sampled on these surveys were considerably smaller than those from the Chatham Rise, Southland, and the sub-Antarctic surveys, suggesting that this area may be an important nursery ground for juvenile spiny dogfish and there may be movement in and out of the ECSI survey area.



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**Figure 2: Spiny dogfish biomass  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) and the time series mean (dotted line) estimated from the Chatham Rise (Top) and West Coast South Island (Bottom) trawl survey.**



**Figure 3: Spiny dogfish total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m), and core plus shallow strata (10–400 m) in 2007, 2012, and 2014.**

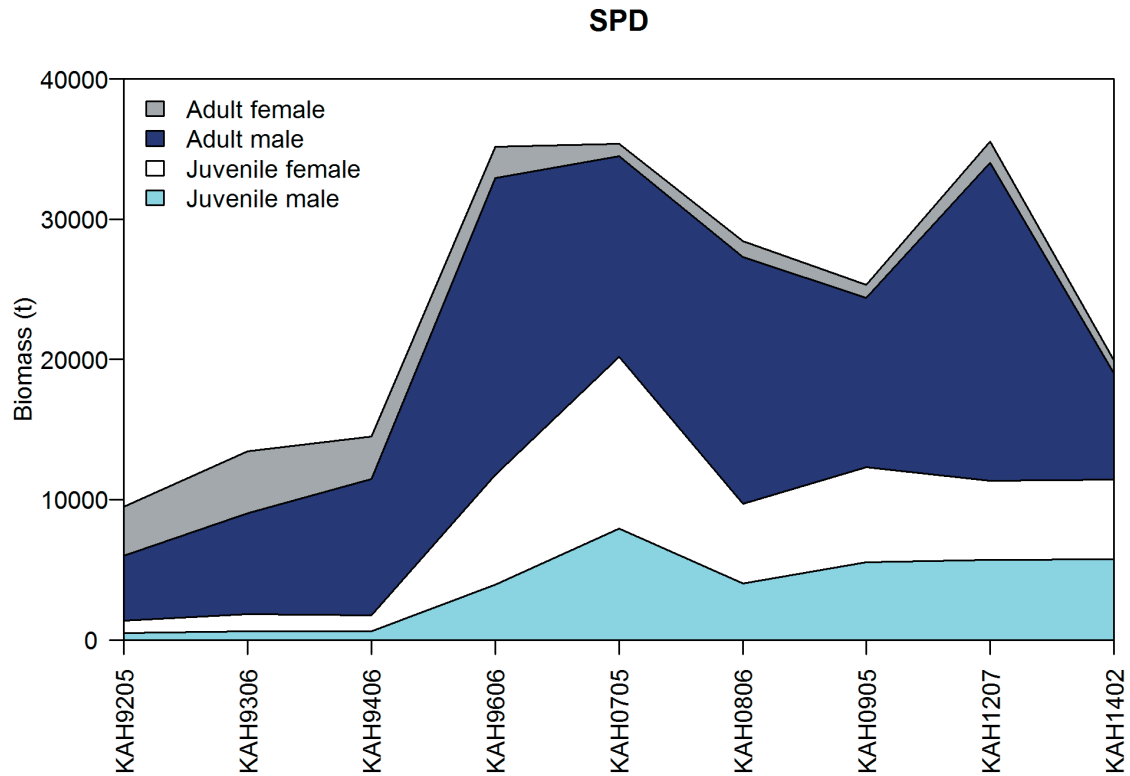


Figure 4: Spiny dogfish juvenile and adult biomass for ECSI winter surveys in core strata (30–400 m), where juvenile is below and adult is equal to or above length at which 50% of fish are mature.

SPINY DOGFISH (SPD)

**Table 8: Relative biomass indices (t) and coefficients of variation (CV) for spiny dogfish for east coast North Island (ECNI), east coast South Island (ECSI) - summer and winter, Chatham Rise, Stewart-Snares Shelf, Sub-Antarctic, west coast South Island (WCSI) and west coast North Island (WCNI) survey areas\*. Biomass estimates for ECNI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. - , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (50 cm).**

Region	Fishstock	Year	Trip number	Total		Total		Pre-recruit		Recruited		CV (%)	
				Biomass estimate	CV (%)	Biomass estimate	CV (%)	Pre-recruit	CV (%)	Recruited	CV (%)	Pre-recruit	CV (%)
ECNI	SPD 2	1993	KAH9304	963	78	-	-	-	-	-	-	-	-
		1994	KAH9402	988	47	-	-	-	-	-	-	-	-
		1995	KAH9502	658	25	-	-	-	-	-	-	-	-
		1996	KAH9602	1 026	51	-	-	-	-	-	-	-	-
	SPD 3	1991	KAH9105	12 873	22	-	-	-	-	-	-	-	-
		1992	KAH9205	10 787	26	-	-	266	27	9 212	31	-	-
		1993	KAH9306	13 949	17	-	-	343	72	13 122	17	-	-
		1994	KAH9406	14 530	10	-	-	205	49	14 325	10	-	-
		1996	KAH9606	35 169	15	-	-	3 412	23	31 757	16	-	-
		2007	KAH0705	35 386	24	37 299	26	5 831	46	29 554	27	-	-
		2008	KAH0806	28 476	22	-	-	1 886	50	26 590	22	-	-
		2009	KAH0905	25 311	31	-	-	2 398	30	22 913	32	-	-
		2012	KAH1207	35 546	31	38 821	28	3 804	58	31 742	34	-	-
		2014	KAH1402	19 949	31	22 188	28	5 683	34	14 266	36	-	-
ECSI(summer)	SPD 3	1996-97	KAH9618	35 776	28	-	-	-	-	-	-	-	-
		1997-98	KAH9704	29 765	25	-	-	-	-	-	-	-	-
		1998-99	KAH9809	22 842	16	-	-	-	-	-	-	-	-
		1999-00	KAH9917	49 832	37	-	-	-	-	-	-	-	-
		2000-01	KAH0014	30 508	34	-	-	-	-	-	-	-	-
		1991	TAN9106	2 390	14	-	-	-	-	-	-	-	-
		1992	TAN9212	2 220	11	-	-	-	-	-	-	-	-
		1994	TAN9401	3 449	13	-	-	-	-	-	-	-	-
	SPD 4	1995	TAN9501	2 841	21	-	-	-	-	-	-	-	-
		1996	TAN9601	4 969	11	-	-	-	-	-	-	-	-
		1997	TAN9701	8 905	9	-	-	-	-	-	-	-	-
		1998	TAN9801	9 586	9	-	-	-	-	-	-	-	-
		1999	TAN9901	6 334	8	-	-	-	-	-	-	-	-
		1999-00	TAN0001	6 191	17	-	-	-	-	-	-	-	-
Chatham Rise	SPD 4	2000-01	TAN0101	12 289	18	-	-	-	-	-	-	-	-
		2001-02	TAN0201	2 390	14	-	-	-	-	-	-	-	-

\*Assuming areal availability, vertical availability and vulnerability equal 1.0. Biomass is only estimated outside 10 m depth except for COM9901 and CMP0001. Note: because trawl survey biomass estimates are indices, comparisons between different seasons (e.g., summer and winter ECSI) are not strictly valid.

**Table 8 [Continued]: Relative biomass indices (t) and coefficients of variation (CV) for spiny dogfish for east coast North Island (ECNI), east coast South Island (ECSI) - summer and winter, Chatham Rise, Stewart-Snares Shelf, Sub-Antarctic, west coast South Island (WCSI) and west coast North Island (WCNI) survey areas\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. - , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (50 cm).**

Region	Fishstock	Year	Trip number	Total		Total		Pre-recruit	CV (%)	Pre-recruit	CV (%)	Recruited	CV (%)	Recruited	CV (%)
				Biomass estimate	CV (%)	Biomass estimate	CV (%)								
Chatham Rise	SPD 4	2002-03	TAN0301	2 220	11	-	-	-	-	-	-	-	-	-	-
		2004	TAN0401	3 449	13	-	-	-	-	-	-	-	-	-	-
		2005	TAN0501	7 227	15	-	-	-	-	-	-	-	-	-	-
		2006	TAN0601	5 650	14	-	-	-	-	-	-	-	-	-	-
		2007	TAN0701	5 906	10	-	-	-	-	-	-	-	-	-	-
		2008	TAN0801	15 674	38	-	-	-	-	-	-	-	-	-	-
		2009	TAN0901	5 548	11	-	-	-	-	-	-	-	-	-	-
		2010	TAN1001	6 698	17	-	-	-	-	-	-	-	-	-	-
		2011	TAN1101	7 794	14	-	-	-	-	-	-	-	-	-	-
		2012	TAN1201	5 438	14	-	-	-	-	-	-	-	-	-	-
		2013	TAN1301	6 884	15	-	-	-	-	-	-	-	-	-	-
		2014	TAN1401	6 886	11	-	-	-	-	-	-	-	-	-	-
Stewart-Snares Shelf	SPD 5	1993	TAN9301	35 776	28	-	-	-	-	-	-	-	-	-	-
		1994	TAN9402	29 765	25	-	-	-	-	-	-	-	-	-	-
		1995	TAN9502	22 842	16	-	-	-	-	-	-	-	-	-	-
		1996	TAN9604	49 832	37	-	-	-	-	-	-	-	-	-	-
Sub-Antarctic (Spring)	SPD 5	1991	TAN9105	8 502	55	-	-	-	-	-	-	-	-	-	-
		1992	TAN9211	1 150	15	-	-	-	-	-	-	-	-	-	-
		1993	TAN9310	1 585	21	-	-	-	-	-	-	-	-	-	-
		2000	TAN0012	4 173	12	-	-	-	-	-	-	-	-	-	-
		2001	TAN0118	8 528	31	-	-	-	-	-	-	-	-	-	-
		2002	TAN0219	3 505	19	-	-	-	-	-	-	-	-	-	-
		2003	TAN0317	2 317	17	-	-	-	-	-	-	-	-	-	-
		2004	TAN0414	3 378	27	-	-	-	-	-	-	-	-	-	-
		2005	TAN0515	4 344	19	-	-	-	-	-	-	-	-	-	-
		2006	TAN0617	3 039	19	-	-	-	-	-	-	-	-	-	-
Sub-Antarctic (Autumn)	SPD 5	1992	TAN9204	926	30	-	-	-	-	-	-	-	-	-	-
		1993	TAN9304	440	38	-	-	-	-	-	-	-	-	-	-
		1996	TAN9605	207	56	-	-	-	-	-	-	-	-	-	-
		1998	TAN9805	1 532	36	-	-	-	-	-	-	-	-	-	-
WCSI	SPD 7	1992	KAH9204	3 919	15	-	-	-	-	-	-	-	-	-	-

\*Assuming areal availability, vertical availability and vulnerability equal 1.0. Biomass is only estimated outside 10 m depth except for COM9901 and CMP0001. Note: because trawl survey biomass estimates are indices, comparisons between different seasons (e.g., summer and winter ECSI) are not strictly valid.

# SPINY DOGFISH (SPD)

**Table 8 [Continued]: Relative biomass indices (t) and coefficients of variation (CV) for spiny dogfish for east coast North Island (ECNI), east coast South Island (ECSI) - summer and winter, Chatham Rise, Stewart-Snares Shelf, Sub-Antarctic, west coast South Island (WCSI) and west coast North Island (WCNI) survey areas\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. - , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (50 cm).**

Region	Fishstock	Year	Trip number	Total		CV (%)	Biomass estimate	Pre-recruit		CV (%)	Pre-recruit	Recruited		CV (%)	Recruited	CV (%)
				Biomass estimate	CV (%)			Biomass estimate	CV (%)			Biomass estimate	CV (%)			
WCSI	SPD 7	1994	KAH9404	7 145	7	-	-	-	-	-	-	-	-	-	-	-
		1995	KAH9504	8 370	10	-	-	-	-	-	-	-	-	-	-	-
		1997	KAH9701	5 275	13	-	-	-	-	-	-	-	-	-	-	-
		2000	KAH0004	4 777	12	-	-	-	-	-	-	-	-	-	-	-
		2003	KAH0304	4 446	15	-	-	-	-	-	-	-	-	-	-	-
		2005	KAH0503	6 175	12	-	-	-	-	-	-	-	-	-	-	-
		2007	KAH0704	6 219	14	-	-	-	-	-	-	-	-	-	-	-
		2009	KAH0904	10 270	19	-	-	-	-	-	-	-	-	-	-	-
		2010	KAH1004	6 402	13	-	-	-	-	-	-	-	-	-	-	-
		1991	KAH9111	443*	34	-	-	-	-	-	-	-	-	-	-	-
WCNI	SPD 9	1994	KAH9410	381*	30	-	-	-	-	-	-	-	-	-	-	-
		1996	KAH9615	634*	68	-	-	-	-	-	-	-	-	-	-	-
		1999	KAH9915	106*	15	-	-	-	-	-	-	-	-	-	-	-
						-	-	-	-	-	-	-	-	-	-	-

\* Assuming areal availability, vertical availability and vulnerability equal 1.0. Biomass is only estimated outside 10 m depth except for COM9901 and CMP0001. Note: because trawl survey biomass estimates are indices, comparisons between different seasons (e.g., summer and winter ECSI) are not strictly valid.

Manning et al (2004) evaluated the usefulness of commercial CPUE, commercial length composition, trawl survey relative biomass estimates and trawl-survey-catch length-composition for monitoring all major SPD stocks (Table 9).

**Table 9: Catch and effort data sets and analyses evaluated as monitoring tools for major SPD stocks.**

QMA	Data set and analysis
SPD 3 - East coast South Island	1. Standardised setnet CPUE for core vessels targeting SPD. 2. Standardised setnet CPUE for core vessels targeting all species. 3. Standardised bottom trawl CPUE for core vessels targeting all species. 4. Relative abundance indices from East Coast South Island trawl surveys (discontinued after 2001)
SPD 4 - Chatham Rise	5. Standardised bottom trawl CPUE for core Korean vessels 6. Standardised bottom trawl CPUE for core domestic vessels 7. Standardised bottom longline CPUE for core domestic vessels 8. Relative abundance indices from Chatham Rise trawl surveys.
SPD 5 - Stewart Snares Shelf	9. Standardised bottom trawl CPUE. 10. Relative abundance indices from Stewart-Snares shelf surveys (discontinued after 1996)
SPD 7 - West Coast South Island	11. Standardised bottom trawl CPUE for core vessels 12. Relative abundance indices from West coast South Island Trawl Surveys.

Based on the results of the analyses listed in Table 9, the following methods were recommended for monitoring SPD:

QMA	Recommended Monitoring Tools
SPD 3 - East coast South Island	Standardised setnet CPUE using model 2 (core vessels targeting all species)
SPD 4 - Chatham Rise	Chatham Rise Trawl Survey and length composition of commercial catch
SPD 5 - Stewart Snares Shelf	*Standardised bottom trawl CPUE and length composition of commercial catch.
SPD 7 - West Coast South Island	West coast South Island Trawl survey and length composition of commercial catch

\* Information on historical changes in reporting rates is required before this index can be used.

#### 4.2 Biomass estimates

Lack of suitable information has precluded estimation of virgin and current biomass for spiny dogfish. Although most of the necessary biological parameters (Hanchet 1986, 1988, Hanchet & Ingerson 1997), relative indices of abundance and data required to estimate fishing selectivity for most important fisheries (with the exception of FMA 4 bottom longline and FMA 3 setnet fisheries) are now available, robust stock assessments will also require estimates of historical, unreported discarding and discard mortality so that an accurate history of fishery related removals can be constructed.

#### 4.3 Yield estimates and projections

##### Estimation of Maximum Constant Yield (*MCY*)

*MCY* cannot be estimated.

##### Estimation of Current Annual Yield (*CAY*)

*CAY* cannot be determined.

#### 4.5 Other factors

The ability to withstand harvesting depends on the strength of a number of compensatory mechanisms. For example, under exploitation individuals may grow faster, show increased fecundity, or suffer reduced natural mortality. In elasmobranchs the number of young born is related directly to the number of adult females, and, because of the relatively large size and hence good survival of the young at birth, it is presumed that there is a strong stock recruit relationship for these species.

Several methods of estimating *MCY* involve the multiplication of a harvest level by an estimate of  $B_0$  or  $B_{av}$ . Francis & Francis (1992) used Monte Carlo simulation to estimate harvest levels for calculating *MCY* for a rig stock. No stock-recruitment data were available for elasmobranchs at the time and so they used values for the Beverton & Holt steepness parameter ranging from 0.35 to 0.50, and recruitment variability of 0.4. These values were all at the low range of values used for teleost species and which they considered appropriate for rig. The results of their simulation studies showed that the estimates of *MCY* obtained using the harvest levels given in the equations in the Guide to Biological Reference Points were overly optimistic for rig. Given that spiny dogfish have a slower growth rate and are less fecund than rig, it seems reasonable to assume that those harvest levels are also unsuitable for spiny dogfish.

## 5. STATUS OF THE STOCKS

No estimates of current or reference biomass are available, but trawl survey estimates of abundance are all at or above the long term average (1991–2011 for Chatham Rise and 1992–2011 for WCSI).

Although reported commercial catches of spiny dogfish were observed to increase in all major FMAs during the 1990s, the extent to which these increases can be attributed to changes in reporting practice (i.e., more accurate reporting of discards in recent times) is uncertain. Trawl surveys, on the other hand, indicate that there was a general increase in the abundance of spiny dogfish, particularly around the South Island, in the mid 1990s.

Reported landings and TACCs for the 2013–14 fishing year are summarised in Table 9.

**Table 10: Other mortality, recreational, and customary non-commercial allowances (t), Total Allowable Commercial Catches (TACC, t) and Total Allowable Catch (TAC, t), along with reported landings (t) of SPD for the most recent fishing year.**

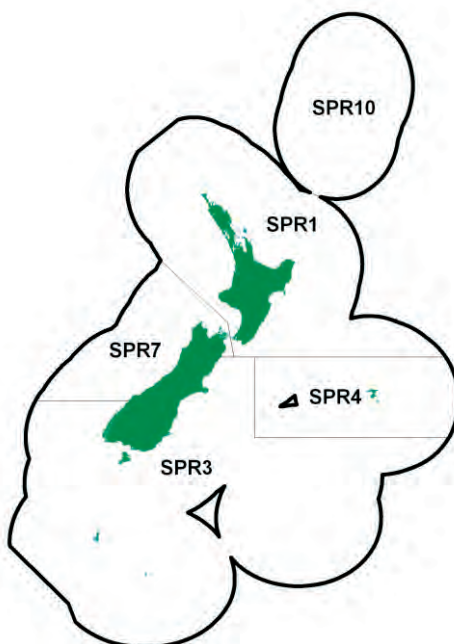
Fishstock		FMA	Other Mortality	Recreational	Customary	TACC	TAC	2013–14 Reported landings
SPD 1	Auckland (East), Central (East)	1&2	4	39	39	331	413	226
SPD 3	South east (coast)	3	51	115	115	4 794	5 075	1 411
SPD 4	South east (Chatham)	4	16	10	10	1 626	1 662	1 090
SPD 5	Southland, Sub-Antarctic	5&6	37	8	8	3 700	3 753	2 068
SPD 7	Challenger	7	19	31	31	1 902	1 983	914
SPD 8	Central (west), Auckland (west)	8&9	3	41	41	307	392	122
SPD 10	Kermadec	10	0	1	1	0	2	0
Total			130	245	245	12 660	13 280	5 831

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## SPRAT (SPR)

(*Sprattus antipodum*, *S. muelleri*)  
Kupae



## 1. FISHERY SUMMARY

There are two species of sprats in New Zealand, *Sprattus antipodum* (slender sprat) and *S. muelleri* (stout sprat). They can be distinguished by body shape, colour, and some morphological features, but are very similar and it is impractical to separate them in large catches.

Sprats were introduced into the QMS on 1 October 2002, with allowances, TACCs and TACs in Table 1 which have not been changed since.

**Table 1: Recreational and customary non-commercial allowances, TACCs and TACs for sprats by Fishstock.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other mortality	TACC	TAC
SPR 1	20	10	0	70	100
SPR 3	10	5	0	285	300
SPR 4	3	2	0	10	15
SPR 7	10	5	0	85	100
SPR 10	0	0	0	0	0
Total	43	22	0	450	515

### 1.1 Commercial fisheries

The sprat “fishery” is minor and intermittent. There is no information on catches or landings of sprats prior to 1990, although occasional catches were made during exploratory fishing projects on small pelagic species, mainly in the 1960s and 1970s. Sprats have undoubtedly been caught in most years, but were either not reported, reported as “bait” or included in the category “mixed species”. The name “sprat” is used in a general sense for several unrelated small fishes, and the juveniles of some larger species. This may have introduced errors into catch records. Reported catches and landings since 1990 have ranged from less than 1 t to 7 t (Table 2). The most consistent (but small) catches have been by bottom trawl. Reported catches by setnet and beach seine could be of true sprats, but may also be of yellow-eyed mullet (*Aldrichetta forsteri*), known colloquially as sprats. This is particularly likely in the upper North Island where the presence of sprats is considerably reduced or non-existent. Sprat was introduced into the QMS in October 2002.



## SPRAT (SPR)

**Table 2: Reported landings (t) of Sprat by fishstock and fishing year. No catches reported for SPR 10, which has a TACC of 0.**

FMA	SPR 1 1, 2, 8 & 9		SPR 3 3, 5 & 6		SPR 4 4		SPR 7 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1990–91†	3	-	< 1	-	0	-	< 1	-	3	-
1991–92†	1	-	0	-	0	-	0	-	1	-
1992–93†	< 1	-	< 1	-	0	-	0	-	< 1	-
1993–94†	< 1	-	< 1	-	0	-	< 1	-	1	-
1994–95†	< 1	-	< 1	-	0	-	< 1	-	1	-
1995–96†	< 1	-	6	-	0	-	< 1	-	7	-
1996–97†	< 1	-	1	-	0	-	< 1	-	1	-
1997–98†	< 1	-	< 1	-	0	-	< 1	-	< 1	-
1998–99†	2	-	< 1	-	0	-	< 1	-	4	-
1999–00†	< 1	-	< 1	-	0	-	1	-	2	-
2000–01†	< 1	-	< 1	-	0	-	< 1	-	< 1	-
2001–02	< 1	-	< 1	-	0	-	< 1	-	< 1	-
2002–03	< 1	70	< 1	285	0	10	0	85	< 1	450
2003–04	< 1	70	3	285	0	10	0	85	3	450
2004–05	< 1	70	0	285	0	10	0	85	< 1	450
2005–06	< 1	70	0	285	0	10	0	85	< 1	450
2006–07	< 1	70	< 1	285	0	10	0	85	< 1	450
2007–08	< 1	70	0	285	0	10	0	85	< 1	450
2008–09	< 1	70	< 1	285	0	10	< 1	85	1	450
2009–10	< 1	70	0	285	0	10	0	85	0	450
2010–11	< 1	70	0	285	0	10	0	85	< 1	450
2011–12	< 1	70	0	285	0	10	0	85	< 1	450
2012–13	< 1	70	< 1	285	0	10	< 1	85	< 1	450
2013–14	< 1	70	0	285	< 1	10	0	85	< 1	450

† CELR

### 1.2 Recreational fisheries

There is no known recreational fishery, but small numbers are caught in small-mesh setnets and beach seines.

### 1.3 Customary non-commercial fisheries

Quantitative information on the current level of customary non-commercial take is not available.

### 1.4 Illegal catch

Estimates of illegal catch are not available, but are probably insignificant or nil.

### 1.5 Other sources of mortality

Some accidental captures of sprats by vessels purse seining for other small pelagic species may be discarded if no market is available.

## 2. BIOLOGY

Sprats occur in coastal waters from the Bay of Islands to Stewart Island, and are present at the Auckland Islands. It is not known whether the two species have different distributions. Sprats appear to be most abundant off the southeastern coast of the South Island, where anchovies are absent. Their vertical distribution within the water column is not known.

Spawning occurs in areas of reduced salinity when water temperatures are coolest 9–10.5 °C; there are consequently regional differences in spawning season with spawning peaks occurring between June and November (Taylor & Marriott 2004). The eggs are pelagic.

No reliable ageing work has been undertaken. Sprats are assumed to feed on zooplankton, and are preyed upon by larger fishes, seabirds, and marine mammals.

There have been no biological studies that are directly relevant to the recognition of separate stocks, or to yield estimates. Consequently no estimates of biological parameters are available. There is an

extensive international literature base on sprats, mainly *Sprattus sprattus*, but the relevance of this to the New Zealand species is unknown.

### 3. STOCKS AND AREAS

There is no biological information on which to make an assessment on whether separate stocks exist. However, there are two species, and their relative distributions are unknown. As presently understood, both species are more common around southern New Zealand. If their distributions do differ, and the biomass of each species fluctuates independently, there are unknown implications for localised stock depletion.

### 4. STOCK ASSESSMENT

There have been no previous stock assessments of sprats. There have been two very general estimates of biomass in the Canterbury Bight region: 50 000 t (Robertson 1978), and 60 000 t (Colman 1979), with a possible yield of 10 000 t. No information on biomass variability is available.

#### 4.1 Estimates of fishery parameters and abundance

No fishery parameters are available.

#### 4.2 Biomass estimates

No estimates of biomass ( $B_0$ ,  $B_{MSY}$ , or  $B_{CURRENT}$ ) are available.

#### 4.3 Yield estimates and projections

##### Estimation of Maximum Constant Yield (MCY)

MCY cannot be determined.

##### Estimation of Current Annual Yield (CAY)

Current biomass cannot be estimated, so CAY cannot be determined.

Yield estimates are summarised in Table 2.

#### Other yield estimates and stock assessment results

No information is available.

#### 4.4 Other factors

Data from some ichthyoplankton surveys show one or both sprat species to be locally abundant. However, it is unlikely that the biomass is comparable to the very large stocks in the northern hemisphere where there are large sprat fisheries.

It is not known whether the biomass of sprats is stable or variable, but the latter is considered more likely.

In some localities around the South Island, sprats are a major food source for many fishes, seabirds, and marine mammals. Excessive localised harvesting may disrupt ecosystems.

### 5. STATUS OF THE STOCKS

No estimates of current biomass are available. At the present level of minimal catches, stocks are at or close to their natural level. This is nominally a virgin biomass, but not necessarily a stable one.

Yield estimates, reported landings, and TACCs for the 2013–14 fishing year are summarised in Table 3.

## SPRAT (SPR)

**Table 3: Summary of yield estimates (t), TACCs (t), and reported landings (t) for the most recent fishing year.**

Fishstock		FMA	MCY	2013–14 Actual TACC	2013–14 Reported Landings
SPR 1	North Island	1, 2, 8, 9	—	70	0.007
SPR 3	South-east + Southland/Sub-Antarctic	3, 5, 6	—	285	0
SPR 4	Chatham	4	—	10	0.002
SPR 7	Challenger	7	—	85	0
SPR 10	Kermadec	10	—	0	0
Total				450	0.009

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## STARGAZER (STA)

*(Kathetostoma giganteum)*

Puwhara



## 1. FISHERY SUMMARY

## 1.1 Commercial fisheries

Giant stargazer (*Kathetostoma giganteum*, Uranoscopidae) is a moderate-sized benthic teleost distributed widely in New Zealand waters. It is found on muddy and sandy substrates to depths of 500 m, but is most common between 50–300 m on the continental shelf around the South Island (Anderson *et al.* 1998), where it supports a moderate-value, commercial trawl fishery. It was incorporated into the QMS on 1 October 1997 and is managed as eight separate Quota Management Areas (QMAs) or Fishstocks at this time: STA 1–5, 7–8, and 10.

It is caught by both directed fishing and as bycatch of fisheries targeting other species. The main target fishery is on the Stewart-Snares shelf west of Stewart Island (statistical areas 029–030). Other target fisheries exist on the west coast of the South Island (WCSI) and off Cape Campbell on the east coast of the South Island (ECSI). It is also caught by small domestic trawl vessels targeting red cod (*Pseudophycis baccus*), tarakihi (*Nemadactylus macropterus*), flatfishes (*Colistum* spp., *Peltorhamphus* spp., and *Rhombosolea* spp.), and scampi (*Metanephrops challengeri*) on the continental shelf throughout its range, and by larger, foreign-licensed and New Zealand-chartered foreign vessels targeting barracouta (*Thyrsites atun*), jack mackerels (*Trachurus* spp.), and squid (*Nototodarus* spp.) in deeper waters, in particular on the western Chatham Rise and on the continental slope surrounding the Stewart-Snares shelf. Giant stargazer is an important bycatch of scampi fishing in STA 2–4. Catches by methods other than bottom trawling are minimal. Reported landings from 1979 to 1987–88 are given in Table 1.

**Table 1: Reported landings (t) of giant stargazer by vessel flag from 1979 to 1987–88.**

Year	New Zealand		Foreign licensed	Total	Year	New Zealand		Foreign licensed	Total
	Domestic	Chartered				Domestic	Chartered		
1979*	387	155	159	701	1983–84†	1 463	525	360	2 348
1980*	723	-	-	723	1984–85†	1 027	321	178	1 526
1981*	1 010	314	84	1 408	1985–86†	1 304	386	142	1 832
1982*	902	340	283	1 526	1986–87†	1 126	379	63	1 568
1983*	1 189	329	465	1 983	1987–88†	839	331	26	1 196

\*MAF data.

†FSU data.

## STARGAZER (STA)

The total catch between 1979 and 1986–87 was variable, ranging between 701 and 2348 t and averaging 1481 t/year. Different trends are apparent for domestic and foreign vessels. The domestic and chartered catch was relatively stable throughout the middle and later half of the series, which probably reflects the stability of effort in the red cod, tarakihi, flatfish, and barracouta fisheries at this time as well as better reporting compliance. However, landings by licensed foreign vessels declined steadily from a high of 465 t in 1983 to a low of 26 t in 1986–87, probably reflecting the declining importance of licensed foreign vessels in New Zealand's deepwater fisheries following the phasing-in of the QMS, which began in 1983 and which was fully implemented by 1986–87. Reported landings since 1983 by Fishstock are given in Table 3, and Figure 1 graphs the historical landings and TACC values for the main STA stocks. The total catches for 1986–87 and 1987–88 in Table 1 are less than those in Table 3 because of under-reporting to the FSU during those years.

After 1983, the catch began to increase rapidly, reaching 3426 t in 1990–91, and averaging just over 3000 t thereafter. The increase in catch is due to a number of factors, including: (a) increased target fishing in Southland (STA 5); (b) the availability of more quota through the decisions of the QAA; (c) better management of quotas by quota owners; (d) quota trading in STA 3, 4, 5 and 7; (e) changes in fishing patterns in the Canterbury Bight (STA 3) and the west coast of the South Island (STA 7); (f) a possible increase in abundance of stargazer in STA 7; and (g) increases in the STA 3, 5, and 7 TACCs introduced under the Adaptive Management Programme (AMP) in the 1991–92 fishing year.

The AMP was a management regime within the QMS for data-poor New Zealand Fishstocks that were considered able to sustain increased exploitation. Under the AMP, quota owners collected additional data from the fishery (typically fine-scale catch-effort data and rudimentary but necessary biological data such as fish length and sex) in return for an increased TACC. Under the AMP, TACCs for five giant stargazer Fishstocks (STA 1–3, 5, and 7) were increased at the start of the 1991–92 fishing year, and a sixth (STA 8) was increased in 1993–94. However, the TACCs for Fishstocks STA 1–3, 5, and 8 reverted to their pre-AMP levels in 1997–98, following the removal of these fishstocks from the AMP in July 1997 because of the failure of quota owners to meet the data-collection requirements of the AMP. In recent years, landings in three of these Fishstocks (STA 1–2 and 5) have exceeded their reduced, post-AMP TACCs; although of these, STA 5 is the only one with a TACC greater than 40 t at this time. STA 3 and STA 7 were reviewed in 1998 and retained in the AMP until the end of the 2002–03 fishing year. The TACC in STA 7 further increased to 997 t at the start of the 2002–03 fishing year with a TAC of 1000 t (which included a 2 t recreational and a 1 t customary allowance). STA 7 was reviewed again in 2007 (Starr *et al.* 2007) and retained in the AMP, in October of 2010 the TACC was increased to 1 042 t increasing the TAC to 1 072 t. STA 3 was reviewed in 2008 (Starr *et al.* 2008) and retained at the existing TACC of 902 t, with customary and recreational allocations of 1 t and 2 t respectively, giving a total TAC of 905 t. All AMP programmes ended on 30 September 2009.

STA 5, STA 7, and STA 3 are the most important, in terms of the recorded landed catch, among the eight Fishstocks, with smaller contributions from STA 2 and STA 4. The STA 4 TACC is set at 2160 t, the highest among the eight STA Fishstocks, although catches are only a tenth of this level in most years and the TACC has never been approached or exceeded. Most of the STA 4 catch is caught as bycatch of fishing directed at other target species. A high recorded landed catch in 1990–91 (790 t) was due to exploratory fishing for these target species which has since ceased. Increased catches in STA 2 from 1990–91 were due to the development of the scampi fishery in this FMA.

Although the TACC in STA 7 was increased to 700 t in 1991–92 under the terms of the AMP, it was overcaught in nearly every subsequent fishing year up to 2002–03, when the TACC was further increased to 997 t. Landings reached a high of 1440 t in 2000–01, before dropping back to 800 t in 2001–02. These high recorded landings resulted mainly from the use of bycatch trades with barracouta and flatfishes. With the removal of the bycatch trade system in October 2001, fishers now face the penalty of high deemed-values for any overcatch, and it is likely that these penalties have been the cause of the reduction in the overcatch in this Fishstock.

Table 2: Reported landings (t) for the main QMAs from 1931 to 1982

Year	STA 1	STA 2	STA 3	STA 4	Year	STA 1	STA 2	STA 3	STA 4
1931–32	0	0	0	0	1957	0	15	5	0
1932–33	0	0	0	0	1958	0	25	11	0
1933–34	0	0	0	0	1959	0	23	13	0
1934–35	0	0	0	0	1960	0	18	17	0
1935–36	0	0	0	0	1961	0	7	16	0
1936–37	0	0	0	0	1962	0	6	22	0
1937–38	0	0	0	0	1963	0	10	15	0
1938–39	0	0	0	0	1964	0	9	22	0
1939–40	0	0	0	0	1965	0	12	17	0
1940–41	0	0	0	0	1966	0	12	31	0
1941–42	0	0	0	0	1967	0	24	32	0
1942–43	0	0	0	0	1968	0	28	32	0
1943–44	0	0	0	0	1969	0	40	25	0
1944	0	0	0	0	1970	0	42	80	0
1945	0	0	0	0	1971	0	37	72	0
1946	0	0	0	0	1972	0	30	71	0
1947	0	0	0	0	1973	0	36	78	0
1948	0	0	0	0	1974	0	31	73	7
1949	0	0	0	0	1975	0	10	75	3
1950	0	1	0	0	1976	0	26	99	10
1951	0	1	0	0	1977	0	17	70	0
1952	0	8	0	0	1978	0	29	72	8
1953	0	2	0	0	1979	1	23	230	104
1954	0	7	0	0	1980	3	28	331	57
1955	0	2	3	0	1981	15	25	487	95
1956	0	12	4	0	1982	4	22	565	89

Year	STA 5	STA 6	STA 7	Year	STA 5	STA 6	STA 7
1931–32	0	0	0	1957	0	2	2
1932–33	0	0	0	1958	0	4	3
1933–34	0	0	0	1959	0	4	3
1934–35	0	0	0	1960	0	4	2
1935–36	0	0	0	1961	0	2	1
1936–37	0	0	0	1962	5	2	1
1937–38	0	0	0	1963	1	3	1
1938–39	0	0	0	1964	0	3	1
1939–40	0	0	0	1965	2	4	1
1940–41	0	0	0	1966	27	4	2
1941–42	0	0	0	1967	6	38	2
1942–43	0	0	0	1968	7	24	3
1943–44	0	0	0	1969	21	14	3
1944	0	0	0	1970	124	78	2
1945	0	0	0	1971	87	50	3
1946	0	0	0	1972	70	41	2
1947	0	0	0	1973	38	36	2
1948	0	0	0	1974	128	29	3
1949	0	0	0	1975	92	34	1
1950	0	0	0	1976	348	54	2
1951	0	0	0	1977	293	53	1
1952	0	1	1	1978	268	61	2
1953	0	0	0	1979	245	86	1
1954	0	1	1	1980	467	132	1
1955	0	0	0	1981	557	322	2
1956	0	2	2	1982	500	270	3

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

# STARGAZER (STA)

**Table 3: Reported landings (t) of giant stargazer by QMS Fishstock (QMA) from 1983 to 2012–13. TACCs from 1986–87 to 2013–14 are also provided.**

Fishstock FMA(s)	STA 1 1 & 9		STA 2 2		STA 3 3		STA 4 4		STA 5 5 & 6	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983*	8	-	34	-	540	-	168	-	843	-
1984*	5	-	24	-	588	-	143	-	1023	-
1985*	9	-	15	-	438	-	82	-	695	-
1986*	12	-	24	-	415	-	95	-	566	-
1986–87	10	20	31	30	644	560	72	2 000	738	1 060
1987–88	3	20	46	33	783	581	110	2 005	886	1 144
1988–89	3	20	41	37	675	591	134	2 005	1 215	1 173
1989–90	9	21	53	37	747	703	218	2 009	1 150	1 175
1990–91	8	21	125	37	674	734	790	2 014	1 061	1 239
1991–92	18	50	105	100	756	900	366	2 014	1 056	1 500
1992–93	19	50	115	101	811	901	231	2 014	1 247	1 500
1993–94	8	50	73	101	871	902	113	2 014	1 327	1 500
1994–95	10	50	74	101	829	902	223	2 014	1 216	1 525
1995–96	17	50	69	101	876	902	259	2 014	1 159	1 525
1996–97	22	50	77	101	817	902	149	2 014	977	1 525
1997–98	29	21	54	38	667	902	263	2 014	544	1 264
1998–99	27	21	46	38	641	902	137	2 014	1 145	1 264
1999–00	36	21	42	38	719	902	161	2 014	1 327	1 264
2000–01	26	21	45	38	960	902	233	2 014	1 439	1 264
2001–02	34	21	58	38	816	902	391	2 158	1 137	1 264
2002–03	31	21	41	38	863	902	308	2 158	967	1 264
2003–04	23	21	27	38	578	902	186	2 158	1 193	1 264
2004–05	27	21	28	38	646	902	366	2 158	1 282	1 264
2005–06	34	21	30	38	824	902	359	2 158	1 347	1 264
2006–07	22	21	31	38	719	902	292	2 158	1 359	1 264
2007–08	36	21	26	38	572	902	436	2 158	1 171	1 264
2008–09	35	21	22	38	574	902	139	2 158	1 137	1 264
2009–10	17	21	26	38	576	902	198	2 158	1 339	1 264
2010–11	21	21	19	38	570	902	134	2 158	1 235	1 264
2011–12	21	28	17	38	397	902	213	2 158	1 288	1 264
2012–13	19	21	13	38	439	902	133	2 158	1 140	1 264
2013–14	20	21	14	38	499	902	133	2 158	1 274	1 264

Fishstock FMA(s)	STA 7 7		STA 8 8		STA 10 10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983*	323	-	3	-	0	-	1 919	-
1984*	444	-	3	-	0	-	2 230	-
1985*	328	-	4	-	0	-	1 571	-
1986*	362	-	3	-	0	-	1 477	-
1986–87	487	450	7	20	0	10	1 990	4 150
1987–88	505	493	5	20	0	10	2 338	4 306
1988–89	520	499	5	20	0	10	2 593	4 355
1989–90	585	525	1	22	0	10	2 763	4 502
1990–91	762	528	6	22	0	10	3 426	4 605
1991–92	920	700	18	22	0	10	3 239	5 296
1992–93	861	702	5	22	0	10	3 289	5 300
1993–94	715	702	4	50	0	10	3 111	5 329
1994–95	730	702	7	50	0	10	3 089	5 354
1995–96	877	702	4	50	0	10	3 261	5 354
1996–97	983	702	10	50	0	10	3 034	5 354
1997–98	564	702	10	22	0	10	2 132	4 973
1998–99	949	702	2	22	0	10	2 946	4 973
1999–00	1 184	702	3	22	0	10	3 472	4 973
2000–01	1 440	702	4	22	0	10	4 146	4 973
2001–02	802	702	4	22	0	10	3 238	5 117
2002–03	957	997	4	22	0	10	3 171	5 412
2003–04	934	997	6	22	0	10	2 947	5 412
2004–05	1 028	997	5	22	0	10	3 381	5 412
2005–06	1 010	997	3	22	0	10	3 606	5 412
2006–07	1 051	997	4	22	0	10	3 478	5 412
2007–08	1 014	997	3	22	0	10	3 258	5 412
2008–09	1 001	997	5	22	0	10	2 913	5 412
2009–10	1 093	997	6	22	0	10	3 247	5 456
2010–11	1 037	1 042	7	22	0	10	3 023	5 456
2011–12	1 056	1 042	7	22	0	10	3 006	5 456
2012–13	1 097	1 042	7	22	0	10	2 849	5 456
2013–14	1 062	1 042	6	22	0	10	3 007	5 456

\* MAF data

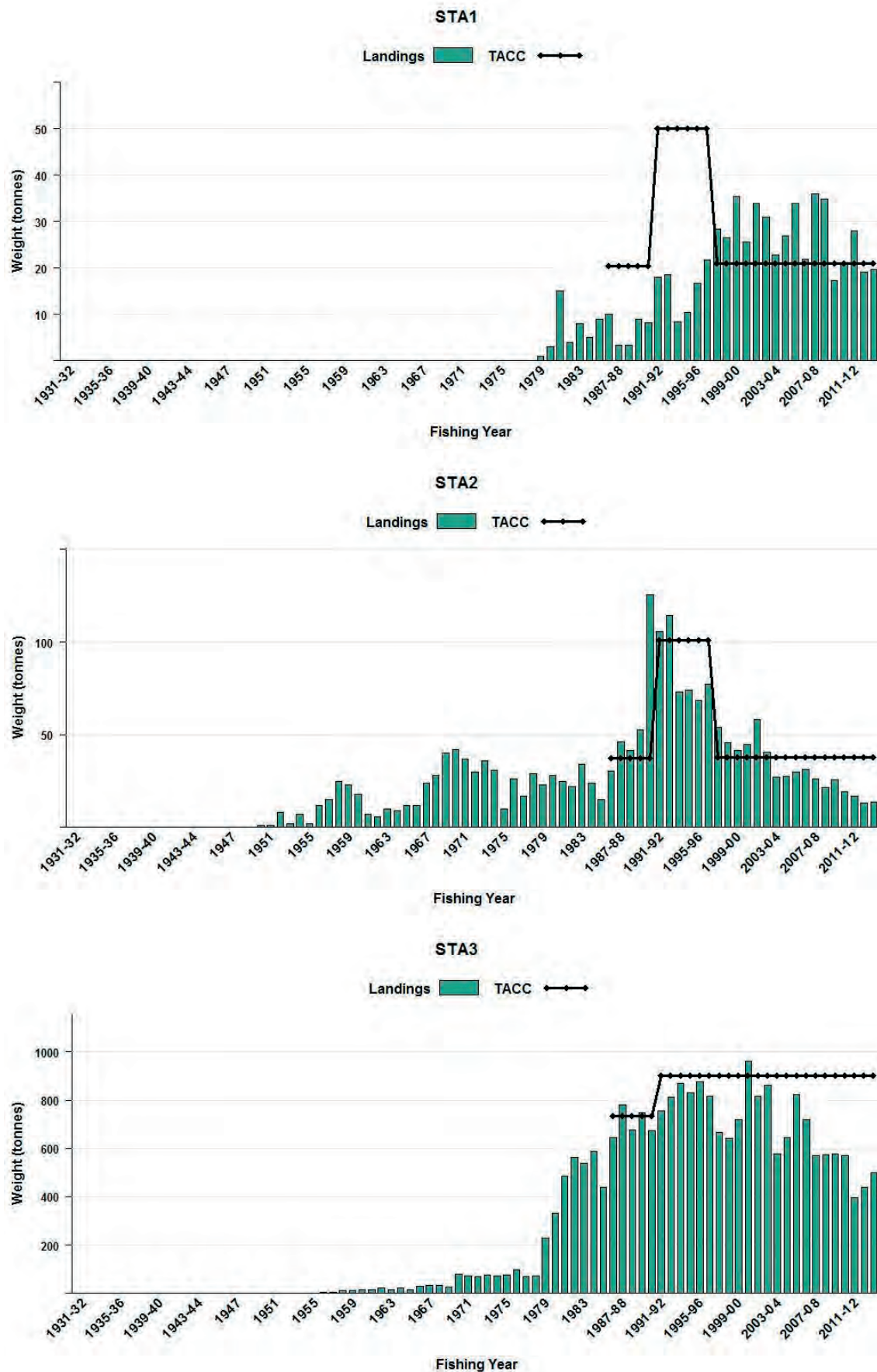


Figure 1: Reported commercial landings and TACC for the seven main STA stocks. From top to bottom: STA 1 (Auckland East), STA 2 (Central East) and STA 3 (South East Coast).



# STARGAZER (STA)

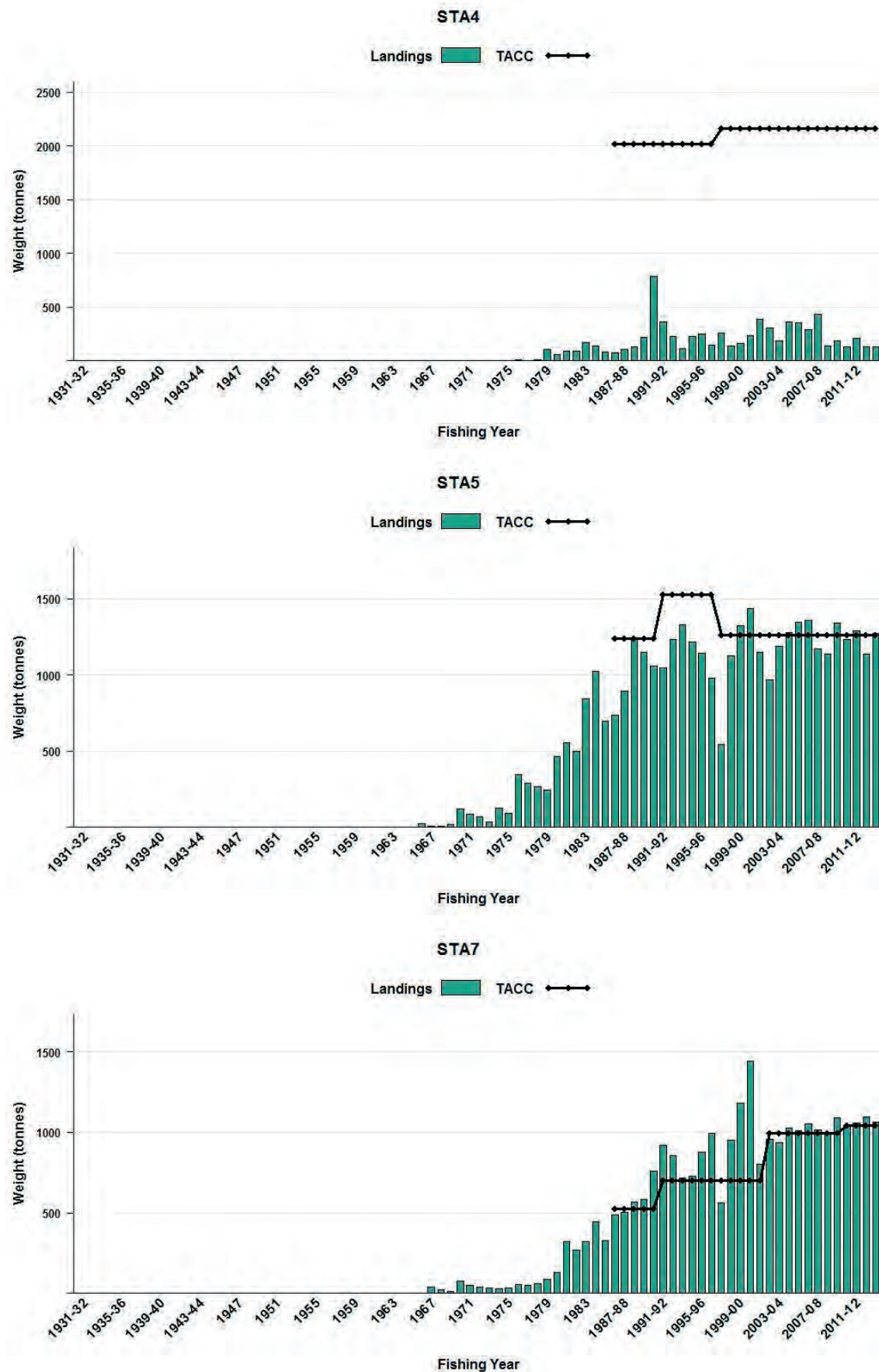
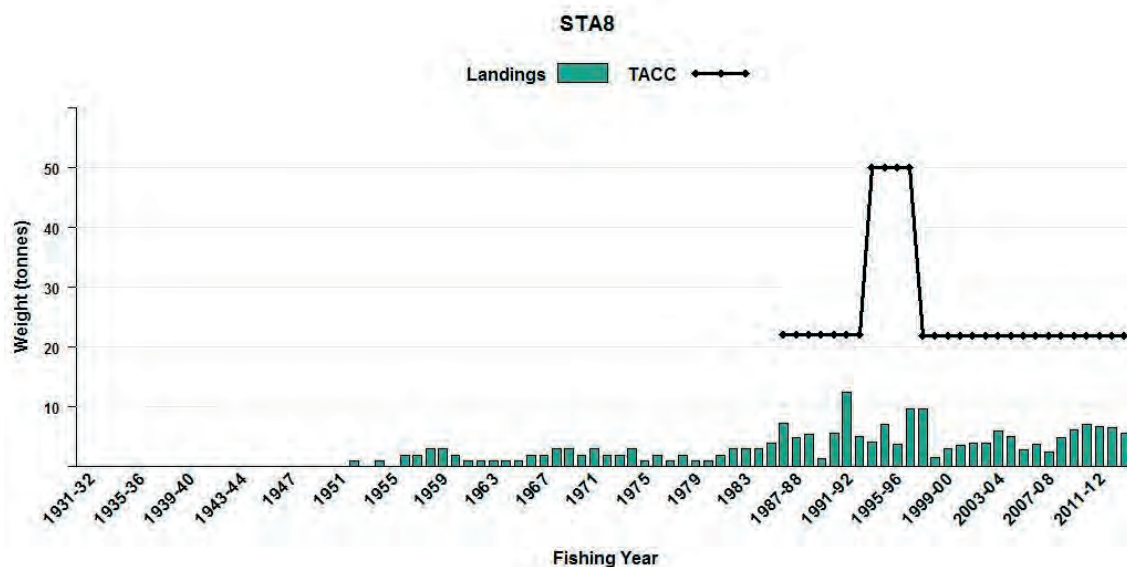


Figure 1 [Continued]: Reported commercial landings and TACC for the seven main STA stocks. From top to bottom: STA 4 (Chatham Rise), STA 5 (Southland), and STA 7 (Challenger).



**Figure 1 [Continued]: Reported commercial landings and TACC for the seven main STA stocks. STA 8 (Central Egmont).**

Most of the stargazer catch is landed in a processed state. The conversion factors for giant stargazer were revised during the early 1990s to determine a conversion factor that was consistent with the main processed state (DVC). Recent analyses of catch and effort data from the STA 5 and STA 7 fisheries have taken these changes in the conversion factors into account in determining the landed catch (in green weight). For STA 5, the correction for the changes in the conversion factors resulted in an increase (9–34%) in the annual landed catch from 1989–90 to 1996–97 (Langley & Bentley 2014). Similarly, for STA 7 the correction resulted in an increase (17–37%) in the annual landed catches from 1989–90 to 1996–97 (Langley in press). These changes in conversion factor have not been applied to the total reported landings from the stargazer fishstocks in Tables 1 and 2 and Figure 1.

The landings data (Table 1 and Table 2) probably include an unknown quantity of catch from other uranoscopid species misidentified as *K. giganteum*. Fishers in STA 1–3 and 8 have been known to report brown (*Gnathagnus innotabilis*) and spotted stargazer (*Genyagnus monopterygius*) as *K. giganteum* in the past. Landings in STA 4 and 5 probably include an unknown amount of an undescribed sister species, banded stargazer (*Kathetostoma* sp.). Although the true extent of misreporting due to misidentification is unknown, it is likely to be small.

## 1.2 Recreational fisheries

Stargazer were not reported as being caught by recreational fishers in surveys conducted in the Ministry of Fisheries South region in 1991–92, Central region in 1992–93 and North region in 1993–94. In a Ministry of Fisheries national survey in 1996, a few giant stargazer were reported in STA 1 and 3, with an estimated take of 1000 fish in STA 1 and less than 500 fish taken in STA 3 (Bradford 1998). No giant stargazer catch was recorded for the recreational fishers during the 1999–2000 national diary survey (Boyd & Reilly 2005).

## 1.4 Customary non-commercial fisheries

No quantitative information is available on the level of customary non-commercial take.

## 1.5 Illegal catch

No quantitative information is available on the level of illegal catch.

## 1.6 Other sources of mortality

No quantitative information is available on the level of other sources of mortality.

## 2. BIOLOGY

Giant stargazer is found throughout the New Zealand EEZ. It is most plentiful around the South Island (STA 3, 5, & 7) and on the Mernoo Bank on the Chatham Rise (STA 4).

Using data collected from the west coast South Island trawl survey series (Drummond & Stevenson, 1995a, 1995b, 1996; Stevenson 1998; Stevenson & Hanchet 2000; Stevenson 2002, 2004), Manning (2008) found that giant stargazer reach sexual maturity at a length of about 40–55 cm in total length (TL), depending on sex, at an age of between 5–7 years. Age and growth studies suggest that some individuals reach a maximum age of at least 25 years (Sutton 1999; Manning & Sutton 2004; Sutton 2004; Manning & Sutton 2007a, 2007b). Otolith growth zones have not been validated. A number of attempts at growth zone validation have been undertaken unsuccessfully. A tag and release programme was initiated with all released fish being injected with oxytetracycline as part of the East Coast South Island trawl survey. A single fish has been recaptured but the otoliths were not recovered. Andrews (2009) investigated the feasibility of using lead-radium dating of otoliths as a means of validating age. However, the levels of radium-226 in stargazer otoliths were too low (nearly 10 times lower than expected) to generate meaningful results. Using maximum-likelihood methods, Manning & Sutton (2004) found that giant-stargazer growth differs significantly between the east, south, and west coasts of the South Island. They suggested that these differences represented different biological stock units in these areas, although the true stock structure is unclear (Tate 1987). Manning (2005) investigated the effect of assuming alternative growth models with different functional forms on the data and conclusions presented by Manning & Sutton (2004). His results were consistent with the earlier results.

$M$  was estimated using the equation  $M = \ln 100 / t_{\max}$ , where  $t_{\max}$  is the maximum age to which 1% of the population survives in an unexploited stock. Using an unvalidated maximum age of 26 years, yields  $M = 0.18$ . Preliminary results of the STA 7 quantitative stock assessment (Manning 2008) suggested 0.18 was an underestimate of the unknown true value. A revised estimate based on applying Hoenig's (1983) regression to the age composition data from the west coast South Island survey series suggested that a value of 0.23 is more reasonable (Manning 2008). Although the west coast South Island age composition data were collected from an exploited stock, 0.23 is considered to be closer to the true value than 0.18.

Stargazer have an annual reproductive cycle with a winter spawning season. Spawning probably occurs in mid and outer shelf waters all around New Zealand. The generalised spawning date assumed in the age and growth studies cited above is 1 July in any given calendar year.

Biological parameters relevant to the stock assessment are given in Table 4.

**Table 4: Estimates of giant stargazer biological parameters**

Fishstock				Estimate		Source
<u>1. Natural mortality (<i>M</i>)</u>						
STA 5				0.20		Sutton (2004)
STA 7				0.18		Manning (2006a)
<u>2. Weight = a(length)<sup>b</sup> (Weight in g, length in cm fork length).</u>						
Females		Males		All fish		McClatchie (uppub.data) McGregor (unpub. data) Manning & Sutton (2007a)
a	b	a	b	a	b	
STA 3	-	-	-	0.015	3.01	
STA 5	-	-	-	0.024	2.92	
STA 7	0.018	2.97	0.013	3.07	-	
<u>3. Length at maturity (cm total length)</u>						
Females				Males		Manning (2008)
L <sub>50</sub>		L <sub>95</sub>		L <sub>50</sub>	L <sub>95</sub>	
STA 7		54.37	11.24	40.98	14.90	
<u>4. Age at maturity (years)</u>						
Females				Males		Manning (2008)
A <sub>50</sub>		A <sub>95</sub>		A <sub>50</sub>	A <sub>95</sub>	
STA 7		7.23	4.34	5.53	4.38	

Table 4 [continued]

## 5. von Bertalanffy length-at-age model parameter estimates

	Females			Males			
	$L_{\infty}$	$K$ (yr <sup>-1</sup> )	$t_0$ (yr)	$L_{\infty}$	$K$ (yr <sup>-1</sup> )	$t_0$ (yr)	
STA 3	78.11	0.14	-1.25	61.49	0.2	-0.97	Sutton (1999)
STA 5	73.92	0.18	-0.22	59.12	0.19	-1.19	Sutton (1999)
STA 5	72.61	0.17	-0.02	60.76	0.18	-1.16	Sutton (2004)
STA 7	85.74	0.13	-0.666	71.00	0.15	-0.664	Manning & Sutton (2007a); a revision of earlier results presented by Manning & Sutton (2004)

### 3. STOCKS AND AREAS

There are no new data that would alter the stock boundaries given in previous assessment documents.

It is not known if there is more than one giant stargazer stock in New Zealand. The present QMAs were used as a basis for Fishstocks, except for QMAs 5 and 6, which were combined (STA 5). The basis for choosing these boundaries was a general review of the distribution and relative abundance of stargazer within the fishery.

As noted, length-at-age differs significantly between the east, south and west coasts of the South Island (Manning & Sutton 2004, Manning 2005). This is consistent with the Fishstock boundaries.

### 4. STOCK ASSESSMENT

An integrated assessment for STA 7 was updated in 2008 with data that included the commercial catch, trawl survey biomass and proportions-at-age estimates, and commercial catch proportions-at-age.

#### 4.1 Trawl surveys

##### 4.1.1 Relative biomass

Indices of relative biomass are available from recent *Tangaroa* and *Kaharoa* trawl surveys of the Chatham Rise, East Coast South Island and West Coast South Island (Table 5, and Figures 2–5).

##### Chatham Rise Trawl Survey

The Chatham Rise Trawl Survey was designed primarily for Hoki and covers the depth range 200–400m. It therefore excludes stargaze habitat around the Mernoo Bank in < 200m. The survey biomass estimates for STA have fluctuated without trends since the series began in 1991 (Figure 2).

##### West Coast South Island Trawl Survey

STA 7 is one of a suite of inshore stocks the WCSI trawl survey is designed to monitor. The depth range for this survey is 30–400m on the west coast of the South Island and >20m in Tasman and Golden Bay (MacGibbon and Stevenson, 2013). Biomass estimates declined from 1994 to 2003 and then steadily increased to 2015 (Figure 3).

##### East Coast South Island Trawl Survey (STA 3)

The ECSI winter surveys from 1991 to 1996 in 30–400 m were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range, but these were discontinued after the fifth in the annual time series because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007 and this time included additional 10–

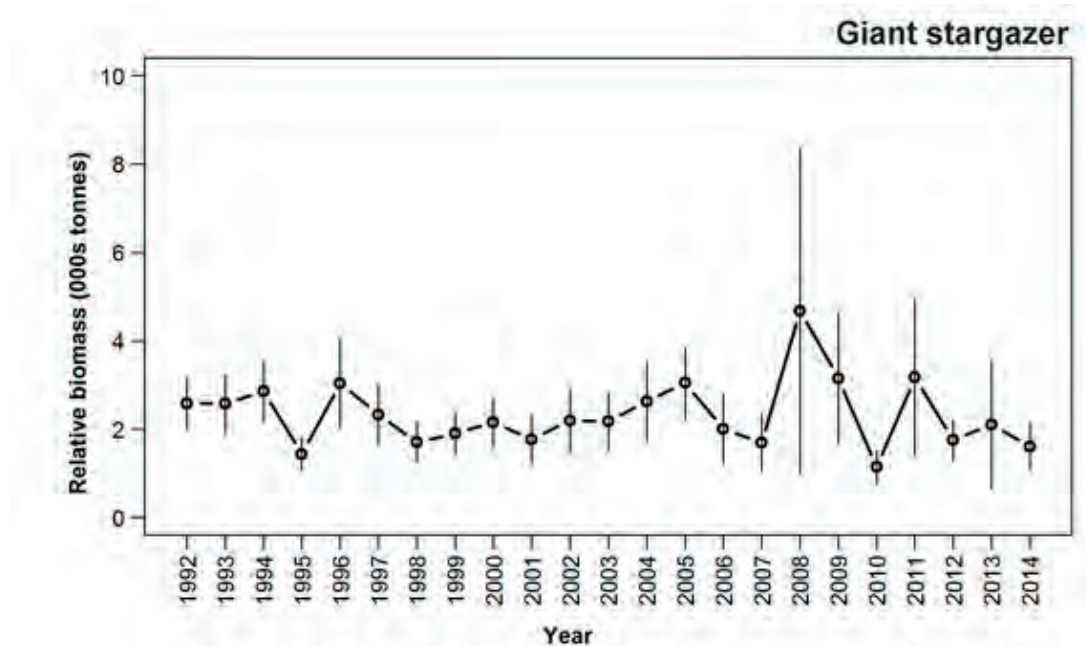
## STARGAZER (STA)

30 m strata in an attempt to index elephantfish and red gurnard which were included in the list of target species. Only 2007, 2012, and 2014 surveys provide full coverage of the 10–30 m depth range.

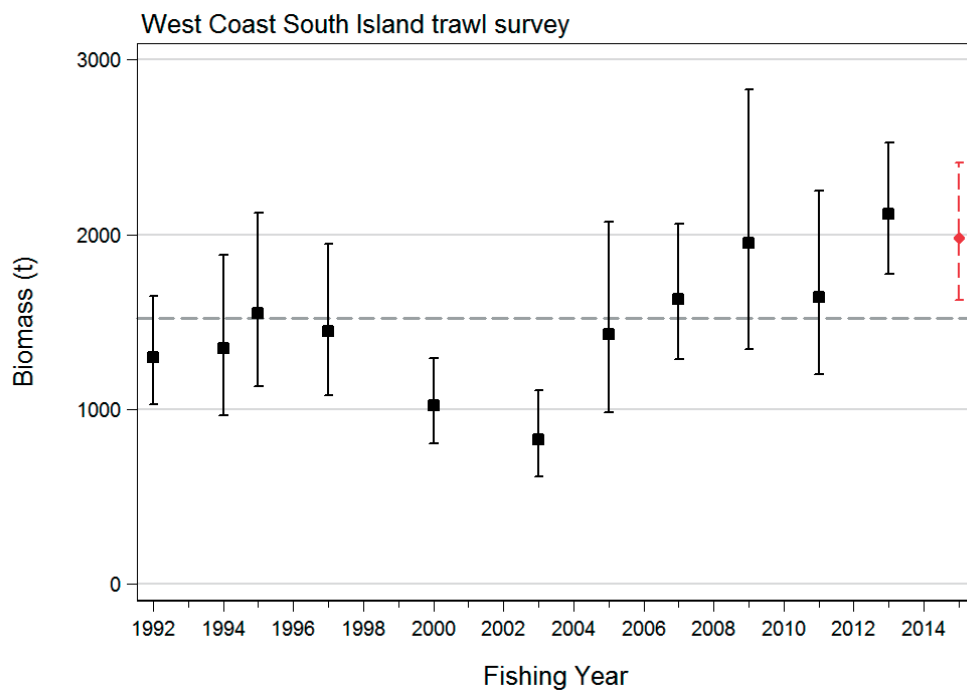
The distribution of giant stargazer hotspots varies between years, but overall this species is consistently well represented over the entire survey area, most commonly from 30 m to about 200 m.

There were no giant stargazer caught in the 10–30 m strata of the East Coast South Island trawl survey in 2007, 2012, and 2014, and hence the addition of the shallow strata (10–30 m) is of no value for monitoring giant stargazer.

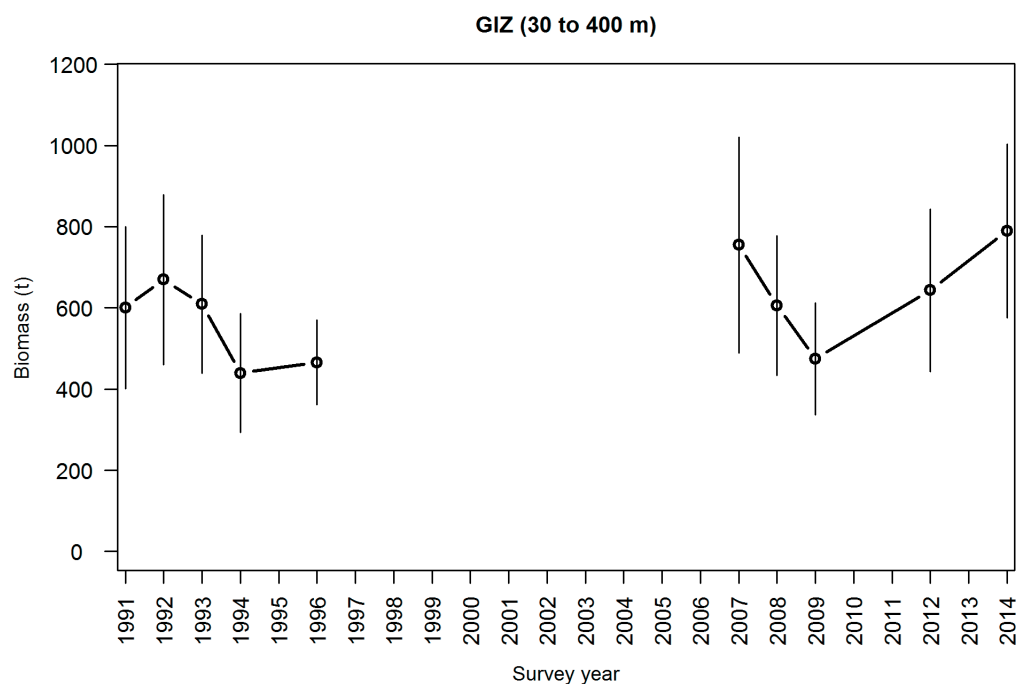
Overall there is no consistent trend in giant stargazer biomass in ECSI survey series (Figure 4). Pre-recruited biomass was a small but consistent component of the total biomass estimate on all surveys (range 2–5% of total biomass) and in 2014 it was 5% (Beentjes et al., 2015). The juvenile to adult biomass ratio (based on length-at-50% maturity) was relatively constant over the time series at about 1 to 1, and in 2014 biomass was 44% juvenile.



**Figure 2:** Giant stargazer biomass  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) and the time series mean (dotted line) estimated from the Chatham Rise trawl survey.



**Figure 3:** Giant stargazer biomass  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) and the time series mean (dotted line) estimated from the West Coast South Island trawl survey. The 2015 estimate is preliminary.



**Figure 4:** Giant stargazer (GIZ) total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m).

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**Table 5: Relative biomass indices (t) and coefficients of variation (CV) for giant stargazer for the east coast North Island (ECNI), east coast South Island (ECSI) – summer and winter, Chatham Rise, west coast South Island (WCSI), and the Stewart-Snares Island survey areas\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. – , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (30 cm).**

Region	Fishstock	Year	Trip number	Total estimate	Biomass	CV (%)	Total estimate	Biomass	CV (%)	Pre- recruit	CV (%)	Pre- recruit	CV (%)	Recruited	CV (%)		
ECNI (inshore)	STA 2	1993	KAH9304	184		22	-		-	-	-	-	-	-	-		
		1994	KAH9402	58		47	-		-	-	-	-	-	-	-		
		1995	KAH9502	44		35	-		-	-	-	-	-	-	-		
		1996	KAH9602	57		17	-		-	-	-	-	-	-	-		
ECNI(scampi)	STA 2	1993	KAH9301	250		16	-		-	-	-	-	-	-	-		
		1994	KAH9401	215		20	-		-	-	-	-	-	-	-		
		1995	KAH9501	122		17	-		-	-	-	-	-	-	-		
ECSI (winter)	STA 3	1991	KAH9105	672		17	-		-	22	22	26	646	17	-		
		1992	KAH9205	669		16	-		-	14	14	35	634	16	-		
		1993	KAH9306	609		14	-		-	19	16	19	591	14	-		
		1994	KAH9406	439		17	-		-	10	25	10	429	17	-		
		1996	KAH9606	466		11	-		-	13	34	13	452	11	-		
		2007	KAH0705	755		18	-		-	33	24	33	722	18	-		
		2008	KAH0806	606		14	-		-	13	28	13	592	14	-		
		2009	KAH0905	475		14	-		-	10	34	10	464	15	-		
		2012	KAH1207	643		16	-		-	26	22	26	617	16	-		
		2014	KAH1402	790		14	-		-	39	17	39	751	14	-		
		Chatham Rise	STA 4	1996	KAH9618	897		12	-		-	-	-	-	-	-	-
				1997	KAH9704	543		11	-		-	-	-	-	-	-	-
				1998	KAH9809	999		10	-		-	-	-	-	-	-	-
1999	KAH9917			472		14	-		-	-	-	-	-	-	-		
2000	KAH0014			214		16	-		-	-	-	-	-	-	-		
1992	TAN9106			2 570		11	-		-	-	-	-	-	-	-		
1993	TAN9212			2 560		13	-		-	-	-	-	-	-	-		
1994	TAN9401			2 853		12	-		-	-	-	-	-	-	-		
1995	TAN9501			1 429		13	-		-	-	-	-	-	-	-		
1996	TAN9601			3 039		16	-		-	-	-	-	-	-	-		
1997	TAN9701			2 328		15	-		-	-	-	-	-	-	-		
1998	TAN9801			1 702		14	-		-	-	-	-	-	-	-		
1999	TAN9901			1 903		13	-		-	-	-	-	-	-	-		
2000	TAN0001			2 148		13	-		-	-	-	-	-	-	-		
2001	TAN0101			1 772		16	-		-	-	-	-	-	-	-		
1991	KAH9105			672		17	-		-	-	-	-	-	-	-		
1992	KAH9205			669		16	-		-	-	-	-	-	-	-		
1993	KAH9306			609		14	-		-	-	-	-	-	-	-		
1994	KAH9406			439		17	-		-	-	-	-	-	-	-		
1996	KAH9606			466		11	-		-	-	-	-	-	-	-		
2007	KAH0705			755		18	-		-	-	-	-	-	-	-		
2008	KAH0806			606		14	-		-	-	-	-	-	-	-		
2009	KAH0905			475		14	-		-	-	-	-	-	-	-		
2012	KAH1207			643		16	-		-	-	-	-	-	-	-		
2014	KAH1402			790		14	-		-	-	-	-	-	-	-		

**Table 5 [continued]:** Relative biomass indices (t) and coefficients of variation (CV) for giant stargazer for the east coast North Island (ECNI), east coast South Island (ECSI) - summer and winter, Chatham Rise, west coast South Island (WCSI), and the Stewart-Snares Island survey areas\*. Biomass estimates for **ECSI in 1991** have been adjusted to allow for non-sampled strata (7 & 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. —, not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (30 cm).

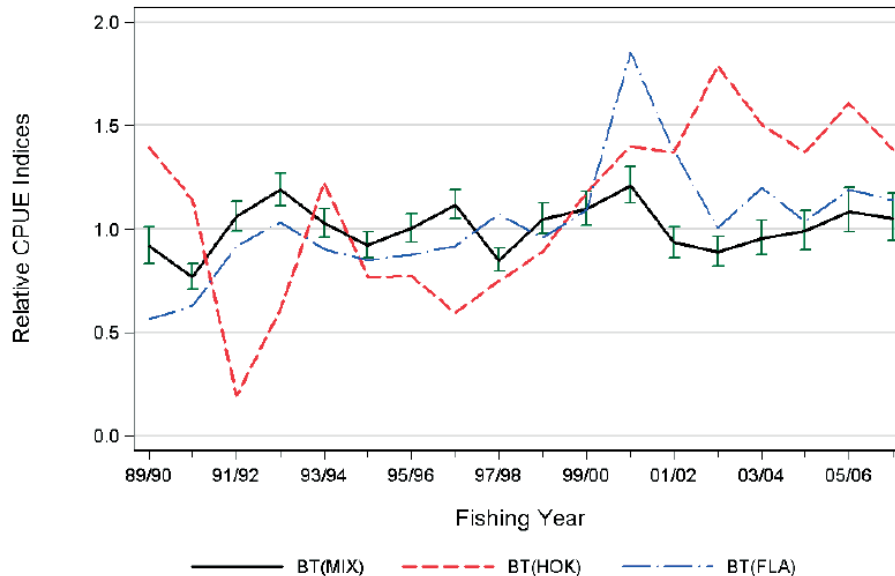
Region	Fishstock	Year	Trip number	Total estimate	Biomass	CV (%)	Total estimate	Biomass	CV (%)	Pre- recruit	CV (%)	Recruited	CV (%)
Chatham Rise	STA 4	2002	TAN0201	2 195		16	-		-	-	-	-	-
		2003	TAN0301	1 380		15	-		-	-	-	-	-
		2005	TAN0501	3 045		13	-		-	-	-	-	-
		2006	TAN0601	2 007		19	-		-	-	-	-	-
		2007	TAN0701	1 684		12	-		-	-	-	-	-
		2008	TAN0801	4 677		40	-		-	-	-	-	-
		2009	TAN0901	3 154		24	-		-	-	-	-	-
		2010	TAN1001	1 140		17	-		-	-	-	-	-
		2011	TAN1101	3 169		28	-		-	-	-	-	-
		2012	TAN1201	1 751		13	-		-	-	-	-	-
		2013	TAN1301	2 108		34	-		-	-	-	-	-
WCSI	STA 7	1992	KAH9204	1 302		12	-		-	-	-	-	-
		1994	KAH9404	1 350		17	-		-	-	-	-	-
		1995	KAH9504	1 551		16	-		-	-	-	-	-
		1997	KAH9701	1 450		15	-		-	-	-	-	-
		2000	KAH0004	1 023		12	-		-	-	-	-	-
		2003	KAH0304	827		15	-		-	-	-	-	-
		2005	KAH0503	1 429		19	-		-	-	-	-	-
		2007	KAH0704	1 630		12	-		-	-	-	-	-
		2009	KAH0904	1 952		19	-		-	-	-	-	-
		2010	KAH1004	1 645		16	-		-	-	-	-	-
		2013	KAH1305	2 118		9	-		-	-	-	-	-
Stewart & Snares	STA 5	1993	TAN9301	2 650		20	-		-	-	-	-	-
		1994	TAN9402	3 755		11	-		-	-	-	-	-
		1995	TAN9502	2 452		11	-		-	-	-	-	-
		1996	TAN9604	1 733		11	-		-	-	-	-	-
Stewart & Snares	Banded Stargazer BGZ 5	1993	TAN9301	409		27	-		-	-	-	-	-
		1994	TAN9402	250		21	-		-	-	-	-	-
		1995	TAN9502	316		29	-		-	-	-	-	-
		1996	TAN9604	232		34	-		-	-	-	-	-



## 4.2 CPUE analysis

### STA 2 and 3

CPUE indices have been calculated for STA 2 (Vignaux 1997) and STA 3 (SEFMC 2002, SeaFIC 2005a, Starr *et al.* 2008). The currently accepted CPUE series for STA 3 (Figure 5) is based on a mixed target species fishery including red cod, barracouta, tarakihi and stargazer and shows no trend since about 2000–01.



**Figure 5:** Comparison of the lognormal indices from the three bottom trawl CPUE series for STA 3; a) BT(MIX): mixed species target trawl fishery; b) BT(FLA): hoki target trawl fishery; c) BT(FLA): target flatfish trawl fishery. Each series is scaled to the geometric mean = 1. (Starr *et al.* 2008).

### STA 5

About 80% of the STA 5 catch is caught by small (< 43 m) inshore bottom-trawl vessels targeting giant stargazer. The remainder of the catch is caught mostly by large ( $\geq 43$  m), deepwater bottom-trawl vessels targeting other species such as barracouta, jack mackerels, and squids. Catches by methods other than bottom trawling are very small.

CPUE indices currently represent the only available information for monitoring the STA 5 fishery. There have been previous analyses of the CPUE data from this fishery by Vignaux (1997), Phillips (2001) and Manning (2007). In 2014, a new CPUE analysis was conducted that included catch and effort data from the inshore target stargazer trawl fleet operating in statistical areas 030, 029 and 025 during 1989–90 to 2012–13.

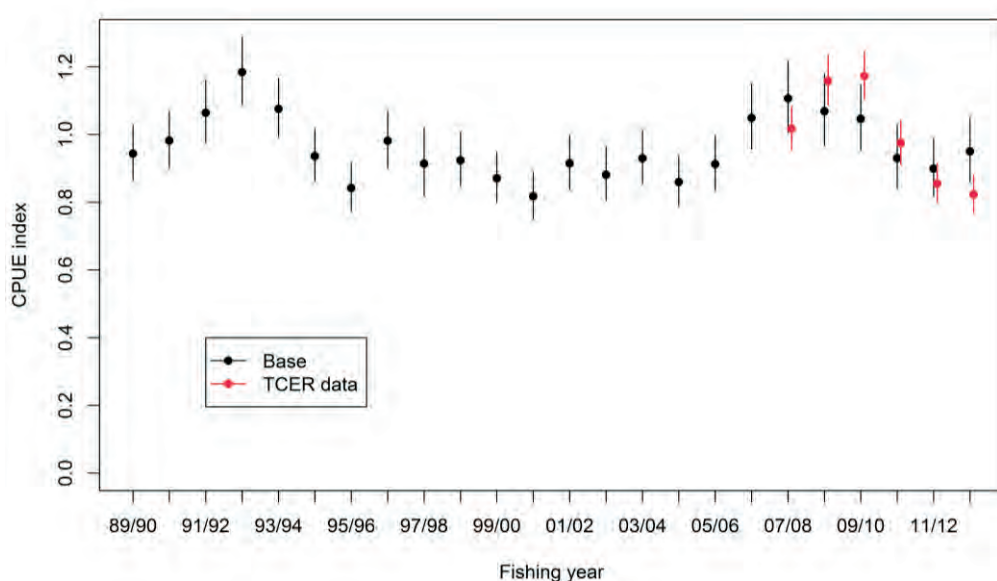
Data processing was similar to the approach of Manning (2007), whereby the declared landed catches were corrected for changes in the conversion factor of giant stargazer during the early 1990s. Landed catches from individual fishing trips were apportioned to the associated fishing effort records in proportion to the reported estimated catch of giant stargazer. An attempt to replicate the analysis of Manning (2007) yielded comparable CPUE indices for the 1989–90 to 2003–04 period.

Changes in statutory reporting in 2007–08 (from CELR to TCER forms) required that the more recent location based TCER trawl effort data be aggregated into a format consistent with the CELR data format to configure a comparable times series. The aggregation procedure is described in detail in Langley (2014). The final CPUE data set was limited to a core set of 14 vessels that accounted for 80% of the total target stargazer catch. One of the main vessels changed fishing gear from single trawl to a twin rig trawl in the mid-2000s and, on that basis, was assigned to a different vessel category depending on the fishing gear deployed.

The final CPUE data set included a trivial number of zero stargazer catches and those records were ignored in the final analysis. A generalised linear model, based on positive catch and effort targeted at

stargazer, was formulated using an AIC based step-wise fitting procedure and investigated a number of alternative distributional assumptions. The final model included the natural logarithm of catch as the dependent variable; fishing year, vessel and month as categorical predictor variables; and the effort variables: natural log of the number of trawls and fishing duration, included as third order polynomial functions. The Weibull error distribution was accepted as the most suitable of those which were investigated.

The CPUE indices from the final model have fluctuated without trend (1989–90 to 2012–13) with peaks in 1991–92 to 1993–94 and 2006–07 to 2009–08 (Figure 10). The 2012–13 value is just below the average for the series. A CPUE index was also derived from the short time-series of high resolution TCER data from 2007–08 to 2012–13. These indices revealed a similar general trend to the corresponding annual indices from the primary CPUE model, although the magnitude of the decline in the CPUE indices from 2009–10 was greater and there was no increase in the index in 2012–13 (Figure 5).



**Figure 6: A comparison of STA 5 CPUE indices from the base model and indices derived from the high resolution, location based TCER data and the associated 95% confidence intervals.**

### Establishing $B_{MSY}$ compatible reference points

The Working Group accepted mean standardized CPUE for the period 1989–9 to 2012–13 as a  $B_{MSY}$ -compatible proxy for STA 5. The Working Group accepted the default Harvest Strategy Standard definitions that the Soft and Hard Limits would be one half and one quarter the target, respectively.

### STA 7

A CPUE series calculated for STA 7 (SeaFIC 2002, 2003b, 2005b, Starr *et al.* 2007), based on a mixed west coast South Island target species (stargazer, barracouta, red cod and tarakihi) fishery, was not accepted by the AMP WG as an indicator of STA 7 abundance. The Inshore and AMP Fishery Assessment Working Groups (FAWG) had concerns over using bycatch fisheries to monitor stargazer abundance in these areas due to possible changes in recording and fishing practices. A characterisation of the STA 7 fishery, including detailed trawl location data, identified a number of areas of higher stargazer abundance along the WCSI and it was speculated that the previous trends in STA 7 CPUE could have been influenced by the extent of fishing in these localised areas (Langley 2015). The SINS WG reaffirmed the previous conclusions regarding the utility of the aggregated (CELR based) CPUE time-series.

An additional time-series of CPUE indices was derived from the detailed trawl location data set. The data set included trawl records from bottom trawl fishing effort targeting barracouta, tarakihi, blue

## STARGAZER (STA)

warehou, stargazer or red cod in the WCSI inshore trawl fishery (Langley 2015) from 2007–08 to 2012–13. The standardised CPUE analysis included both positive catch and presence/absence models that incorporated fishing location and fishing depth variables. The resulting Combined indices were relatively stable, increasing slightly (5–8%) over the 6 year period (Table 6). The trawl survey biomass indices were also relatively stable over that period. The SINS WG concluded that the trawl location based CPUE indices have potential to monitor the relative abundance of STA 7; however, the utility of the CPUE indices can only be evaluated once a longer time series of CPUE indices are available for comparison with the relative abundance indices from the WCSI trawl survey.

**Table 6: Annual combined STA 7 trawl location based CPUE indices, including the lower and upper bounds of the confidence intervals.**

Fishing year	Index	LCI	UCI
2007–08	0.969	0.909	1.025
2008–09	0.956	0.905	1.010
2009–10	1.029	0.975	1.087
2010–11	0.982	0.926	1.037
2011–12	1.052	0.995	1.110
2012–13	1.013	0.954	1.069

## 4.3 Stock Assessment Models

### STA 7

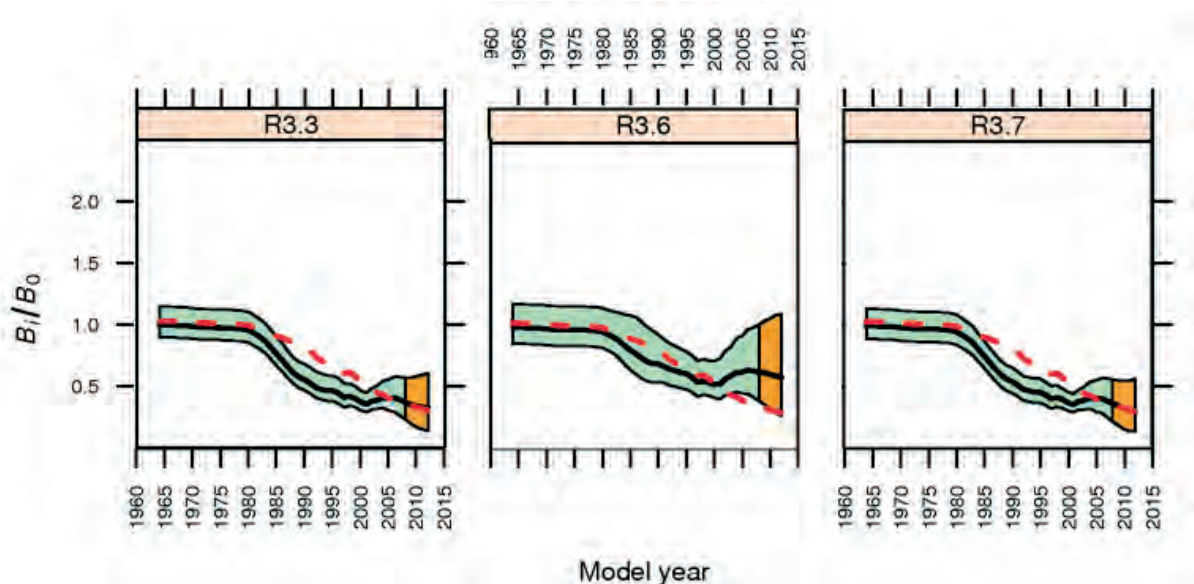
An age-structured model partitioned by age (0–25 years) and sex was fitted to the WCSI trawl survey relative abundance indices (1992–05), WCSI survey proportions-at-age data (1992–05), and WCSI fishery catch-at-age data (2005 only) (Manning 2008). The stock boundary assumed in the model included the west coast of the South Island, Tasman and Golden Bays, but not eastern Cook Strait (a catch history was compiled for the model stock that excluded eastern Cook Strait). A summary of the model’s annual cycle is given in Table 7. A preliminary model that included data up to the end of the 2005 year was revised and updated with additional data from 2007 West Coast South Island survey relative biomass, survey proportions-at-age, and fishery proportions-at-age data.

**Table 7: The STA 7 model’s annual cycle (Manning 2008). Processes within each time step are listed in the time step in which they occur in particular order (e.g., in time step 3, new recruits enter the model partition first followed by the application of natural and fishing mortality to the partition).  $M$ , the proportion of natural mortality assumed during each time step.  $F$ , the nominal amount of fishing mortality assumed during each time step as a proportion of the total catch in the stock area. Age, the proportion of fish growth that occurs during each time step in each model year.**

Time step	Duration	Process applied	Proportions			Observations
			$M$	$F$	Age	
1	Oct–Jun	Mortality ( $M, F$ )	0.75	0.77	1.00	Survey relative biomass Survey proportions-at-age Survey length-at-age Fishery catch-at-age Fishery relative abundance
2	Jun (instantaneous)	Spawning	0.00	0.00	0.00	NIL
3	Jun–Sept	Age incrementation Recruitment Mortality ( $M, F$ )	0.25	0.23	0.00	Fishery catch-at-age

**Table 8: MCMC initial and current biomass estimates for the STA 7 model runs R3.1 R3.6 and R3.7 (Manning in prep).  $B_0$ , virgin or unfished biomass;  $B_{2007}$ , mid-year biomass in 2007 (current biomass);  $(B_{2007}/B_0)\%$ ,  $B_0$  as a percentage of  $B_{2007}$ ; Min, minimum; Max, maximum;  $Q_i$ ,  $i$ th quantile. The interval  $(Q_{0.025}, Q_{0.975})$  is a Bayesian credibility interval (a Bayesian analogue of frequentist confidence intervals).**

	R3.3			R3.6		
	$B_0$	$B_{2007}$	$(B_{2007}/B_0)\%$	$B_0$	$B_{2007}$	$(B_{2007}/B_0)\%$
Min	7 740	1 860	24.1	8 960	2 390	25.5
$Q_{0.025}$	8 290	2 410	28.5	10 170	3 680	35.9
Median	9 210	3 580	38.8	13 750	7 490	54.2
Mean	9 250	3 640	39.1	14 630	8 330	54.5
$Q_{0.975}$	10 580	5 290	50.7	24 910	18 580	76.3
Max	11 800	6 350	55.0	35 920	31 310	87.4
	R3.7					
Min	7 840	1 900	24.2			
$Q_{0.025}$	8 220	2 370	28.8			
Median	9 190	3 580	39.0			
Mean	9 220	3 640	39.1			
$Q_{0.975}$	10 470	5 260	50.1			
Max	11 300	6 120	58.2			



**Figure 7: Relative SSB trajectories (green) and projected status assuming a future constant catch equal to the current catch (orange) calculated from the MCMC runs for model runs 3.3, 3.6, and 3.7 in the quantitative stock assessment of STA 7. The shaded region indicates the 95% credibility region about median SSB (dotted lines) calculated from each model's SSB posterior distribution.**

Monte Carlo Markov chain estimates for three models (3.3, 3.6, and 3.7) are given in Table 6 and Figure 7. Sensitivities to the base case model (R3.3) assumed domed survey selectivities (R3.6), and down-weighted the 2000 and 2003 survey indices (R3.7). Spawning stock biomass was estimated as 29–51%  $B_0$  for the base case model, and ranged between 29 and 76%  $B_0$  for the two model sensitivities (Table 9).

#### 4.4 Yield estimates and projections

##### Estimation of Maximum Constant Yield (MCY)

**Table 9: Yield estimates (t) for STA 7.**

Parameter	3.3	3.6	Run 3.7
MCY	595	649	600
$B_{MCY}$	6 813	11 282	6 720
CAY	936	2 065	938
$F_{CAY}$	0.24	0.24	0.24

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Table 9 [continued]

Parameter	Run		
MAY	854	1 124	852
$B_{MAY}$	3 205	4 348	3 209

### Other yield estimates and stock assessment results

For STA 2, long-term yields are of the order of 50–60 t based on the minimum virgin biomass estimated by the model. No other yield estimates are yet available.

### 4.5 Other factors

The use of a single conversion factor for deepwater and inshore vessels has resulted in about a 5–10% under-estimate pre 1990–91 of the reported greenweight landings. In 1990–91, separate deepwater and inshore conversion factors were introduced.

Stargazer landings have been influenced by changes in fishing patterns and fishing methods in the target species fisheries and indirectly by the abundance of those target species. Landings have also been influenced by changes in reporting behaviour for the different species. Stargazer were also taken historically in substantial quantities by foreign licensed and chartered trawlers fishing offshore grounds for other species (see Table 1). Because stargazer was mainly a bycatch in these early fisheries, there may be under-reporting in these data. Therefore, any estimate of  $MCY$  based on catch data is likely to be conservative.

## 5. STATUS OF THE STOCKS

No estimates of current and reference biomass are available.

### • STA 1

The TACC for STA 1 was increased from 21 t to 50 t in the 1991–92 fishing year under the AMP. In 1997, the TACC was reduced to 21 t upon its removal from the programme. Recent catches have exceeded this level. It is not known if recent catch levels and current TACC are sustainable. The status of STA 1 relative to  $B_{MSY}$  is unknown.

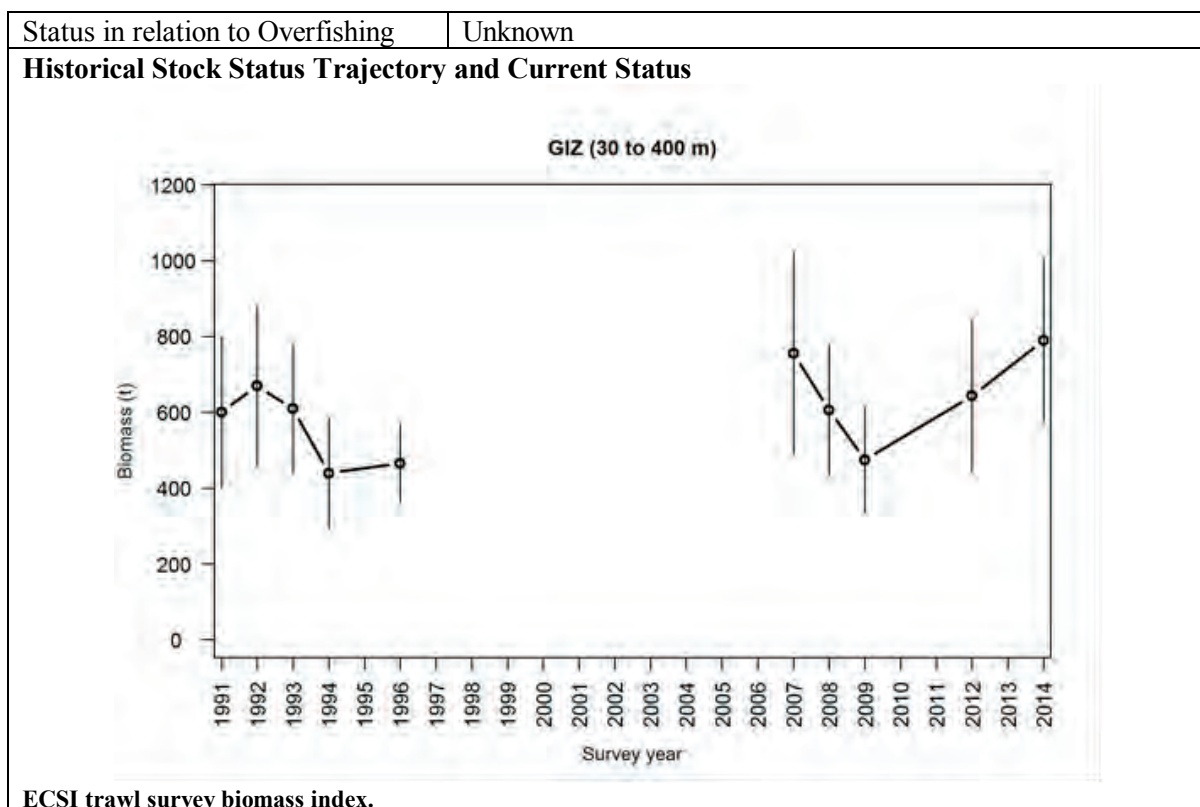
### • STA 2

The TACC for STA 2 was increased from 37 t to 100 t in the 1991–92 fishing year under the AMP. Landings in the early 1990s peaked in the range of 105–125 t, but have subsequently declined.

The TACC was reduced to 38 t in the 1997–98 fishing year, upon the removal of STA 2 from the AMP. Landings have been below the TACC since 2003–04. It is not known whether recent catches and the current TACC will cause the STA 2 stock size to decline. The status of STA 2 relative to  $B_{MSY}$  is unknown.

### • STA 3

Stock Status	
Year of Most Recent Assessment	2008 (CPUE); 2014 (trawl survey)
Assessment Runs Presented	-
Reference Points	Target: $B_{MSY}$ -compatible proxy based on the East Coast South Island trawl survey index (to be determined) Soft Limit: 50% of target Hard Limit: 25% of target Overfishing Threshold: $F_{MSY}$ -compatible proxy (to be determined)
Status in relation to Target	Unknown
Status in relation to Limits	Unlikely (< 40%) to be below both soft and hard limits



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Biomass appears to be fluctuating around the long-term mean, with the 2014 ECSI survey estimate above the long-term mean.
Recent Trend in Fishing Intensity or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	STA 3 remains primarily a bycatch in the mixed-species inshore trawl fishery. STA 3 stock size is Likely (> 60%) to remain near current levels under current catch (2007–08 and 2008–09). It is Unknown if catches near the TACC would cause the stock to decline.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Unlikely (< 40%)

Assessment Methodology and Evaluation		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Trawl survey biomass and standardised CPUE based on lognormal error distribution and positive catches	
Assessment Dates	Latest assessment: 2008 (CPUE); 2014 (trawl survey)	Next assessment: 2016 (trawl survey)
Overall assessment quality (rank)	1 – High Quality	
Main data inputs (rank)	- Catch and effort data	1 – High Quality: The Southern Inshore Working Group agreed that the BT(M)X CPUE index is

## STARGAZER (STA)

	- East Coast South Island trawl survey index	a credible index of abundance. 1 – High Quality: The Southern Inshore Working Group accepted the East Coast South Island trawl survey as a credible measure of relative biomass
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

### Qualifying Comments

-

### Fishery Interactions

40% of the bottom trawl landings of STA 3 are taken in the target red cod fishery, with remaining catches coming from the target flatfish, barracouta, hoki and tarakihi fisheries. Target STA has only accounted for about 4% of total landings since 1989–90. Incidental captures of seabirds occur, there is a risk of incidental capture of Hector's dolphins, other dolphins and New Zealand fur seals. There is a risk of incidental capture of sea lions from Otago Peninsula south.

### • STA 4

Stargazer in this Fishstock occur mainly on the Chatham Rise on the shelf around the Chatham Islands, but are sparsely distributed over the rest of the Rise. In most of this Fishstock they may not be economic to target. However, if fishing is overly concentrated in those areas where stargazer can be targeted, such as close to the Chatham Islands, there are concerns that local depletion may occur.

The 2011 estimate of biomass from the Chatham Rise trawl survey was above the long-term mean (1991–2011). The original TACC of 2014 t for STA 4 was based on a yield estimate from a single trawl survey in 1983. This method is now considered obsolete. The TACC was increased in 2000–01 to 2158 t. Catches have always been substantially less than the TACC. The average catch since the TACC increase has been 300 t. It is not known if catches at the level of the current TACC would be sustainable.

### • STA 5

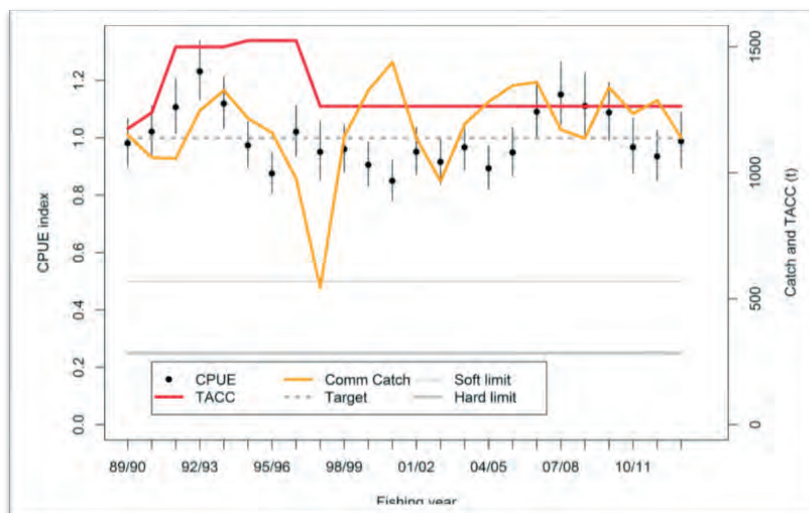
#### Stock Structure Assumptions

For the purpose of this summary STA 5 is considered to be a single stock.

Stock Status	
Year of Most Recent Assessment	2014
Assessment Runs Presented	Standardised CPUE based on bottom trawl positive catches and effort targeting STA 5
Reference Points	Target: $B_{MSY}$ -compatible proxy based on mean CPUE for the period 1989–90 to 2012–13 Soft Limit: 50% of target Hard Limit: 25% of target Overfishing threshold: $F_{MSY}$
Status in relation to Target	About As Likely As Not (40–60%) to be at or above the target
Status in relation to Limits	Soft Limit: Unlikely (< 40%) to be below Hard Limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	Unknown



### Historical Stock Status Trajectory and Current Status



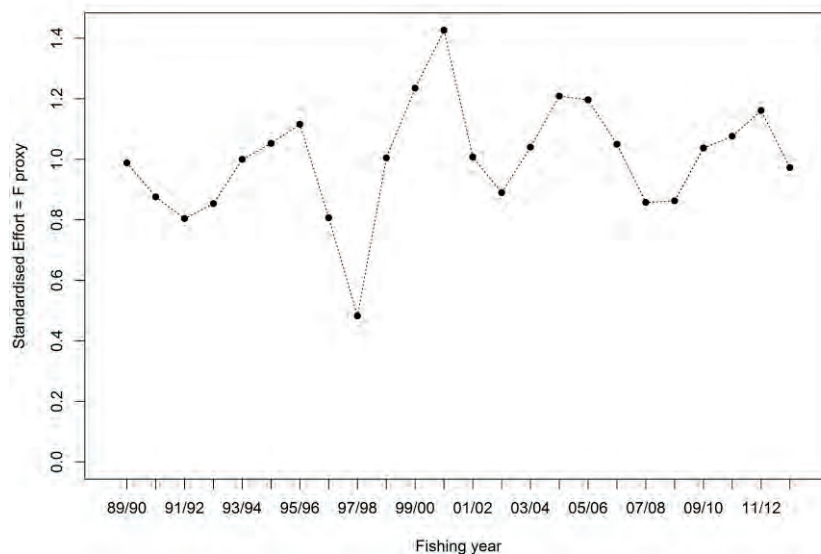
A comparison of the CPUE indices and the annual catch and TACC. The horizontal grey line represents the average of the CPUE indices.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy

CPUE has fluctuated without trend (1989–90 to 2012–13) with peaks in 1991–92 to 1993–94 and 2006–07 to 2009–08. The 2012–13 value is at the average for the series.

Recent Trend in Fishing Intensity or Proxy



Fishing mortality proxy is Standardised Fishing Effort = Total catch/CPUE (normalised). Fishing mortality has fluctuated about the long term average.

Other Abundance Indices

-

Trends in Other Relevant Indicators or Variables

-

### Projections and Prognosis

Stock Projections or Prognosis

Catches have been maintained near the current level for the last 25 years and there has been no indication of a decline in CPUE over that period, indicating that the current level of catch is probably sustainable, at least in the 3–5 year period.

Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits

Soft Limit: Unlikely (< 40%) for both catch and TACC  
Hard Limit: Very Unlikely (< 10%) for both catch and TACC

Probability of Current Catch or TACC causing



## STARGAZER (STA)

Overfishing to continue or to commence	Unlikely (< 40%)
--	------------------

Assessment Methodology and Evaluation		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Standardised CPUE indices	
Assessment Dates	Latest assessment: 2014	Next assessment: Unknown
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	- Catch and effort data	1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	- Weibull instead of lognormal error structure for CPUE analysis - New method for aggregating data across form types	

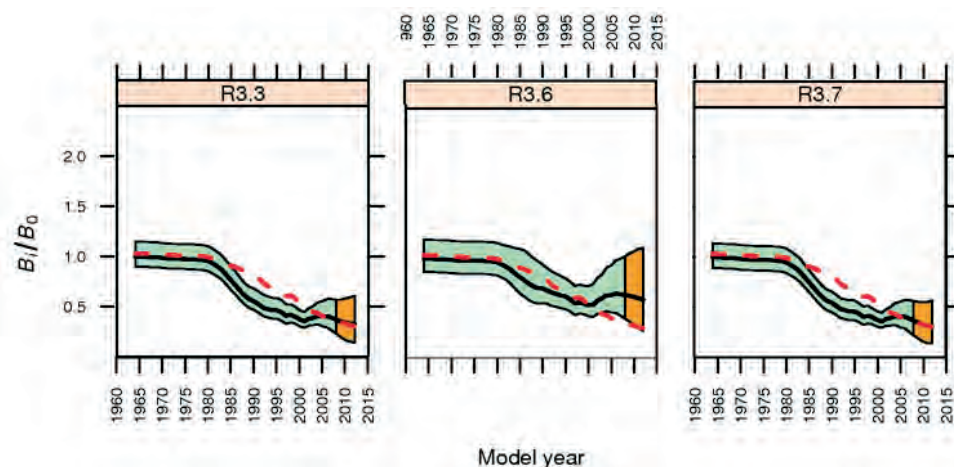
Qualifying Comments
The Southern Inshore Working Group has accepted standardised CPUE based on positive catches made by the target bottom trawl fishery to be an index of abundance for STA 5.

Fishery Interactions
<p>Most (70–80%) of the STA 5 catch is taken by the target trawl fishery with a smaller component of the catch taken by a flatfish trawl fishery. The species composition of the landed catch from the target fishery is dominated by stargazer with a small associated catch of ling, tarakihi and spiny dogfish.</p> <p>Vessels participating in the target fishery may also conduct trawls in shallower water with associated catches of flatfish, red gurnard and elephantfish.</p>

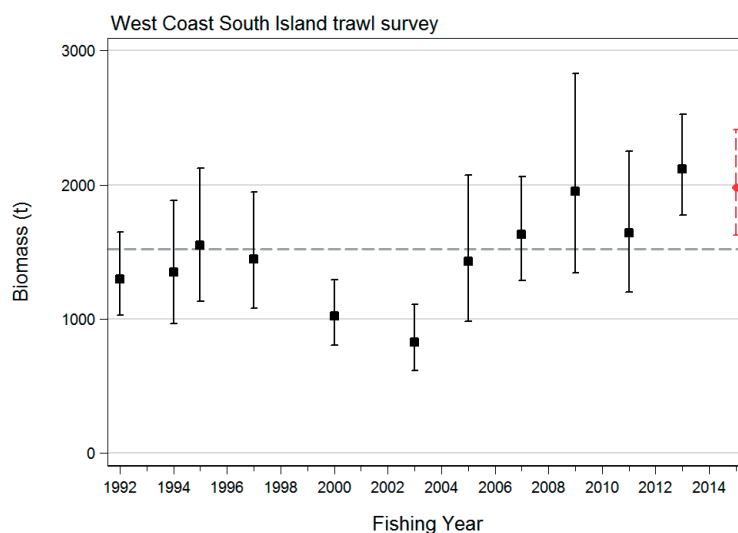
## • STA 7

Stock Status	
Year of Most Recent Assessment	2008 - Stock assessment 2014 - Analysis of survey indices of abundance
Assessment Runs Presented	Run 3.3 (base case), 3.6 (domed selectivity) and 3.7 (down weight 2000 and 2003 survey data points)
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: Not established but $F_{MSY}$ assumed
Status in relation to Target	Likely (> 60%) to be at or above $B_{MSY}$ The base case model for the STA 7 stock assessment suggested biomass in 2007 was 29–51% $B_0$ . Relative biomass of STA 7 from the 2013 WCSI trawl survey is markedly higher than it was in 2007.
Status in relation to Limits	Soft Limit: Very Unlikely (< 10%) to be below Hard limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	Unlikely (< 40%) to be occurring

### Historical Stock Status Trajectory and Current Status



Relative SSB trajectories (green) and projected status assuming a future constant catch equal to the current catch (orange) calculated from the MCMC runs for model runs 3.3, 3.6, and 3.7 in the quantitative stock assessment of STA 7. The shaded region indicates the 95% credibility region about median SSB (dotted lines) calculated from each model's SSB posterior distribution.



Stargazer biomass  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) and the time series mean (dotted line) estimated from the West Coast South Island trawl survey. The 2015 estimate is preliminary.

### Fishery and Stock Trends

Recent Trend in Biomass or Proxy	The WCSI trawl survey indices have increased from a low observed in 2003 to the highest in the series in 2013.
Recent Trend in Fishing Mortality or Proxy	Overfishing is Unlikely ( $< 40\%$ ) to be occurring
Other Abundance Indices	CPUE indices from the WCSI mixed trawl fishery derived from individual trawl data (from 2007–08).
Trends in Other Relevant Indicators or Variables	CPUE indices are relatively stable for 2007–08 to 2012–13.

## STARGAZER (STA)

<b>Assessment Methodology</b>		
Assessment Type	Level 1 - Full Quantitative Stock Assessment Level 2 - Agreed biomass index (WCSI trawl survey)	
Assessment Method	Bayesian statistical stock assessment model implemented in CASAL Evaluation of recent trawl survey indices (up to 2009)	
Assessment Dates	Latest assessment: 2008 (assessment); 2013 (survey)	Next assessment: 2015 (survey)
Overall assessment quality (rank)	1 – High Quality	
Main data inputs (rank)	- An age-structured model partitioned by age (0–25 years) and sex was fitted to the WCSI trawl survey relative abundance indices (1992–05), WCSI survey proportions-at-age data (1992–05), and WCSI fishery catch-at-age data (2005 only) - Commercial catch, trawl survey biomass and proportions-at-age estimates, and commercial catch proportions-at-age	1 – High Quality: The Southern Inshore Working Group accepted the assessment as a credible means to assess stock status relative to $B_{MSY}$  1 – High Quality: The Southern Inshore Working Group accepted the West Coast South Island trawl survey as a credible measure of relative biomass
Data not used (rank)	N/A	-
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	STA 7 stock is Likely (> 60%) to remain at or above $B_{MSY}$ at current catch levels.
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Very Unlikely (< 10%) Hard Limit: Very Unlikely (< 10%)

<b>Fishery Interactions</b>
Smooth skates are caught as a bycatch in this fishery, and the biomass index for smooth skates in the west coast trawl survey has declined substantially since 1997. There may be similar concerns for rough skates but the evidence is less conclusive. Incidental captures of seabirds occur, there is a risk of incidental capture of dolphins and New Zealand fur seals.

## • STA 8

The TACC for STA 8 increased from 22 t to 50 t in the 1993–94 fishing year under the AMP. Landings increased to 18 t in 1991–92 but have since declined to less than 5 t. The TACC was reduced back to 22 t in 1997, upon the removal of STA 8 from the programme. It is not known if recent catch levels and current TACC are sustainable. The status of STA 8 relative to  $B_{MSY}$  is unknown.

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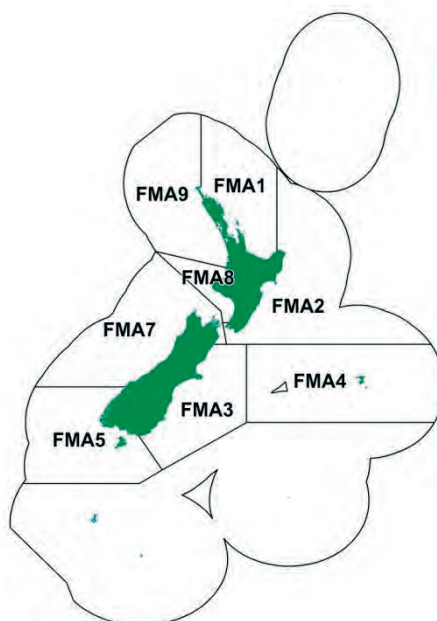
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## SURF CLAMS

Surf clam is a generic term used here to cover the following seven species:

Deepwater tuatua, *Paphies donacina* (PDO)  
 Fine (silky) dosinia, *Dosinia subrosea* (DSU)  
 Frilled venus shell, *Bassina yatei* (BYA)  
 Large trough shell, *Macra murchisoni* (MMI)  
 Ringed dosinia, *Dosinia anus* (DAN)  
 Triangle shell, *Spisula aequilatera* (SAE)  
 Trough shell, *Macra discors* (MDI)

The same FMAs apply to all these species and this introduction will cover issues common to all of these species.



All surf clams were introduced into the Quota Management System on 1 April 2004. The fishing year is from 1 April to 31 March and commercial catches are measured in greenweight. There is no minimum legal size (MLS) for surf clams. Surf clams are managed under Schedule 6 of the Fisheries Act 1996. This allows them to be returned to the sea soon after they are taken provided they are likely to survive.

## 1. INTRODUCTION

Commercial surf clam harvesting before 1995–96 was managed using special permits. From 1995–96 to 2002–03 no special permits were issued because of uncertainty about how best to manage these fisheries.

New Zealand operates a mandatory shellfish quality assurance programme for all bivalve shellfish grown and harvested in areas for human consumption. Shellfish caught outside this programme can only be sold for bait. This programme is based on international best practice and is managed by the New Zealand Food Safety Authority (NZFSA), in cooperation with the District Health Board Public Health Units and the shellfish industry<sup>1</sup>. This involves surveying the water catchment area for pollution, sampling water and shellfish microbiologically over at least 12 months, classifying and listing areas for

<sup>1</sup>. For full details of this programme, refer to the Animal Products (Regulated Control Scheme-Bivalve molluscan Shellfish) Regulations 2006 and the Animal Products (Specifications for Bivalve Molluscan Shellfish) Notice 2006 (both referred to as the BMSRCS), at: <http://www.nzfsa.govt.nz/industry/sectors/seafood/bms/page-01.htm>

harvest, regular monitoring of the water and shellfish, biotoxin testing, and closure after rainfall and when biotoxins are detected. Products are traceable by source and time of harvest in case of contamination.

## 2. BIOLOGY

Three families of surf clams dominate the biomass in different regions of New Zealand. At the northern locations, the venerids *D. anus* and *D. subrosea* make up the major proportion of the surf clam biomass, and *D. anus* is abundant at all other North Island locations. The mactrids and mesodesmatid become increasingly abundant south of Ohope (Bay of Plenty). The mesodesmatid *P. donacina* is most abundant around central New Zealand from Nuhaka on the east coast south to the Kapiti coast, Cloudy Bay and as far south as Pegasus Bay. The mactrids *M. murchisoni* and *M. discors* dominate in southern New Zealand (Blueskin Bay, Te Waewae, and Oreti), where they account for more than 80% of the total biomass (Cranfield et al 1994, Cranfield & Michael 2001).

Each species grows to a larger size in the South Island than in the North Island (Cranfield & Michael 2002). Growth parameters are available for many surf clam species from up to two locations. Length frequencies of sequential population samples were analysed by Cranfield et al (1993) using MULTIFAN to estimate the von Bertalanffy growth parameters (Table 1). MULTIFAN simultaneously analyses multiple sets of length frequency samples using a maximum likelihood method to estimate the proportion of clams in each age class and the von Bertalanffy growth parameters (see Fournier et al 1990, and Francis & Francis 1992).

Incremental growth of recaptured marked clams at Cloudy Bay was analysed using GROTAG to confirm the MULTIFAN estimates (Cranfield et al 1993). GROTAG uses a maximum-likelihood method to estimate growth rate (Francis 1988, Francis & Francis 1992). The estimates and annual mean growth estimates at lengths  $\alpha$  and  $\beta$  are shown in Table 2.

**Table 1: Von Bertalanffy growth parameter estimates from Cranfield et al (1993) for surf clams estimated using MULTIFAN (SE in parentheses). - Indicates where estimates were not generated**

Stock	Site	$L_{\infty}$ (mm)	$K$
BYA 7	Cloudy Bay	-	-
BYA 8	Kapiti Coast	-	-
DAN 7	Cloudy Bay	0.10 (0.03)	77.5 (0.71)
DAN 8	Kapiti Coast	0.13 (0.02)	58.7 (0.28)
DSU 7	Cloudy Bay	-	-
DSU 8	Kapiti Coast	-	-
MDI 7	Cloudy Bay	0.41 (0.03)	68.0 (0.35)
MDI 8	Kapiti Coast	0.42 (0.02)	56.0 (0.95)
MMI 7	Cloudy Bay	0.57 (0.01)	88.0 (0.44)
MMI 8	Kapiti Coast	0.35 (0.01)	75.2 (0.30)
PDO 7	Cloudy Bay	0.33 (0.01)	94.1 (0.29)
PDO 8	Kapiti Coast	-	-
SAE 7	Cloudy Bay	1.01 (0.02)	60.3 (0.92)
SAE 8	Kapiti Coast	0.80 (0.03)	52.1 (0.25)

The maximum ages for these species were estimated from the number of age classes indicated in MULTIFAN analyses, and from shell sections. Estimates of natural mortality come from age estimates (Table 3). Higher mortality is seen where the surf clams are subject to higher wave energies, e.g., *S. aequilatera* and *M. murchisoni* are distributed within the primary wave break and hence show higher mortality (Cranfield et al 1993). Kapiti shells show higher mortality than Cloudy Bay, perhaps because these shells having a higher chance of being eroded out of the bed by storms as the Kapiti Coast is more exposed (Cranfield et al 1993). Surf clam populations are subject to catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001)

Less confidence should be placed in the estimates from MULTIFAN for Cloudy Bay relative to the Kapiti

Coast as there was a small sample size at Cloudy Bay and a lack of juveniles.

**Table 2: Mean annual growth estimates (mm/year) at lengths  $\alpha$  and  $\beta$  (95% confidence intervals in parentheses for mean growth values) from Cloudy Bay (Cranfield et al 1996).  $L^*$  is the transitional length, at which point the model allows an asymptotic reduction in growth rate and values of  $L_\infty$  are included for reference.**

Species	$\alpha$ (mm)	$g_\alpha$ (mm year <sup>-1</sup> )	$\beta$ (mm)	$g_\beta$ (mm year <sup>-1</sup> )	$L^*$ (mm)	$L_\infty$ (mm)	Residual error (mm)
<i>Paphies donacina</i>	50.0	10.26 (9.7 – 10.8)	80.0	1.41 (1.1 – 1.7)	80.0	84.8	1.25
<i>Spisula aequilatera</i>	30.0	22.71 (22.2 – 23.0)	50.0	6.23 (6.0 – 6.4)	55.0	57.6	2.04
<i>Mactra murchisoni</i>	40.0	17.83 (17.4 – 18.2)	70.0	4.65 (4.3 – 4.9)	80.0	80.6	1.42
<i>Mactra discors</i>	35.0	11.01 (10.5 – 11.7)	55.0	2.69 (2.4 – 2.9)	62.0	61.5	0.63
<i>Dosinia anus</i>	20.0	12.5 (12.0 – 13.2)	55.0	1.99 (1.8 – 2.2)	63.0	61.6	0.44

**Table 3: Estimates of the instantaneous natural mortality rate,  $M$ . A = minimum number of year classes indicated by MULTIFAN, B = maximum age indicated by shell sections, M1: mortality range estimated from using two equations:  $\ln M = 1.23 - 0.832 \ln(t_{max})$  and  $\ln M = 1.44 - 0.9821 \ln(t_{max})$ , (Hoenig 1983). M2 mortality estimated from  $M = \ln 100 / (t_{max})$ ;  $t_{max}$  is the estimate of maximum age**

#### Cloudy Bay

	A	B	M1	M2
<i>Mactra murchisoni</i>	8	11	0.40–0.46	0.42
<i>Mactra discors</i>	7	14	0.32–0.38	0.33
<i>Spisula aequilatera</i>	5	7	0.63–0.68	0.66
<i>Paphies donacina</i>	10	17	0.26–0.32	0.27
<i>Dosinia anus</i>	16	22	0.20–0.26	0.21

#### Kapiti coast

	A	B*	M1	M2
<i>Mactra murchisoni</i>	8	11	0.40–0.46	0.42
<i>Mactra discors</i>	8	16	0.28–0.34	0.29
<i>Spisula aequilatera</i>	3	5	0.87–0.89	0.92
<i>Paphies donacina</i> <sup>i</sup>				
<i>Dosinia anus</i>	19	26	0.17–0.23	0.18

\*Shell sections not yet examined. Ages are inferred from Cloudy Bay data.

<sup>i</sup>Growth data could not be analysed.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

This section was new for the May 2011 Plenary after review by the Aquatic Environment Working Group. This summary is from the perspective of the surf clam fisheries; a more detailed summary from an issue-by-issue perspective is available in the Aquatic Environment and Biodiversity Annual Review.

### 4.1 Ecosystem role

Only two published papers examine aspects of the role of surf clams in the ecosystem in New Zealand. Predation of *Dosinia* spp. by rock lobsters has been documented from the reef/soft sediment interface zones (Langlois et al 2005, Langlois et al 2006), notably surf clams are usually harvested from exposed beaches, not reef/soft sediment interface zones.

Surf clams are filter-feeders; recent research suggests that most of their food is obtained from microalgae from the top 2 cm of the sediment and the bottom 2–3cm of the water column (Sasaki et al 2004). The effects of predation are difficult to study on exposed sandy beaches and it is believed internationally that there are no keystone species in this environment and predation is not important in structuring the community (McLachlan & Brown 2006).

### 4.2 Fishery interactions (fish and invertebrates)

The only bycatch caught in large quantities associated with surf clam dredging in New Zealand is *Fellaster zelandiae* - the sand dollar or sea biscuit (Haddon et al 1996). Other species caught in association with surf clams include paddle crabs (*Ovalipes catharus*), a number of bivalves including the lance shell (*Resania lanceolata*), otter clams (*Zenatia acinaces*), battle axe (*Myadara striata*), olive



tellinid (*Hiatula nitidia*), the wedge shell (*Peronaea gairmadi*), and the gastropods the olive shell (*Baryspira australis*) and ostrich foot shell (*Struthiolaria papulosa*). Fish are rarely caught, but include juvenile common soles (*Peltorhamphus novaezeelandiae*) and stargazers (*Kathetostoma* spp.) (NIWA, unpublished data).

### 4.3 Fishery interactions (seabirds and mammals)

Not relevant to surf clam fisheries.

### 4.4 Benthic impacts

Surf clams mainly inhabit the surf zone, a high-energy environment characterised by high sand mobility (Michael et al 1990). Divers observed that the rabbit dredge (which has been used for surf-clam surveys) formed a well defined track in the substrate, but within 24 hours the track was could not be distinguished, indicating that physical recovery of the substrate was rapid (Michael et al 1990). Commercially, a different dredge is used whose impacts should theoretically be less, but the impacts of this dredge have not been tested. Shallow water environments such as the surf zone or those subjected to frequent natural disturbance tend to recover faster from the effects of mobile fishing gears compared to those in deeper water (Kaiser et al 1996, Collie et al 2000, Hiddink et al 2006, Kaiser et al 2006).

Surf clam species show zonation by substrate type which is generally, although not always, correlated with depth and wave exposure. Species with good burrowing ability are generally found in shallow, mobile sediment zones (for example *Paphies donacina*), and those species less able to burrow (for example *Dosinia subrosea* and *Bassina yatei*) are generally found in softer more stable sediments. The present high-value species (*Spisula aequilatera*, *Macra murchisoni*, *Paphies donacina* and *Macra discors*) generally occur in shallower zones. Mobile fishing gear effects will be primarily determined by the characteristics of the beach and target species. Little fishing presently takes place in the most vulnerable areas characterised by stable, soft fine sediment communities.

An Italian study showed that widespread intensive hydraulic dredging can adversely modify some depths within this environment (4–6 m), although recovery in this study occurred within 6 months (Morello et al 2006). The applicability of this study's finding to New Zealand is unknown.

### 4.5 Other considerations

None.

### 4.6 Key information gaps

The impacts of widespread and intensive dredging in New Zealand, which is not presently occurring, are unknown.

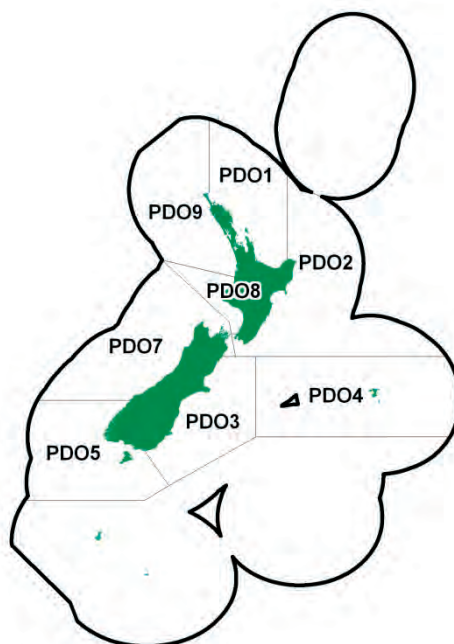
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## DEEPWATER TUATUA (PDO)

*(Paphies donacina)*

Tuatua



## 1. FISHERY SUMMARY

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

Deepwater Tuatua (*Paphies donacina*) were introduced into the Quota Management System on 1 April 2004 with a total TACC of 168 t. Biomass surveys in QMA 2 supported a TAC increase from April 2010. This increased the TACC for PDO5 to 466 t. In April 2013 a biomass survey in QMA 8 supported a further increase. This increased the TAC in PDO 8 from 19 to 296 t and the total PDO TAC from 791 to 1068 t (Table 1).

**Table 1: Current TAC, TACC and allowances for other sources of mortality for *Paphies donacina*.**

QMA	TAC (t)	TACC (t)	Recreational catch	Customary catch	Other sources of mortality (t)
1	1	1	0	0	0
2	509	466	9	9	25
3	150	108	21	21	0
4	3	1	1	1	0
5	3	1	1	1	0
7	52	50	1	1	0
8	296	262	9	10	15
9	53	1	26	26	0
Total	1 068	890	68	69	40

### 1.1 Commercial fisheries

Landings have only been reported from PDO 3, PDO 5, PDO 7 and PDO 8. Between the years 1992–93 and 1995–96, reported landings ranged from a few kilograms to about 6 t. No further landings were reported until 2002–03; since then reported total landings have ranged between 2 and 24 t. Reported landings and TACCs are shown for fishstocks with historical landings in Table 2.

### 1.2 Recreational fisheries

Estimates of recreational landings of tuatua were made between 1991 and 1994 and ranged from 237 t in FMA 1 in 1993–94 to zero tonnes in most FMAs in most years (Bradford 1998). The survey did not

specify the species of tuatua landed, and most of the catch is thought to comprise the intertidal tuatua *P. subtriangulata* (Cranfield & Michael 2001). On beaches where *P. donacina* extends to just below low water, some recreational catch occurs of this species, during low spring tides.

**Table 2: TACCs and reported landings (t) of Deepwater Tuatua by Fishstock from 1992–93 to the present day from CELR and CLR data. PDO areas where catch has never been reported are not tabulated. PDO 1, 4 and 9 all have TACC of 1 t and PDO 2 has a TACC of 466 t.**

Fishstock	PDO 3		PDO 5		PDO 7		PDO 8		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1992–93	0	-	0	-	0.289	-	0	-	0.294	-
1993–94	0	-	0.005	-	3.384	-	0	-	3.384	-
1994–95	0	-	0	-	5.036	-	0	-	5.036	-
1995–96	4.439	-	0	-	1.668	-	0	-	6.107	-
1996–97	0	-	0	-	0	-	0	-	0	-
1997–98	0	-	0	-	0	-	0	-	0	-
1998–99	0	-	0	-	0	-	0	-	0	-
1999–00	0	-	0	-	0	-	0	-	0	-
2000–01	0	-	0	-	0	-	0	-	0	-
2001–02	0	-	0	-	0	-	0	-	0	-
2002–03	0	-	0	-	2.253	-	0	-	2.253	-
2003–04	0	108	0	1	10.144	50	0	1	10.144	168
2004–05	0	108	0	1	12.532	50	0	1	12.692	168
2005–06	0	108	0	1	10.627	50	0.148	1	13.728	168
2006–07	1.17	108	0	1	19.995	50	0	1	21.16	168
2007–08	3.17	108	0	1	21.145	50	0	1	24.315	168
2008–09	4.09	108	0	1	4.320	50	0	1	8.41	168
2009–10	11.21	108	0	1	1.50	50	0	1	12.71	168
2010–11	3.928	108	0	1	38.800	50	0	1	42.728	629
2011–12	0	108	0	1	17.050	50	0	1	17.050	629
2012–13	6.952	108	0	1	30.13	50	0	1	37.082	629
2013–14	24.16	108	0	1	39.12	50	0	262	63.275	890

\*In 2004–05 and 2005–06, 0.16 and 2.953 t respectively were reportedly landed, but the QMA is not recorded. These amounts are included in the total landings for those years.

### 1.3 Customary fisheries

*P. donacina* is an important handpicked resource of local iwi, especially in Pegasus Bay, Canterbury. There are no estimates of current customary use of this clam.

### 1.4 Illegal catch

There is no documented illegal catch of this clam.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although this clam is subject to localized catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).

## 2. BIOLOGY

*P. donacina* occurs mainly around the lower half of the North Island and from Pegasus Bay north in the South Island, and on the north coast of Stewart Island. It is found from low tide to about 4 m, although juveniles may extend to the mid-tide mark. Maximum length is variable between areas, ranging from 73 to 109 mm (Cranfield et al 1993). The sexes are separate, they are broadcast spawners, and the larvae are thought to be planktonic for between 18 and 21 days (Cranfield et al 1993). Settlement and early juveniles occur in the intertidal zone; these animals are mobile and migrate offshore as they grow. The deepwater tuatua (*Paphies donacina*) showed seasonal adjustment in its oxygen uptake and filtration rates to compensate for seasonal temperature variation in the habitat (Marsden 1999).

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however, the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical

## DEEPWATER TUATUA (PDO)

features (rivers, headlands etc). Circulation patterns may isolate surf clams genetically as well as ecologically.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

## 5. STOCK ASSESSMENT

All stocks are considered in effectively virgin state and an *MCY* is estimated from the surveyed biomass estimates. All stocks were considered in an effectively virgin state in 1993–94 when the initial biomass estimates were made (Cranfield et al 1993). Total catches in PDO 7 have since been in the range of 2.2 to 21 t, catches in other Fishstocks have been below 5 t.

### 5.1 Estimates of fishery parameters and abundance

No fisheries parameters or abundance estimates are available for any deepwater tuatua stocks.

### 5.2 Biomass estimates

Biomass has been estimated from one site in each of PDO 8 and PDO 3, and multiple sites within PDO 2 and PDO 7 (Tables 3 and 4). A stratified random survey using a hydraulic dredge was employed for all these surveys.

**Table 3: A summary of biomass estimates in tonnes green weight with standard deviation in parentheses from exploratory surveys of Cloudy Bay, Marlborough (Cranfield et al 1994b), and Clifford Bay, Marlborough (Michael et al 1994), Rabbit Island, Nelson (Michael & Olsen 1988), and Foxton beach, Manawatu coast (White et al 2012).**

Area	Cloudy Bay (PDO 7)	Clifford Bay (PDO 7)	Foxton Beach (PDO 8)	Rabbit Island (PDO 7)
Length of beach (km)	11	21	46 <sup>2</sup>	8
Biomass (t)	154 (60)	284 (123)	3289 (546)	108

**Table 4: A summary of biomass estimates in tonnes green weight from the surveys in PDO 2 and 3 (Triantifillos 2008a, 2008b). Note: unless otherwise stated the CV is less than 20%.**

Location	Five sites (PDO 2)	Ashley River to 6 nm south of the Waimakariri River (PDO 3)
Area surveyed (km <sup>2</sup> )	28.0	13.4
Biomass (t)	5651.8	320.8

### 5.3 Yield estimates and projections

#### Estimation of Maximum Constant Yield (*MCY*)

Growth and mortality data from Cloudy Bay, Marlborough and the Kapiti Coast, Manawatu (Cranfield et al 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield et al 1994b, Triantifillos 2008a, 2008b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them. The *MCY* estimates of Triantifillos (2008a, b) and White et al (2012) using the full range of  $F_{0.1}$  estimates from Cranfield et al (1993) are shown in Table 5, but should be interpreted cautiously.

Estimates of *MCY* are available from numerous locations and were calculated using Method 1 for a virgin fishery (Annala et al 2001) with an estimate of virgin biomass  $B_0$ , where:

$$MCY = 0.25 * F_{0.1} B_0$$

**Table 5: Mean *MCY* estimates (t) for *P. donacina* from virgin biomass at locations sampled around New Zealand (Triantifillos 2008a, 2008b, White et al 2012).**

Location	$F_{0.1}$	<i>MCY</i>
Five sites (PDO 2)**	0.36/0.52	508.7/734.7
Ashley River to 6 n. miles south of the Waimakariri River (PDO 3)*	0.36/0.52	28.9/41.7
Foxton Beach	0.36/0.52	296.1/427.6

**Estimation of Current Annual Yield (CAY)**

*CAY* has not been estimated for *P. donacina*.

**6. STATUS OF THE STOCKS**

- PDO 2, 3, 7 & 8 - *Paphies donacina*

<b>Stock Status</b>	
Year of Most Recent Assessment	2008 for PDO 2 & 3, 1994 for PDO 7 and 2012 for PDO 8
Assessment Runs Presented	Survey biomass
Reference Points	Target: Not defined, but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Because of the relatively low levels of exploitation of <i>P. donacina</i> , it is likely that all stocks are still effectively in a virgin state, therefore they are Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft and hard limits
<b>Historical Stock Status Trajectory and Current Status</b>	
Unknown	

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Fishing minimal in all QMAs other than PDO 7. In PDO 7 fishing has been light, averaging 11.6 t since 2002–03.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis		
Stock Projections or Prognosis	-	
Probability of Current Catch or TACC causing decline below Limits	For all stocks current catches are Very Unlikely (< 10%) to cause declines below soft or hard limits.	
Assessment Methodology		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Absolute biomass estimates from quadrant surveys	
Main data inputs	Abundance and length frequency information	
Period of Assessment	Latest assessment: 2008 for PDO 2 & 3, 1994 for PDO 7 and 2012 for PDO 8	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

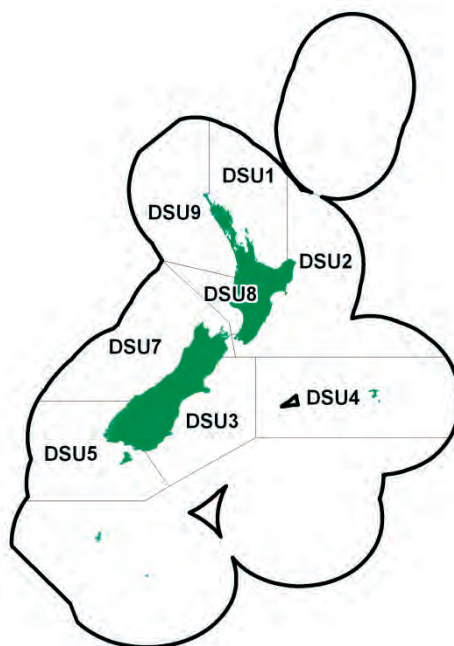
## DEEPWATER TUATUA (PDO)

Qualifying Comments
Stock size could fluctuate markedly as a result of catastrophic mortality from a number of causes.
There is a need to review the fishery parameters for this species.

Fishery Interactions
PDO can be caught together with other surf clam species and non-QMS bivalves.

## 7. FOR FURTHER INFORMATION

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- Triantifillos, L (2008a) Survey of subtidal surf clams in Pegasus Bay, November–December 2007. 43 p. Prepared by NIWA for Seafood Innovations Limited and SurfCo. Limited.
- Triantifillos, L (2008b) Survey of subtidal surf clams in Quota Management Area 2, June - August 2008. 40 p. Prepared by NIWA for Seafood Innovations Limited and SurfCo. Limited.
- White, W; Millar, R; Breen, B; Farrington, G (2012) Survey of subtidal surf clams from the Manawatu Coast (FMA 8), October–November 2012, Report for the Shellfish Working Group Meeting 19th November 2012, 35 p.+ Addendum.

**FINE (SILKY) DOSINIA (DSU)***(Dosinia subrosea)***1. FISHERY SUMMARY**

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

Fine Dosinia (*Dosinia subrosea*) were introduced into the Quota Management System on 1 April 2004 with a TAC of 8 t and TACC of 8 t (Table 1). There were no allowances for customary, recreational or other sources of mortality and no changes to any of these values have occurred since.

**Table 1: Current TAC and TACC for *Dosinia subrosea*.**

QMA	TAC (t)	TACC (t)
1	1	1
2	1	1
3	1	1
4	1	1
5	1	1
7	1	1
8	1	1
9	1	1
Total	8	8

**1.1 Commercial fisheries**

Landings have only ever been reported from DSU 1 and DSU 7. In 1993–94 total landings were 235 kg and since 1994–95, landings have been only been reported from DSU 7 and all have been less than 100 kg (Table 2).

**1.2 Recreational fisheries**

There are no known records of recreational use of this surf clam.

**1.3 Customary fisheries**

Offshore clams such as *D. subrosea* are likely to have been harvested for customary use only when washed ashore after storms (Carkeek 1966). There are no estimates of current customary use of this clam.



## FINE (SILKY) DOSINIA (DSU)

**Table 2: TACCs and reported landings (t) of Fine Dosinia by Fishstock from 1993–94 to the present day from CELR and CLR data for Fishstocks where landings have been reported. DSU 2, 3, 4, 5, 8 and 9 all have TACCs of 1 t.**

	DSU 1		DSU 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC
1993–94	0.123	-	0.112	-	0.235	-
1994–95	0	-	0.026	-	0.026	-
1995–96	0	-	0.011	-	0.038	-
1996–97	0	-	0	-	0	-
1997–98	0	-	0	-	0	-
1998–99	0	-	0	-	0	-
1999–00	0	-	0	-	0	-
2000–01	0	-	0	-	0	-
2001–02	0	-	0	-	0	-
2002–03	0	-	0	-	0	-
2003–04	0	1.0	0.089	1.0	0.089	8.0
2004–05	0	1.0	0.078	1.0	0.110*	8.0
2005–06	0	1.0	0.061	1.0	0.169*	8.0
2006–07	0	1.0	0.003	1.0	0.003	8.0
2007–08	0	1.0	0	1.0	0	8.0
2008–09	0	1.0	0.001	1.0	0.001	8.0
2009–10	0	1.0	0	1.0	0	8.0
2010–11	0	1.0	0	1.0	0	8.0
2011–12	0	1.0	0	1.0	0	8.0
2012–13	0	1.0	0	1.0	0	8.0
2013–14	0	1.0	0	1.0	0	8.0

\*In 2004–05 and 2005–06 32.4 and 90 kg were reported but the QMA is not recorded. This amount is included in the total landings for these years.

### 1.4 Illegal catch

There is no known illegal catch of this clam.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although this clam is probably sometimes taken as a bycatch in inshore trawling. Harvesters claim that the hydraulic clam rake does not damage surf clams and minimises damage to the few species of other macrofauna captured. Surf clam populations are also subject to localised catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).

## 2. BIOLOGY

*D. subrosea* has not been found in high densities in any survey work. It is found around the New Zealand coast in deeper softer sediment habitats. In the North Island it is found between 6 and 10 m in depth, and in the South Island between 5 and 8 m (Cranfield & Michael 2002). It is smaller and smoother than *D. anus*, and is usually found in more stable habitats. Maximum length is variable between areas, ranging from 41 to 68 mm (Cranfield et al 1993). The sexes are believed to be separate, and they are likely to be broadcast spawners with planktonic larvae (Cranfield & Michael 2001). Anecdotal evidence suggests that spawning is likely to occur in the summer months. Recruitment of surf clams is thought to be highly variable between years.

For information on, growth, age and natural mortality of this species and general statements about relative biomass of all surf clam species around the country (excluding *Bassinia yatei*) see the introductory surf clam chapter.

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however, the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical

(DSU)

features (such as rivers and headlands). Circulation patterns may isolate surf clams genetically as well as ecologically.

#### 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

#### 5. STOCK ASSESSMENT

All stocks are considered in effectively virgin state and an *MCY* is estimated from the surveyed biomass estimates. All stocks were considered in an effectively virgin state in 1993–94 when the initial biomass estimates were made (Cranfield et al 1993). Total catches of DSU have not exceeded 1 t in any Fishstock since then.

##### 5.1 Estimates of fishery parameters and abundance

No fisheries parameters or abundance estimates are available for any DSU stocks.

##### 5.2 Biomass estimates

Biomass has been estimated from 11 km of beach at Cloudy Bay (DSU 7) with a stratified random survey using a hydraulic dredge (Cranfield et al 1994b). The virgin biomass for this area was estimated to be 21 t. Subsequent surveys estimated biomass from one site in DSU 3 and a number of sites in DSU 2 (Table 3).

**Table 3: A summary of biomass estimates greenweight (t) from the surveys in DSU 2 and 3 (Triantifillos 2008a, Triantifillos 2008b). Note: Unless otherwise stated the CV is less than 0.2.**

Location	Five sites (DSU 2)	Ashley River to 6 n. mile south of the Waimakariri River (DSU 3)
Area surveyed (km <sup>2</sup> )	28.0	13.4
Biomass (t)	5.9	12.2*

\* CV is 0.29.

##### 5.3 Yield estimates and projections

###### Estimation of Maximum Constant Yield (*MCY*)

Growth and mortality data from Cloudy Bay in Marlborough and the Kapiti Coast in Manawatu (Cranfield et al 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield et al 1994b, Triantifillos 2008a, 2008b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them. The *MCY* estimates of Triantifillos (2008b) that use the full range of  $F_{0.1}$  estimates from Cranfield et al (1993) are shown in Table 4 but should be interpreted cautiously.

Estimates of *MCY* are available from numerous locations and were calculated using Method 1 for a virgin fishery (Annala et al 2001) with an estimate of virgin biomass  $B_0$ , where:

$$MCY = 0.25 * F_{0.1} B_0$$

**Table 4: Mean *MCY* estimates (t) for *D. subrosea* from virgin biomass at locations sampled around New Zealand (Triantifillos 2008a and b).**

Location	$F_{0.1}$	<i>MCY</i>
Five sites (DSU 2)	0.27/0.54	0.4/0.8

###### Estimation of Current Annual Yield (*CAY*)

*CAY* has not been estimated for *D. subrosea*.

## 6. STATUS OF THE STOCKS

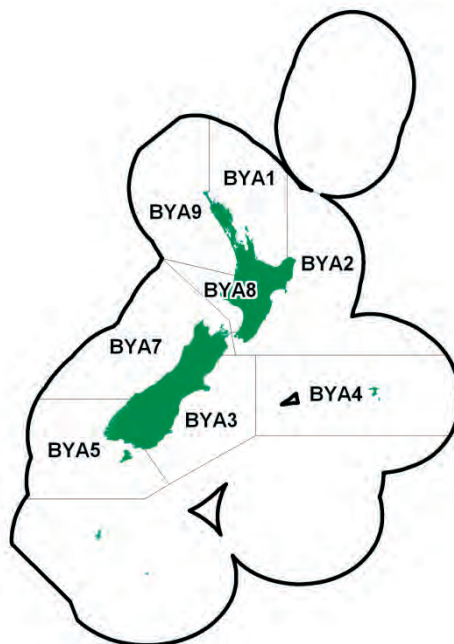
- DSU-*Dosinia subrosea*

There is no evidence of appreciable biomass of this species in any area.

## 7. FOR FURTHER INFORMATION

- Annala, J H; Sullivan, K J; O'Brien, C J; Smith, N W McL (compilers.) (2001) Report from the fishery assessment plenary, May 2001: stock assessments and yield estimates. 515 p. (Unpublished report held in NIWA library, Wellington).
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## FRILLED VENUS SHELL (BYA)

*(Bassina yatei)*

## 1. FISHERY SUMMARY

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

The Frilled Venus Shell (*Bassina yatei*) was introduced into the Quota Management System on 1 April 2004 with a combined TAC of 16 t and a TACC of 16 t. There were no allowances for customary, recreational or other sources of mortality. These limits have not been changed (Table 1).

**Table 1: Current TAC and TACC for *Bassina yatei*.**

QMA	TAC (t)	TACC (t)
1	1	1
2	1	1
3	1	1
4	1	1
5	1	1
7	9	9
8	1	1
9	1	1
Total	16	16

### 1.1 Commercial fisheries

Landings have been small (all around 1 t or less), from BYA 7 and only reported from 1992-5, 2001-5 and 2008-09. One landing of over 7 t was reported from BYA1 in 2002-3 (Table 2).

### 1.2 Recreational fisheries

There are no known records of recreational use of this surf clam.

### 1.3 Customary fisheries

Offshore clams such as *B. yatei* are likely to have been harvested for customary use only when washed ashore after storms. Shells of this clam have been found irregularly, and in small numbers in a few middens. There are no estimates of current customary use of this clam.

## FRILLED VENUS SHELL (BYA)

**Table 2: TACCs and reported landings (t) of frilled venus shell by Fishstock from 1992-93 to 2012-13 from CELR and CLR data. There have never been any reported landings in BYA 2, 3, 4, 5, 8 or 9. These stocks each have a TACC of 1 t and are not tabulated below.**

	BYA 1		BYA 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC
1992-93	0	-	0.026	-	0.026	-
1993-94	0	-	0.007	-	0.007	-
1994-95	0	-	0.001	-	0.001	-
1995-96	0	-	0	-	0	-
1996-97	0	-	0	-	0	-
1997-98	0	-	0	-	0	-
1998-99	0	-	0	-	0	-
1999-00	0	-	0	-	0	-
2000-01	0	-	0	-	0	-
2001-02	7.473	-	0.049	-	7.522	-
2002-03	0	-	1.132	9	1.132	16
2003-04	0	1	1.295	9	1.296	16
2004-05	0	1	0.207	9	0.207	16
2005-06*	0	1	0	9	0.036*	16
2006-07	0	1	0	9	0	16
2007-08	0	1	0	9	0	16
2008-09	0	1	0.003	9	0.003	16
2009-10	0	1	0	9	0	16
2010-11	0	1	0	9	0	16
2011-12	0	1	0.350	9	0.350	16
2012-13	0	1	1.174	9	1.174	16
2013-14	0	1	1.106	9	1.106	16

\*In 2005-06 36.4 Kg were reportedly landed, but the QMA is not recorded. This amount is included in the total landings for that year.

### 1.4 Illegal catch

There is no documented illegal catch of this clam.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although this clam is subject to localised catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).

## 2. BIOLOGY

*B. yatei* is endemic to New Zealand and is found around the coast in sediments at depths between 6 and 9 m. Maximum length is variable between areas, ranging from 48 to 88 mm (Cranfield & Michael 2002). The sexes are likely to be separate, and they are likely to be broadcast spawners with planktonic larvae. Anecdotal evidence suggests spawning is likely to occur in the summer months. Recruitment of surfclams is thought to be highly variable between years.

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however, the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical features (rivers, headlands etc). Circulation patterns may isolate surf clams genetically as well as ecologically.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

## 5. STOCK ASSESSMENT

### 5.1 Estimates of fishery parameters and abundance

No estimates of fisheries parameters or abundance are available for this species.

### 5.2 Biomass estimates

Biomass has been estimated for two sites in the Marlborough Sounds with a stratified random survey using a hydraulic dredge. Estimates are shown in Table 3.

**Table 3: A summary of biomass estimates in tonnes greenweight with standard deviation in parentheses from exploratory surveys of Cloudy Bay (Cranfield *et al.* 1994b), and Clifford Bay, both in Marlborough (Michael *et al.* 1994).**

Area	Cloudy Bay (BYA 7)	Clifford Bay (BYA 7)
Length of beach (km)	11	21
Biomass (t)	123 (50)	0.2 (0.8)

### 5.3 Yield estimates and projections

Growth and mortality data from Cloudy Bay in Marlborough and the Kapiti Coast in Manawatu (Cranfield *et al.* 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield *et al.* 1994b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them.

CAY has not been estimated for *B. yatei*.

## 6. STATUS OF THE STOCKS

- BYA 7 - *Bassina yatei*

Stock Status	
Year of Most Recent Assessment	1994
Assessment Runs Presented	Survey biomass
Reference Points	Target: Not defined, but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Because of the relatively low levels of exploitation of <i>B. yatei</i> , it is likely that all stocks are still effectively in a virgin state, therefore they are Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft and hard limits
Historical Stock Status Trajectory and Current Status	
Unknown	

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Fishing is light in all Fishstocks. In BYA 7 landings have averaged 0.34 t since 2001-02.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

## FRILLED VENUS SHELL (BYA)

Projections and Prognosis		
Stock Projections or Prognosis	-	
Probability of Current Catch or TACC causing decline below Limits	For all stocks fishing is Very Unlikely (< 10%) to cause declines below soft or hard limits.	
Assessment Methodology		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Absolute biomass estimates from quadrat surveys	
Main data inputs	Abundance and length frequency information	
Period of Assessment	Latest assessment: 1994	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

<b>Qualifying Comments</b>
Stock size could fluctuate markedly as a result of catastrophic mortality from a number of causes. There is a need to review fishery parameters for this species. Virgin stock size in areas sampled has been small. It is not known if peak abundances may be outside the surveyed areas.

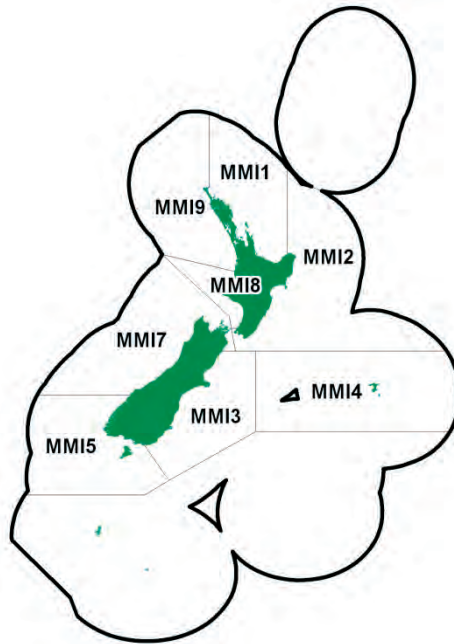
<b>Fishery Interactions</b>
BYA can be caught together with other surf clam species and non-QMS bivalves.

For all other BYA stocks there is no current evidence of appreciable biomass.

## 7. FOR FURTHER INFORMATION

- Annala J.H., Sullivan K.J., O'Brien C.J., Smith N.W.M. (comps.) 2001. Report from the fishery assessment plenary, May 2001: stock assessments and yield estimates. 515p. (Unpublished report held in NIWA library, Wellington).
- Beentjes M.P., Baird S.J. 2004. Review of dredge fishing technologies and practice for application in New Zealand. New Zealand Fisheries Assessment Report 2004/37. 40p.
- Brierley P. (Convenor) 1990. Management and development of the New Zealand sub-tidal clam fishery. Report of the surf clam working group, MPI Fisheries (unpublished report held in NIWA library, Wellington). 57p.
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- Cranfield H.J., Michael K.P., Stotter D.R. 1993. Estimates of growth, mortality, and yield per recruit for New Zealand surf clams. New Zealand Fisheries Research Assessment Document 1993/20: 26p.
- Cranfield H.J., Michael K.P., Stotter D.R., Doonan I.J. 1994a. Distribution, biomass and yield estimates of surf clams off New Zealand beaches. New Zealand Fisheries Research Assessment Document 1994/1: 17p.
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- Haddon M., Willis T.J., Wear R.G., Anderlini V.C. 1996. Biomass and distribution of five species of surf clam off an exposed west coast North Island beach, New Zealand. Journal of Shellfish Research 15: 331–339.
- Michael K., Cranfield H., Doonan I., Hadfield J. 1994. Dredge survey of surf clams in Clifford Bay, Marlborough, New Zealand Fisheries Data Report, No. 54

## LARGE TROUGH SHELL (MMI)

*(Mactra murchisoni)*

## 1. FISHERY SUMMARY

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

Large trough shells (*Mactra murchisoni*) were introduced into the Quota Management System on 1 April 2004 with a total TACC of 162 t. No allowances were made for customary, recreational or other sources of mortality. Biomass surveys in QMA 2 supported a TACC increase from April 2010. This increased the TACC for MMI 2 to 62 t. A subsequent biomass survey in 2012 supported a TAC increase in April 2013. This increased the TAC in MMI 8 from 25 to 631 t and the total MMI TAC from 183 to 789 t (Table 1).

**Table 1: Current TAC, TACC and allowances for other sources of mortality for *Mactra murchisoni*.**

Fishstock	TAC (t)	TACC (t)	Customary Allowance (t)	Other sources of mortality (t)
MMI 1	2	2	0	0
MMI 2	3	3	0	0
MMI 3	65	62	0	3
MMI 4	1	1	0	0
MMI 5	1	1	0	0
MMI 7	61	61	0	0
MMI 8	631	589	10	32
MMI 9	25	25	0	0
Total	789	744	10	35

### 1.1 Commercial fisheries

All reported landings have been from MMI 3 and MMI 7. Between the 1991–92 and 1995–96 fishing years landings were small and confined to MMI 7. No further landings were reported until 2002–03; since then the reported catch has ranged between about 20 t to 60 t (Table 2). Figure 1 shows the historical landings and TACCs for the two main MMI stocks.

### 1.2 Recreational fisheries

Offshore clams such as *M. murchisoni* are likely to have been harvested for recreational use only when washed ashore after storms. There are no estimates of recreational take for this surf clam.

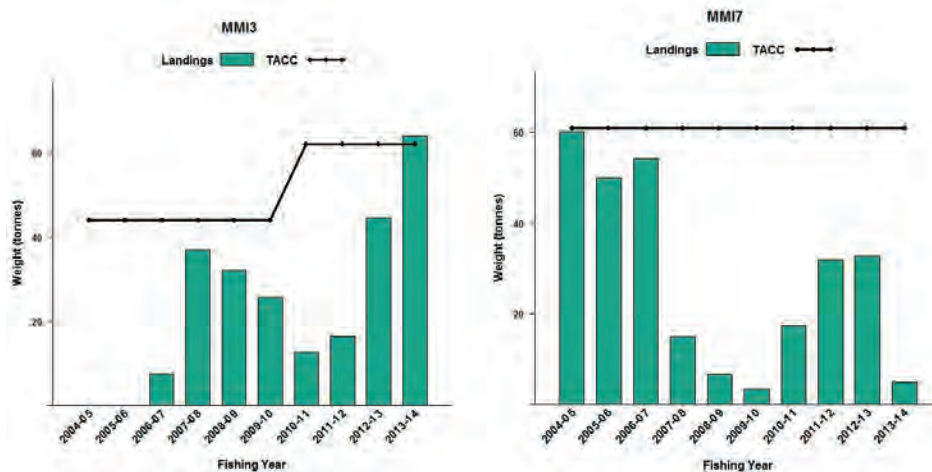


## LARGE TROUGH SHELL (MMI)

**Table 2: TACCs and reported landings (t) of Large Trough Shell by Fishstock from 1991–92 to 2013–14 from CELR and CLR data. Fishstocks where no catch has been reported are not tabulated. MMI 1, 2, 4, 5, 8 and 9 have TACCs of 2, 3, 1, 1, 569 and 25 t, respectively.**

Fishstock	MMI 3		MMI 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC
1991–92	0	0	0.349	-	0.349	-
1992–93	0	0	1.541	-	1.541	-
1993–94	0	0	8.327	-	8.327	-
1994–95	0	0	10.432	-	10.432	-
1995–96	0	0	0.142	-	0.142	-
1996–97	0	0	0	-	0	-
1997–98	0	0	0	-	0	-
1998–99	0	0	0	-	0	-
1999–00	0	0	0	-	0	-
2000–01	0	0	0	-	0	-
2001–02	0	0	0	-	0	-
2002–03	0	0	22.623	-	22.623	-
2003–04	0	44	29.681	61	29.681	162
2004–05*	0	44	60.023	61	60.863	162
2005–06*	0	44	53.961	61	57.916	162
2006–07	7.476	44	54.091	61	61.567	162
2007–08	36.901	44	15.036	61	51.937	162
2008–09	32.149	44	6.657	61	38.806	162
2009–10	25.764	44	3.416	61	29.180	162
2010–11	12.600	62	17.432	61	30.032	180
2011–12	0	62	47.338	61	47.338	180
2012–13	44.445	62	32.81	61	77.265	180
2013–14	63.867	62	4.886	61	68.753	744

\*In 2004–05 and 2005–06 0.84 and 3.9554 t respectively were reportedly landed, but the QMA is not recorded. These amounts are included in the total landings for these years.



**Figure 1: Reported commercial landings and TACC for MMI 3 (South East Coast), and MMI 7 (Challenger). Note that these figures do not show data prior to entry into the QMS.**

### 1.3 Customary fisheries

Offshore clams such as *M. murchisoni* are likely to have been harvested for customary use only when washed ashore after storms. Shells of this clam have been found irregularly, and in small numbers, in a few middens (Conroy et al 1993). There are no estimates of current customary catch of this clam.

### 1.4 Illegal catch

There is no documented illegal catch of this clam.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although this clam is subject to localised catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).

## 2. BIOLOGY

*M. murchisoni* is most abundant in the lower half of the North Island and the South Island. It is found most commonly between about 4 m and 8 m in depth. Maximum length is variable between areas, ranging from 63 to 102 mm (Cranfield et al 1993). The sexes are separate, they are broadcast spawners, and the larvae are thought to be planktonic for between 20 and 30 days (Cranfield & Michael 2001). Recruitment of spat is to the same depth zone that adults occur in, although recruitment between years is highly variable (Conroy et al 1993).

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical features (rivers, headlands etc). Circulation patterns may isolate surf clams genetically as well as ecologically.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

## 5. STOCK ASSESSMENT

### 5.1 Estimates of fishery parameters and abundance

No estimates of fisheries parameters or abundance are available for this species.

### 5.2 Biomass estimates

Biomass has been estimated at one site within MMI 3 and 8 and multiple sites within MMI 2 and 7 with stratified random surveying using a hydraulic dredge (Tables 3 and 4).

**Table 3: A summary of biomass estimates in tonnes greenweight with standard deviation in parentheses from exploratory surveys of Cloudy Bay (Cranfield et al 1994a) and Clifford Bay in Marlborough (Michael et al 1994), and Foxton beach on the Manawatu coast (White et al 2012). - not estimated.**

Area	Cloudy Bay (MMI 7)	Clifford Bay (MMI 7)	Foxton Beach (MMI 8)
Length of beach (km)	11	21	46 <sup>#</sup>
Biomass (t)	248 (96)	192(79)	3603 (342) <sup>#</sup>

<sup>#</sup> Biomass was estimated at Foxton Beach from a mix of a systematic survey in the North and a stratified survey in the South of this location.

**Table 4: A summary of biomass estimates in greenweight (t) from the surveys in MMI 2 and 3 (Triantifillos 2008a, Triantifillos 2008b). Note: unless otherwise stated the CV is less than 20%.**

Location	Five sites (MMI 2)	Ashley River to 6 nm south of the Waimakariri River (MMI 3)
Area surveyed (km <sup>2</sup> )	28.0	13.4
Biomass (t)	33.8	444.1

### 5.3 Yield estimates and projections

Growth and mortality data from Cloudy Bay in Marlborough and the Kapiti Coast in Manawatu (Cranfield et al 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield et al 1994a, Triantifillos 2008a, 2008b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them. The  $MCY$  estimates of Triantafillos (2008a, b) and White et al (2012) using the full range of  $F_{0.1}$  estimates from Cranfield et al (1993) are shown in Table 5, but should be interpreted cautiously.

## LARGE TROUGH SHELL (MMI)

Estimates of  $MCY$  are available from numerous locations and were calculated using Method 1 for a virgin fishery (Annala et al 2001) with an estimate of virgin biomass  $B_0$ , where:

$$MCY = 0.25 * F_{0.1} B_0$$

**Table 5:  $MCY$  estimates (t) for *M. murchisoni* from virgin biomass at locations sampled around New Zealand (Triantifillos 2008a and b, White et al 2012).**

Location	$F_{0.1}$	$MCY$
Five sites (MMI 2)	0.43/0.57	47.7/63.3
Ashley River to 6 nm south of the Waimakariri River (MMI 3)	0.70/0.89	5.9/7.5
46km of coast north and south of the Manawatu River (MMI 8)	0.70/0.89	630.6/801.7

$CAY$  has not been estimated for *M. murchisoni*.

## 6. STATUS OF THE STOCKS

- MMI 3, 7 & 8 - *Macra murchisoni*

Stock Status	
Year of Most Recent Assessment	2008 for MMI 3, 1994 for MMI 7 and 2012 for MMI 8
Assessment Runs Presented	Survey biomass
Reference Points	Target: Not defined, but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Because of the relatively low levels of exploitation of <i>M. murchisoni</i> , it is likely that all stocks are still effectively in a virgin state, therefore they are Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft and hard limits
Historical Stock Status Trajectory and Current Status	
Unknown	

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Fishing is light in all Fishstocks other than MMI 3 and MMI 7. In MMI 7 landings have averaged 34.6 t since 2002–03 and in MMI 3 landings have averaged 25.5 t since 2006–07.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	For all stocks current catches are Very Unlikely (< 10%) to cause declines below soft or hard limits.

Assessment Methodology	
Assessment Type	Level 2 - Partial Quantitative Stock Assessment
Assessment Method	Absolute biomass estimates from quadrat surveys
Main data inputs	Abundance and length frequency information

Period of Assessment	2008 for MMI 3, 1994 for MMI 7 and 2012 for MMI 8	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

**Qualifying Comments**

Stock size could fluctuate markedly as a result of catastrophic mortality from a number of causes. There is a need to review fishery parameters for this species.

**Fishery Interactions**

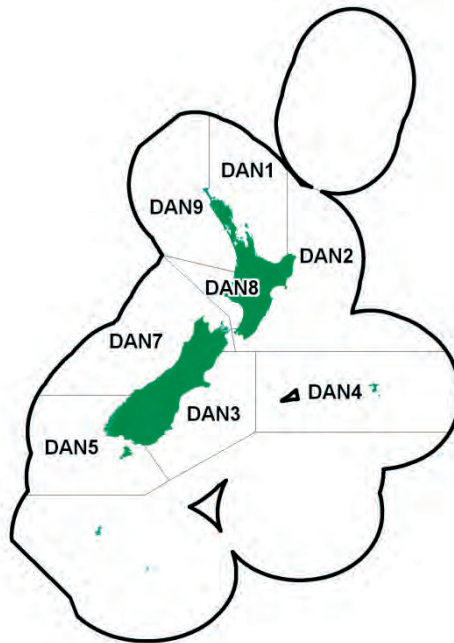
MMI can be caught together with other surf clam species and non-QMS bivalves.

For all other MMI stocks there is no current evidence of appreciable biomass.

## 7. FOR FURTHER INFORMATION

- Annala, J H; Sullivan, K J; O'Brien, C J; Smith, N W M (compilers.) (2001) Report from the fishery assessment plenary, May 2001: stock assessments and yield estimates. 515 p. (Unpublished report held in NIWA library, Wellington.)
- Beentjes, M P; Baird, S J (2004) Review of dredge fishing technologies and practice for application in New Zealand. *New Zealand Fisheries Assessment Report 2004/37*. 40 p.
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- Conroy, A; Smith, P; Michael, K; Stotter, D (1993) Identification and recruitment patterns of juvenile surf clams, *Macra discors* and *M. murchisoni* from central New Zealand. *New Zealand Journal of Marine and Freshwater Research*, 27, 279–285.
- Cranfield, H J; Doonan, I J; Michael, K P (1994a) Dredge survey of surf clams in Cloudy Bay, Marlborough. *New Zealand Fisheries Technical Report 39*: 18 p.
- Cranfield, H J; Michael, K P (2001) The surf clam fishery in New Zealand: description of the fishery, its management, and the biology of surf clams. *New Zealand Fisheries Assessment Report 2001/62*. 24 p.
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- Cranfield, H J; Michael, K P; Stotter, D R; Doonan, I J (1994b) Distribution, biomass and yield estimates of surf clams off New Zealand beaches. New Zealand Fisheries Assessment Research Document 1994/1. 17 p. (Unpublished document held by NIWA library, Wellington.)
- Haddon, M; Willis, T J; Wear, R G; Anderlini, V C (1996) Biomass and distribution of five species of surf clam off an exposed west coast North Island beach, New Zealand. *Journal of Shellfish Research* 15: 331–339.
- Michael, K; Cranfield, H; Doonan, I; Hadfield, J (1994) Dredge survey of surf clams in Clifford Bay, Marlborough. *New Zealand Fisheries Data Report*, No. 54.
- Triantifillos, L (2008a) Survey of subtidal surf clams in Pegasus Bay, November–December 2007. Prepared by NIWA for Seafood Innovations Limited and SurfCo. Limited. : 43 p.
- Triantifillos, L (2008b) Survey of subtidal surf clams in Quota Management Area 2, June–August 2008. Prepared by NIWA for Seafood Innovations Limited and SurfCo. Limited. : 40 p.
- White, W; Millar, R; Breen, B; Farrington, G (2012) Survey of subtidal surf clams from the Manawatu Coast (FMA 8), October–November 2012, Report for the Shellfish Working Group Meeting 19th November 2012, 35 p. + Addendum.

## RINGED DOSINIA (DAN)

*(Dosinia anus)*

## 1. FISHERY SUMMARY

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

Ringed *Dosinia (Dosinia anus)* were introduced into the Quota Management System on 1 April 2004 with a combined TAC of 112 t and catches are measured in greenweight. There were no allowances for customary, recreational or other sources of mortality. Biomass surveys in QMA 2 and 3 supported a TACC increase from April 2010. This increased the TACC for DAN 2 from 18 to 61 t and DAN 3 from 4 to 52 t. A subsequent biomass survey in DAN 8 resulted in a TAC increase in April 2013. This increased the DAN 8 TAC from 33 to 236 t and the total TAC to 412 t (Table 1).

**Table 1: Current TAC, TACC and allowances for other sources of mortality for *Dosinia anus*.**

Fishstock	TAC (t)	TACC (t)	Customary Allowance (t)	Other sources of mortality (t)
DAN 1	7	7	0	0
DAN 2	64	61	0	3
DAN 3	55	52	0	3
DAN 4	1	1	0	0
DAN 5	1	1	0	0
DAN 7	15	15	0	0
DAN 8	236	214	10	12
DAN 9	33	33	0	0
Total	412	384	10	18

### 1.1 Commercial fisheries

Prior to 2006–07 landings had only been reported in DAN 7 and ranged from about 10 to 300 kg. Small catches (less than 1 t) were reported in DAN 3 for 2006–07, but increased to 1.4 t in 2008–09. From 2002–03 onwards, landings in DAN 7 increased up to a maximum of 2.4 t in 2006–07, but have since varied between 0.2 t in 2008–9 and 2009–10 and 5.3 t in 2011–12 (Table 2).

### 1.2 Recreational fisheries

There are no known records of recreational use of this surf clam.

**Table 2: TACCs and reported landings (t) of Ringed Dosinia by Fishstock from 1991–92 to the present day from CELR and CLR data. Fishstocks where no catch has been reported are not tabulated. DAN 1, 2, 4, 5, 8 and 9 have TACCs of 7, 61, 1, 1, 33 and 33 t, respectively.**

Fishstock	DAN 3		DAN 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC
1991–92	0	-	0	-	0	-
1992–93	0	-	0.164	-	0.164	-
1993–94	0	-	0.293	-	0.293	-
1994–95	0	-	0.07	-	0	0.07
1995–96	0	-	0.012	-	0	0.012
1996–97	0	-	0	-	0	0
1997–98	0	-	0	-	0	-
1998–99	0	-	0	-	0	-
1999–00	0	-	0	-	0	-
2000–01	0	-	0	-	0	-
2001–02	0	-	0	-	0	-
2002–03	0	-	0.114	-	0.114	-
2003–04	0	4.0	0.895	15.0	0.895	112.0
2004–05	0	4.0	1.982	15.0	2.016*	112.0
2005–06	0	4.0	1.095	15.0	1.022*	112.0
2006–07	0.086	4.0	2.464	15.0	2.55	112.0
2007–08	0.768	4.0	0.821	15.0	1.589	112.0
2008–09	1.398	4.0	0.159	15.0	1.557	112.0
2009–10	0.836	4.0	0.209	15.0	1.045	112.0
2010–11	0.768	52.0	2.199	15.0	3.022	203.0
2011–12	0	52.0	5.303	15.0	5.303	203.0
2012–13	0.547	52	3.531	15	4.078	203.0
2013–14	5.483	52	0.729	15	6.212	384.0

\*In 2004–05 and 2005–06, 32.4 and 90 kg were reported but the QMA is not recorded. This amount is included in the total landings for these years.

### 1.3 Customary fisheries

Offshore clams such as *D. anus* are likely to have been harvested for customary use only when washed ashore after storms. Shells of this clam have been found irregularly, and in small numbers in a few middens (Carkeek 1966). There are no estimates of current customary use of this clam.

### 1.4 Illegal catch

There is no known illegal catch of this clam.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although this clam is probably sometimes taken as a bycatch in inshore trawling. Harvesters claim that the hydraulic clam rake does not damage surf clams and minimises damage to the few species of other macrofauna captured. Surf clam populations also are subject to localised catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).

## 2. BIOLOGY

*D. anus* is found around the New Zealand coast on sediments in the North Island at depths between 5 and 8 m, and in the South Island between 6 and 10 m. It is larger and rougher than *D. subrosea*, and is usually found on more exposed beaches shallower in the substrate. Maximum length is variable between areas, ranging from 58 to 82 mm (Cranfield et al 1993). The sexes are likely to be separate, and they are likely to be broadcast spawners with planktonic larvae. Anecdotal evidence suggests that spawning is likely to occur in the summer months and spat probably recruit to the deeper water of the outer region of the surf zone. Recruitment of surf clams is thought to be highly variable between years.

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however, the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical features (such as rivers and headlands). Circulation patterns may isolate surf clams genetically as well as ecologically.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

## 5. STOCK ASSESSMENT

### 5.1 Estimates of fishery parameters and abundance

No estimates of fisheries parameters or abundance are available for this species.

### 5.2 Biomass estimates

Biomass has been estimated at Cloudy and Clifford Bay in DAN 7 with a stratified random survey using a hydraulic dredge (Table 3).

**Table 3: A summary of biomass estimates for *D. anus* in tonnes green weight with standard deviation in parentheses from exploratory surveys of Cloudy Bay (Cranfield et al 1994b), and Clifford Bay, both in Marlborough (Michael et al 1994) as well as on the Manawatu coastline (White et al 2012).**

Area	Cloudy Bay (DAN 7)	Clifford Bay (DAN 7)	Foxton Beach (DAN 8)
Length of beach (km)	11	21	46
Biomass (t)	72 (30)	5 (3)	3498 (329)

### 5.3 Yield estimates and projections

Growth and mortality data from Cloudy Bay in Marlborough and the Kapiti Coast in Manawatu (Cranfield et al 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield et al 1994b, Triantifillos 2008a and 2008b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them. The  $MCY$  estimates of Triantifillos (2008a and b) and White et al (2012) that use the full range of  $F_{0.1}$  estimates from Cranfield et al (1993) are shown in Table 4, but should be interpreted cautiously.

Estimates of  $MCY$  were calculated using Method 1 for a virgin fishery (Annala et al 2001) with an estimate of virgin biomass  $B_0$ , where:

$$MCY = 0.25 * F_{0.1} B_0$$

**Table 4: Mean  $MCY$  estimates (t) for *D. anus* from virgin biomass at locations sampled around New Zealand (Triantifillos 2008a and b).**

Location	$F_{0.1}$	$MCY$
Five sites (DAN 2)	0.25/0.42	52.8/88.7
Ashley River to 6 n. mile south of the Waimakariri River (DAN 3)	0.27/0.54	63.8/127.7
Foxton beach	0.27/0.54	236.1/472.2

$CAY$  has not been estimated for *D. anus*.

## 6. STATUS OF THE STOCKS

- DAN 2, 3, 7 & 8- *Dosinia anus*

Stock Status	
Year of Most Recent Assessment	2008 for DAN 2 and 3, 1994 for DAN 7 and 2012 for DAN 8.
Assessment Runs Presented	Survey biomass
Reference Points	Target: Not defined, but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$

Status in relation to Target	Because of the relatively low levels of exploitation of <i>D. anus</i> , it is likely that all stocks are still effectively in a virgin state, therefore they are Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft and hard limits
<b>Historical Stock Status Trajectory and Current Status</b>	
Unknown	

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Fishing is minimal in all Fishstocks other than DAN 3 and 7. In DAN 7 fishing has been light with landings averaging 1.1 t since 2002–03.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	For all stocks current catches are Very Unlikely (< 10%) to cause declines below soft or hard limits.

<b>Assessment Methodology</b>		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Absolute biomass estimates from quadrant surveys	
Main data inputs	Abundance and length frequency information	
Period of Assessment	Latest assessment: 2008 for DAN 2 and 3, 1994 for DAN 7, 2012 for DAN 8.	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

<b>Qualifying Comments</b>	
Stock size could fluctuate markedly as a result of catastrophic mortality from a number of causes. There is a need to review fishery parameters for this species	

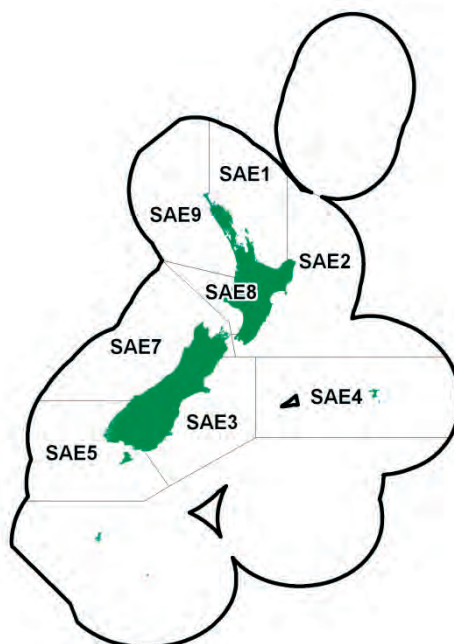
<b>Fishery Interactions</b>	
DAN can be caught together with other surf clam species and non-QMS bivalves.	

For all other DAN stocks there is no current evidence of appreciable biomass.



## 7. FOR FURTHER INFORMATION

- Annala, J H; Sullivan, K J; O'Brien, C J; Smith, N W McL (compilers) (2001) Report from the fishery assessment plenary, May 2001: stock assessments and yield estimates. 515 p. (Unpublished report held in NIWA library, Wellington.)
- Brierley, P (Convenor) (1990) Management and development of the New Zealand sub-tidal clam fishery. Report of the surf clam working group, MAF Fisheries. (Unpublished report held in NIWA library, Wellington). 57 p.
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- Triantifillos, L (2008a) Survey of subtidal surf clams in Pegasus Bay, November–December 2007. Prepared by NIWA for Seafood Innovations Limited and SurfCo. limited. 43 p. (Unpublished Report available for MPI).
- Triantifillos, L (2008b) Survey of subtidal surf clams in Quota Management Area 2, June – August 2008. Prepared by NIWA for Seafood Innovations Limited and SurfCo. limited. 40 p.
- White, W; Millar, R; Breen, B; Farrington, G (2012) Survey of subtidal surf clams from the Manawatu Coast (FMA 8), October–November 2012, Report for the Shellfish Working Group Meeting 19th November 2012, 35 p + Addendum.

**TRIANGLE SHELL (SAE)***(Spisula aequilatera)***1. FISHERY SUMMARY**

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

Triangle shells (*Spisula aequilatera*) were introduced into the QMS on 1 April 2004 with a total TACC of 406 t. No allowances were set for customary, non-commercial, recreational or other sources of mortality. Biomass surveys supported an increase in TAC in SAE 2 and SAE 3 from 1 April 2010 from 1 and 264 t respectively to 132 and 483 t, respectively. A subsequent biomass survey in SAE 8 resulted in a TAC increase in April 2013. This increased the SAE 8 TAC from 8 to 1821 t and the total TAC from 756 to its current level of 2569 t (Table 1).

**Table 1: Current TAC, TACC and allowances for other sources of mortality for *Spisula aequilatera***

Fishstock	TAC (t)	TACC (t)	Customary Allowance (t)	Other sources of mortality (t)
SAE 1	9	9	0	0
SAE 2	132	125	0	7
SAE 3	483	459	0	24
SAE 4	1	1	0	0
SAE 5	3	3	0	0
SAE 7	112	112	0	0
SAE 8	1821	1720	10	91
SAE 9	8	8	0	0
Total	2569	2437	10	122

**1.1 Commercial fisheries**

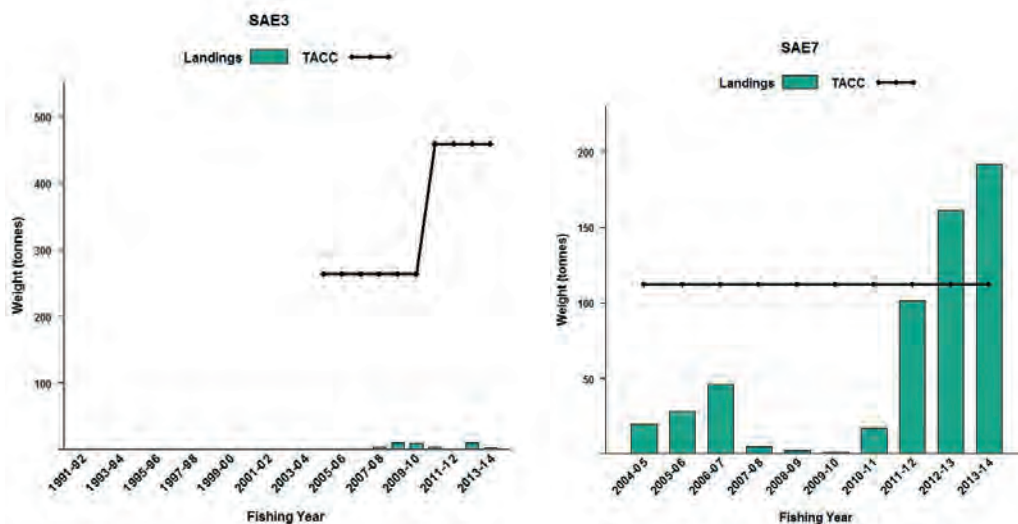
Apart from a small catch in SAE 2 in 2003–04 and small catches in SAE 3 since 2006–07, all reported landings have been from SAE 7. Between the 1991–92 and 1995–96 fishing years, landings were small and no further landings were reported until 2002–03. Since then landings have increased with a maximum of 52 t in 2002–03. Reported landings and TACCs are shown for the fishstocks with historical landings in Table 2. Figure 1 shows historical landings and TACCs for the two main SAE stocks. Landings are market-driven and have not been constrained by the TACCs.

## TRIANGLE SHELL (SAE)

**Table 2: TACCs and reported landings (t) of Triangle shell by Fishstock from 1990–91 to 2012–13 from CELR and CLR data. SAE 1, 4, 5, 8 and 9 have TACCs of 9, 1, 3, 1821 and 8 t, respectively.**

Fishstock	SAE 2		SAE 3		SAE 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1991–92	0	-	0	-	0.175	-	0.175	-
1992–93	0	-	0	-	0.396	-	0.396	-
1993–94	0	-	0	-	2.846	-	2.846	-
1994–95	0	-	0	-	2.098	-	2.098	-
1995–96	0	-	0	-	0.12	-	0.120	-
1996–97	0	-	0	-	0	-	0	-
1997–98	0	-	0	-	0	-	0	-
1998–99	0	-	0	-	0	-	0	-
1999–00	0	-	0	-	0	-	0	-
2000–01	0	-	0	-	0	-	0	-
2001–02	0	-	0	-	0	-	0	-
2002–03	0	-	0	-	52.146	-	52.146	-
2003–04	0.198	1.0	0	264.0	9.583	112.0	9.781	406.0
2004–05	0	1.0	0	264.0	18.527	112.0	19.364*	406.0
2005–06	0	1.0	0	264.0	28.067	112.0	31.019*	406.0
2006–07	0	1.0	0.608	264.0	45.955	112.0	46.563	406.0
2007–08	0	1.0	3.912	264.0	5.022	112.0	8.934	406.0
2008–09	0	1.0	10.909	264.0	2.506	112.0	13.415	406.0
2009–10	0	1.0	8.619	264.0	1.460	112.0	10.078	406.0
2010–11	0	125.0	4.043	459.0	16.919	112.0	20.962	725.0
2011–12	0	125.0	0	459.0	82.266	112.0	82.266	725.0
2012–13	0	125.0	9.832	459	161.195	112.0	171.027	725.0
2013–14	0	125.0	3.613	459	191.073	112.0	195.316	2 437

\*In 2004–05 and 2005–06, 0.837 and 2.952 t respectively were reported landed, but the QMA is not recorded. These amounts are included in the total landings for these years.



**Figure 1: Reported commercial landings and TACC for selected areas.**

### 1.2 Recreational fisheries

There are no estimates of recreational take for this surf clam.

### 1.3 Customary fisheries

Shells of this species have been found irregularly, and in small numbers in a few middens (Carkeek 1966). There are no estimates of current customary catch of this species.

### 1.4 Illegal catch

There is no documented illegal catch of this species.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality, although this clam is subject to localised catastrophic mortality from erosion during storms, high temperatures and low oxygen levels

during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).

## 2. BIOLOGY

*S. aequilatera* occurs from Bay of Plenty southwards on the east coast of both islands, and on the Wellington-Manawatu coast. No information is available concerning its distribution on the West Coast of the South Island. In the North Island this species is most abundant between 3 m and 5 m depth, and in the South Island between 4 m and 8 m depth. Maximum length is variable between areas, ranging from 39 to 74 mm (Cranfield & Michael 2002). The sexes are separate; they are broadcast spawners; they are reasonably fast growing and reach maximum size in 2–3 years. Nothing is known of their larval life.

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however, the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical features (rivers, headlands etc). Circulation patterns may isolate surf clams genetically as well as ecologically.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

## 5. STOCK ASSESSMENT

### 5.1 Estimates of fishery parameters and abundance

No estimates of fisheries parameters or abundance are available for this species. Early estimates were made of  $M$  and  $F_{0.1}$  but the SFWG considers that the methods were not well documented, and the estimates should not be used.

### 5.2 Biomass estimates

Biomass was estimated at one site in each of SAE 3 and SAE 8, and multiple sites within SAE 2 and SAE 7 with stratified random surveying using a hydraulic dredge (Tables 3 and 4).

**Table 3: A summary of biomass estimates in tonnes greenweight with standard deviation in parentheses from exploratory surveys of Cloudy Bay (Cranfield et al 1994b) and Clifford Bay in Marlborough (Michael et al 1994), and Foxton beach on the Manawatu coast (White et al 2012). - Indicates where estimates were not generated.**

Area	Cloudy Bay	Clifford Bay	Foxton Beach
	(SAE 7)	(SAE 7)	(SAE 8)
Length of beach (km)	11	21	46
Biomass (t)	53 (22)	358 (152)	7993 (759)

**Table 4: A summary of biomass estimates in tonnes greenweight from the surveys in SAE 2 and SAE 3 (Triantifillos 2008a, Triantifillos 2008b). Unless otherwise stated the CV is less than 20%.**

Location	Five sites	Ashley River to 6 nm south of the Waimakariri River
	(SAE 2)	(SAE 3)
Area surveyed (km <sup>2</sup> )	28.0	13.4
Biomass (t)	471.1	1567.2

### 5.3 Yield estimates and projections

#### Estimation of Maximum Constant Yield (MCY)

Growth and mortality data from Cloudy Bay in Marlborough and the Kapiti Coast in Manawatu (Cranfield et al 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield et al 1994b, Triantifillos 2008a, 2008b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them. The MCY estimates of Triantifillos (2008a and b) and White et al 2012 that use the full range of  $F_{0.1}$  estimates from Cranfield et al (1993) are shown in Table 5, but should be interpreted cautiously.

Estimates of MCY are available from a number of locations and were calculated using Method 1 for a virgin fishery (Annala et al 2001) with an estimate of virgin biomass  $B_0$ , where:

$$MCY = 0.25 * F_{0.1} B_0$$

**Table 5: MCY estimates (t) for *S. aequilatera* from virgin biomass at locations sampled around New Zealand (Triantifillos 2008a and b).**

Location	$F_{0.1}$	MCY
Five sites (SAE 2)	1.12/1.56	131.9/183.7
Ashley River to 6 nm south of the Waimakariri River (SAE 3)	1.06/1.37	415.3/536.8
Foxton beach (SAE 8)	1.06/1.37	2238/3117.2

#### Estimation of Current Annual Yield (CAY)

CAY has not been estimated for *S. aequilatera*.

## 6. STATUS OF THE STOCKS

- SAE 2, 3, 7 & 8- *Spisula aequilatera*

Stock Status	
Year of Most Recent Assessment	2008 for SAE 2 and 3, 1994 for SAE 7, 2012 for SAE 8.
Assessment Runs Presented	Survey biomass
Reference Points	Target: Not defined, but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Because of the relatively low levels of exploitation of <i>S. aequilatera</i> , it is likely that all stocks are still effectively in a virgin state, therefore they are Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft and hard limits
Historical Stock Status Trajectory and Current Status	
-	

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Fishing is light in all QMAs other than SAE 7. In SAE 7 it has averaged 23 t since 2002–03.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	For all stocks current catches are Very Unlikely (< 10%) to cause declines below soft or hard limits.

<b>Assessment Methodology</b>		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Absolute biomass estimates from quadrant surveys	
Main data inputs	Abundance and length frequency information	
Period of Assessment	Latest assessment: 2008 for SAE 2 and 3, 1994 for SAE 7, 2012 for SAE 8.	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

<b>Qualifying Comments</b>
Stock size could fluctuate markedly as a result of catastrophic mortality from a number of causes. There is a need to review the fishery parameters for this species. SAE have slower digging ability relative to PDO therefore are at higher relative risk of mortality during storms.

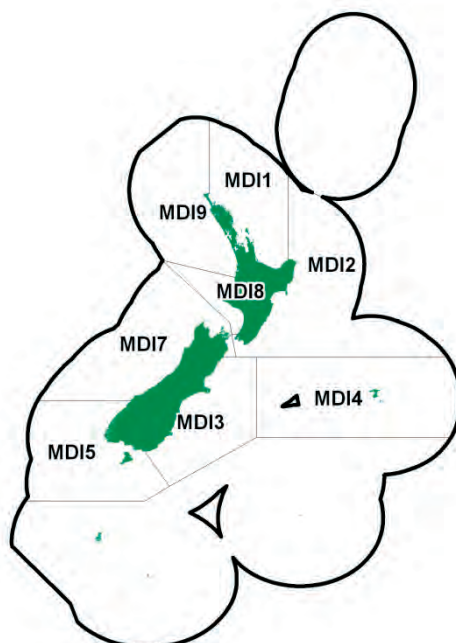
<b>Fishery Interactions</b>
SAE can be caught together with other surf clam species and non-QMS bivalves.

For all other SAE stocks there is no current evidence of appreciable biomass.

## 7. FOR FURTHER INFORMATION

- Annala, J H; Sullivan, K J; O'Brien, C J; Smith, N W M (comps.) (2001) Report from the fishery assessment plenary, May 2001: stock assessments and yield estimates. 515 p. (Unpublished report held in NIWA library, Wellington.)
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- Cranfield, H J; Michael, K P (2001) The surf clam fishery in New Zealand: description of the fishery, its management, and the biology of surf clams. *New Zealand Fisheries Assessment Report 2001/62*. 24 p.
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- Cranfield, H J; Michael, K P; Stotter, D R (1993) Estimates of growth, mortality, and yield per recruit for New Zealand surf clams. New Zealand Fisheries Assessment Research Document 1993/20. 26 p. (Unpublished document held by NIWA library, Wellington.)
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- Triantifillos, L (2008a) Survey of subtidal surf clams in Pegasus Bay, November–December 2007. Prepared by NIWA for Seafood Innovations Limited and SurfCo. Limited. 43 p. (Unpublished Report held by MPI).
- Triantifillos, L (2008b) Survey of subtidal surf clams in Quota Management Area 2, June–August 2008. , Prepared by NIWA for Seafood Innovations Limited and SurfCo. Limited. 40 p.
- White, W; Millar, R; Breen, B; Farrington, G (2012) Survey of subtidal surf clams from the Manawatu Coast (FMA 8), October–November 2012, Report for the Shellfish Working Group Meeting 19th November 2012, 35 p + Addendum.

## TROUGH SHELL (MDI)

*(Mactra discors)*

## 1. FISHERY SUMMARY

This species is part of the surf clam fishery and the reader is guided to the surf clam introductory chapter for information common to all relevant species.

Trough shells (*Mactra discors*) were introduced into Quota Management System on 1 April 2004 with a total TACC of 98 t. No allowances were made for customary or recreational usage, or for other sources of mortality. New survey information for QMA 2 and 3 resulted in increases to a number of surf clam TACCs from 1 April 2010, including MDI 2. This change included an increase in TACC and a new allowance for other sources of mortality. The total TAC is currently 163 t (Table 1).

**Table 1: Current TAC, TACC and allowances for other sources of mortality for *Mactra discors*.**

Fishstock	TAC (t)	TACC (t)	Other sources of mortality (t)
MDI 1	1	1	0
MDI 2	66	63	3
MDI 3	1	1	0
MDI 4	1	1	0
MDI 5	14	14	0
MDI 7	26	26	0
MDI 8	27	27	0
MDI 9	27	27	0
Total	163	160	3

### 1.1 Commercial fisheries

Most reported landings have been from MDI 7. Between 1994 and 1996, landings of a few kilograms were also reported from MDI 3 and MDI 5. No further landings were reported until 2002–03; since then the only significant reported catch has been from MDI 7, with only one other landing in MDI 1. These landings have ranged from about 0.7 t to 3.8 t. Landings and TACCs for fishstocks with historical landings are shown in Table 2. The historical landings and TACC values for MDI 7 are depicted in Figure 1.

### 1.2 Recreational fisheries

Offshore clams such as *M. discors* are likely to have been harvested for recreational use only when washed ashore after storms. There are no estimates of recreational take for this surf clam.

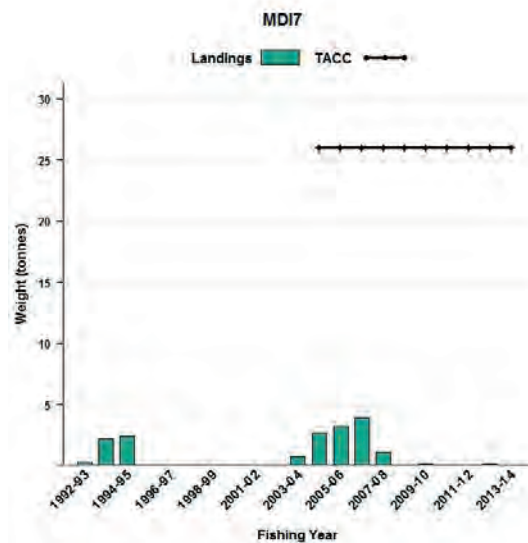
### 1.3 Customary fisheries

Offshore clams such as *M. discors* are likely to have been harvested for customary use only when washed ashore after storms (Carkeek 1966). There are no estimates of current customary use of this clam.

**Table 2: TACCs and reported landings (t) of Trough Shell for Fishstocks with landings from 1992–93 to 2012–13 from CELR and CLR data. MDI 2, 4, 8 and 9 have TACCs of 63, 1, 27 and 27 t, respectively.**

Fishstock	MDI 1		MDI 3		MDI 5		MDI 7		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1992–93	0	-	0	-	0	-	0.254	-	0.254	-
1993–94	0	-	0	-	0	-	2.198	-	2.198	-
1994–95	0	-	0	-	0.033	-	2.399	-	2.432	-
1995–96	0	-	0.049	-	0	-	0.017	-	0.066	-
1996–97	0	-	0	-	0	-	0	-	0	-
1997–98	0	-	0	-	0	-	0	-	0	-
1998–99	0	-	0	-	0	-	0	-	0	-
1999–00	0	-	0	-	0	-	0	-	0	-
2000–01	0	-	0	-	0	-	0	-	0	-
2001–02	0	-	0	-	0	-	0	-	0	-
2002–03	0	-	0	-	0	-	0.691	-	0.691	-
2003–04	0	1	0	1	0	14	2.685	26	2.685	98
2004–05	0	1	0	1	0	14	3.304	26	3.375*	98
2005–06	0.041	1	0	1	0	14	3.207	26	3.525*	98
2006–07	0	1	0	1	0	14	3.889	26	3.889	98
2007–08	0	1	0.015	1	0.001	14	1.045	26	1.061	98
2008–09	0	1	0	1	0	14	0.009	26	0.009	98
2009–10	0	1	0.057	1	0	14	0.118	26	0.175	98
2010–11	0	1	0	1	0	14	0.007	26	0	160
2011–12	0	1	0	1	0	14	0	26	0	160
2012–13	0	1	0	1	0	14	0.133	26	0.133	160
2013–14	0	1	0.01	1	0	14	0	26	0.01	160

\*In 2004–05 and 2005–06, 71 and 277 kg respectively were reportedly landed, but the QMA is not recorded. This amount is included in the total landings for that year.



**Figure 1: Reported commercial landings and TACC for MDI 7 (Challenger).**

### 1.4 Illegal catch

There is no known illegal catch of this clam.

### 1.5 Other sources of mortality

There is no quantitative information on other sources of mortality. This clam is subject to localised catastrophic mortality from erosion during storms, high temperatures and low oxygen levels during calm summer periods, blooms of toxic algae and excessive freshwater outflow (Cranfield & Michael 2001).



## 2. BIOLOGY

*M. discors* is most abundant in Southland (Te Waewae and Oreti), Otago (Blueskin Bay), Wellington, Manawatu and Cloudy Bay. Maximum length is variable between areas, ranging from 63 to 95 mm (Cranfield et al 1993). The sexes are separate; the species is a broadcast spawner; the larvae are thought to be planktonic for between 20 and 30 days (Cranfield & Michael 2001). Recruitment of spat is to the same depth zone as adults occur in and recruitment between years is highly variable (Conroy et al 1993).

## 3. STOCKS AND AREAS

For management purposes stock boundaries are based on FMAs, however, the boundaries of stocks of surf clams are likely to be the continuous lengths of exposed sandy beaches between geographical features (rivers, headlands etc). Circulation patterns may isolate surf clams genetically as well as ecologically.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

See the introductory surf clam chapter.

## 5. STOCK ASSESSMENT

### 5.1 Estimates of fishery parameters and abundance

No estimates of fisheries parameters or abundance are available for this species.

### 5.2 Biomass estimates

Biomass has been estimated at one site within MDI 3 and 8 and multiple sites within MDI 2 and 7 using stratified random surveying with a hydraulic dredge (Tables 3 and 4).

**Table 3: A summary of biomass estimates in tonnes green weight with standard deviation in parentheses from exploratory surveys of Cloudy Bay (Cranfield et al 1994b) and Clifford Bay in Marlborough (Michael et al 1994) and Foxton beach on the Manawatu coast (Haddon et al 1996). - = not estimated**

Area	Cloudy Bay (MDI 7)	Clifford Bay (MDI 7)	Foxton Beach (MDI 8)
Length of beach (km)	11	21	27.5
Biomass (t)	55 (11)	89 (3)	195 (-)

**Table 4: A summary of biomass estimates in tonnes green weight from the surveys in MDI 2 and 3 (Triantifillos 2008a, Triantifillos 2008b). Note: unless otherwise stated the CV is less than 20%.**

Location	Five sites (MDI 2)	Ashley River to 6 n. miles south of the Waimakariri River (MDI 3)
Area surveyed (km <sup>2</sup> )	28.0	13.4
Biomass (t)	471.2	0

### 5.3 Yield estimates and projections

Growth and mortality data from Cloudy Bay, Marlborough and the Kapiti Coast, Manawatu (Cranfield et al 1993) have been used in a yield per recruit model to estimate the reference fishing mortality  $F_{0.1}$  (Cranfield et al 1994b, Triantifillos 2008a and 2008b). The shellfish working group did not accept these estimates of  $F_{0.1}$  as there was considerable uncertainty in both the estimate and the method used to generate them. The  $MCY$  estimates of Triantifillos (2008b) that use the full range of  $F_{0.1}$  estimates from Cranfield et al (1993) are shown in Table 5, but should be interpreted cautiously.

Estimates of  $MCY$  are available from five sites in MDI 2 and were calculated using Method 1 for a virgin fishery (Annala et al 2001) from an estimate of virgin biomass  $B_0$ , where:

$$MCY = 0.25 * F_{0.1} B_0$$

**Table 5:  $MCY$  estimates (t) for *M. discors* from virgin biomass at locations within MDI 2 (Triantifillos 2008b).**

Location	$F_{0.1}$	$MCY$
Five sites (MDI 2)**	0.56/0.87	66.1/102.7

$CAY$  has not been estimated for *M. discors*

## 6. STATUS OF THE STOCKS

- MDI 2, 7 & 8 - *Macra discors*

Stock Status	
Year of Most Recent Assessment	2008 for MDI 2, 1994 for MDI 7 and 1996 for MDI 8
Assessment Runs Presented	Survey biomass
Reference Points	Target: Not defined, but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Because of the relatively low levels of exploitation of <i>M. discors</i> , it is likely that all stocks are still effectively in a virgin state, therefore they are Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Very Unlikely (< 10%) to be below the soft and hard limits
Historical Stock Status Trajectory and Current Status	
Unknown	

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Unknown
Recent Trend in Fishing Mortality or Proxy	Catches are minimal in all QMAs other than MDI 7. In MDI 7 catches have been light, averaging 2.12 t since 2002–03
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing decline below Limits	For all stocks current catches are Very Unlikely (< 10%) to cause declines below soft or hard limits.

Assessment Methodology		
Assessment Type	Level 2 - Partial Quantitative Stock Assessment	
Assessment Method	Absolute biomass estimates from quadrat surveys	
Main data inputs	Abundance and length frequency information	
Period of Assessment	Latest assessment: 2008 for MDI 2, 1994 for MDI 7 and 1996 for MDI 8	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	

## TROUGH SHELL (MDI)

Major Sources of Uncertainty	-
<b>Qualifying Comments</b>	
Stock size could fluctuate markedly as a result of catastrophic mortality from a number of causes. There is a need to review fishery parameters for this species.	
<b>Fishery Interactions</b>	
MDI can be caught together with other surf clam species and non-QMS bivalves.	

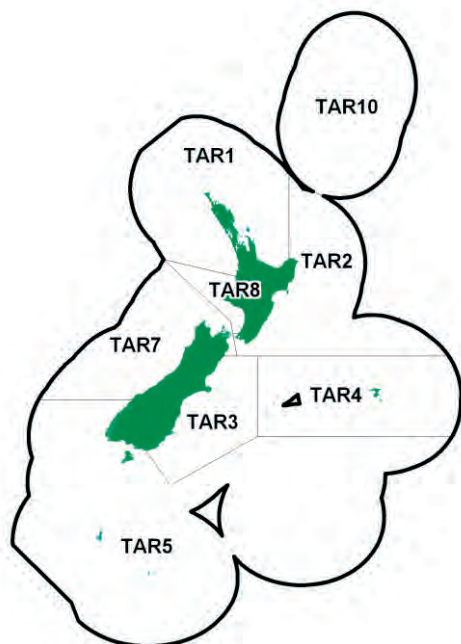
For all other MDI stocks there is no current evidence of appreciable biomass.

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**TARAKIHI (TAR)***(Nemadactylus macropterus)*

Tarakihi

**1. FISHERY SUMMARY****1.1 Commercial fisheries**

Tarakihi are caught in coastal waters of the North and South Islands, Stewart Island and the Chatham Islands, down to depths of about 250 m. The fishery appears to have been relatively stable since the initial development phase. Between 1968 and 1982–83 domestic and foreign landings combined ranged between 4082 t and 6444 t, averaging 5042 t per year (Table 1). Figure 1 shows the historical landings and TACC values for the main tarakihi stocks. Since the introduction of the QMS in 1986, the total landings have fluctuated between 4090 t and 6205 t (Table ). From 1 October 2007, the TAC for TAR 1 was increased to 2029 t and the TACC was increased from 1399 to 1447 t. Under the new TAC, the allowances for customary non-commercial, recreational and other sources of mortality were increased to 73 t, 487 t, and 22 t respectively (Table ). In October 2001, the TAR 7 TACC was increased to 1088 t but no recreational, customary, or other sources of fishing mortality allocations were made. In October 2004 the TACCs for TAR 2 and TAR 3 were increased to 1796 t and 1403 t respectively. TAR 4, 5, 8, 10 have not been assessed since entering the QMS in October 1986 and therefore the TACC and TACs have remained unchanged.

**Table 1: Reported total landings (t) of tarakihi from 1968 to 1982–83.**

Year	Landings	Year	Landings	Year	Landings
1968	5 683	1974	5 294	1980–81*	4 990
1969	4 082	1975	4 941	1981–82*	5 193
1970	5 649	1976	4 689	1982–83*	4 666
1971	5 702	1977	6 444		
1972	5 430	1978–79*	4 427		
1973	4 439	1979–80*	4 344		

Source - MAF data.

\* Sums of domestic catch for calendar years 1978 to 1982, and foreign and chartered vessel catch for fishing year April 1 to March 31.

Tarakihi are caught by commercial vessels in all areas of New Zealand from the Three Kings Islands in the north to Stewart Island in the south. The main fishing method is trawling. The major target trawl fisheries occur at depths of 100–200 m and tarakihi are taken as a bycatch at other depths as well. The major fishing grounds are west and east Northland (QMA 1), the western Bay of Plenty to Cape

## TARAKIHI (TAR)

Turnagain (QMAs 1 and 2), Cook Strait to the Canterbury Bight (mainly QMA 3), and Jackson Head to Cape Foulwind (QMA 7). Around the North Islands 70–80% of the tarakihi catch is targeted. Around the South Island only about 30% of the tarakihi catch is targeted; with much of the remainder reported as bycatch in target barracouta and red cod bottom trawl fisheries. In addition, there is a small target tarakihi setnet fishery off Kaikoura. The commercial minimum legal size (MLS) for all TAR stocks is 25 cm.

**Table 2: Reported landings (t) for the main QMAs from 1931 to 1982.**

Year	TAR 1	TAR 2	TAR 3	TAR 4	Year	TAR 1	TAR 2	TAR 3	TAR 4
1931-32	1146	123	0	0	1957	1423	2200	1150	0
1932-33	588	481	0	0	1958	1300	1952	1400	0
1933-34	534	415	152	0	1959	1697	2464	1315	0
1934-35	691	672	127	0	1960	1489	2867	862	0
1935-36	854	969	284	0	1961	1456	2864	1002	0
1936-37	1165	673	283	0	1962	1266	3126	1073	0
1937-38	1130	758	208	0	1963	1417	2632	968	0
1938-39	1044	788	445	0	1964	1304	2656	1250	0
1939-40	990	780	239	0	1965	1324	3027	1122	0
1940-41	637	674	624	0	1966	1100	2964	1539	0
1941-42	611	779	594	0	1967	1066	2548	657	0
1942-43	791	691	491	0	1968	888	1907	837	0
1943-44	573	477	391	0	1969	863	1727	720	0
1944	923	837	466	0	1970	1129	1932	1120	0
1945	1189	1340	269	0	1971	1125	2006	1153	0
1946	1410	1618	383	0	1972	996	1912	2169	12
1947	1162	1831	970	0	1973	804	1568	1455	0
1948	1075	2129	793	0	1974	687	1889	1913	24
1949	1575	2157	973	0	1975	584	1743	1106	10
1950	1925	2011	743	0	1976	620	1645	1927	21
1951	1948	2097	772	0	1977	849	1994	1648	835
1952	1990	2090	948	0	1978	1059	1718	373	6
1953	2066	2045	809	0	1979	1236	1375	717	362
1954	1697	1529	578	0	1980	1506	1391	1098	246
1955	2124	2039	599	0	1981	1213	1339	1242	137
1956	1850	2312	384	0	1982	1210	1277	953	72

Year	TAR 5	TAR 7	TAR 8	Year	TAR 5	TAR 7	TAR 8
1931-32	0	4	2	1957	12	735	18
1932-33	0	424	2	1958	8	625	20
1933-34	0	215	1	1959	7	666	17
1934-35	0	306	2	1960	10	732	15
1935-36	0	475	2	1961	15	573	23
1936-37	0	555	0	1962	6	759	52
1937-38	0	480	0	1963	8	630	43
1938-39	27	412	0	1964	7	593	61
1939-40	0	480	0	1965	11	470	58
1940-41	31	316	0	1966	24	549	64
1941-42	26	220	0	1967	2	1981	73
1942-43	15	87	0	1968	8	1941	100
1943-44	17	24	0	1969	8	592	173
1944	16	29	0	1970	19	1293	154
1945	1	432	0	1971	25	1192	202
1946	0	545	2	1972	15	741	279
1947	51	643	2	1973	27	747	190
1948	43	688	9	1974	31	1234	192
1949	49	873	13	1975	482	887	237
1950	35	803	8	1976	143	936	287
1951	42	747	7	1977	53	1337	465
1952	44	949	8	1978	54	1021	225
1953	30	896	20	1979	89	1125	109
1954	1	470	72	1980	107	748	109
1955	0	833	84	1981	137	1174	167
1956	0	699	28	1982	117	813	151

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

**Table 3: Reported landings (t) of tarakihi by Fishstock from 1983–84 to 2013–14 and TACCs (t) from 1986–87 to 2013–14. QMS data from 1986–present.**

Fishstock FMA (s)	TAR 1 1 & 9		TAR 2 2		TAR 3 3		TAR 4 4		TAR 5 5 & 6	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983–84*	1 326	-	1 118	-	902	-	287	-	115	-
1984–85*	1 022	-	1 129	-	1 283	-	132	-	100	-
1985–86*	1 038	-	1 318	-	1 147	-	173	-	48	-
1986–87	912	1 210	1 382	1 410	938	970	83	300	42	140
1987–88	1 093	1 286	1 386	1 568	1 024	1 036	227	314	88	142
1988–89	940	1 328	1 412	1 611	758	1 061	182	314	47	147
1989–90	973	1 387	1 374	1 627	1 007	1 107	190	315	60	150
1990–91	1 125	1 387	1 729	1 627	1 070	1 148	367	316	35	153
1991–92	1 415	1 387	1 700	1 627	1 132	1 148	213	316	55	153
1992–93	1 477	1 397	1 654	1 633	813	1 168	45	316	51	153
1993–94	1 431	1 397	1 594	1 633	735	1 169	82	316	65	153
1994–95	1 390	1 398	1 580	1 633	849	1 169	71	316	90	153
1995–96	1 422	1 398	1 551	1 633	1 125	1 169	209	316	73	153
1996–97	1 425	1 398	1 639	1 633	1 088	1 169	133	316	81	153
1997–98	1 509	1 398	1 678	1 633	1 026	1 169	202	316	21	153
1998–99	1 436	1 398	1 594	1 633	1 097	1 169	104	316	51	153
1999–00	1 387	1 398	1 741	1 633	1 260	1 169	98	316	80	153
2000–01	1 403	1 398	1 658	1 633	1 218	1 169	242	316	58	153
2001–02	1 480	1 399	1 742	1 633	1 244	1 169	383	316	75	153
2002–03	1 517	1 399	1 745	1 633	1 156	1 169	218	316	92	153
2003–04	1 541	1 399	1 638	1 633	1 089	1 169	169	316	53	153
2004–05	1 527	1 399	1 692	1 796	905	1 403	262	316	57	153
2005–06	1 409	1 399	1 986	1 796	1 010	1 403	339	316	62	153
2006–07	1 193	1 399	1 729	1 796	1 080	1 403	263	316	94	153
2007–08	1 286	1 447	1 715	1 796	843	1 403	348	316	50	153
2008–09	1 398	1 447	1 901	1 796	1 017	1 403	77	316	45	153
2009–10	1 332	1 447	1 858	1 796	757	1 403	138	316	81	153
2010–11	1 349	1 447	1 660	1 796	1 207	1 403	180	316	135	153
2011–12	1 134	1 447	1 702	1 796	897	1 403	54	316	151	153
2012–13	1 184	1 447	1 900	1 796	1 026	1 403	31	316	144	153
2013–14	1 425	1 447	1 816	1 796	991	1 403	179	316	126	153

\*

Fishstock FMA (s)	TAR 7 7		TAR 8 8		TAR 10 10		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings§	TACC
1983–84*	896	-	109	-	0	-	5 430	-
1984–85*	609	-	102	-	0	-	4 816	-
1985–86*	519	-	122	-	0	-	5 051	-
1986–87	904	930	185	190	0	10	4 446	5 160
1987–88	840	1 046	197	196	0	10	4 855	5 598
1988–89	630	1 059	121	197	0	10	4 090	5 727
1989–90	793	1 069	114	208	0	10	4 473	5 873
1991–92	710	1 087	190	225	2	10	5 417	5 953
1992–93	929	1 087	189	225	0	10	5 158	5 989
1990–91	629	1 087	131	225	< 1	10	5 086	5 953
1993–94	780	1 087	191	225	0	10	4 878	5 990
1994–95	978	1 087	171	225	0	10	5 129	5 991
1995–96	890	1 087	105	225	0	10	5 375	5 991
1996–97	1 013	1 087	133	225	0	10	5 512	5 991
1997–98	685	1 087	153	225	0	10	5 287	5 991
1998–99	1 041	1 087	175	225	0	10	5 501	5 991
1999–00	964	1 087	189	225	0	10	5 719	5 991
2000–01	1 178	1 087	178	225	0	10	5 935	5 991
2001–02	1 000	1 088	223	225	0	10	6 119	5 993
2002–03	1 069	1 088	211	225	0	10	6 008	5 993
2003–04	1 116	1 088	197	225	0	10	5 723	5 993
2004–05	1 056	1 088	184	225	0	10	5 683	6 390
2005–06	1 114	1 088	285	225	0	10	6 205	6 390
2006–07	1 116	1 088	254	225	0	10	5 729	6 390
2007–08	990	1 088	196	225	0	10	5 428	6 438
2008–09	977	1 088	169	225	0	10	5 584	6 438
2009–10	1 162	1 088	226	225	0	10	5 553	6 438
2010–11	983	1 088	194	225	0	10	5 708	6 439
2011–12	1 173	1 088	235	225	0	10	5 346	6 439
2012–13	1 058	1 088	209	225	0	10	5 552	6 439
2013–14	1 073	1 088	248	225	0	10	5 857	6 439

\* FSU data.

§ Includes landings from unknown areas before 1986–87.

## TARAKIHI (TAR)

**Table 4: Total allowable catches (TAC, t) allowance for customary non-commercial fishing, recreational fishing, and other sources of mortality (t), as well as the total allowable commercial catch (TACC, t) for tarakihi as of 1 October 2011.**

Fishstock	TAC	TACC	Customary non-commercial	Recreational	Other Mortality
TAR 1 ( FMA 1 & 9 )	2 029	1 447	73	487	22
TAR 2	2 082	1 796	100	150	36
TAR 3	1 503	1 403	15	15	70
TAR 4	316	316	0	0	0
TAR 5 ( FMA 5 & 6 )	153	153	0	0	0
TAR 7	1 088	1 088	0	0	0
TAR 8	225	225	0	0	0
TAR 10	10	10	0	0	0

### 1.2 Recreational fisheries

Tarakihi are taken by recreational fishers using lines and setnets. It is often taken by fishers targeting snapper and blue cod, particularly around the North Island. The allowances within the TAC for each Fishstock are shown in Table 4.

#### 1.2.1 Management controls

The main methods used to manage recreational harvests of tarakihi are minimum legal size limits (MLS), method restrictions and daily bag limits. Fishers can take up to 20 tarakihi as part of their combined daily bag limit (except in the South-East and Southland fisheries management areas including the Fiordland Marine Recreational Fishing Area where the limit is 15 within a combined daily bag limit of 30 finfish) and the MLS is 25 cm in all areas.

#### 1.2.2 Estimates of recreational harvest

Recreational catch estimates are given in Table 5. There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

The first estimates of recreational harvest for tarakihi were calculated using an offsite approach, the offsite regional telephone and diary survey approach. Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005) and a rolling replacement of diarists in 2001 (Boyd & Reilly 2004) allowed estimates for a further year (population scaling ratios and mean weights were not re-estimated in 2001).

The harvest estimates provided by these telephone diary surveys are no longer considered reliable for various reasons. With the early telephone/diary method, fishers were recruited to fill in diaries by way of a telephone survey that also estimates the proportion of the population that is eligible (likely to fish). A “soft refusal” bias in the eligibility proportion arises if interviewees who do not wish to co-operate falsely state that they never fish. The proportion of eligible fishers in the population (and, hence, the harvest) is thereby under-estimated. Pilot studies for the 2000 telephone/diary survey suggested that this effect could occur when recreational fishing was established as the subject of the interview at the outset. Another equally serious cause of bias in telephone/diary surveys was that diarists who did not immediately record their day’s catch after a trip sometimes overstated their catch or the number of trips made. There is some indirect evidence that this may have occurred in all the telephone/diary surveys (Wright et al 2004).

The recreational harvest estimates provided by the 2000 and 2001 telephone diary surveys are thought to be implausibly high for many species, which led to the development of an alternative maximum count aerial-access onsite method that provides a more direct means of estimating recreational harvests for suitable fisheries. The maximum count aerial-access approach combines data collected concurrently from two sources: a creel survey of recreational fishers returning to a subsample of ramps throughout the day; and an aerial survey count of vessels observed to be fishing at the approximate time of peak fishing effort on the same day. The ratio of the aerial count in a particular area to the number of

interviewed parties who claimed to have fished in that area at the time of the overflight was used to scale up harvests observed at surveyed ramps, to estimate harvest taken by all fishers returning to all ramps. The methodology is further described by Hartill et al (2007).

This aerial-access method was first employed and optimised to estimate snapper harvests in the Hauraki Gulf in 2003–04. It was then extended to survey the wider SNA 1 fishery in 2004–05 and to provide estimates for other species, including tarakihi (FMA 1 only for TAR) (Hartill et al 2007). This survey was repeated in 2011–12 (Hartill et al 2013).

In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the development and implementation of a national panel survey for the 2011–12 fishing year. The panel survey used face-to-face interviews of a random sample of 30,390 New Zealand households to recruit a panel of fishers and non-fishers for a full year. The panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews.

The most recent aerial-access survey conducted in QMA 1 in 2011–12 (Hartill et al 2013) provides independent harvest estimates for comparison with those generated from the concurrent national panel survey. Both surveys appear to provide plausible results that corroborate each other for the FMA 1 portion of TAR 1, and are therefore considered to be broadly reliable (Hartill et al 2013). Note that neither of these estimates includes catch taken on recreational charter vessels, or recreational catch taken under s111 general approvals.

**Table 5: Recreational harvest estimates for tarakihi stocks ((Bradford 1998, Boyd & Reilly 2005, Boyd et al 2004, Hartill et al 2007, Hartill et al 2013, MPI Unpublished data). The telephone/diary surveys and earlier aerial-access survey ran from December to November but are denoted by the January calendar year. The surveys since 2010 have run through the October to September fishing year but are denoted by the January calendar year. Mean fish weights were obtained from boat ramp surveys (for the telephone/diary and panel survey harvest estimates).**

Stock	Year	Method	Number of fish	Total weight (t)	CV
<u>TAR 1</u>	1996	Telephone/diary	498 000	305	0.08
	2000	Telephone/diary	1 035 000	636	0.19
	2001	Telephone/diary	679 000	417	0.16
FMA 1 only	2005	Aerial-access	-	90	0.18
FMA 1 only	2012	Aerial-access	-	67	0.15
FMA 1 only	2012	Panel survey	137 329	97	0.25
<u>TAR 2</u>	1996	Telephone/diary	114 000	65	0.14
	2000	Telephone/diary	310 000	191	0.27
	2001	Telephone/diary	484 000	298	0.18
	2012	Panel survey	107 859	71	0.22
<u>TAR 3</u>	1996	Telephone/diary	3 000	-	-
	2000	Telephone/diary	25 000	15	0.51
	2001	Telephone/diary	7 000	4	0.37
	2012	Panel survey	3 749	3	0.47
<u>TAR 5</u>	1996	Telephone/diary	3 000	-	-
	2000	Telephone/diary	10 000	6	0.57
	2001	Telephone/diary	13 000	7	0.37
<u>TAR 7</u>	1996	Telephone/diary	69 000	24	0.13
	2000	Telephone/diary	87 000	33	0.18
	2001	Telephone/diary	9 000	3	0.15
	2012	Panel survey	47 674	23	0.39
<u>TAR 8</u>	1996	Telephone/diary	46 000	28	0.17
	2000	Telephone/diary	66 000	30	0.38
	2001	Telephone/diary	78 000	36	0.28
	2012	Panel survey	29 940	22	0.31

### 1.3 Customary non-commercial fisheries

No quantitative information on the level of customary non-commercial fishing is available.



## TARAKIHI (TAR)

### 1.4 Illegal catch

No quantitative information on the level of illegal tarakihi catch is available.

### 1.5 Other sources of mortality

No information is available.

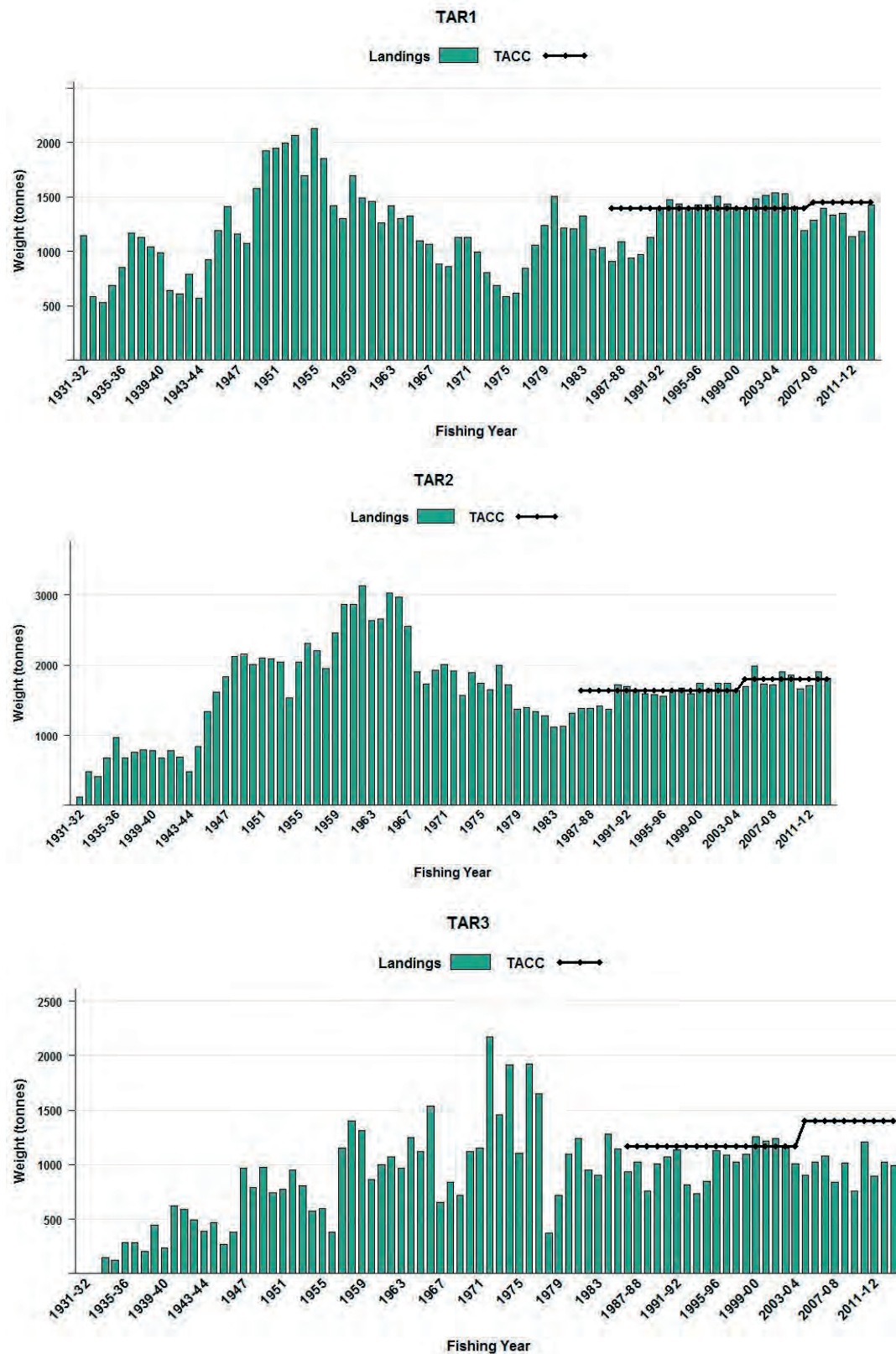


Figure 1: Historical landings and TACCs for the seven main TAR stocks. From top to bottom: TAR 1 (Auckland) and TAR 2 (Central East), TAR 3 (Southeast Coast). [Continued on next page].

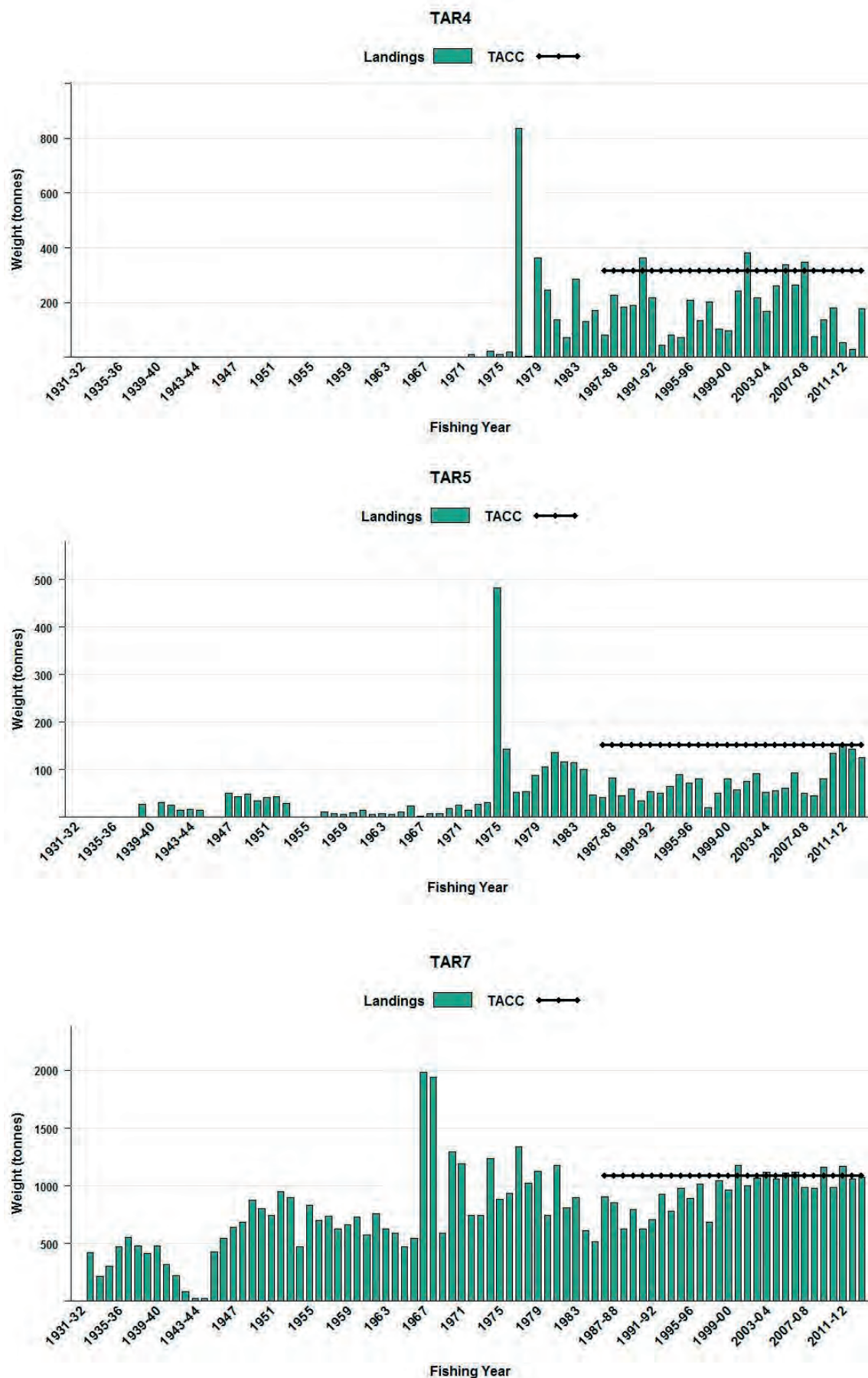


Figure 1 [Continued]: Historical landings and TACCs for the seven main TAR stocks. From top to bottom: TAR 4 (Chatham Rise), and TAR 5 (Southland), TAR 7 (Challenger) [Continued on next page].

## TARAKIHI (TAR)

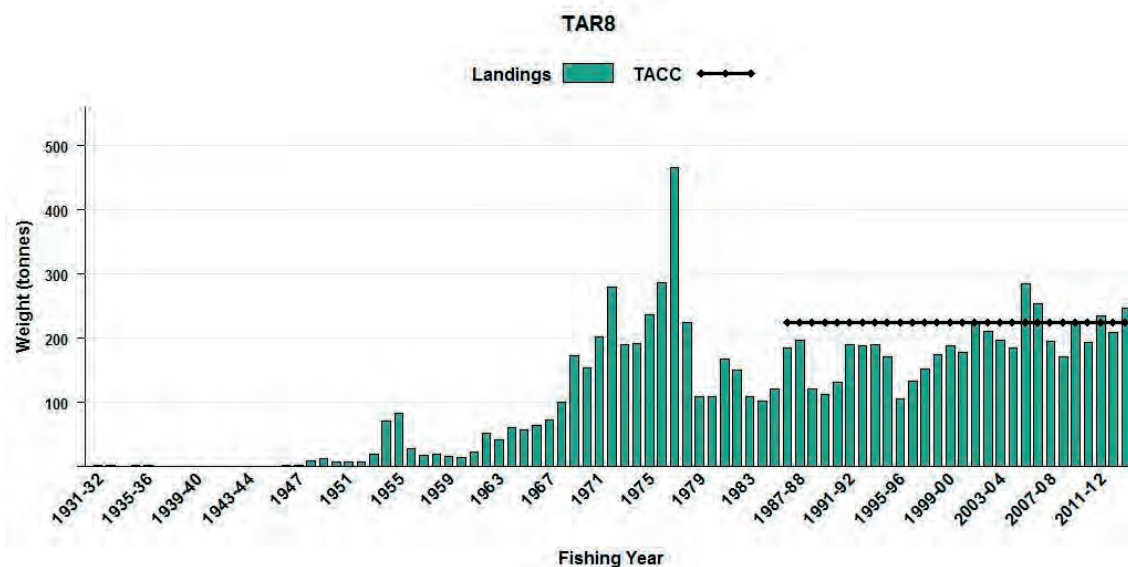


Figure 1 [Continued]: Historical landings and TACCs for the seven main TAR stocks. TAR 8 (Central Egmont).

## 2. BIOLOGY

Sexual maturity is reached at 25–35 cm fork length (FL) at an age of 4–6 years, after which the growth rate slows. Tarakihi reaches a maximum age of 40+ years.

Tarakihi spawn in summer and autumn in several areas around New Zealand. The three main spawning grounds identified are Cape Runaway to East Cape, Cape Campbell to Pegasus Bay, and the west coast of the South Island near Jackson Bay.

Few larval and post-larval tarakihi have been caught and identified. The post-larvae appear to be pelagic, occur in offshore waters, and are found in surface waters at night. Post-larval metamorphosis to the juvenile stage occurs in spring or early summer when the fish are 7–9 cm FL and 7–12 months old.

Several juvenile nursery areas have been identified in shallower, inshore waters, including the southwest coast of the North Island, Tasman Bay, near Kaikoura, northern Pegasus Bay, Canterbury Bight, Otago and the Chatham Islands. Juveniles move out to deeper water at a length of about 25 cm FL at an age of 3–4 years. Recent sampling of the TAR 3 trawl catch revealed that a high proportion of the landed catch is comprised of immature fish. Conversely, TAR 3 set net and TAR 2 trawl landed catches were comprised mainly of mature fish.

The results of tagging experiments carried out near Kaikoura during 1986 and 1987 indicate that some tarakihi are capable of moving long distances. Fish have been recaptured from as far away as the Kaipara Harbour on the west coast of the North Island, south of Whangarei on the east coast of the North Island, and Timaru on the east coast of the South Island.

The best available estimate of  $M$  is a value of 0.10 as determined from the age frequency distribution of unexploited and lightly exploited populations. Estimates of  $Z$  for the area near Kaikoura made during 1987 ranged from 0.12–0.16 for fish between 8 and 20 years old. Assuming  $M = 0.10$  suggests that  $F$  ranged between 0.02–0.06. Estimates of  $Z$  for the area near the Chatham Islands made during 1984 were equal to or less than 0.20.

Biological parameters relevant to the stock assessment are shown in table 6.

**Table 6: Estimates of biological parameters of tarakihi.**

Fishstock	Estimate				Source
<u>1. Natural mortality (<i>M</i>)</u>					
All	0.08–0.15				Annala (1987)
	0.10 considered best estimate for all areas for both sexes				Annala et al (1989, 1990)
<u>2. Weight = <i>a</i> (length)<sup><i>b</i></sup> (Weight in g, length in cm fork length)</u>					
	Females		Males		
	<i>a</i>	<i>b</i>	<i>a</i>	<i>b</i>	
TAR 3	0.04	2.79	0.0433	2.77	Annala et al (1990)
TAR 4	0.023	2.94	0.017	3.02	Annala et al (1989)
TAR 7	0.015	3.058	0.0141	3.07	Manning et al (2008)n
<u>3. von Bertalanffy growth parameters</u>					
	Females			Males	
	<i>K</i>	<i>t</i> <sub>0</sub>	<i>L</i> <sub>∞</sub>	<i>K</i>	<i>t</i> <sub>0</sub>
TAR 3	0.2009	- 1.103	44.6	0.2085	- 1.397
TAR 4	0.2205	- 1.026	44.6	0.1666	- 2.479
TAR 7	0.234	- 0.57	45.6	0.252	- 0.41
					42.1
					44.7
					42.7

Annala et al (1990)  
Annala et al (1989)  
Manning et al (2008)n  
Manning (In prep.)

### 3. STOCKS AND AREAS

The results of tagging experiments have shown that tarakihi are capable of moving large distances around the coasts of the main islands of New Zealand. The long pelagic larval phase of 7–12 months indicates that larvae will also be widely dispersed. Previously these two factors, in addition to the lack of any evidence of genetic isolation, had been used to suggest that tarakihi around the main islands of New Zealand consist of one continuous stock, and for stock assessment purposes they had been considered to be one stock. Further, because of the large distance between the mainland and the Chatham Islands, and the separation of these two areas by water deeper than that which is usually inhabited by adult tarakihi, the tarakihi around the Chatham Islands were considered to be a separate stock.

In 2008, the Working Group concluded that the tagging programmes had not been designed in such a way to adequately test stock structure hypotheses and the results were not conclusive. The Working Group suggested that further analysis was necessary before firm conclusions could be made on the number of tarakihi stocks in the North and South Islands.

A 2012 review of tarakihi stock structure along the east coast of mainland New Zealand revealed that recent trends in CPUE in TAR 3 are similar to those from the Bay of Plenty and TAR 2 fisheries. However, the CPUE trend and age structure for East Northland were different from the other east coast areas, suggesting that we cannot link all of the east coast into a single stock.

There are distinct spawning grounds in each of the two main islands (off East Cape in the northern area and off Cape Campbell in the south), but there is a preponderance of juvenile fish in the southern area and low densities of juvenile tarakihi within the Bay of Plenty and TAR 2 fisheries. The long pelagic phase of tarakihi may provide a mechanism for the transfer of larvae to the nursery grounds in Canterbury Bight/Pegasus Bay and they then subsequently recruit to the East Cape area at maturity. This hypothesis is supported by the northward movement of tagged fish from the Kaikoura coast to the Wairarapa, East Cape and Bay of Plenty areas.

These observations are consistent with some mixing between the two fishery areas, with the southern area (TAR 3) representing a source of recruitment to the northern (TAR 2) area. However, it is not possible to assess the extent of mixing and whether or not movement occurs in the opposite direction (from TAR 2 to TAR 3). Thus, there exist a range of potential stock hypotheses which occupy a continuum between the following two extremes: 1) the TAR 2 and TAR 3 fisheries represent discrete stocks or 2) there is substantial mixing of the fish between the two areas. The most plausible working hypothesis is that there is local recruitment in both areas, with the TAR 2 fishery being augmented by additional recruitment from the TAR 3 fishery area. The juvenile tarakihi that settle and reside in the TAR 3 nursery grounds potentially include the progeny of fish spawning in areas outside of TAR 3.

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Results from previous tagging studies indicate some connectivity between Kaikoura and the west coast North Island. The TAR 3 fishery may therefore represent a source of recruitment to areas beyond the Bay of Plenty and TAR 2.

Catches of king tarakihi (*Nemadactylus sp.*), have been reported as *N. macropterus* in the past.

## 4. STOCK ASSESSMENT

An integrated assessment for TAR 7 was updated in 2008 with data that included the commercial catch, trawl survey biomass and proportions-at-age estimates, CPUE indices, and commercial catch proportions-at-age.

### 4.1 Trawl Surveys

#### 4.1.1 Relative abundance

Indices of relative biomass are available from *Kaharoa* trawl surveys in TAR 2, TAR 3 and TAR 7 (Table 7, Figure 2, Figure 3 and Figure 4). Note that these estimates were revised in 1996 as a result of new doorspread estimates becoming available from SCANMAR measurements. In TAR 2 and TAR 3 no trend is apparent in the biomass estimates. The TAR 2 survey was conducted for four consecutive years: 1993–1996 and then discontinued.

#### West Coast South Island Trawl Survey

For TAR 7, trawl survey biomass estimates for pre-recruit (less than 25 cm F.L.) and recruited ( $\geq 25$  cm) tarakihi were derived for the west coast South Island and Tasman Bay/Golden Bay areas of the WCSI trawl survey (Figure 2). The TBGB area is considered to be a primary nursery ground for tarakihi in TAR 7. A substantial proportion of the TAR 7 commercial catch is taken from the west coast portion of the survey area. For comparability with the commercial CPUE indices it is appropriate to partition the trawl survey biomass indices by area and size category.

The WCSI trawl survey biomass is dominated by recruited fish. The trawl survey biomass estimates for this component of the stock were comparable for the 1992–1995 surveys, were lower in 1997 and remained at about the 1997 level for the remainder of the period (to 2013), with the exception of a substantially higher biomass estimate from the 2005 survey (Figure 2).

Recruited tarakihi represent a very small component of the tarakihi trawl survey biomass from the TBGB area and the biomass is dominated by pre-recruit (juvenile) tarakihi (Figure 2). Biomass estimates of pre-recruit tarakihi are poorly determined (high c.v.s); however, the surveys indicate relatively high abundance of pre-recruit tarakihi during 1992–1997 and 2007–2013 and low abundance during the intervening years (2000–2005).

#### East Coast South Island Trawl Survey

The ECSI winter surveys from 1991 to 1996 (depth range 30–400 m) were replaced by summer trawl surveys (1996–97 to 2000–01) which also included the 10–30 m depth range; but these were discontinued after the fifth in the annual time series, because of the extreme fluctuations in catchability between surveys (Francis et al. 2001). The winter surveys were reinstated in 2007, and this time included strata in the 10–30 m depth range, in order to monitor elephantfish and red gurnard. Only 2007, 2012 and 2014 surveys provide full coverage of the 10–30 m depth range.

For the east coast South Island winter trawl survey core strata (30–400 m) biomass for tarakihi increased by 43% between 2012 and 2014 and in 2014 was 23% above the survey average (1934 t), although this average is inflated by a large biomass estimate with high CV (55%) in 1993, partly the result of a single large catch off Timaru (Table 7, Figure 3). There was no apparent trend in biomass over the time series. Pre-recruit

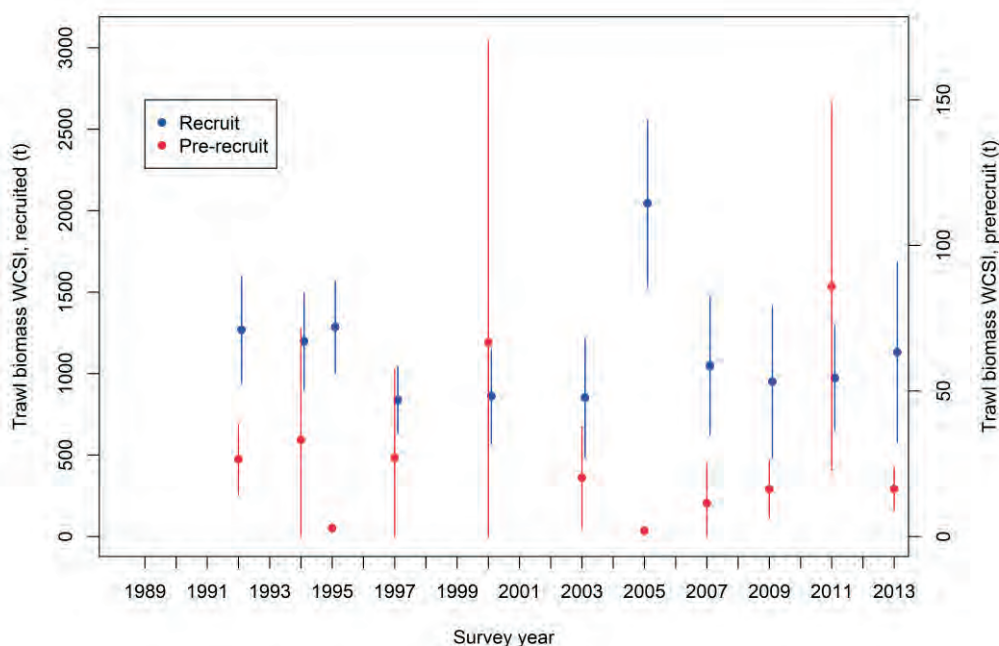


biomass was a major component of tarakihi total biomass estimates on all surveys, ranging from 18–60% of total biomass, and in 2014 it was 34%. Similarly, juvenile biomass (based on length-at-50% maturity) was also a large component of total biomass, but the proportion was relatively constant over the time series, 60–80%, and in 2014 it was 67% (Figure 4). There was virtually no tarakihi caught in the new 10–30 m strata, and hence the addition of the shallow strata in 2007 is of no value for monitoring tarakihi. The distribution of tarakihi hotspots varies, but overall this species is consistently well represented over the entire survey area, most commonly from 30 to about 150 m.

The size distributions of tarakihi in each of the ten ECSI winter trawl surveys were similar and were multi-modal, with smaller modes representing individual cohorts (Beentjes et al. 2015). In 2012, particularly, the 0+, 1+, 2+, and possibly 3+ cohorts were evident, but less clearly defined in 2014. Tarakihi on the ECSI, overall, were generally smaller than those from the west coast South Island and the east coast North Island, suggesting that, as with Tasman/Golden Bays, Pegasus Bay and the Canterbury Bight are important nursery grounds for juvenile tarakihi.

### North Island Trawl Surveys

Summer surveys in the Bay of Plenty (from Mercury Islands to Cape Runaway) were carried out from 1983 to 1999. These surveys were extended to 250 m, in February 1996 (KAH9601) and 1999 (KAH9902), so that tarakihi depths would be covered. However, the estimates of biomass were low (35 t CV 46% in 1996 and 50 t CV 27% in 1999). Most of the catch in the 1999 survey was taken in 150 to 200 m.



**Figure 2: Trawl survey biomass estimates for pre-recruit (< 25 cm FL) and recruited tarakihi  $\pm 95\%$  CI (estimated from survey CVs assuming a lognormal distribution) for the west coast. The 2008 assessment concluded that the stock was at or above  $B_{MSY}$  in 2007. [continued on next page].**

## TARAKIHI (TAR)

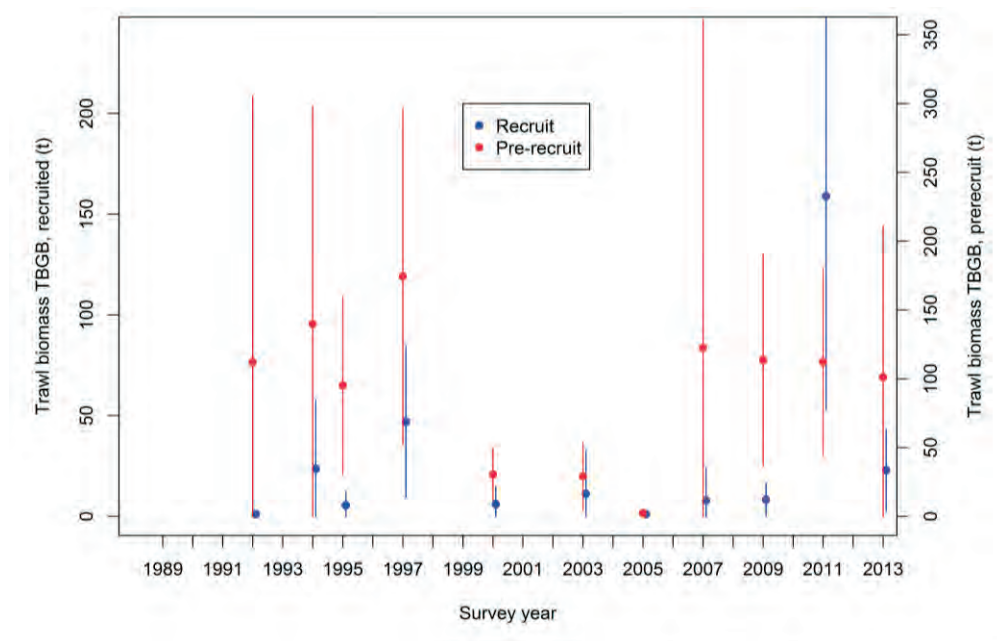


Figure 2 [Continued]: Tasman Bay/Golden Bay (bottom) areas of the WCSI trawl survey. The 2008 assessment concluded that the stock was at or above  $B_{MSY}$  in 2007.

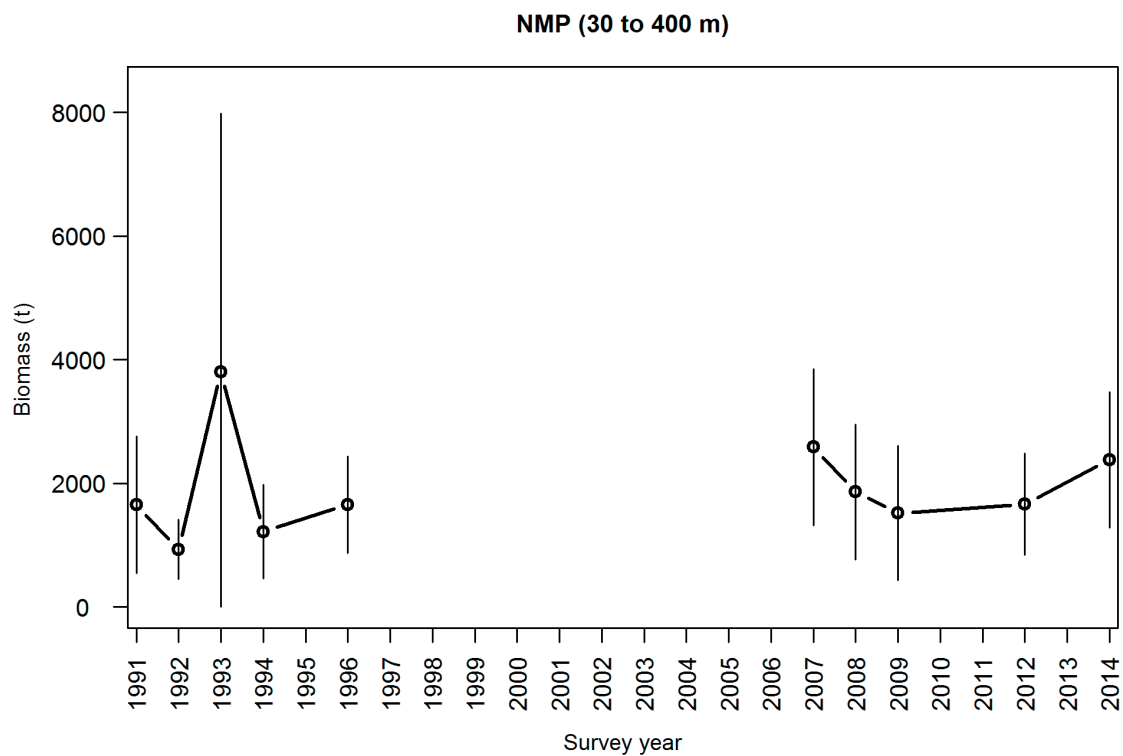
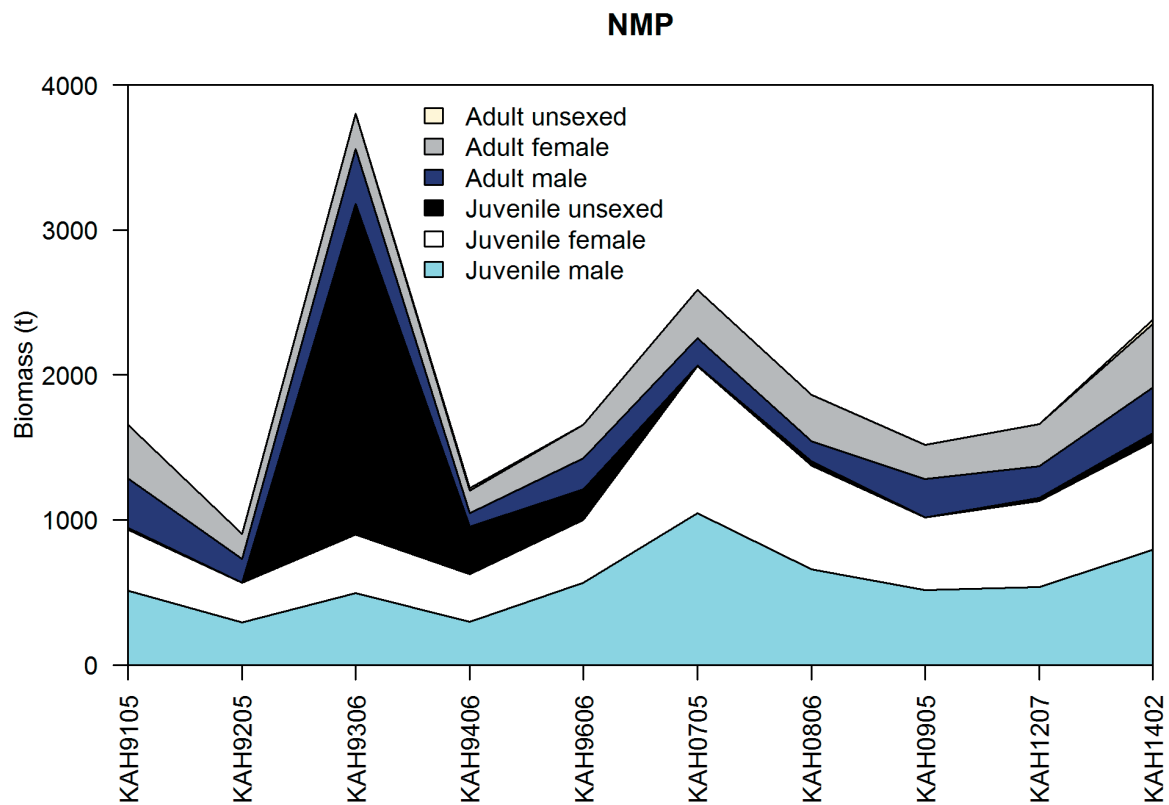


Figure 3: Tarakihi total biomass and 95% confidence intervals for the all ECSI winter surveys in core strata (30–400 m).



**Figure 4:** Tarakihi juvenile and adult biomass for ECSI winter surveys in core strata (30–400 m), where juvenile is below and adult is equal to or above the length at which 50% of fish are mature.



TARAKIHI (TAR)

**Table 7: Relative biomass indices (t) and coefficients of variation (CV) for tarakihi for Cape Runaway to Cook Strait, ECSI – summer and winter, and Tasman Bay to Haast survey areas\*. Biomass estimates for ECSI in 1991 have been adjusted to allow for non-sampled strata (7 and 9 equivalent to current strata 13, 16 and 17). The sum of pre-recruit and recruited biomass values do not always match the total biomass for the earlier surveys because at several stations length frequencies were not measured, affecting the biomass calculations for length intervals. – , not measured; NA, not applicable. Recruited is defined as the size-at-recruitment to the fishery (25 cm).**

Region	Fishstock	Year	Trip number	Total Biomass estimate	CV (%)	Total Biomass estimate		Pre- recruit	CV (%)	Pre- recruit	10–400m		Recruited	CV (%)	30–400m		Recruited	CV (%)	10–400m		Recruited	CV (%)
						10–400m	30–400 m				10–400m	30–400m			10–400m	30–400m						
Cape Runaway to Cook Strait	TAR 2	1991	KAH9304	885	27	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1992	KAH9402	1 128	20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1993	KAH9502	791	23	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1994	KAH9602	943	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
						-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
ECSI (winter)	TAR 3	1991	KAH9105	1 712	33	-	-	305	38	-	-	-	1 414	33	-	-	-	-	-	-	-	-
		1992	KAH9205	932	26	-	-	288	26	-	-	-	614	28	-	-	-	-	-	-	-	-
		1993	KAH9306	3 805	55	-	-	2 282	62	-	-	-	1 522	46	-	-	-	-	-	-	-	-
		1994	KAH9406	1 219	41	-	-	494	31	-	-	-	725	35	-	-	-	-	-	-	-	-
		1996	KAH9606	1 656	24	-	-	519	30	-	-	-	1 137	27	-	-	-	-	-	-	-	-
		2007	KAH0705	2 589	24	-	-	822	30	-	-	-	1 766	24	-	-	-	-	-	-	-	-
		2008	KAH0806	1 863	29	-	-	739	44	-	-	-	1 123	25	-	-	-	-	-	-	-	-
		2009	KAH0905	1 519	36	-	-	525	42	-	-	-	994	42	-	-	-	-	-	-	-	-
		2012	KAH1207	1 661	25	-	-	584	34	-	-	-	1 077	29	-	-	-	-	-	-	-	-
		2014	KAH1402	2 380	23	-	-	818	26	-	-	-	1 562	26	-	-	-	-	-	-	-	-
						-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
ECSI (summer)	TAR 3	1996	KAH9618	3 818	21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1997	KAH9704	2 036	24	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1998	KAH9809	4 277	24	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1999	KAH9917	2 606	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2000	KAH0014	1 510	13	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Tasman Bay to Haast	TAR 7	1992	KAH9204	1 409	14	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1994	KAH9404	1 420	14	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1995	KAH9504	1 389	11	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		1997	KAH9701	1 087	12	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2000	KAH0004	964	19	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2003	KAH0304	912	20	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2005	KAH0503	2 050	12	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2007	KAH0704	1 089	21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2009	KAH0904	1 088	22	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2011	KAH1104	1 188	15	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		2013	KAH1305	1 272	22	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
						-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

\*Assuming areal availability, vertical availability and vulnerability equal 1.0. Biomass is only estimated outside 10 m depth except for COM9901 and CMP0001. Note: because trawl survey biomass estimates are indices, comparisons between different seasons (e.g., summer and winter ECSI) are not strictly valid.

## 4.2 CPUE analyses

### 4.2.1 East Coast and West Coast North Island CPUE analyses

CPUE indices for all TAR QMAs, except for TAR 7 (west coast South Island), were reviewed in 2012 for use in a planned east coast North and South Islands tarakihi stock assessment. The Working Group did not accept this stock assessment because the available data were inadequate to differentiate between a range of movement and stock hypotheses, as well as requiring strong unsubstantiated assumptions when fitting the data (see discussion below in Section 4.2). In lieu of a stock assessment, the Working Group agreed to present the accepted CPUE series as the best available indicators of tarakihi abundance.

Six CPUE series (Table 8) were reviewed and accepted by the Working Group in 2012. All but one of these series were extensions of series already accepted by the Working Group, developed through MPI research projects or through the AMP. The only new series accepted by the Working Group was the ECNI mixed target species bottom trawl series, which previously had been restricted to tows targeting TAR only. The Working Group agreed to widening the target species definition in this series to include additional target species to conform with existing practice with respect to CPUE analyses, where a broader definition of target species allows for greater comparability across years and form types, as well as guarding against hyperstability in the series confined to a single species definition (Table 7).

**Table 8: Names and descriptions of the six tarakihi CPUE series accepted by the WG in 2012. Also shown is the error distribution that had the best fit to the distribution of standardised residuals for the fitted model.**

Name	Code	QMA	Method	Statistical areas	Target species	Best distribution
West coast North Island	WCNI-BT	TAR 1	BT	041, 042, 045, 046, 047, 048	TAR, SNA, TRE	Weibull
East Northland	EN-BT	TAR 1	BT	002, 003, 004, 005, 006, 007	TAR, SNA, TRE, BAR, JDO, GUR	Weibull
Bay of Plenty	BoP-BT	TAR 1	BT	008, 009, 010	TAR, SNA, TRE, SKI, JDO, GUR	Weibull
East coast North Island	ECNI-BT	TAR 2	BT	011, 012, 013, 014, 015, 016	TAR, SNA, BAR, SKI, WAR, GUR	Weibull
East coast South Island	ECSI-BT	TAR 3	BT	017, 018, 020, 022, 024, 026	TAR, BAR, RCO, WAR, GUR	Lognormal
Area 18 target setnet	ECSI-SN	TAR 3	SN	018	TAR	Weibull

All six analyses (Table 8) were based on data which had been amalgamated into “trip-strata” (Starr 2007), defined as the sum of the catch and effort within a trip characterised by unique statistical areas, target species and method of capture. This approach loses much of the detailed information available in tow-by-tow records, but reduces all data to a common level of stratification, allowing the calculation of linked year coefficients for use in the stock assessment model and obviating the necessity of estimating multiple scaling [ $q$ ] parameters in the stock assessment model.

A problem with the “trip-stratum” approach is that it ignores problems associated with shifts in reporting behaviour associated with changes in form type requirements, while relying on the model parameterisation to adjust for potential biases. This represents a change in approach for the three models for WCNI, EN and BoP (Table 7), which previously had handled the form change issue by calculating independent indices for each form type. The Working Group agreed that calculating a single series across all years was a better approach for stock assessment modelling in the face of limited data, but requested that future tarakihi CPUE analyses continue to investigate the effect of the form type change on the estimated annual coefficients and to return, when justified, to analyses which were restricted to form types which collected data at equivalent resolution. As well, the Working Group reviewed analyses which investigated the effects of form type changes in these models and concluded that the models had been reasonably successful in accounting for potential biases.

Each series was modelled in the same manner, with  $\log(\text{catch})$  offered as the dependent variable and a range of explanatory variables offered, including duration and number of tows (length of net set in the setnet analysis) as continuous polynomials, and statistical area, target species, vessel and month as categorical explanatory variables. In every case, year was forced into the model as the first variable and was considered to be a proxy for relative annual abundance. Data were restricted to vessels which had participated for a specified number of years at a minimum level of participation (expressed as number

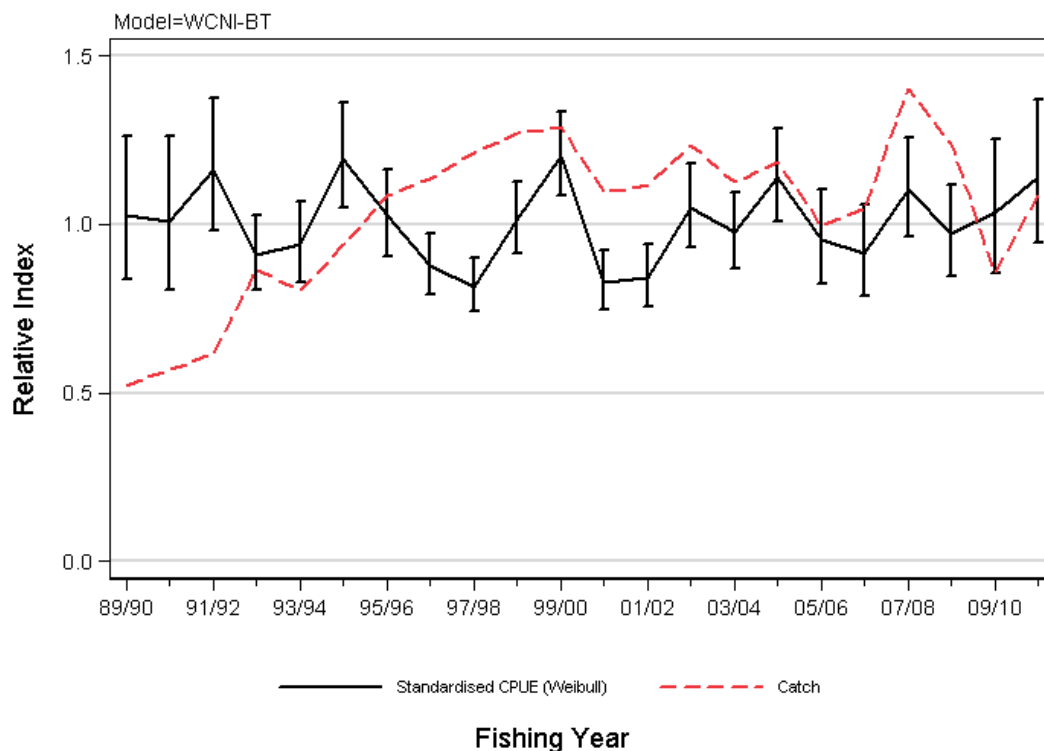
## TARAKIHI (TAR)

of trips in a year). This filtering of the data was done to reduce the number of vessels in the data set without overly reducing the amount of catch represented in the model.

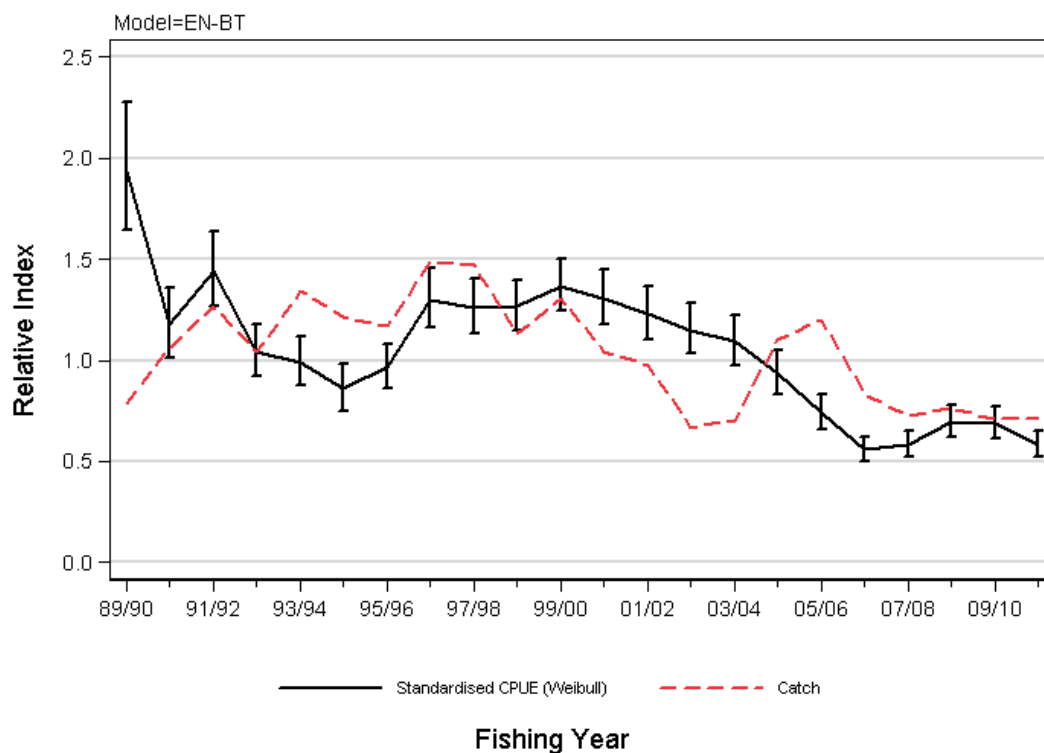
Trial models based on five alternative distributional assumptions were fit to a reduced set of explanatory variables, with the distribution giving the best log-likelihood fit selected for the final stepwise model fit. Table 7 lists the distribution giving the best fit for each model. A logit model which modelled the probability of success was also fit to the same data using a binomial distribution. This model was generated as a diagnostic but is not presented.

**TAR 1:** Three standardised CPUE models (Table 8) are used to track the abundance of tarakihi populations in TAR 1, because of the wide area covered by this QMA and the divergence in trends between the three areas. The WCNI model showed almost no trend, fluctuating around the long-term mean with fairly wide error bars, indicating that the model is not well determined (Figure 5). The East Northland series dropped sharply after the first year, which is likely to be due to data issues in the first year of operation (Figure 6). After that drop, the series showed a long gradual declining trend beginning towards the end of the 1990s. This decline appears to have stabilised at about 60% of the long-term mean since 2006–07. Finally the Bay of Plenty series shows no long-term trend, with current levels near to the levels observed at the beginning of the series, interrupted by about 5 years of increased CPUE in the early 2000s (Figure 7).

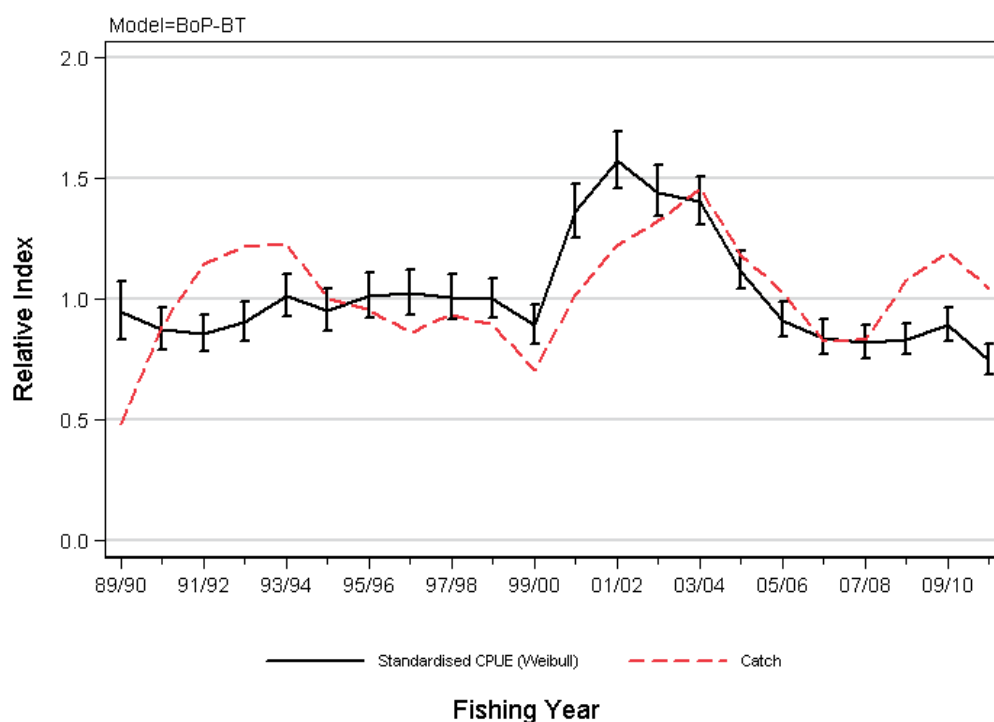
**TAR 2:** Only one standardised CPUE series is used to monitor the east coast of the North Island tarakihi (Table 8). This series closely resembles the Bay of Plenty series with no strong long-term trend over the full 22 years, except that the recent (4 to 5 years) indices appear to lie slightly below the indices at the beginning of the series (Figure 8). This series also shows an elevated period in the early 2000s that mirrors the Bay of Plenty indices. The close similarity between these two series is taken as evidence that there is a linkage between the tarakihi populations in these two areas.



**Figure 5:** Standardised CPUE index for the west coast substock of TAR 1 (Table 8) plotted along with the annual sum of catches from the series statistical areas listed in Table 9. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.



**Figure 6:** Standardised CPUE index for the East Northland substock of TAR 1 (Table 8) plotted along with the annual sum of catches from the series statistical areas listed in Table 8. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.



**Figure 7:** Standardised CPUE index for the Bay of Plenty substock of TAR 1 (Table 8) plotted along with the annual sum of catches from the series statistical areas listed in Table 9. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.

## TARAKIHI (TAR)

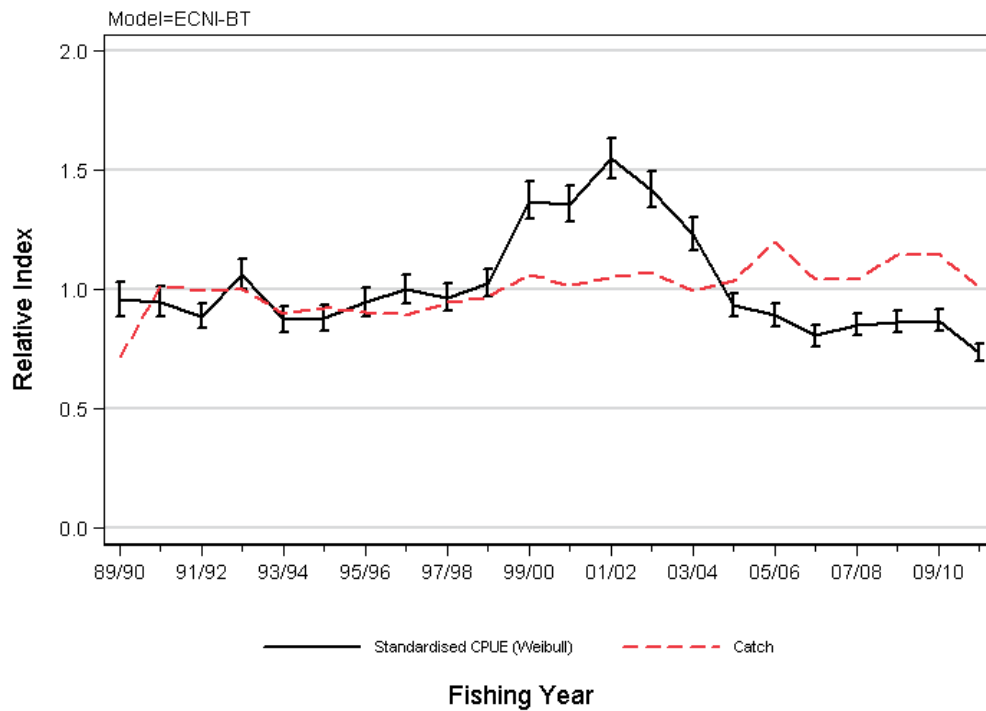


Figure 8: Standardised CPUE index for the east coast North Island bottom trawl (TAR 2; Table 8) plotted along with the annual sum of catches from the series statistical areas listed in Table 9. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.

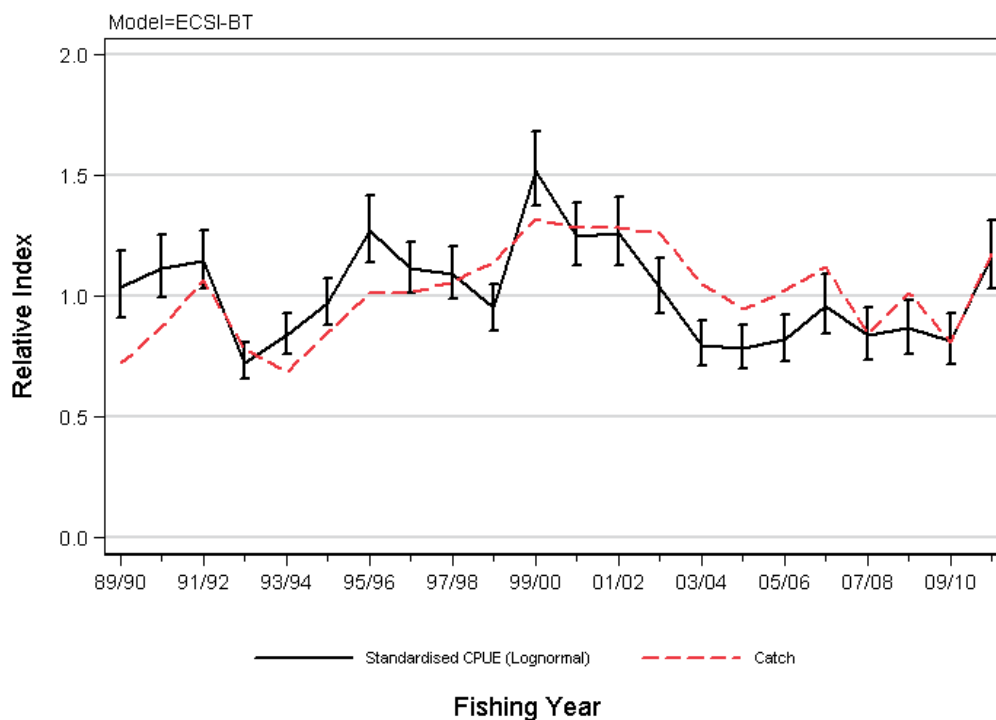
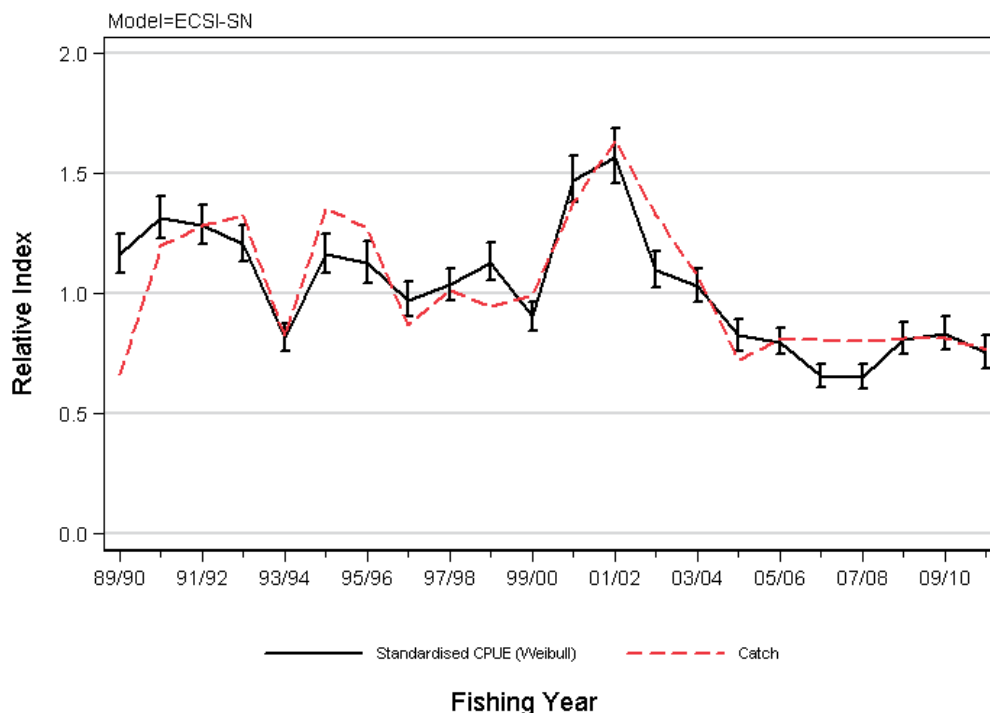


Figure 9: Standardised CPUE index for the east coast South Island bottom trawl (TAR 3; Table ) plotted along with the annual sum of catches from the series statistical areas listed in Table 7. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.



**Figure 10: Standardised CPUE index for the east coast South Island setnet (TAR 3; Table 8) plotted along with the annual sum of catches from the series statistical areas listed in Table 8. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.**

**TAR 3:** Two standardised CPUE series are available for monitoring the east coast of the South Island tarakihi populations (Table 8). One, based on bottom trawl data collected from Cook Strait to the Catlins, shows a trend that superficially resembles the trends observed for the Bay of Plenty and the east coast of the North Island, with the abundance peak shifted earlier by about two years and possibly being less broad (

Figure 9). Stock hypotheses described in Section 3 (above) suggests the east coast of the South Island may serve as a nursery area to the North Island fisheries, in which case the 50% increase in CPUE and catch in 2010–11 may bode well for the more northerly fisheries. A second TAR 3 series is provided from a setnet fishery located in Area 018 (Kaikoura) (

Figure 10). This series also bears a resemblance to the BoP-BT, ECNI-BT and ECSI-BT series, but with the recent indices located below the long-term average.

#### 4.2.2 West Coast South Island (TAR 7)

CPUE indices were developed for two bottom trawl fisheries that operate in different substock areas and account for most of the catch of TAR 7 (Kendrick et al 2011). The two fisheries are defined by target species and statistical area: 1) the mixed trawl fishery targeting TAR, BAR, WAR, RCO, STA off the west coast of the South Island (statistical areas 033, 034, 035, 036), and 2) the inshore trawl fishery targeting TAR, BAR and WAR through the eastern and western approaches to Cook Strait, including outer Tasman Bay (TBCS). Overall, the WCSI area accounted for approximately 60-75% of the annual of the TAR 7 catch from 2004-05 to 2011/12.

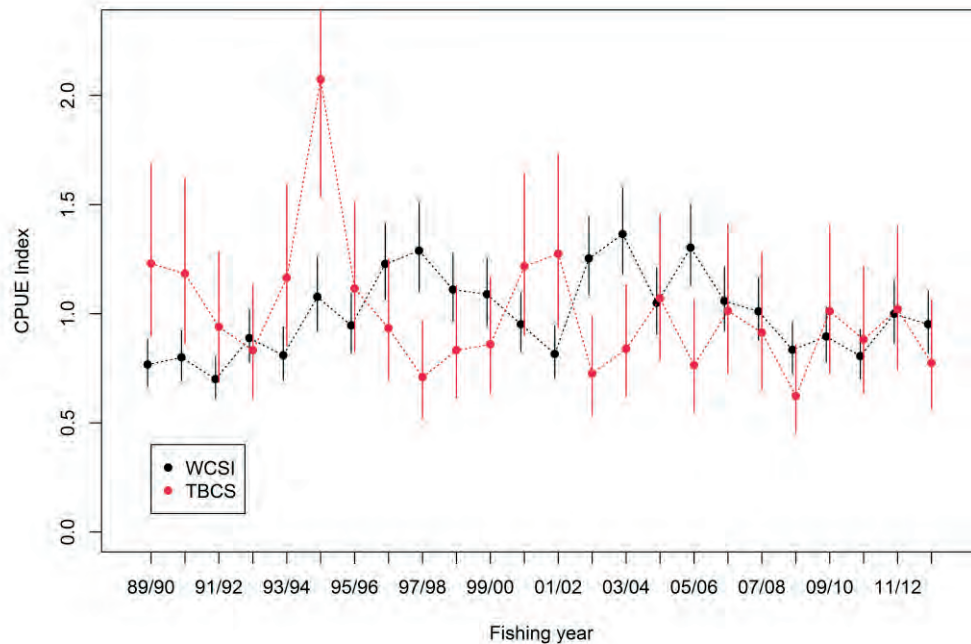
The CPUE data for analysis were from a core fleet of vessels with consistent participation in the fishery. Standardised CPUE analyses were based on lognormal models of positive (allocated) landed catches and attempted to account for differences in reporting associated with changes in statutory reporting forms (from CELR to TCER). In 2014, both sets of CPUE indices were updated to the end of the 2012/13 fishing year (Langley 2014).

The series demonstrate differences between substock areas, the West Coast and Tasman Bay/Cook Strait indices are both cyclical, but asynchronous with the West Coast series peaking 2–3 years after

## TARAKIHI (TAR)

the series in Tasman Bay/Cook Strait. The TBCS CPUE series has remained relatively stable during 2001/02-2012/13, while the CPUE index from WCSI declined from 2003/04 to 2008/09 and remained relatively stable for the last five years (to 2012/13) (

Figure 11). The longer term trends in CPUE from the WCSI fishery are more variable than the WCSI trawl survey recruited biomass indices for the WCSI area of the survey (Figure 2). An analysis of the recent location based catch and effort data from both the WCSI and TBCS fisheries indicated that since 2007/08 there had been an increase in the proportion of fishing effort directed at locations with generally higher tarakihi catch rates. This may indicate a positive bias in both sets of CPUE indices during the latter period.



**Figure 11:** Comparison of the lognormal indices from two independent CPUE series for TAR 7; a) WCSI\_BT\_MIX: bottom trawl, target TAR, BAR, WAR, STA or RCO in statistical areas (033, 034, 035, and 036) ; b) TBCS\_BT\_MIX: bottom trawl, target, BAR, TAR, WAR in statistical areas (038, 039, 017, or 018).

## 4.2 Stock Assessment Models

### TAR 1, 2, 3, and 4

Estimates of current absolute biomass for TAR 1, 2, 3, and 4 are not available.

In 2012, an assessment of the east coast mainland New Zealand tarakihi stocks was attempted (Langley & Starr 2013). Three alternative models were configured with spatial domain and structure representing the range of alternative hypotheses regarding stock structure:

- i. A *TAR 2/BPLE* model (statistical areas 008–016);
- ii. A *TAR 3* model (statistical areas 017, 018, 020, 022 and 024); and
- iii. A *combined* model encompassing two separate regions equivalent to the *TAR 2/BPLE* and *TAR 3*. Northward age-specific movement between the two regions was estimated.

The three models were configured as age structured population models and implemented in Stock Synthesis (Methot 2009). The models incorporated the available catch, CPUE indices, trawl survey biomass estimates and length frequency distributions, historical age frequency data and recent commercial age frequency samples that corresponded to the spatial domain of the respective models.

A key source of uncertainty in the models related to the vulnerability of the older age classes to the fishery, at least in the recent period. Age frequency data from the commercial fishery are only available for the final two years of the model. The limited number of age classes sampled in the catch of the main

fisheries could be interpreted as the result of high fishing mortality rates or to the lower vulnerability of the older age classes. Preliminary modelling results indicated the first explanation was less likely given the relatively low natural mortality (0.1) of the species and the consistent historical levels of catch from the fishery (informing estimates of  $R_0$  and, therefore, potential yields). Relaxing the constraints on the main fishery selectivities resulted in substantial improvements to the fits to the main input data sets. However, these models estimated that a large (80–85%) proportion of the current adult biomass was not vulnerable to the fishery and, therefore, not monitored by the principal abundance indices (primarily CPUE). Furthermore, the model options with a domed selectivity resulted in a much higher model uncertainty, particularly at the upper bound, suggesting that very large biomass levels were possible, which the Working Group found implausible.

Given the uncertainty associated with the key model assumptions, particularly related to fishery selectivity and stock structure, the Northern Inshore Working Group concluded that the range of models investigated was not adequate for the formulation of management advice for the tarakihi stocks along the east coast of New Zealand. It is considered unlikely that a more definitive stock assessment could be undertaken until a more extensive time-series of age frequency data became available from the main commercial fisheries. These data would improve the capacity of the model to estimate fishery selectivity and to distinguish between hypotheses.

### TAR 7

An integrated statistical catch-at-age stock assessment for TAR 7 was carried out in 2008 for data up to the end of the 2006–07 fishing year (Manning, in prep.). The model partitioned by age (0–45 years) and sex was fitted to the trawl survey relative abundance indices (1992–07), survey proportions-at-age data (1995–07), and WCSI fishery catch-at-age data (2005–2007). The stock boundary assumed in the model included the west coast of the South Island, Tasman and Golden Bays, but not eastern Cook Strait (a catch history was compiled for the model stock that excluded eastern Cook Strait). A summary of the model's annual cycle is given in Table 9. The base case model (R4.1) was fit to trawl survey biomass indices (lognormal likelihood) and proportion at age data (multinomial likelihood),  $U_{\max}$  was set at 0.8, steepness was assumed to be 0.75, and  $M$  was fixed at 0.1. The base case model assumed an equilibrium biomass at the beginning of the population reconstruction in 1940. One sensitivity R4.5 was the same as R4.1 but was also fit to the CPUE data (lognormal likelihood). The other sensitivity (R4.6) also included the CPUE data; however, the model was started in 1985 from a non-equilibrium start. Model run 4.5 was very similar to the base case (4.1) in terms of biomass trajectory and stock status, but sensitivity 4.6 was more pessimistic in terms of stock status (Table 9). None of the three estimated in tabkeestimate a mean or median stock status that is below  $B_{MSY}$  and the stock is expected to rebuild, on average, for all three runs under current levels of removals and with average recruitment (Figure 12).

**Table 9: The TAR 7 model's annual cycle (Manning in prep.). Processes within each time step are listed in the time step in which they occur in particular order (e.g., in time step 3, new recruits enter the model partition first followed by the application of natural and fishing mortality to the partition).  $M$ , the proportion of natural mortality assumed during each time step.  $F$ , the nominal amount of fishing mortality assumed during each time step as a proportion of the total catch in the stock area. Age, the proportion of fish growth that occurs during each time step in each model year**

Time step	Duration	Process applied	Proportions			Observations
			$M$	$F$	Age	
1	Oct–Apr	Mortality ( $M, F$ )	0.58	0.74	0.90	Survey relative biomass (KAH) Survey proportions-at-age (KAH) Survey proportions-at-age (JCO) Survey proportions-at-length (KAH) Fishery catch-at-age Fishery relative abundance (CPUE)
2	May (instantaneous)	Spawning Age incrementation	0.00	0.00	0.00	NIL
3	May–Sept	Recruitment Mortality ( $M, F$ )	0.42	0.26	0.10	Fishery catch-at-age



Table 10: MCMC initial and current biomass estimates for the TAR 7 model runs R4.1, 4.5, and 4.6.  $B_0$ , virgin or unfished biomass;  $B_{2007}$ , mid-year biomass in 2007 (current biomass);  $(B_{2007} / B_0) \%$ ,  $B_0$  as a percentage of  $B_{2007}$ ; Min, minimum; Max, maximum;  $Q_i$ ,  $i$ th quantile. The interval  $(Q_{0.025}, Q_{0.975})$  is a Bayesian credibility interval (a Bayesian analogue of frequentist confidence intervals).

	R4.1			R4.5		
	$B_0$	$B_{2007}$	$(B_{2007} / B_0) \%$	$B_0$	$B_{2007}$	$(B_{2007} / B_0) \%$
Min	13 010	4 340	33.4	12 810	4 180	32.6
$Q_{0.025}$	14 290	6 060	42.3	13 780	5 350	39.1
Median	16 440	9 010	54.7	15 640	7 880	50.4
Mean	16 570	9 180	54.9	15 730	8 020	50.6
$Q_{0.975}$	19 630	13 410	68.3	18 310	11 500	63.0
Max	22 030	16 510	75.0	21 430	15 420	72.0

	R4.6		
Min	14 660	4 150	28.3
$Q_{0.025}$	18 350	6 490	34.7
Median	24 540	10 190	41.6
Mean	25 680	10 940	41.9
$Q_{0.975}$	40 600	19 890	50.5
Max	63 300	34 700	58.3

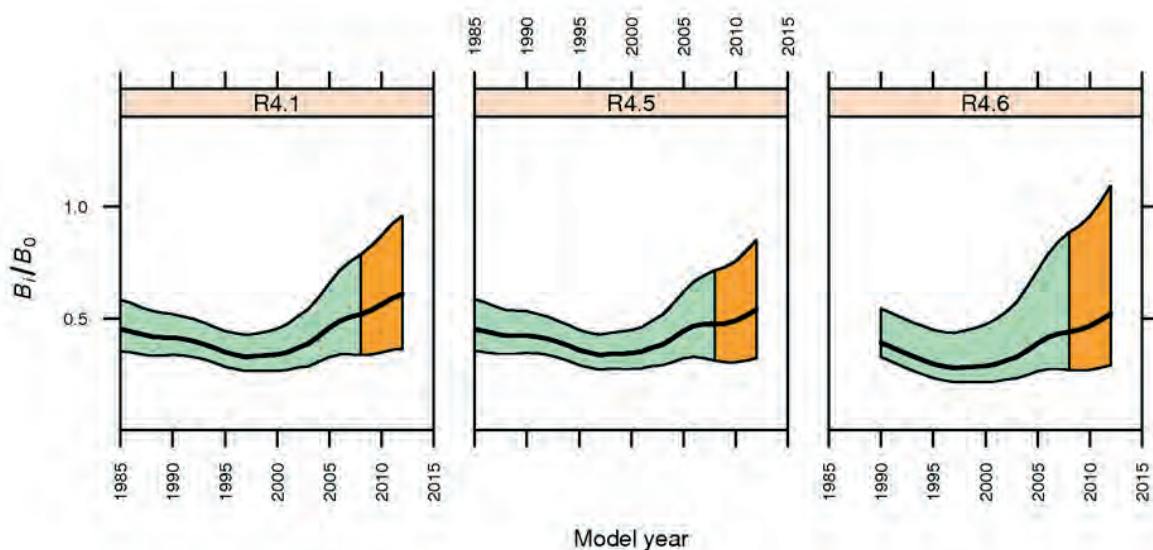


Figure 12: Relative SSB trajectories (green) and projected status assuming a future constant catch equal to the current catch (orange) calculated from the MCMC runs for model runs 4.1, 4.5, and 4.6 in the quantitative stock assessment of TAR 7. The shaded region indicates the 95% credibility region about median SSB (dotted lines) calculated from each model's SSB posterior distribution.

Table 11: Yield estimates (t) of tarakihi (TAR 7)

Parameter	Run 4.1	Run 4.5	Run 4.6
$MCY$	549	522	755
$B_{MCY}$	18 237	16 233	18 620
$CAY$	1 588	1 361	1 682
$F_{CAY}$	0.1685	0.1661	0.1508
$MAY$	1 086	976	1 203
$B_{MAY}$	6 350	5 790	7 865

### 4.3 Yield estimates and projections

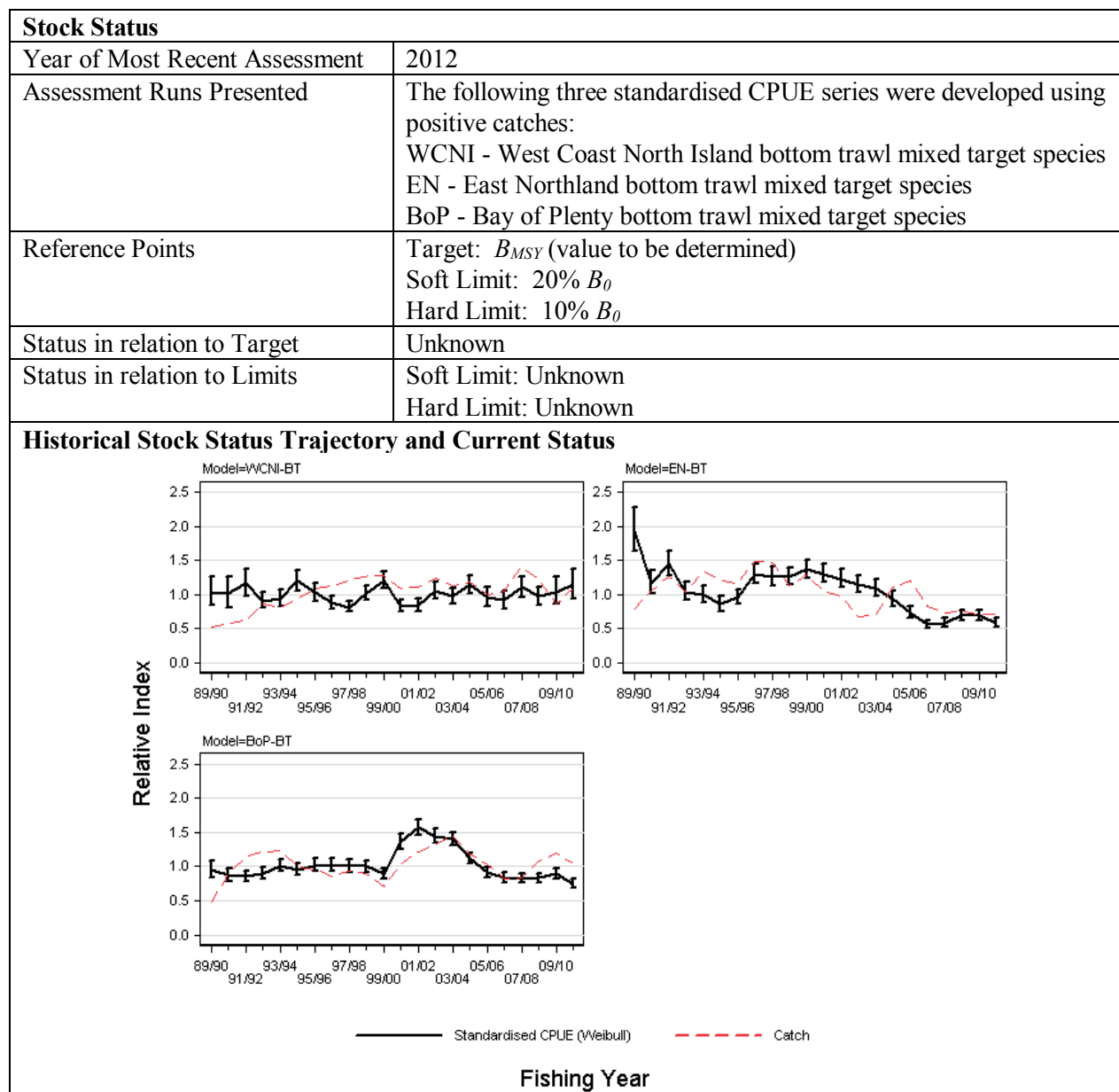
The Working Group concluded that  $MCY$  estimates are not appropriate.

Estimates of current biomass are not available and  $CAY$  cannot be determined.

## 5. STATUS OF THE STOCKS

### • TAR 1

Three substocks are recognised within TAR 1: Bay of Plenty (BoP), East Northland and west coast North Island. The Bay of Plenty fishery accounts for approximately 50% of the TAR 1 catch but is considered to be an extension of the TAR 2 stock with a primary spawning area around East Cape.



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	<p>Overall trends in CPUE vary between substocks:</p> <p>WCNI - the series shows almost no trend, fluctuating around the long-term mean with fairly wide error bars, indicating that the model is not well determined.</p> <p>EN - the series showed a long gradual declining trend beginning towards the end of the 1990s. This decline appears to have stabilised at about 60% of the long-term mean since 2006–07.</p> <p>BoP - the series shows no long-term trend, with current levels near to the levels observed at the beginning of the series, interrupted by about 5 years of increased CPUE in the early 2000s.</p>

## TARAKIHI (TAR)

Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-
<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Unknown
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Fishery characterisation and CPUE analysis	
Assessment Method	CPUE analysis of trawl catch and effort data	
Assessment Dates	Latest assessment: 2012	Next assessment: 2015
Overall assessment of quality rank	1- High Quality	
Main data inputs (rank)	- Bottom trawl catch and effort data	1 – High Quality
Data not used (rank)	-	
Changes to Model Structure and Assumptions	- Change to a trip stratum roll-up - Use of target species definition instead of depth as an explanatory variable	
Major Sources of Uncertainty	- Uncertainty in the stock structure - The relationship between CPUE and biomass	

<b>Qualifying Comments</b>
-

<b>Fishery Interactions</b>
The main fishing method is trawling. Target tarakihi sets land snapper, john dory, gemfish and trevally in East northland; snapper, trevally and gemfish in the Bay of Plenty; and snapper and trevally as bycatch. Incidental captures of seabirds occur in the bottom longline and setnet fisheries, including black petrel in, that are ranked as at very high risk in the Seabird Risk Assessment. <sup>1</sup> There is a risk of incidental captures of dolphins and New Zealand fur seal.

## • TAR 2

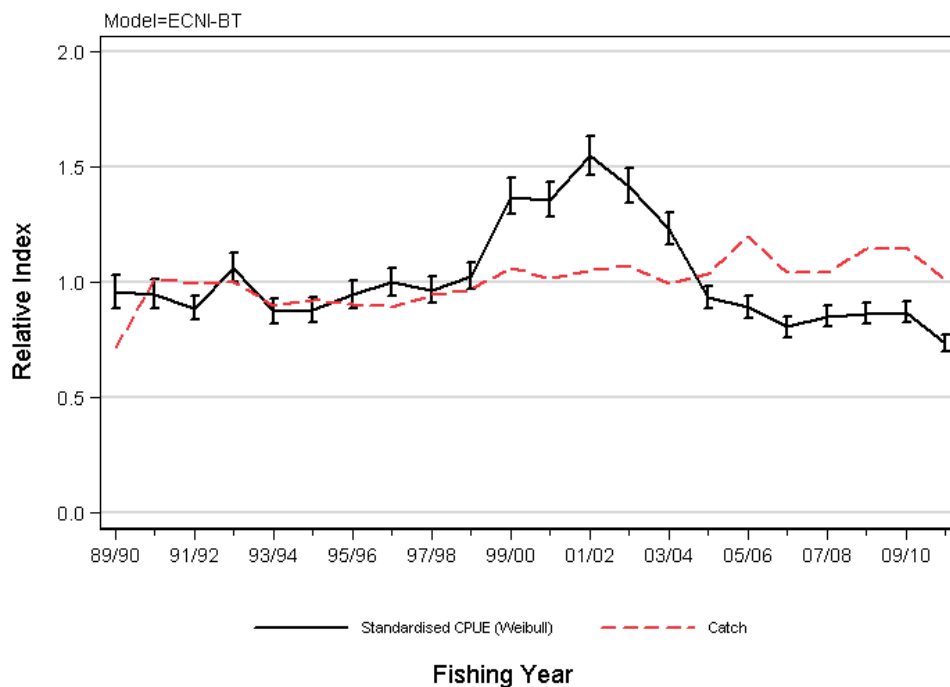
The stock relationships between TAR 2 (including TAR 1 BoP) and TAR 3 are unclear. Data from the main fisheries reveal similarities in abundance trends and age composition and it is possible that the two areas represent a single tarakihi stock or, at a minimum, that there is substantial connectivity between the two areas. However, definitive conclusions regarding the stock structure are not possible and, hence, the status of the two stocks is reviewed separately.

<b>Stock Status</b>	
Year of Most Recent Assessment	2012
Assessment Runs Presented	The standardised CPUE series was developed using positive catches of mixed target species in bottom trawl from TAR 2.
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$

<sup>1</sup> The risk was defined as the ratio of the estimated annual number of fatalities of birds due to bycatch in fisheries to the Potential Biological Removal (PBR), which is an estimate of the number of seabirds that may be killed without causing the population to decline below half the carrying capacity. Richard and Abraham (2013).

	Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown, Hard Limit: Unlikely (< 40%) to be below

#### Historical Stock Status Trajectory and Current Status



Standardised CPUE index for the east coast North Island bottom trawl plotted along with the annual sum of catches from the series statistical areas. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 2.5\%$  confidence intervals.

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	There is no strong long-term trend since the early 1990s, with current levels slightly below the levels observed at the beginning of the series, interrupted by 5 years of increased CPUE in the early 2000s.
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	Unknown
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)

Assessment Methodology and Evaluation		
Assessment Type	Level 2 - Fishery characterisation and CPUE analysis	
Assessment Method	CPUE analysis of trawl catch and effort data	
Assessment Dates	Latest assessment: 2012 CPUE analysis	Next assessment: 2015
Overall assessment of quality rank	1- High Quality	

**TARAKIHI (TAR)**

Main data inputs (rank)	Bottom trawl catch and effort data	1 – High Quality
Data not used (rank)	-	

Changes to Model Structure and Assumptions	- Changed from a target TAR fishery to a bottom trawl mixed fishery
Major Sources of Uncertainty	- Uncertainty in the stock structure - The relationship between CPUE and biomass

<b>Qualifying Comments</b>
-

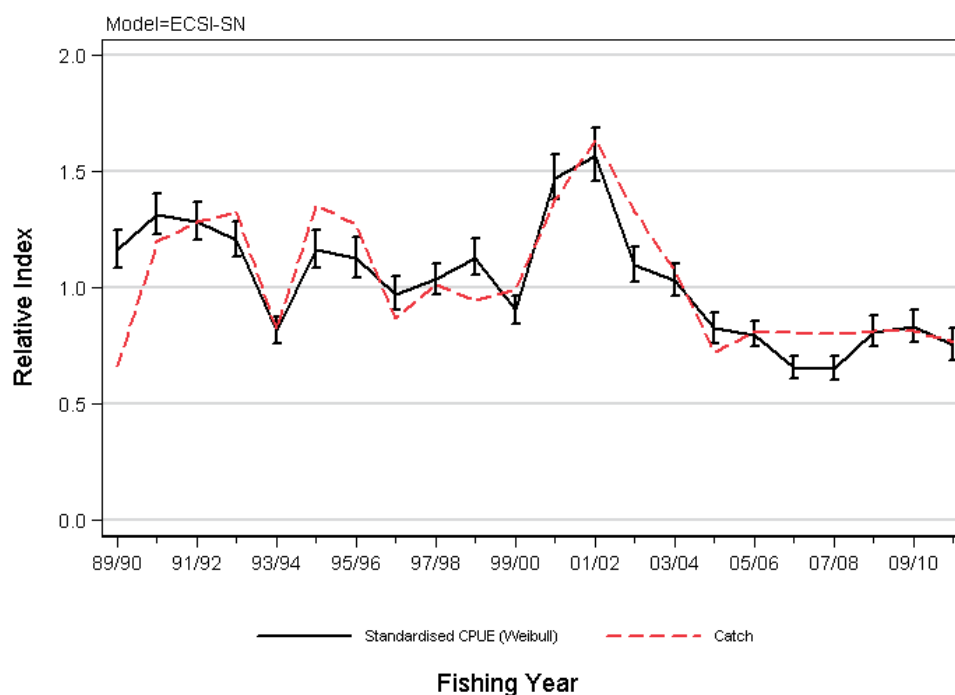
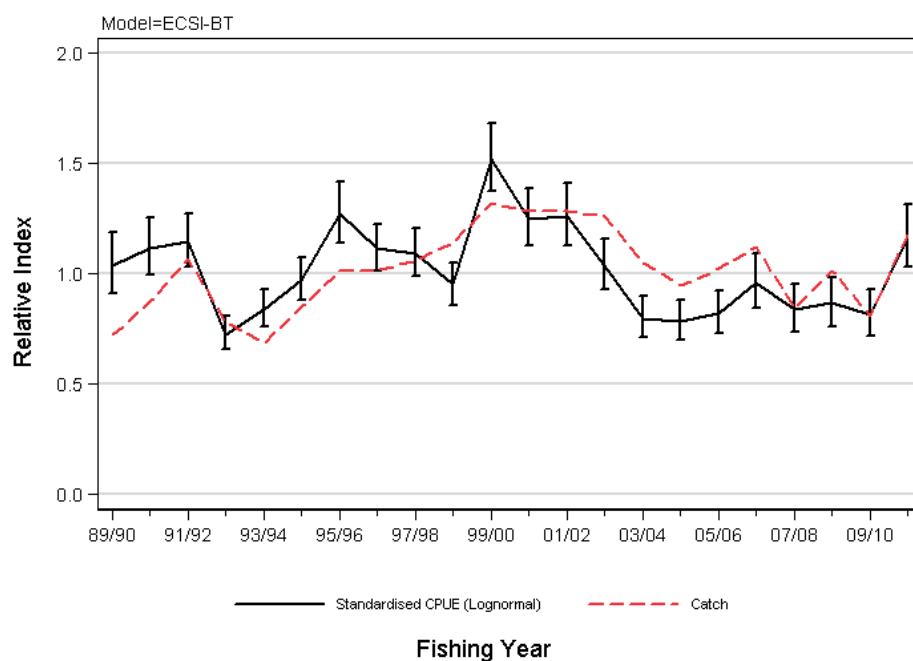
<b>Fishery Interactions</b>
This is mostly (83%) a TAR target fishery. The main fishing method is trawling. The following species are caught as bycatch in this fishery: GUR, SKI and WAR. Incidental captures of seabirds occur. There is a risk of incidental captures of dolphins and New Zealand fur seal.

- TAR 3**

The stock relationships between TAR 2 (including TAR 1 BoP) and TAR 3 are unclear. Data from the main fisheries reveal similarities in abundance trends and age composition and it is possible that the two areas represent a single tarakihi stock or, at a minimum, that there is substantial connectivity between the two areas. However, definitive conclusions regarding the stock structure are not possible and, hence, the status of the two stocks is reviewed separately.

<b>Stock Status</b>	
Year of Most Recent Assessment	2012
Assessment Runs Presented	Two standardised CPUE series were developed using positive catches: bottom trawl mixed target species and setnet TAR target.
Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%) to be below

### Historical Stock Status Trajectory and Current Status



Standardised CPUE index for the east coast South Island bottom trawl (ECSI-BT) and setnet (ECSI-SN) plotted along with the annual sum of catches from the series statistical areas. Both series have been normalised to a geometric mean =1.0. Error bars show  $\pm 97.5\%$  confidence intervals.

### Fishery and Stock Trends

#### Recent Trend in Biomass or Proxy

The BT-MIX series shows no long-term trend, with current levels near to the levels observed at the beginning of the series, interrupted by about 3 years of increased CPUE from the late 1990s. The increase in 2010–11 may indicate strong recent recruitment to the fishery.

The setnet index is similar but the peak is offset by a few years, and the last few years are lower than the long-term mean.

**TARAKIHI (TAR)**

Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Unknown
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unlikely (< 40%)

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Fishery characterisation and CPUE analysis	
Assessment Method	CPUE analysis of positive trawl and setnet catch and effort data	
Assessment Dates	Latest assessment: 2012	Next assessment: 2015
Overall assessment of quality rank	1 – High Quality	
Main data inputs (rank)	Bottom trawl and setnet catch and effort data	1 – High Quality
Data not used (rank)	-	
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	- Uncertainty in the stock structure - The relationship between CPUE and biomass	

<b>Qualifying Comments</b>
-

<b>Fishery Interactions</b>
The main fishing method is trawling. The following species are caught as bycatch in this fishery: RCO, BAR and FLA. The tarakihi target setnet fishery bycatch includes very small amounts of LIN and SPD. There is a risk of incidental capture of seabirds, white pointer sharks, Hector's dolphins, other dolphins and New Zealand fur seals. There is a risk of incidental capture of sea lions from Otago Peninsula south.

- TAR 4**

For TAR 4, the fishery around the Chatham Islands has generally been lightly fished and the stock can probably support higher catch levels for the next few years.

- TAR 7**

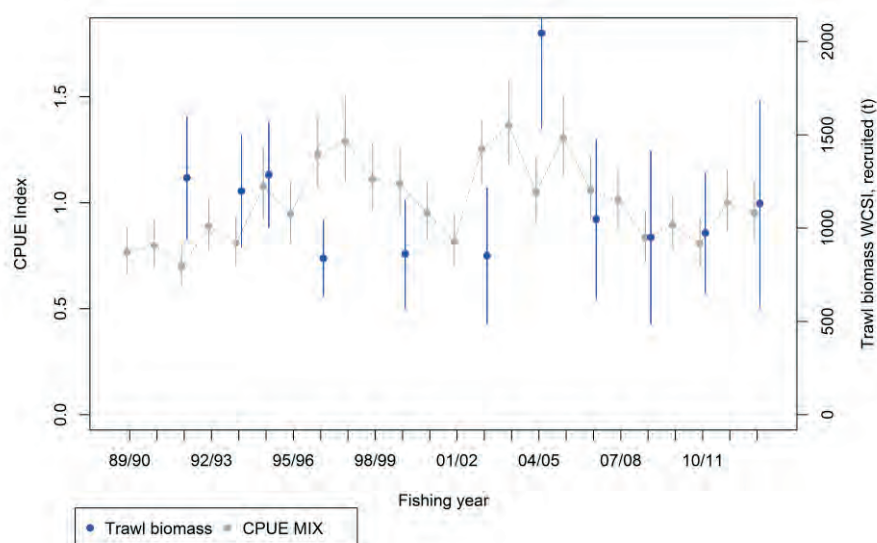
**Stock Structure Assumptions**

For the purpose of this assessment TAR 7 is assumed to be a discrete stock.

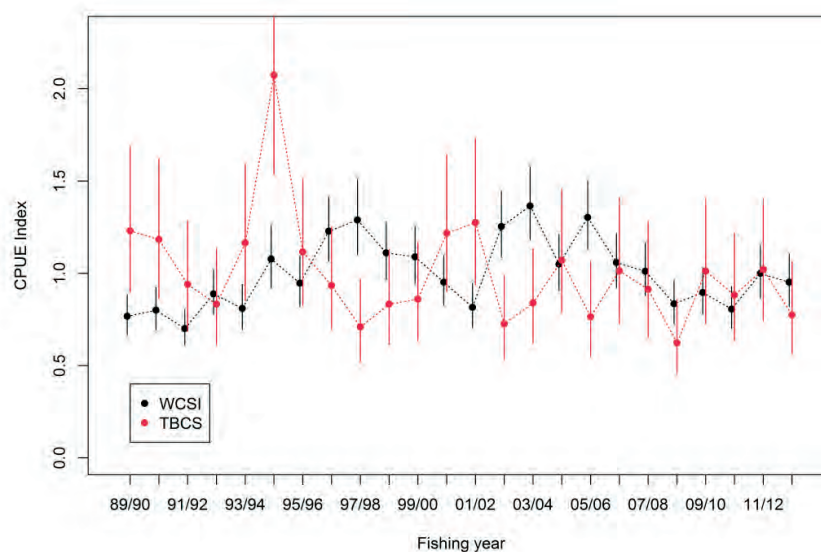
<b>Stock Status</b>	
Year of Most Recent Assessment	2014
Assessment Runs Presented	Time series of WCSI trawl survey biomass, most recent survey 2013; updated standardised CPUE indices from two sub-stock areas within TAR7 (West Coast South Island and Tasman Bay/Cook Strait)

Reference Points	Target: Not established but $B_{MSY}$ assumed Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$ Overfishing threshold: $F_{MSY}$
Status in relation to Target	In 2007 the range of model results for TAR 7 estimated that the stock was Likely (> 60%) to be at or above $B_{MSY}$ (40% $B_0$ ). Trawl survey recruited biomass index for WCSI in 2013 is 17% higher than in 2007, suggesting the stock is at a similar level and that the evaluation of stock status relative to $B_{MSY}$ remains similar to that in 2007. WCSI CPUE index is marginally lower in 2013 than in 2007.
Status in relation to Limits	Soft Limit: Unlikely (< 40%) to be below Hard Limit: Very Unlikely (< 10%) to be below
Status in relation to Overfishing	Unknown

### Historical Stock Status Trajectory and Current Status



Comparison of WCSI CPUE indices and trawl survey biomass estimates of recruited biomass from the west coast area of the WCSI trawl survey.



Comparison of the lognormal indices from two independent CPUE series for TAR 7; a) WCSI\_BT\_MIX: bottom trawl, target TAR, BAR, WAR, STA or RCO in statistical areas (033, 034, 035, and 036) ; b) TBCS\_BT\_MIX: bottom trawl, target, BAR, TAR, WAR in statistical areas (038, 039, 017, or 018).



## TARAKIHI (TAR)

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	WCSI trawl survey biomass has remained stable since 2006/07. CPUE has remained relatively stable since that time for both WCSI and TBCS fisheries.
Recent Trend in Fishing Mortality or Proxy	Unknown
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Biomass (WCSI) is expected to stay steady over the next 3-5 years assuming current (2012/13) catch levels
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unlikely (< 40%) for current catch and TACC Hard Limit: Very Unlikely (< 10%) for current catch and TACC
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Unknown

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2: Partial Quantitative Stock Assessment	
Assessment Method	- West Coast South Island Trawl survey biomass - Standardised CPUE indices	
Assessment Dates	Latest assessment: 2014	Next assessment: 2015
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	- Survey biomass and length frequency - CPUE indices	1 – High Quality 1 – High Quality
Changes to Model Structure and Assumptions	- a Level 1 Bayesian stock assessment was performed for this stock in 2007	
Major Sources of Uncertainty	- Stock structure is currently uncertain, especially regarding the tarakihi fishery in eastern Cook Strait.	

<b>Qualifying Comments</b>
The trawl survey indices are considered to represent the most reliable index of the WCSI component of the stock. There is no corresponding trawl survey index for the TBCS component of the stock. The relationship between the two sub stock areas is unknown.

<b>Fishery Interactions</b>
The main fishing method is trawling. The major target trawl fisheries occur at depths of 100–200 m and tarakihi are taken as a bycatch at other depths as well. TAR 7 is reported as bycatch in target barracouta and red cod bottom trawl fisheries. Smooth skates are caught as a bycatch in this fishery, and the biomass index for smooth skates in the west coast trawl survey has declined substantially since 1997. There may be similar concerns for rough skates but the evidence is less conclusive. Incidental captures of seabirds occur. There is a risk of incidental capture of dolphins and New Zealand fur seals.

## • TAR 8

Overall, landings from the North and South Islands have remained relatively stable, since at least the late 1960s, despite changes in effort and methods of fishing. Given the long, stable catch history of this fishery, current catch levels and TACCs are thought to be sustainable.

Yield estimates, TACCs and reported landings for the 2012–13 fishing year are summarised in Table 12.

**Table 12: Summary TACCs (t) and reported landings (t) of tarakihi for the most recent fishing year.**

Fishstock	QMA	FMA	2013–14 Actual TACC	2013–14 Reported landings
TAR 1	Auckland (East) (West)	1 & 9	1 447	1 425
TAR 2	Central (East)	2	1 796	1 816
TAR 3	South-East (Coast)	3	1 403	991
TAR 4	South-East (Chatham)	4	316	179
TAR 5	Southland and Sub-Antarctic	5 & 6	153	126
TAR 7	Challenger	7	1 088	1 074
TAR 8	Central (West)	8	225	248
TAR 10	Kermadec	10	10	0
Total			6 439	5 858

## 7. FOR FURTHER INFORMATION

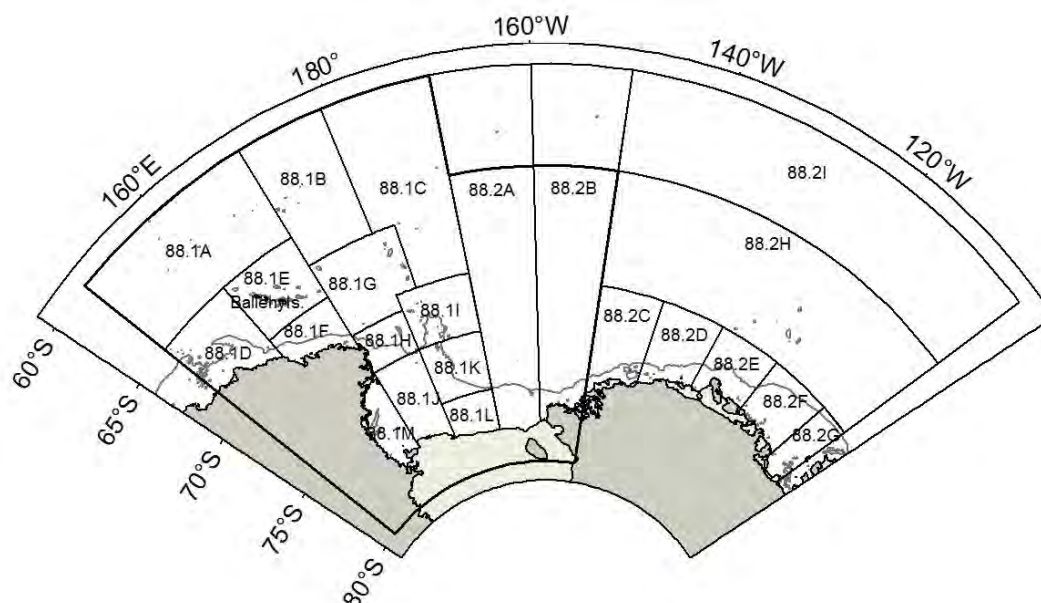
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## TOOTHFISH (TOT) (outside EEZ)

(*Dissostichus mawsoni* and *Dissostichus eleginoides*<sup>1</sup>)



The wider Ross Sea Region CCAMLR Subareas 88.1 and 88.2 showing the small-scale research units (SSRUs) used for management and the 1000 m depth contour.

## 1. FISHERY SUMMARY

This working group report is a summary of the toothfish fisheries in CCAMLR Subareas 88.1 and 88.2 and includes the catches of all countries participating in that fishery. These fisheries occur entirely on the high seas within the Convention area of the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR).

Finfish fisheries in Antarctic waters are largely managed under the CAMLR Convention, in particular Article II, paragraph 3. The Convention Area covers the area south of the Antarctic Convergence (varying from 60° S in the Pacific Sector to 45° S in the western Indian Ocean Sector).

### 1.1 Commercial fisheries

Toothfish are large Nototheniids endemic to Antarctic and Subantarctic waters. There are two main species: Antarctic toothfish (*Dissostichus mawsoni*) and Patagonian toothfish (*Dissostichus eleginoides*). Both have a circumpolar distribution, although *D. mawsoni* has a more southern distribution.

Bottom longline and trawl fisheries for Patagonian toothfish occur around many of the Subantarctic islands and plateaus south of the Subantarctic Front. To date, the main longline fishery for Antarctic toothfish has taken place in Subarea 88.1, with smaller fisheries in Subarea 88.2, Subarea 48.6 and several CCAMLR divisions in Subarea 58.4. Subarea 88.1 is divided into three broad ecological regions: a region of seamounts, ridges and banks to the north; a region of shallow water (< 800 m) on the Ross Sea shelf in the extreme south; and a region in between covering the continental slope (800–2000 m), where the main longline fishery occurs.

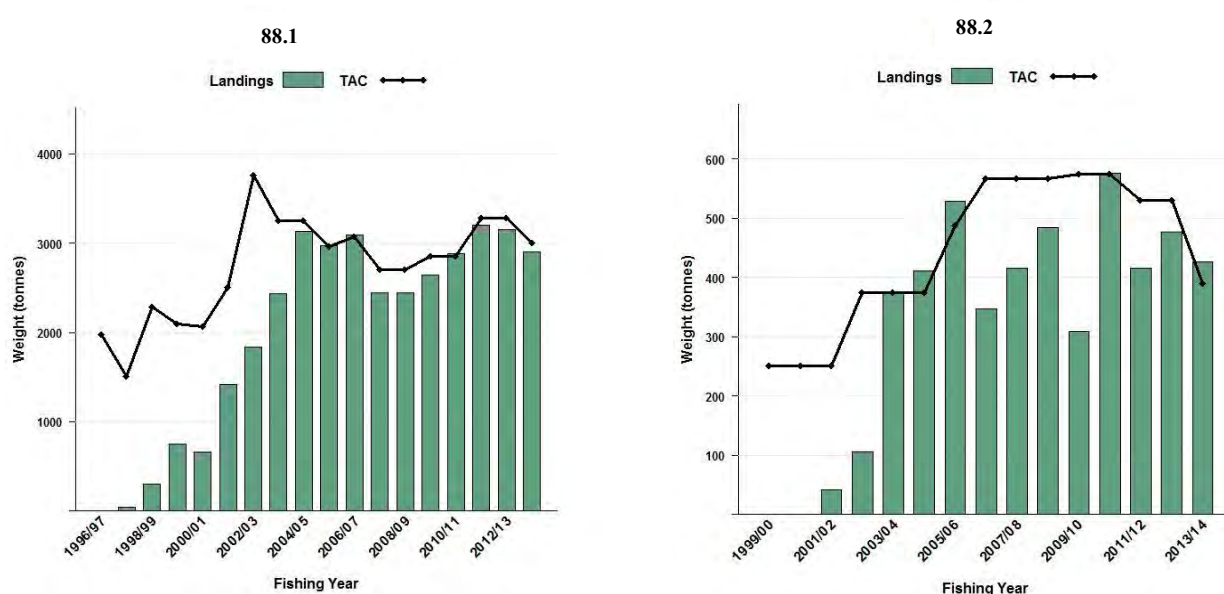
The exploratory longline fishery for *Dissostichus* spp. in Subarea 88.1 was initiated by a single New Zealand longline vessel in 1996–97 (Table 1). Since then, New Zealand vessels, and more recently

<sup>1</sup> Note that this report does not cover the Patagonian toothfish (*Dissostichus eleginoides*) fishery within the New Zealand Exclusive Economic Zone.

## TOOTHFISH (TOT)

vessels from other countries, have returned each summer to fish in this area and the adjacent Subarea 88.2. The catch of toothfish in Subarea 88.1 showed a steady increasing trend during the early period of the fishery, reaching the catch limit (TAC) and peaking at about 3000 t between 2004–05 and 2006–07, but being under-caught in Subarea 88.1 in 2007–08, and 2008–09. Failure to reach the catch limit in those two years was due to the severe ice conditions in 2007–08 and early closure of the fishery by the CCAMLR Secretariat in 2008–09 due to overestimation of projected catch rates. The catches have been close to the catch limits since 2009–10, with the closure of the fishery by CCAMLR based on the daily catch reports.

The catch of toothfish in Subarea 88.2 showed a sharp increase in 2003–04, and exceeded catch limits in 2004–05 and 2005–06 but has since declined slightly. Failure to reach the catch limit in the following four years was primarily due to the lower fishing effort in SSRUs CDFG, and difficulty accessing fishable ground to take allocated catch limits in these SSRUs due to ice conditions, but the catch has been close to the catch limit since 2010–11 (Stevenson et al 2014), with the closure of the fishery by CCAMLR based on the daily catch reports. Figure 1 shows historical landings and catch limits (TACs) for Subareas 88.1 and 88.2.



**Figure 1: The landings of toothfish and catch limits (TACs) from 1997–98 to 2013–14 in Subarea 88.1, and 1999–00 to 2013–14 in Subarea 88.2.**

The toothfish catch from these areas comprises almost entirely Antarctic toothfish. Since the start of the fishery about 136 t of Patagonian toothfish has been caught in Subareas 88.1 and 88.2, almost entirely from the north of Subarea 88.1 (SSRUs 88.1A, 88.1B, and 88.1C) (Stevenson et al 2014). The data in the following tables are collated from weekly reporting forms (vessel to CCAMLR), monthly reporting (vessel to flag state to CCAMLR) and annual reporting (FAO STATLANT reports to CCAMLR from flag state).

The number, size, and catch limits of the SSRUs in Subarea 88.1 have varied over time (see also NZ, Norway, UK Delegation 2014). In 1997–98 and 1998–99, Subarea 88.1 was divided into two at 65° S, with separate catch limits in each area. From 1999–2000 to 2002–03, the area south of 65° S was further divided into four SSRUs, with equal catch limits in each SSRU. The number of SSRUs was increased to twelve for the 2003–04 and 2004–05 seasons and the new catch limits were based proportionally on the product of the mean historical CPUE and the fishable seabed area (600–1800 m). The catch limits for the SSRUs were again changed for the 2005–06 and 2006–07 seasons as part of a three-year experiment (NZ, Norway, UK Delegation 2014). To assist administration of the SSRUs, the catch limits for SSRUs 88.1B, 88.1C, and 88.1G were amalgamated into a ‘north’ region and those for SSRUs 88.1H, 88.1I, and 88.1K were amalgamated into a ‘slope’ region. A nominal catch of up to 10 t was permissible in each ‘closed’ SSRU under a research fishing exemption. The research provision for closed SSRUs was removed for the 2009 season and the 10 t research catch was absorbed back into the

total catch limit. For the 2008–09 season, SSRU 88.1J was split into two at 170° E, creating a new SSRU 88.1M to the west of that line (which is closed to fishing), and reducing the size of 88.1J to the east of that line. The catch limits for SSRUs 88.1J and 88.1L were amalgamated into a ‘shelf’ region. The catch limits for the remaining SSRUs in Subarea 88.1 were adjusted accordingly. These measures have remained in place in the last four years.

**Table 1: Estimated catches (t) of *Dissostichus* spp. by area for the period 1996–97 to 2013–14 (Source: FAO STATLANT data to 2012–13, catch and effort reports for 2013–14 – SC-CAMLR-XXXIII/BG/1). – denotes has not been estimated, but likely to be 0 t.**

Season	Subarea 88.1				Subarea 88.2			
	Reported catch	Estimated IUU catch	Total	Catch limit	Reported catch	Estimated IUU catch	Total	Catch limit
1996–97	< 1	0	< 1	1 980*	0	0	0	1 980*
1997–98	42	0	42	1 510	0	0	0	63
1998–99	297	0	297	2 281	0	0	0	0
1999–00	751	0	751	2 090	0	0	0	250
2000–01	660	0	660	2 064	0	0	0	250
2001–02	1 325	92	1 417	2 508	41	0	41	250
2002–03	1 831	0	1 831	3 760	106	0	106	375
2003–04	2 197	240	2 437	3 250	375	0	375	375
2004–05	3 105	23	3 128	3 250	411	0	411	375
2005–06	2 969	0	2 969	2 964	514	15	529	487
2006–07	3 091	0	3 091	3 072	347	0	347	567
2007–08	2 259	186	2 445	2 700	416	0	416	567
2008–09	2 448	0	2 448	2 700	484	0	484	567
2009–10	2 639	0	2 639	2 850	309	0	309	575
2010–11	2 882	0	2 882	2 850	576	0	576	575
2011–12	3 199	–	3 199	3 282	415	–	415	530
2012–13	3 162	–	3 162	3 282	476	–	476	530
2013–14	2 897	–	2 897	3 044	426	–	426	390

\* A single catch limit in 1996/97 applied to all of Subareas 88.1 and 88.2.

Although the overall catch limit in Subarea 88.1 has rarely been exceeded, the catch limit for some SSRUs has been exceeded in some seasons.

Ice conditions and bycatch limits are an important factor in the fishery. In 2002–03, 2003–04 and 2007–08 heavy ice conditions meant little catch was taken in SSRUs 88.1J–L.

The SSRUs in Subarea 88.2 have also varied over time. In 1997–98 and 1998–99, the Subarea was divided into two at 65° S, with the northern area closed and a catch limit set for the southern area. From 1999–2000 to 2010–11, the area south of 65° S was divided into seven SSRUs, each comprising 20° of longitude. The catch limits for the southern SSRUs in Subarea 88.2 were also changed as part of a three-year experiment. SSRU 88.2E was treated as a separate SSRU with its own catch limit, whilst SSRUs 88.2C, 88.2D, 88.2F, and 88.2G were amalgamated with a single catch limit. Fishing has now been carried out in all SSRUs, however, most of the catch has been taken in SSRU 88.2E. For the 2012 season SSRUs 88.2C–G were further divided and SSRU 88.2H added to separate the north and slope grounds (at 70° 50' S), with a catch limit for each of these two grounds. The northernmost SSRU, 88.2I, has always been closed to fishing.

In addition to the catch limits on the target species, many other management measures have been in place over the course of the fishery. These include restrictions on bycatch, measures to minimise local depletion of toothfish, and bycatch mitigation measures (CCAMLR Conservation Measures 33-03 (2014), 41-09 (2014) and 41-10 (2014)). In 2005–06, the macrourid bycatch limits were exceeded in SSRUs 88.2CDFG and so Subarea 88.2 was closed before the toothfish catch limit was reached.

## 1.2 Recreational fisheries

There is no recreational toothfish fishery in Subareas 88.1 and 88.2.

## 1.3 Customary non-commercial fisheries

There is no customary toothfish fishery in Subareas 88.1 and 88.2.

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### 1.4 Illegal catches

Based on aerial surveillance and other sources of intelligence, the level of illegal and unreported catch is thought to be low (Table 1). CCAMLR stopped estimating the level of IUU catch from 2011, but estimated the level of IUU effort instead. IUU effort in recent years in the Convention area has typically been comprised of gillnetting vessels and the catch rates for this type of method cannot be reliably estimated. However, CCAMLR estimated that there has been no IUU effort in Subareas 88.1 and 88.2 since 2010–11 (Secretariat 2013).

### 1.5 Other sources of mortality

Any longline gear that is baited and set, but not successfully retrieved, may result in unaccounted mortality of toothfish or other species. In Subareas 88.1 and 88.2, bottom longline gear is most often lost due to interactions of downlines with moving sea ice, but may also result from tidal currents submerging floats, or gear failure during line retrieval. The fate of fish hooked on lost lines is unknown. Webber & Parker (2011) estimated line loss from 2008 to 2011 to be in the range 3–8% (expressed in terms of percent of all hooks set that are lost attached to sections of lines). Assuming that these hooks caught toothfish at the same rate as those on lines that were retrieved, and that all the toothfish caught on lost lines die as a result of being caught, then an additional 175–244 tonnes of Antarctic toothfish fishing related mortality may be unaccounted for annually.

A small quantity of toothfish is taken by other scientific research programmes in most years, typically less than 1 tonne.

Observers monitor discards, with up to 40% of all hooks hauled being directly observed, and no discarding of dead toothfish has been reported to date. However, in 2014 it was reported that some small toothfish had been released alive by Ukraine vessels because they were too small for processing. Fish are occasionally lost from the line near the surface.

Antarctic toothfish are occasionally caught with evidence of squid depredation (i.e., sucker marks and large flesh wounds), but the amount of depredation due to large squid is insignificant at the scale of the fishery. To date, there have been very few reported instances of depredation of toothfish by cetaceans or pinnipeds in 88.1 or 88.2.

## 2. BIOLOGY

The Antarctic toothfish has a circumpolar distribution south of the Antarctic convergence (60° S). A summary of the biology of Antarctic toothfish, and related references, are given in detail in a species profile (Hanchet 2010). Although it is primarily a demersal species, adults are believed to be neutrally buoyant and are known to inhabit the pelagic zone at various locations and times during their life cycle (Near et al 2003). Early growth has been well documented (Horn 2002, Horn et al 2003) with fish reaching about 60 cm TL after five years and about 100 cm TL after ten years. Growth slows down after about 10 years as fish reach the adult stage. The maximum recorded age is 48 years and maximum length recorded is 250 cm. Ages have been validated by following modes in juvenile fish and by tetracycline marking and lead-radium dating in adult fish (Brooks et al 2011, Horn et al 2003). There is a significant difference in growth between sexes with maximum average lengths of 170 cm and 180 cm for males and females respectively (Horn 2002).

The age and length at recruitment to the Ross Sea fishery varies between areas and between years. In the northern SSRUs (88.1A–88.1G), toothfish recruit at a length of about 130 cm to the fishery. In the southern SSRUs (88.1H–88.1M), the length at recruitment depends on the depth of fishing. In some years fish have been fully recruited at a length of about 80 cm (age 7–8), whereas in other years fish have not been fully recruited until at least 100 cm (age 10). In Subarea 88.2, toothfish recruit at a length of about 130 cm in the northern SSRU (88.2H) but at a length of about 60–80 cm (age 5–8) in the southern SSRUs (88.2C–G) (Stevenson et al 2014).

Estimates of maturity, based on hindcasting from the presence of post-ovulatory follicles in the ovaries and forecasting from the assessment of oocyte developmental stage, suggested the mean age and length

at 50% spawning for females on the Ross Sea slope region were 16.6 y and 133.2 cm and for the mean age and length at 50% maturity for males were 12.8 y and 120.4 cm (Parker & Grimes 2009). These estimates were updated in 2012 to 16.9 years and 135 cm for females and 12.0 years and 109 cm for males on the Ross Sea slope (Parker & Marriott, 2012). Regional spawning ogives are in development for the Ross Sea north and shelf areas and for Subarea 88.2.

The natural mortality rate  $M$  was estimated by Dunn et al (2006) using the methods of Chapman-Robson (1960), Hoenig (1983), and Punt et al (2005). Estimates of  $M$  derived from these methods ranged from 0.11 to 0.17  $y^{-1}$ . After a consideration of possible biases, Dunn et al (2006) proposed that a value of 0.13  $y^{-1}$  be used for stock modelling with a range of 0.11–0.15  $y^{-1}$  for sensitivity analyses. They noted that further work is required on values of  $M$  and in possible changes of  $M$  with age. Biological parameters relevant to the stock assessment are shown in Table 2.

**Table 2: Estimates of biological parameters for Antarctic toothfish.**

Biological parameters						Reference
1. Natural mortality (M)						Dunn et al 2006
Males		Females				
0.13		0.13				
2. Weight = a(length) <sup>b</sup> (Weight in kg, length in cm fork length)						Dunn et al (2006)
Males		Females				
a		b				
0.00001387		2.965		0.000007154 3.108		
3. von Bertalanffy growth parameters						Dunn et al (2006)
Males				Females		
K	t <sub>0</sub>	L <sub>∞</sub>		K	t <sub>0</sub> L <sub>∞</sub>	
0.093	-0.26	169.1		0.090	0.021 180.2	
4. Maturity						Parker & Marriott (2012)
Males		Females				
A <sub>50</sub>	±A <sub>t095</sub>	A <sub>50</sub>	±A <sub>t095</sub>			
11.99	5.25	16.92	7.68			

Antarctic toothfish feed on a wide range of prey but are primarily piscivorous (Fenaughty et al. 2003). The most important prey species of fish caught in the main fishery are grenadiers (*Macrourus* spp.). In continental slope waters, *Macrourus* spp., the icefish *Chionobathyscus dewitti*, eel cods (*Muraenolepis* spp.) and cephalopods predominate in the diet (Stevens et al 2014), while on oceanic seamounts *Macrourus* spp., violet cod (*Antimora rostrata*) and cephalopods are important. In the southern Ross Sea, subadult and adult toothfish feed mainly on nototheniids (*Trematomus* spp.) and icefish, whilst in McMurdo Sound, the stomachs of adult toothfish sampled through holes in the ice have been observed to contain mainly Antarctic silverfish (*Pleuragramma antarcticum*) (Eastman, 1985). In the open oceanic waters in the north of the Ross Sea region, Antarctic toothfish feed on small squid (Yukhov 1971). The diet of Antarctic toothfish also varies with their size. Crustaceans are more common prey items in smaller toothfish, whereas squid are more common in larger toothfish.

The main predators of toothfish are likely to be cetaceans [sperm whales (historically), type C killer whales] and pinnipeds (Weddell seals) (Eisert et al 2013, 2014; Pinkerton et al 2010a; Torres et al 2013). The scale of predation is unknown.

Hanchet et al (2008) developed a hypothesis for the life history of Antarctic toothfish in the Ross Sea. Fish spawn to the north of the Antarctic continental slope, mainly on the ridges and banks of the Pacific-Antarctic Ridge. The spawning takes place during winter and spring, and may extend over a period of several months. They postulated that depending on the exact location of spawning, eggs and larvae become entrained by the Ross Sea gyres (a small clockwise rotating western gyre located around the Balleny Islands and a larger clockwise rotating eastern gyre covering the rest of 88.1 and 88.2), and move either west settling out around the Balleny Islands and adjacent Antarctic continental shelf, south onto the Ross Sea shelf, or eastwards with the eastern Ross Sea gyre settling out along the continental slope and shelf to the east of the Ross Sea in Subarea 88.2. As the juveniles grow in size, it is hypothesized that they move west, back towards the Ross Sea shelf, and then move out into deeper water (greater than 600 m). The fish gradually move northwards as they mature, feeding in the slope region in depths of 1000–1500 m, where they gain condition before moving north onto the Pacific-



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Antarctic ridge to start the cycle again. It is not known how long spawning fish remain in the northern area. It is currently thought that toothfish remain in the Pacific-Antarctic ridge region for up to 2–3 years (although this pattern may be different for males versus females) and then they move southwards back onto the shelf and slope where productivity is higher and food is more plentiful. A multidisciplinary approach incorporating otolith chemistry, age data and Lagrangian particle simulations reached similar conclusions (Ashford et al 2012). The authors further postulated that the entire life cycle is structured by ocean circulation such that not just eggs and larvae, but also juvenile and adult fish, are transported downstream by ocean currents between nursery grounds, feeding grounds, and spawning grounds.

### 3. STOCKS AND AREAS

The number of stocks or populations of *D. mawsoni* in the Southern Oceans is currently unknown. However, several recent studies looking at genetics, parasites, otolith microchemistry, stable isotopes, and movements of fish from tag-recapture data have produced information leading to improved knowledge of stock structure.

A genetic analysis was carried out by Parker et al (2002) using random amplified polymorphic DNA (RAPD) markers. They concluded that samples taken from McMurdo Sound (Subarea 88.1) and the Bellingshausen Sea (Subarea 88.3) were from two different genetic groups. Smith & Gaffney (2000) detected little genetic diversity in mitochondrial DNA (mtDNA) samples between the Pacific (Subarea 88.1), Indian Ocean (Division 58.4.2), and Atlantic Ocean (Subarea 48.1) sectors. One mtDNA method showed no genetic variation, whilst two other mtDNA methods showed only weak genetic diversity between regions. Smith & Gaffney (2000) also found only weak genetic variation using nuclear DNA introns. They concluded that despite the weak genetic diversity in Antarctic toothfish there was evidence for differentiation between the ocean sectors. Kuhn & Gaffney (2008) expanded the work of Smith & Gaffney (2000) by examining nuclear and mitochondrial single nucleotide polymorphisms (SNPs) on tissue samples collected from Subareas 48.1, 88.1, and 88.2 and Division 58.4.1. They found broadly similar results to those of the earlier studies, with some evidence for significant genetic differentiation between the three ocean sectors but limited evidence for differentiation within ocean sectors. Suggestions of weak diversity were also reported by Mugue et al (2013).

The assumption of separate stocks is supported by oceanic gyres, which may act as juvenile retention systems, and by the location of recaptures of adult tagged fish (Hanchet et al. 2008, Parker et al. 2014). Most adult tagged fish have been recaptured close to where they were originally tagged, often within 100 km (Parker et al 2013). However, increasing numbers of tagged fish have also been recaptured having moved longer distances within Subarea 88.1; i.e. 44 have been observed to have moved from the Shelf to the Slope, 31 from the Slope to the Shelf, 13 from the Slope to the North, and 5 from the North to the Slope (Parker et al. 2014). But despite almost 1500 recaptures as of 2013, only three adult toothfish have been observed to have moved between Subareas; one fish moved from Subarea 88.1 (Shelf portion of SSRU 88.1K) to Subarea 88.2 (SSRU 88.2H), and two moved from Subarea 88.2 to Subarea 88.1 (one from SSRU 88.2H to 88.1H and one from SSRU 88.2F to 88.1H) (Parker et al. 2014). Additionally, one fish tagged at McMurdo Sound in SSRU 88.1 M was recaptured after 18 years at liberty almost 2500 km to the northeast, in SSRU 88.2H.

Tana et al. (2014) compared otolith microchemistry signatures between the north of the Ross Sea and north of the Amundsen Sea. Preliminary results found differences in the microchemistry of both edges and nuclei between the two areas, providing some evidence for separate Ross Sea and Amundsen Sea stocks. Pinkerton et al. (2014) compared carbon and nitrogen stable isotope values in muscle tissue samples collected from the slope and north of the Ross Sea and north of the Amundsen Sea. Carbon signatures were similar within the Ross Sea, but different between the Ross Sea and Amundsen Sea suggesting they form separate spawning populations. Parker et al. (2014) reviewed the stock structure of Antarctic toothfish in Statistical Area 88 including information from genetic studies, otolith microchemistry, stable isotopes, tagging, size and age structure, growth dynamics, and egg and larval dispersal simulations and concluded that there was no evidence to change existing stock boundaries.

For fisheries management purposes, Subareas 88.1 and 88.2 are split into two broad areas. For stock assessment purposes all of Subarea 88.1 and SSRUs 88.2A and 88.2B are treated as a single 'Ross Sea' stock (CCAMLR 2006). For the 2011 and 2013 assessments, the rest of Subarea 88.2 (SSRUs 88.2C–H) were treated as a second stock. Both subareas include closed SSRUs from which fishing has been excluded for varying numbers of years. The stock affinity of the assessed stocks with toothfish in surrounding areas is not well understood, and assessments in the medium term will consider alternative stock structures including a combined Subarea 88.1 and 88.2 assessment.

## 4. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

### 4.1 Incidental catch (fish and invertebrates)

The bycatch of fish species in the Subarea 88.1 and 88.2 fisheries was last characterised by Stevenson et al (2012). The main bycatch species in this fishery are grenadiers, which contributed about 4–16% of the total annual toothfish catch by weight from 1997–98 to 2012–13 (Hanchet et al 2013). Recent taxonomic studies have shown that specimens originally identified in the Ross Sea region as *Macrourus whitsoni* do in fact comprise two sympatric species: *Macrourus whitsoni* and *Macrourus caml* (McMillan et al 2011) with different biology and ecology (Pinkerton et al 2013). Work is underway to determine the degree of overlap of these two species both within the Ross Sea region and circum-Antarctic. The other major bycatch group is skates (mainly *Amblyraja georgiana* and *Bathyraja* cf. *eatonii*). Skates (rajids) made up 9–10% of the total toothfish landings in 1997–98 and 1998–99, but the reported catches of skates has decreased in more recent years due to a tag release programme and the live release of untagged skates. In both programmes, all live skates are returned to the water and as a result are not included in catch data. Other fish bycatch species, including moray cods (*Muraenolepis* spp.), morid cods (mainly *Antimora rostrata*), icefish (mainly *Chionobathyscus dewitti*), and rock cods (*Trematomus* spp.) each contributed 1% or less of the overall catch (Stevenson et al 2012).

**Table 3: Catches of managed by-catch species (macrourids, rajids and other species) in Subarea 88.1. Rajids cut from the longlines and released are not included in these estimates. Source: fine-scale data.**

Season	Macrourids		Rajids			Other species	
	Catch limit (t)	Reported catch (t)	Catch limit (t)	Reported catch (t)	Number released	Catch limit (t)	Reported catch (t)
1996–97	-	0	-	0	-	-	0
1997–98	-	9	-	5	-	50	1
1998–99	-	22	-	39	-	50	5
1999–00	-	74	-	41	-	50	7
2000–01	-	61	-	9	-	50	14
2001–02	100	154	-	25	-	50	10
2002–03	610	66	250	11	966	100	12
2003–04	520	319	163	23	1 745	180	23
2004–05	520	462	163	69	5 057	180	24
2005–06	474	258	148	5	14 640	160	18
2006–07	485	153	152	38	7 336	160	43
2007–08	426	112	133	4	7 190	160	20
2008–09	430	183	135	7	7 088	160	16
2009–10	430	119	142	8	6 796	160	15
2010–11	430	118	142	4	5 409	160	8
2011–12	430	143	164	1	2 238	160	4
2012–13	430	127	164	4	5 675	160	10
2013–14	430	129	152	2	5 534	160	16

Current catch limits for macrourids were derived from biomass estimates of the IPY-2008 trawl survey for the slope of the Ross Sea (see below). In each of the 2003–04, 2004–05, and 2005–06 seasons, the bycatch limit for *Macrourus* spp. was exceeded in at least one of the SSRUs leading to the closure of the fishery in those areas. No bycatch limit has been exceeded since then.

Current catch limits for Rajids and other species in Subarea 88.1 and Subarea 88.2 are proportional to the catch limit of *Dissostichus* species in each small-scale research unit (SSRU) based on the following rules:

- Rajids: 5% of the catch limit of *Dissostichus* spp. or 50 tonnes per SSRU whichever is greater;
- Other species combined: 20 tonnes per SSRU.

Catch limits for Rajids or for other species have never been exceeded.

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**Table 4: Catches of managed by-catch species (macrourids, rajids and other species) in Subarea 88.2. Rajids cut from the longlines and released are not included in these estimates. Source: fine-scale data.**

Season	Macrourids		Rajids			Other species	
	Catch limit (t)	Reported catch (t)	Catch limit (t)	Reported catch (t)	Number released	Catch limit (t)	Reported catch (t)
1996–97	-	0	-	0	-	-	0
1997–98	-	0	-	0	-	-	0
1998–99	-	0	-	0	-	-	0
1999–00	-	0	-	0	-	-	0
2000–01	-	0	-	0	-	-	0
2001–02	40	4	-	0	-	20	0
2002–03	60	18	-	0	-	140	8
2003–04	60	37	50	0	107	140	8
2004–05	60	21	50	0	-	140	3
2005–06	78	92	50	0	923	100	12
2006–07	88	54	50	0	-	100	13
2007–08	88	17	50	0	-	100	4
2008–09	90	58	50	0	265	100	14
2009–10	92	49	50	0	-	100	15
2010–11	92	52	50	0	171	100	13
2011–12	84	29	50	0	-	120	11
2012–13	84	25	50	0	-	120	8
2013–14	62	7	50	0	28	120	3

### 4.2 Population assessments for rajids and macrourids

O'Driscoll et al (2005) considered approaches to monitoring and assessing macrourids and rajids in Subarea 88.1 and recommended that a random bottom trawl survey would be the best approach to obtaining estimates of standing stock. Tag-recapture experiments for rajids, catch-curve analysis for macrourids and experimental manipulation of fishing effort are alternative methods that could be used to monitor abundance. An experimental skate tagging programme in the Ross Sea fishery was started in 2000, and a preliminary assessment of skates completed by Dunn et al (2007). The IPY trawl survey of the Ross Sea slope was carried out in 2008 leading to an assessment of macrourids for the first time. Further trawl survey work on the Ross Sea slope is planned for Feb 2015.

The use of acoustic data to monitor trends in relative abundance of macrourids has also been explored (O'Driscoll et al. 2012, Ladroit et al. 2014). These studies have shown positive correlations between acoustic targets and longline catches of grenadiers, and the acoustic target strength distribution of single targets is similar to that predicted, based on the expected size range of grenadiers. However, variability in spatial coverage between years means that it is currently not possible to obtain a consistent time-series of relative abundance estimates for grenadiers from acoustic data collected opportunistically by New Zealand vessels in the fishery.

### Rajids

Preliminary estimates of the age and growth of *Amblyraja georgiana* in the Ross Sea suggested that these skates initially grow very rapidly for about five years, after which growth almost ceases (Francis & Ó Maolagáin, 2005). However, Francis & Gallagher (2008) presented an alternative interpretation of age and growth in *A. georgiana* that is radically different from the published interpretation. By counting fine growth bands in the caudal thorns instead of broad diffuse bands, they generated growth curves that suggest much slower growth, greater ages at maturity (about 20 years compared with 6–11 years) and greater maximum ages (28–37 years compared with 14 years). Several pieces of circumstantial evidence support the new interpretation, but a validation study is required to determine which growth scenario is correct. Updated length-weight relationships for skates were provided by Francis (2010).

A fishery-wide tagging programme and sampling programme for skates was instituted by CCAMLR in 2008–09. It was anticipated that this initiative would lead to more Antarctic skates being tagged in Subareas 88.1 and 88.2. However, only 1907 and 99 skates were tagged in Subareas 88.1 and 88.2 respectively in 2008–09. This programme was extended for the 2009–10 season but discontinued in 2010–11.

Mormede & Dunn (2010) provided a characterisation of skate catches in the Ross Sea region. The paper concluded that aspects of the catch history were very uncertain, including the species composition, the

weight and number of skates caught, the proportion discarded, and the survival of those fish that were tagged. While the size composition of the commercial catch was uncertain before 2009 because of the low numbers sampled each year, data collected in 2008–10 resulted in improved estimates of the length frequency of the catch. Tag data were also improved, with a total of about 3 300 *Amblyraja georgiana* and 700 *Bathyraja* cf. *eatoni* tagged and a total of 179 skates recaptured as at 2010.

### Macrourids

In 2011, it was recognised that specimens originally identified in the Ross Sea region as *M. whitsoni* did in fact comprise two sympatric species: *M. whitsoni* and *M. caml* (McMillan et al 2012). *M. caml* grows larger than *M. whitsoni* and is about 20% heavier for a given length (Pinkerton et al 2013). The two species can be distinguished morphologically through two main characters (number of rays in the left pelvic fin; number of rows of teeth in the lower jaw). The distribution of *M. whitsoni* and *M. caml* seems to almost completely overlap by depth and area, with both appearing to be abundant between depths of 900 and 1900 m. Catches of females of both species exceed that of males (especially for *M. caml*) and this sex-selectivity cannot be explained by size or age of fish (Pinkerton et al 2013). It is almost certain that previous work which was presumed to have been carried out on *M. whitsoni* would actually have been carried out on a mix of the two species. However, it is now possible to distinguish between the two species based on their otolith morphometrics (Pinkerton et al. 2014), so otoliths collected in previous years of the fishery or from toothfish stomachs can now be identified to species.

Otolith aging data show that the two species have very different growth rates (Pinkerton et al 2013). *M. whitsoni* approaches full size at about 10–15 years of age and can live to at least 27 years, whereas *M. caml* reaches full size at about 15–20 years and can live in excess of 60 years. However, sexual maturity in female *M. whitsoni* is reached at 52 cm and 16 years, but in female *M. caml* at 46 cm and 13 years. Gonad staging data imply that the spawning period of both species is protracted extending from before December to after February.

Biomass and yield estimates of *Macrourus* spp. for the Ross Sea fishery (Subareas 88.1 and SSRUs 88.2A and 88.2B) based on extrapolations under three different density assumptions from a trawl survey were given by Hanchet et al (2008) (Table 5). The resulting biomass estimates had a CV of about 0.3.

Yield estimates were calculated using the constant density assumption when extrapolating the biomass estimate across the slope region, noting that this would provide a more precautionary estimate of yield than one based on extrapolations using longline CPUE data. The resulting biomass estimate for SSRUs 88.1HIK was 21 410 t which gave a yield estimate of 388 t. This yield estimate was then apportioned across the 5 SSRUs taking into account maximum historical catches (Table 16). The catch limits per SSRU detailed in Table 16 have been used by CCAMLR since the 2009–10 season.

**Table 5: Biomass estimates of *Macrourus* spp. from the trawl surveys for the BioRoss 400–600 and 600–800 m and IPY-CAML 600–1200 and 1200–2000 m strata and extrapolated biomass estimates (with CVs) for the remaining strata based on three methods of extrapolation.**

Survey	Depth range (m)	Biomass (t)	Extrapolated biomass (t)		
			constant density	CPUE (all vessels)	CPUE (NZ vessels)
BioRoss – 88.1H	400–600	230	230 (49)	230 (49)	230 (49)
BioRoss – 88.1H	600–800	3 531	3 531 (38)	3 531 (38)	3 531 (49)
SSRU 88.1H west	800–1200		92 (50)	83 (54)	103 (55)
SSRU 88.1H west	1200–2000		713 (40)	1 114 (49)	1 038 (47)
IPY - 88.1H	600–1200	975	975 (50)	975 (50)	975 (50)
IPY - 88.1H	1200–2000	3 356	3 356 (40)	3 356 (40)	3 356 (40)
SSRU 88.1 I	600–1200		3 297 (50)	7 883 (51)	5 992 (50)
SSRU 88.1 I	1200–2000		4 670 (40)	11 168 (42)	8 576 (41)
SSRU 88.1 K	600–1200		1 539 (50)	5 027 (51)	2 774 (51)
SSRU 88.1 K	1200–2000		2 998 (40)	5 995 (45)	9 111 (43)
HIK Sub-total			21 410		
SSRU 88.2 A+B	600–1200		1 404 (50)	1 396 (58)	857 (60)

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**Table 5 [Continued]**

Survey	Depth range (m)	Biomass (t)	Extrapolated biomass (t)		
			constant density	CPUE (all vessels)	CPUE (NZ vessels)
SSRU 88.2 A+B	1200–2000		4 087 (40)	525 (70)	—
88.2 A, B Sub-total			5 491		
Total			26 892 (29)	41 823(28)	36 542(30)

**Table 6: Estimate yield, maximum historic catch, and revised catch limit of *Macrourus* spp. for the Ross Sea fishery.**

Region	Estimated yield	Maximum historic catch	Revised catch limit
88.1BCG	-	34	40
88.1HIK	} 388	390	320
88.1JL		52	70
88.1M	0	0	0
88.2AB	100	8	0
Total	488		430

### Identification of levels of risk from bycatch

Risk categorisation tables were prepared for rajids and macrourids by O’Driscoll (2005) based on the risk status categories of Castro et al. (1999). *Amblyraja georgiana* were categorised as risk category 3, which are “species that are exploited by directed fisheries or bycatch, and have a limited reproductive potential, and/or other life history characteristics that make them especially vulnerable to overfishing, and/or that are being fished in their nursery areas”. The risk to *A. georgiana* is mitigated due to the requirement to cut rajids from longlines whilst still in the water and release them. *Macrourus whitsoni* were categorised as between risk category 2 and 3 but this analysis predates the realisation of two species of *Macrourus* in the Ross Sea. Risk category 2 includes “species pursued in directed fisheries, and/or regularly found in bycatch, whose catches have not decreased historically, probably due to their higher reproductive potential”.

Ecosystem effects associated with bycatch are thought to be less likely than those associated with predation release (see Section 5.4).

### Mitigation measures

Since the start of the 2000–01 season, rajids likely to survive have been cut free and released at the surface as a measure to reduce rajid mortality. The survival of at least some of these skates has been demonstrated by the recapture of over 130 tagged skates as of 2010 (Mormede & Dunn 2010), and by the results of survivorship experiment in tanks carried out by the UK.

There is a ‘move-on’ rule in place to help prevent excessive fishing in localised areas of high abundance of bycatch species. This rule requires a vessel to move to another location at least 5 n. miles distant if the bycatch of any one species is equal to or greater than 1 tonne in any one set. The vessel is not allowed to return to within 5 n. miles of the location where the bycatch exceeded 1 tonne for a period of at least five days.

### 4.3 Incidental catch (seabirds and marine mammals)

Only two seabirds have ever been caught in this toothfish fishery: both were Southern giant petrels (*Macronectes giganteus*). One was caught in 2003–04 and the second in 2013–14 (Table 7). Considerable effort has been put into mitigation of seabird captures in the fishery, through implementation of CCAMLR Conservation Measures regarding line sink rate, use of streamer lines, seasonal restrictions on fishing, prohibition of offal dumping, line weighting and only allowing daytime setting under strict conditions

**Table 7: Seabird incidental mortality limit, reported seabird incidental mortality, incidental mortality rate, and estimated incidental mortality in Subareas 88.1 and 88.2.**

Season	Incidental mortality limit	Incidental mortality rate (seabirds/thousand hooks)	Estimated incidental mortality
1997–98		0	0
1998–99		0	0
1999–00		0	0
2000–01		0	0
2001–02	3*	0	0
2002–03	3*	0	0
2003–04	3*	0.0001	1
2004–05	3*	0	0
2005–06	3*	0	0
2006–07	3*	0	0
2007–08	3*	0	0
2008–09	3*	0	0
2009–10	3*	0	0
2010–11	3*	0	0
2011–12	3*	0	0
2012–13	3*	0	0
2013–14	3*	0.0001	1

\* Per vessel during daytime setting.

Assessments of the potential risk of interaction between seabirds and longline fisheries (ranging from low to high) have remained unchanged since 2007. The risk levels of seabirds in the fishery in Subarea 88.1 is category 1 (low) south of 65°S, category 3 (average) north of 65°S and overall is category 3 (SC-CAMLR-XXX, Annex 8, paragraph 8.1).

Implementation of the required CCAMLR Conservation Measures has meant that seabird captures have been successfully avoided during this toothfish longline fishery. There is a high degree of certainty in the estimates provided of seabird captures, given the high level of observer coverage (100% of vessels covered by two observers, up to 40% of all hooks hauled directly observed).

#### 4.4 Maintenance of ecological relationships

Developments in evaluating ecosystem effects of the Antarctic toothfish fishery were discussed at the FEMA and FEMA II workshops (SC-CAMLR-XXVI/BG/6, paragraphs 45 to 48 and SC-CAMLR-XXVIII/3). The FEMA and FEMA II workshops noted that the fishery for Antarctic toothfish may affect ecological relationships in the Ross Sea region by interaction between toothfish and its predators and interactions between toothfish and its prey. Effects of fishing may also “cascade” through marine food-webs.

The predators of toothfish include Type C killer whales, sperm whales and Weddell seals (Eisert et al 2013, 2014; Torres et al 2013; Pinkerton et al 2010a). A mass-balance food-web model suggested that toothfish formed about 6–7% of the diet of its predators at the scale of the Ross Sea averaged over a year (Pinkerton et al 2010a). The model does not exclude the possibility that the consumption of toothfish in particular locations at particular times of the year, or by particular parts of predator populations may be important to some predators, even though the model suggests that the total consumption of toothfish by all individuals of a predator species is relatively low. At the time the model was compiled, there was a limited amount of data on consumption of toothfish by marine mammals, and results derived from this model should be treated as preliminary until better information can be obtained.

With respect to Weddell seals, Pinkerton et al (2008) and Eisert et al (2013) reviewed information on interactions with toothfish from habitat overlap, diver observations, animal-mounted cameras, observations from McMurdo Sound, stomach contents, vomit and scat (faecal) analysis, stable isotopes of carbon and nitrogen, and also compared natural mortality rates of Antarctic toothfish in McMurdo Sound with potential consumption by Weddell seals. Pinkerton et al. (2008) concluded that while toothfish are a prey item for Weddell seals in McMurdo Sound at least between October and January, the extent of the relationship was not known. Energetic analyses of other potential Weddell seal prey in McMurdo Sound compared to Weddell seal seasonal dietary requirements suggest that toothfish are likely to be important prey during particular times of year and in particular locations but are unlikely to be a major dietary component throughout the year (Eisert et al 2013). The contribution of toothfish to Weddell seal diets is being investigated over two time scales, (1) using scat DNA analysis during the

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post-breeding/moult period (identified as a period potentially requiring increased food intake to recover body condition lost during lactation), and (2) using stable isotope analysis of whiskers to obtain a dietary record for an entire annual cycle. Seals have been marked by injection of  $^{15}\text{N}$ -labelled glycine in the 2013/14 for recapture in the 2014/15 season. The  $^{15}\text{N}$ -label shows up as a spike in the whiskers and provides a time-stamp for the stable isotope pattern preserved in whiskers. In addition, winter foraging areas are being investigated using satellite-linked data loggers deployed on Weddell seals to investigate potential spatial overlap with the fishery and to identify areas of particular importance to these predators.

Torres et al (2013) considered the available evidence regarding the importance of toothfish as prey for killer whales in the Ross Sea. Killer whales with toothfish in their mouths have been observed in McMurdo Sound (Eisert et al., 2014), but the proportion of toothfish consumed by killer whales in the Ross Sea in general is not known. The available data – on habitat overlap, stable isotopes, and a comparison between natural mortality rates of Antarctic toothfish in McMurdo Sound and potential consumption by killer whales – were limited and inconclusive. At present, the balance of evidence suggests that toothfish are likely to be significant in the diet of type C killer whales in McMurdo Sound in summer, but it is not possible to say whether toothfish are an important prey item to type C killer whales in other locations on the Ross Sea shelf or at the scale of the whole Ross Sea shelf and slope (Torres et al 2013). An important consideration for type C killer whales, as for Weddell seals, is that toothfish, due to their large mass and high energy content, may be a unique food resource that is required to support periods of high energy demand such as lactation (Eisert et al. 2014). NZARI-funded research in the 2013-14 field season aim provided new data on this issue by (a) collecting dart (small tissue) biopsies for stable isotope analysis and (b) compiling a photo-identification catalogue of killer whales that can be used study habitat use, migration patterns, and to estimate abundance from mark-recapture analysis.

The mass-balance food-web model suggested that toothfish consumed 64% of the annual production of demersal species as prey items (Pinkerton et al 2010a), and so a reduction of the toothfish population might have a large impact on the mortality of these species through a “predation release” effect. The FEMA workshop noted that demersal fish are taken as by-catch so that a reduction in natural mortality may be partially offset by an increase in fishing mortality, but this offsetting effect is likely to be minor. As toothfish are large and mobile, their prey species are long-lived, and functional predator diversity seems to be low, then the potential predation release effect is likely to be high in the Ross Sea region (Pinkerton & Bradford-Grieve, 2014). Mormede et al. (2014d) described the development of a spatially explicit minimum realistic model of demersal fish population dynamics, predator–prey interactions, and fishery removals based on the spatial population model (SPM) for toothfish in the Ross Sea. The model includes *D. mawsoni* as well as macrourids and channichthyids, the two groups that make up ~50% of *D. mawsoni* prey. The model indicates that channichthyids, with a relatively high productivity, would be expected to substantially increase in abundance within fished locations as predation pressure by toothfish is decreased, particularly in SSRU 881H where historical fishery removals have been most concentrated. Macrourids would be expected to show a modest increase in biomass based on their lower productivity.

Changes to the abundance of toothfish prey species may have effects on other species in the food-web through second-order effects (e.g. a “keystone” effect<sup>2</sup> or trophic cascades<sup>3</sup>), however, these are likely to be dependent on the particular ecosystem and are difficult to predict. The potential ecosystem effects of fishing in the Ross Sea region were investigated using mixed trophic impact (MTI) analysis (Pinkerton & Bradford-Grieve, 2014). Overall, Antarctic toothfish had moderate trophic importance in the Ross Sea food web as a whole and the MTI analysis did not support the hypothesis that changes to toothfish will cascade through the ecosystem by simple trophic effects. Because of limitations to MTI analysis, cascading effects on the Ross Sea ecosystem due to changes in the abundance of toothfish cannot be ruled out, but, for such changes to occur, a mechanism other than simple trophic interactions is likely to be involved.

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<sup>2</sup> Keystone predators maintain biodiversity by preferentially consuming competitively dominant prey species. If keystone predators are removed or their biomass reduced, abundance of some prey species can increase to levels where they start to exclude subordinate competitors.

<sup>3</sup> Trophic cascade: reorganisation of the lower trophic levels of an ecosystem due to the change in abundance of a predator.

The FEMA II workshop also noted that the escapement level of 50% is the proportion of spawning biomass permitted to escape the fishery over the long term, and that as a consequence, the sub-mature fish would have a much higher escapement (e.g., > 90% for fish < 100 cm) (SC-CAMLR-XXVIII, Annex 3, Figure 1). However, the FEMA II workshop noted that the escapement level in the decision rule for the spawning biomass may need to be modified upwards if the size/age classes of *Dissostichus* spp. that are important prey for predators are reduced below the level needed to safeguard predators.

#### 4.5 Effects of fishing on biogenic habitats

In 2006, the United Nations General Assembly (UNGA) agreed the Sustainable Fisheries Resolution (61/105), which calls on States and RFMOs or other arrangements to ensure fish stocks are managed sustainably and to prevent significant adverse impacts on vulnerable marine ecosystems (VMEs, UNGA Resolution 61/105, OP80–OP91). The 23 taxa included as VME indicator taxa (Parker & Bowden 2010) are defined in the CCAMLR VME taxa classification guide, which is available on the CCAMLR website (<http://www.ccamlr.org/pu/e/sc/obs/vme-guide.pdf>).

CCAMLR has implemented several Conservation Measures pertaining to VMEs that form an approach to constrain gear types used, constrain areas fished, monitor fishing effort for evidence of VMEs, and to provide information in order to evaluate the potential effects of fishing on VMEs.

Sharp et al (2009) developed a bottom fishing impact assessment method, which was revised by Sharp (2010), and subsequently adopted by the Commission and used to summarise the current spatially-resolved fishing footprint and potential impact (% mortality) within the fishing footprint. This assessment method has demonstrated that regardless of the distribution of VMEs within the fishing footprint, the level of impact is exceptionally low.

Parker et al (2010) analysed spatial patterns of VME taxa from fishery bycatch in the Ross Sea region. Some taxa are relatively common as bycatch (e.g. Porifera, anemones, stylasterid hydrocorals) and the detectability of habitats containing these taxa with autoline longline gear is moderate to high (e.g., 70+%), enabling the use of fishery longline bycatch as a monitoring tool. This study also showed that VME taxa distributions vary spatially within the Ross Sea, and that some areas have shown no evidence of VME taxa despite consistent fishing effort.

Following fishery impacts, the potential recovery times for the VME taxa in the Ross Sea with the lowest productivities were evaluated with a spatially explicit production model (Dunn et al 2010). This model also showed that with current understandings of fishing gear performance, fishing effort distribution, and VME taxon life history, fishery impacts are low and recovery is likely to take place under the current management response to high bycatch levels. However, methods to determine the presence of high densities of rare taxonomic groups or unique community assemblages specific to the Ross Sea Region may need to be developed.

CCAMLR maintains a register of designated VMEs with two designated on the Admiralty seamount in the Ross Sea. VME Risk Areas have also been designated based on an observed fishery bycatch of > 10 kg or litres of VME taxa in a 1200-m longline segment. A total of 48 VME Risk Areas have been designated in Subareas 88.1 and 16 in Subarea 88.2, each closing a 1 nautical mile radius area surrounding the location of the bycatch observation to bottom fishing until reviewed by the Commission.

#### 4.6 Ecosystem indicators

At present our ability to predict the effects of the toothfish fishery on ecosystem relationships in the Ross Sea region is limited. There is a need to establish appropriate monitoring in the Ross Sea to ascertain how species and ecological relationships are affected by the fishery. Monitoring should focus on species most likely to be affected by the toothfish fishery in the first instance. Baseline data on toothfish diet has been developed. Periodic analysis of the stomach-contents of toothfish can be used to look for changes in toothfish diet that may be indicative of changes to the demersal fish community. Better direct information is required on the abundance of *Macrourus* spp. and icefish on the Ross Sea slope which should be collected from the Feb 2015 survey. Research continues to test to what extent



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acoustic methods could be used to detect changes in *Macrourus* spp. abundance at the fishery scale (O'Driscoll et al 2012, Ladroit et al. 2014).

NZARI-funded research in the 2013-14 field season has provided new data that strengthen the case for the importance of toothfish as prey for Weddell seals and type C killer whales in the southwest Ross Sea in summer. Annual surveys of sub-adult toothfish abundance in the southwest Ross Sea have been carried out since the 2011–12 season and the intention is for these to continue annually. As well as providing an index of abundance of 5–10 year old toothfish this survey will provide information on changes to the availability of toothfish to predators in this region.

## 5. STOCK ASSESSMENT

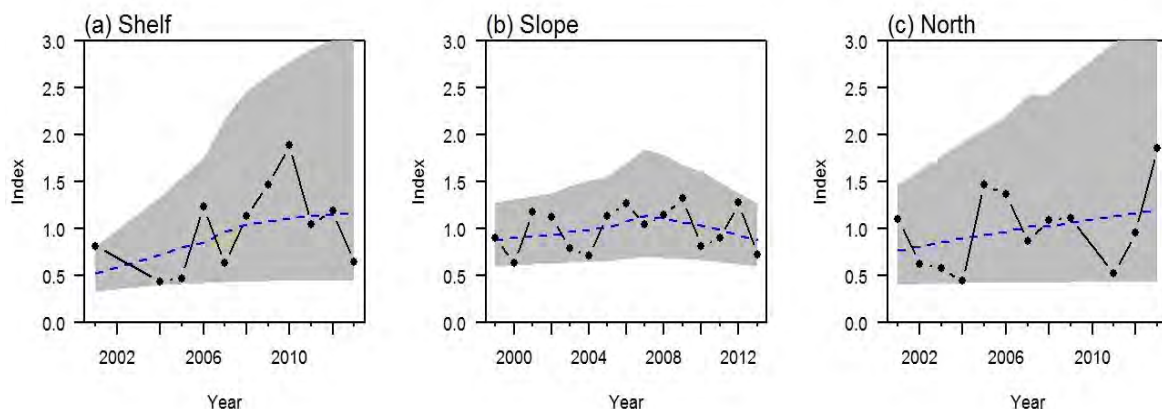
Estimates of biomass and long term yield (using the CCAMLR Decision Rules) were provided in 2013 for Antarctic toothfish for the Ross Sea stock (Subarea 88.1 and Subarea 88.2 SSRUs 88.2A and B) based on analyses using catch-at-age from the commercial fishery, tag-recapture data, and estimates of biological parameters as reported below (Mormede et al. 2013). This was the sixth stock assessment of the Ross Sea fishery. The approach used in previous assessments of the Amundsen Sea stock (Subarea 88.2 SSRUs 88.2C–H) was rejected by CCAMLR because the models were unable to fit the patterns in the tag recapture data. Instead, a two-year research plan was developed by CCAMLR to collect the data required to address uncertainties in the previous assessment model. The key aspects of the plan, including derivation of catch limits are discussed below under Section 4.2(ii).

### 5.1 Estimates of fishery parameters and abundance indices

#### CPUE indices

A standardised CPUE analysis of the Antarctic toothfish fishery in the Ross Sea fishery showed a gradually increasing trend over the course of the fishery for the shelf and north fisheries, and an increase followed by a decrease for the slope fishery (Hanchet et al 2013) (Figure 2). The pattern for the Ross Sea fishery overall was similar to the slope fishery.

The patterns of increase and declines in the CPUE indices are thought to reflect a combination of either good or poor ice conditions, vessel interactions, increasing fisher learning and experience, improved knowledge of optimum fishing practice, improvements in gear, and regulation changes (i.e., move-on rules and research set requirements) rather than toothfish abundance, and will also be affected by movement patterns of toothfish (Maunder et al 2006).



**Figure 2: Relative CPUE (scaled to have mean of one) for the Ross Sea fishery showing CPUE indices for the Shelf, Slope, and North, 1999–2013. Blue dashed lines show smoothed fit with 95% confidence intervals (grey area).**

A standardised CPUE analysis of the Antarctic toothfish fishery in SSRU 88.2H showed a steep decline at the beginning of the fishery when there had still been little fishing in the area followed by a more recent period of stability. Standardised CPUE in SSRUs 88.2C–G shows an increase over time. In both

SSRU 88.2H and SSRUs 88.2C–G the confidence bounds were very wide for the first part and later part of the time series respectively (Hanchet et al 2013) (Figure 3). There has been little consistent fishing effort in Subarea 88.2 and the patterns of increase and declines in the CPUE indices are thought to reflect a combination of either good or poor ice conditions, vessel interactions, increasing fisher learning and experience, improved knowledge of optimum fishing practice, improvements in gear, and regulation changes (i.e., move-on rules and research set requirements) rather than toothfish abundance, and will also be affected by movement patterns of toothfish (Maunder et al 2006).

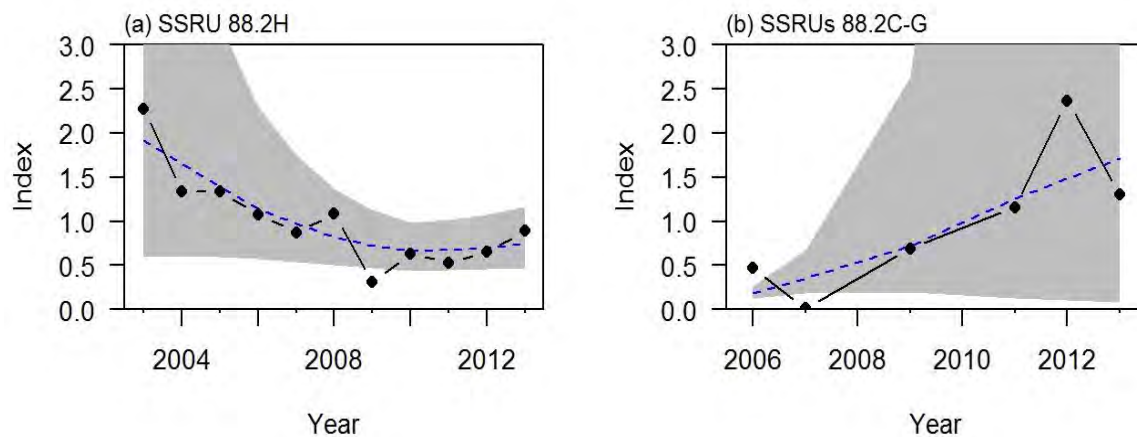


Figure 3: Relative CPUE indices (scaled to have mean of one) for (a) the SSRU 88.2H fishery, and (b) the SSRU 88.2C–G fishery. Blue dashed lines show smoothed fit with 95% confidence intervals (grey area).

### Tag-recapture data

The tagging program for *Dissostichus* spp. in the Ross Sea was first initiated in the 2000–01 season in Subarea 88.1 by New Zealand vessels participating in the fishery (Parker al 2013). Since then, the toothfish tagging program has been extended to all vessels participating in the fishery and to Subarea 88.2. An index of vessel-specific tag detection performance for the Ross Sea fishery using a case-control methodology was developed by Mormede & Dunn (2013). The method controls for the inter-annual spatial and temporal variability of commercial fishing operations from which tags are released and recaptured. Selection criteria to determine a subset of vessels for which there was confidence in their tag-recapture data were developed and then applied, resulting in the tagging dataset used for the assessment models (Mormede et al. 2013a).

Since 2001, more than 38 000 *Dissostichus* spp. have been tagged in Subareas 88.1 and 88.2, with almost 34 000 and 4 200 *D. mawsoni* in the Ross Sea and SSRUs 88.2C–H respectively. Table 8 shows the number of releases and recaptured Antarctic toothfish for the Ross Sea fishery from all trips and selected trips — note that recaptured fish at liberty for more than six years, and within-season recaptures, were not used in the assessment.

Although over 700 tags have been released on the shelf and slope of Subarea 88.2 (SSRUs 88.2C–G), only two of these fish have been recaptured, likely reflecting the inconsistent pattern of fishing in these areas. The tag data set used in the stock assessment was therefore restricted to those tags released and recaptured from the seamounts in the north (SSRU 88.2H), hereafter referred to as the ‘north’ fishery (Table 9).

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**Table 8: Numbers of Ross Sea Antarctic toothfish with tags released for the years 2001–2013 by all and ‘selected’ trips, and the number recaptured in 2001–2013 by all and ‘selected’ trips. Note 2001 is the 2000–01 season. Numbers in italics correspond to fish which have been at liberty for over six years.**

Data	Released fish		Recaptures												Total
	Year	Number	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	
Selected vessels	2001	259	1	1	0	0	0	1	<i>1</i>	<i>1</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	5
	2002	684	2	9	3	9	8	13	6	5	2	3	0	1	61
	2003	834	–	6	9	9	2	8	2	2	<i>1</i>	2	2	0	43
	2004	1 221	–	–	4	19	17	26	22	5	10	<i>10</i>	6	12	131
	2005	2 691	–	–	–	6	21	27	27	7	34	10	<i>11</i>	<i>11</i>	154
	2006	2 257	–	–	–	–	11	87	67	13	20	13	0	6	217
	2007	2 921	–	–	–	–	–	18	58	21	46	20	10	19	192
	2008	2 151	–	–	–	–	–	–	13	16	20	17	5	20	91
	2009	1 825	–	–	–	–	–	–	–	5	27	28	7	14	81
	2010	2 170	–	–	–	–	–	–	–	–	21	49	16	27	113
	2011	2 213	–	–	–	–	–	–	–	–	–	7	25	31	63
	2012	2 115	–	–	–	–	–	–	–	–	–	–	7	8	15
	2013	2 285	–	–	–	–	–	–	–	–	–	–	–	9	9
	Total	23 626	3	16	17	44	60	180	197	75	181	162	91	161	1 187
All vessels	2001	259	1	1	0	0	0	1	<i>1</i>	<i>1</i>	<i>0</i>	<i>0</i>	<i>1</i>	<i>0</i>	6
	2002	684	2	9	4	9	8	13	6	5	2	5	0	2	65
	2003	862	–	6	13	9	2	9	2	2	2	2	2	1	50
	2004	2 031	–	–	9	22	19	32	26	12	13	<i>11</i>	<i>11</i>	13	168
	2005	3 276	–	–	–	8	26	29	30	11	47	15	13	18	197
	2006	3 035	–	–	–	–	11	89	68	15	28	20	4	13	248
	2007	3 545	–	–	–	–	–	18	62	22	50	24	13	21	210
	2008	2 514	–	–	–	–	–	–	14	19	36	18	9	22	118
	2009	2 829	–	–	–	–	–	–	–	9	41	37	10	24	121
	2010	3 064	–	–	–	–	–	–	–	–	27	58	21	32	138
	2011	3 081	–	–	–	–	–	–	–	–	–	12	36	43	91
	2012	3 827	–	–	–	–	–	–	–	–	–	–	9	17	26
	2013	3 748	–	–	–	–	–	–	–	–	–	–	–	12	12
	Total	32 755	3	16	28	53	68	191	210	96	247	206	131	222	1 471

**Table 9: Numbers of SSRU 88.2H Antarctic toothfish with tags released in 2003–2013 and recaptured in 2003–2013 for selected vessels and all vessels. Numbers in italics correspond to fish which have been at liberty for over six years.**

Area	Released fish												Recaptures	
	Year	Number	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
Selected vessels	2003	0	0	0	0	0	0	0	0	0	0	0	0	0
	2004	159	–	7	4	3	2	1	0	0	0	0	0	17
	2005	269	–	–	5	3	1	1	1	1	0	1	0	13
	2006	260	–	–	–	12	20	3	2	0	1	1	0	39
	2007	210	–	–	–	–	4	6	4	3	0	0	0	17
	2008	387	–	–	–	–	–	22	15	5	0	0	1	43
	2009	303	–	–	–	–	–	–	28	15	9	5	0	57
	2010	259	–	–	–	–	–	–	–	15	30	14	3	62
	2011	360	–	–	–	–	–	–	–	–	14	33	2	49
	2012	384	–	–	–	–	–	–	–	–	–	27	34	61
	2013	294	–	–	–	–	–	–	–	–	–	–	8	8
	Total	2 885	0	7	9	18	27	33	50	39	54	82	49	368

Table 9 [continued]

All														
vessels	2003	94	0	1	1	2	0	0	0	0	0	0	0	4
	2004	397	–	15	10	9	5	1	0	0	0	0	0	40
	2005	269	–	–	5	4	1	1	1	1	0	1	0	14
	2006	271	–	–	–	12	21	3	2	0	2	1	0	41
	2007	277	–	–	–	–	6	6	4	3	0	0	1	20
	2008	389	–	–	–	–	–	25	16	6	0	0	1	48
	2009	340	–	–	–	–	–	–	32	16	10	5	1	64
	2010	315	–	–	–	–	–	–	–	17	32	15	3	67
	2011	427	–	–	–	–	–	–	–	–	14	36	4	54
	2012	422	–	–	–	–	–	–	–	–	–	27	35	62
	2013	381	–	–	–	–	–	–	–	–	–	–	8	8
	Total	3 582	0	16	16	27	33	36	55	43	58	86	54	424

### Catch-at-age data

Strata for the Antarctic toothfish length and age frequency data were determined using tree-based regression (a post-stratification method) (Hanchet et al 2013). The analysis used the median length of fish in each longline set, and the explanatory variables SSRU and depth. On average, about 800 Antarctic toothfish otoliths collected by observers were selected for ageing each year, and used to construct annual area-specific age-length keys (ALKs) for the Ross Sea region. Age data were available for the 1998–99 to 2011–12 seasons, but were not available for the 2012–13 season. In the Ross Sea, ALKs for each sex were applied to the shelf/slope fisheries and the north fishery separately. The ALKs were applied to the scaled length-frequency distributions for each year to produce annual catch-at-age distributions (Hanchet et al 2013).

In the Subarea 88.2 (SSRU 88.2C–H) fishery, otoliths were only available from the New Zealand fleet, which did not fish there every year. Therefore, for this fishery a single ALK for each sex using otolith ages from all available years was used to construct annual age frequencies for the ‘north’, SSRU 88.2G, and ‘south’ fisheries separately. As a sensitivity, annual age-length keys for the ‘north’ fishery were calculated in the years when sufficient information was available, and applied to the length frequencies in these years.

### Recruitment surveys

Three years of an annual research longline survey of sub-adult (70–110 cm long) toothfish have now been carried out in the southern Ross Sea (Hanchet et al 2012, Parker et al 2013, Mormede et al. 2014c). Catches and size structure were similar between the two surveys but also showed indications of year class progression in the age distributions. Incorporating the survey age structure into the assessment as a sensitivity analysis had the effect of stabilizing the index of year class strength; on this basis continuation of the survey has been recommended.

### Parameter estimates

A list of parameter values used for the assessments is given in Table 10.

## 4.2 Biomass estimates

### (i) The Ross Sea fishery (Subarea 88.1 and SSRUs 88.2A and s88.2B)

#### The stock assessment model

The model was sex- and age-structured, with ages from 1–50, where the last age group was a plus group (Mormede et al 2013a). The annual cycle was broken into three discrete time steps, nominally summer (November–April), winter (May–October), and end-winter (age-incrementation) (Table 7).

## TOOTHFISH (TOT)

**Table 10: Parameter values for *D. mawsoni* in Subarea 88.1 and 88.2.**

Component	Parameter	Value			Units
		Male	Female	All	
Natural mortality	$M$	0.13	0.13		$y^{-1}$
VBGF	$K$	0.093	0.090		$y^{-1}$
VBGF	$t_0$	-0.256	0.021		y
VBGF	$L_{\infty}$	169.07	180.20		cm
Length to mass	' $a$ '	0.00001387	0.00000715		cm, kg
Length to mass	' $b$ '	2.965	3.108		
Length to mass variability (CV)				0.1	
Maturity	$A_{m50}$	12.8	16.6		y
Range: 5% to 95% maturity		9.3–16.3	9.3–23.9		y
Recruitment variability	$\sigma_R$			0.6	
Stock recruit steepness (Beverton-Holt)	$h$			0.75	
Ageing error (CV)				0.1	
Initial tagging mortality				10%	
Instantaneous tag loss rate (single tagged)				0.062	$y^{-1}$
Instantaneous tag loss rate (double tagged)				0.0084	$y^{-1}$
Tag detection rate				98.7%	
Tagging related growth retardation (TRGR)				0.5	y

**Table 11: Annual cycle of the stock model, showing the processes taking place at each time step, their sequence within each time step, and the available observations. Fishing and natural mortality that occur within a time step occur after all other processes, with half of the natural mortality for that time step occurring before and half after the fishing mortality.**

Step	Period	Processes	$M^1$	Age <sup>2</sup>	Observations	
					Description	$M^3$
1	Nov–April	Recruitment and fishing mortality	0.5	0.0	Tag-recapture	0.5
2	May–November	Spawning	0.5	0.0	Catch-at-age proportions	0.5
3	-	Increment age	0.0	1.0		

<sup>1</sup>  $M$  is the proportion of natural mortality that was assumed to have occurred in that time step.

<sup>2</sup> Age is the age fraction, used for determining length at age, which was assumed to occur in that time step.

<sup>3</sup>  $M$  is the proportion of the natural mortality in each time step that was assumed to have taken place at the time each observation was made.

The model was run from 1995 to 2013, and was initialised assuming an equilibrium age structure at an unfished equilibrium biomass, i.e., a constant recruitment assumption. Recruitment was assumed to occur at the beginning of the first (summer) time step. Recruitment was assumed to be 50:50 male to female, and was parameterised as a year class strength multiplier (assumed to have mean equal to one over a defined range of years), multiplied by an average (unfished) recruitment ( $R_0$ ) and a spawning stock-recruitment relationship. In this model, the year class strength multipliers were assumed fixed, and set equal to 1.

The base-case model was implemented as a single-area, three-fishery model. A single area was defined with the catch removed using three concurrent fisheries (slope, shelf and north). Each fishery was parameterised by a sex-based double-normal selectivity ogive (i.e. domed selectivity) and allowed for annual selectivity shifts that shifted left or right (shelf fishery) with changes in the mean depth of the fishery (slope and north fisheries in the Ross Sea). The double-normal selectivity was parameterised using four estimable parameters and allowed for differences in maximum selectivity by sex – the maximum selectivity was fixed at one for males, but estimated for females. The double-normal selectivity ogive was employed as it allowed the estimation of a declining right-hand limb in the selectivity curve.

Fishing mortality was applied only in the first (summer) time step. The process was to remove half of the natural mortality occurring in that time step, then apply the mortality from the fisheries instantaneously, then to remove the remaining half of the natural mortality.

The population model structure includes tag–release and tag–recapture events. Each tagged fish was assigned an age–sex based on its length and the modelled population structure of fish at that age and sex. Tagging from each year was applied as a single tagging event. The usual population processes (natural mortality, fishing mortality etc.) were then applied over the tagged and untagged components of the model simultaneously. Tagged fish were assumed to suffer a retardation of growth from the effect

of tagging (TRGR), equal to 0.5 of a year for the year immediately following release.

### Model estimation

The model parameters were estimated using Bayesian analysis, first by maximising an objective function (MPD), which is the combination of the likelihoods from the data, prior expectations of the values of the those parameters, and penalties that constrain the parameterisations; and second, by estimating the Bayesian posterior distributions using Monte Carlo Markov Chains (MCMCs). Initial model fits were evaluated at the MPD, by investigating model fits and residuals. Parameter uncertainty was estimated using MCMCs. These were estimated using a burn-in length of  $5 \times 10^5$  iterations; with every 1000<sup>th</sup> sample taken from the next  $1 \times 10^6$  iterations (i.e. a final sample of length 1000 was taken).

### Observation assumptions

The catch proportions-at-age data for 1998–2012 were fitted to the modelled proportions-at-age composition using a multinomial likelihood. Following previous recommendations of WG-SAM that CPUE indices were not indexing changes in abundance, the CPUE indices were not used. Tag–release events were defined for the 2001–2012 years. Within-season recaptures were ignored. Tag–release events were assumed to have occurred at the end of the first (summer) time step, following all (summer) natural and fishing mortality.

The estimated number of scanned fish (i.e. those fish that were caught and inspected for a possible tag) was derived from the sum of the scaled length frequencies from the vessel observer records, plus the numbers of fish tagged and released. Tag recapture events were assumed to occur at the end of the first (summer) time step, and were assumed to have a detection probability of 98.7% to account for unlinked tags.

For each year, the recovered tags at length for each release event were fitted, in 10 cm length classes (range 40–230 cm), using a binomial likelihood.

### Process error and data weighting

Additional variance, assumed to arise from differences between model simplifications and real world variation, was added to the sampling variance for all observations, following the methods of Francis (2011). Adding such additional errors to each observation type has two main effects, (i) it alters the relative weighting of each of the data sets (observations) used in the model, and (ii) it typically increases the overall uncertainty of the model, leading to wider credible bounds on the estimated and derived parameters. The additional variance, termed process error, was estimated for each MPD run, and the total error assumed for each observation was calculated by adding process error and observation error. A single process error was estimated for each of the observation types (i.e. one for the catch-at-age data and one for the tag-recapture data).

### Penalties

Two types of penalties were included within the model. First, the penalty on the catch constrained the model from returning parameter estimates where the population biomass was such that the catch from an individual year would exceed the maximum exploitation rate (see earlier). Second, a tagging penalty discouraged population estimates that were too low to allow the correct number of fish to be tagged.

### Priors

The parameters estimated by the models, their priors, the starting values for the minimisation, and their bounds are given in Table 12. In models presented here, priors were chosen that were relatively non-informative and that also encouraged conservative estimates of  $B_0$ .

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**Table 12: Number (N), start values, priors, and bounds for the free parameters (when estimated) for the Ross Sea base case.**

Parameter	<i>N</i>	Start value	Prior	Bounds	
				Lower	Upper
$B_0$	1	80 000	Uniform-log	$1 \times 10^4$	$1 \times 10^6$
Male fishing selectivities	$a_I$	8.0	Uniform	1.0	50.0
	$s_L$	4.0	Uniform	1.0	50.0
	$s_R$	10.0	Uniform	1.0	500.0
Female fishing selectivities	$a_{max}$	1.0	Uniform	0.01	10.0
	$a_I$	8.0	Uniform	1.0	50.0
	$s_L$	4.0	Uniform	1.0	50.0
	$s_R$	10.0	Uniform	1.0	500.0
Selectivity shift ( $ykm^{-1}$ )	$E$	2	Uniform	0.0	20.0
Annual selectivity shift <sup>1</sup>	$E_f$	14	Uniform	-10.0	10.0

### Base case and sensitivity models

The model runs conducted for the base case (R2) and sensitivity tests (R1 and R3) are described in Table 13. The base-case model included tag–release and tag–recapture data from only the ‘selected’ trips. Sensitivity models were determined as modifications to the base-case, and were chosen to investigate the effect of alternative data and selectivity assumptions in the assessment.

### Model estimates

MCMC samples from the posterior were estimated. MCMC diagnostics suggested no evidence of poor convergence in the key biomass parameters and between-sample autocorrelations were low.

**Table 13: Labels and description of the Ross Sea base case and sensitivity models.**

Model	Description
R1	2013 implementation of the 2011 base case
R2	Base case: Model R1, with updated data selection method, maturity curve, and data weighting
R3	Model R2, with logistic selectivity in the north

Key output parameters for the base case (R2) are summarised in Table 14 and the posterior distributions are shown in Figure 4. MCMC estimates of initial (equilibrium) spawning stock biomass ( $B_0$ ) were 68 790 tonnes (95% credible intervals 59 540 – 78 470 tonnes), and current ( $B_{2013}$ ) biomass was estimated as 75%  $B_0$  (95% CIs 71–78%). Results of sensitivity models are shown in Table 14. The increase in uncertainty in the parameter estimates (wider CIs) in models R2 and R3 compared to model R1 can be attributed to the use of the Francis (2011) data weighting method in those two models.

Diagnostic plots of the observed proportions-at-age of the catch versus expected values show little evidence of inadequate model fit. Estimated selectivity curves appeared reasonable, with strong evidence of domed shaped selectivity, although the sensitivity run with logistic selectivity (R3) showed little difference with the base-case model (R2). The tag-recapture data are reasonably well fitted, and provide most of the information on abundance in the model.

**Table 14: Median MCMC estimates (and 95% credible intervals) of  $B_0$ ,  $B_{2013}$ , and  $B_{2013}$  as % $B_0$  for the Ross Sea base case (R2) and sensitivity models. The 2011 base case model is also reported (model 2011).**

Model	$B_0$	$B_{2013}$	$B_{2013}$ (% $B_0$ )
2011	73 870 (69 070 – 78 880)	—	—
R1	83 880 (78 650 – 90 270)	66 400 (61 170 – 72 670)	79.1 (78 – 81)
R2	68 790 (59 540 – 78 470)	51 530 (42 330 – 61 120)	74.8 (71 – 78)
R3	69 410 (60 650 – 79 920)	52 150 (43 420 – 62 670)	75.2 (72 – 78)

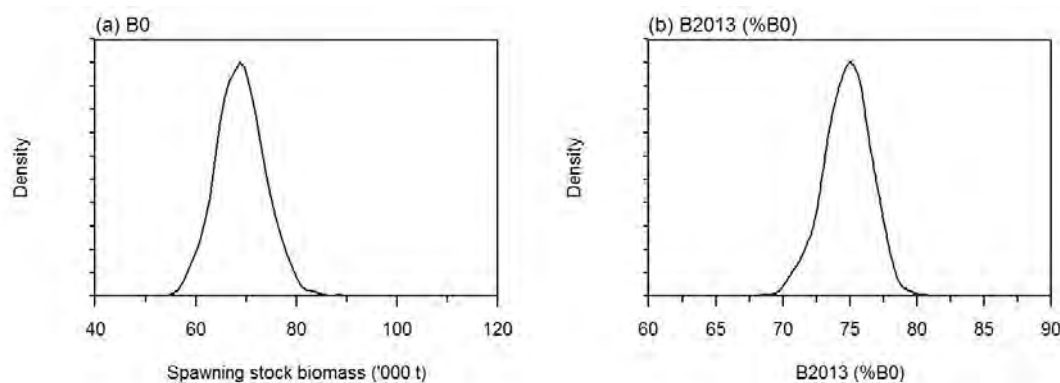


Figure 4: MCMC posterior distributions of (a)  $B_0$  and (b) current biomass ( $\%B_{2013}/B_0$ ) for the Ross Sea base case model.

## (ii) The Amundsen Sea fishery (Subarea 88.2 SSRUs 88.2C–H)

A single area stock assessment model of the Amundsen Sea fishery was unable to fit the trends in the tag-recapture data, which came almost entirely from SSRU 88.2H (Mormede et al. (2014a). Fits to the tag data from a two-area developmental model (SSRUs C–G versus SSRU H) were more encouraging, but identified the need for additional recaptures of tagged fish from the southern SSRUs 88.2C–G (Mormede et al. 2014b). At its 2014 meeting, a two-year research plan was developed by CAMLR with the objective of collecting additional data from the southern SSRUs so that an estimate of abundance could be developed for these SSRUs, and hence the entire fishery.

The key feature of the plan was to restrict fishing effort to grounds in SSRUs 88.2C–G which had been fished previously to facilitate the recapture of previously tagged toothfish during year 1. Four fishing grounds were identified where fishing should take place based on an analysis by Hanchet et al. (2014). The tagging rate was also increased from 1 tag per tonne to 3 tags per tonne so that more tagged fish would be available for recapture in year 2 and subsequent years. Analysis of ice conditions by Hanchet et al. (2014) demonstrated that in most years one or more of the grounds were inaccessible or unfishable due to ice, and so some flexibility was necessary in prescribing areas where fishing would be allowed.

Catch limits for the research plan were derived from Petersen biomass estimates based on recaptures of tagged fish from SSRU 88.2H. Parker & Mormede (2014) demonstrated that estimates of biomass for SSRU 88.2H were biased upwards for each successive year that the tagged fish had been at liberty, probably as a result of immigration of untagged fish from a source population (Parker 2014). Therefore, CCAMLR agreed that a catch limit for SSRU 88.2H should be based on the number of recaptures of tagged fish which had been at liberty for a single year. The resulting biomass estimate of 5000 tonnes was multiplied by an exploitation rate of 4% to give a catch limit of 200 tonnes for 88.2H.

CCAMLR also agreed that an estimate of biomass based on the number of recaptures of tagged fish from SSRU 88.2H which had been at liberty for all years could apply to the entire stock in SSRUs 88.2C–H. The resulting estimate of biomass of 20 649 tonnes (Goncharov & Petrov 2014) was multiplied by an exploitation rate of 3% to give a catch limit of 619 tonnes for the entire stock. It should be noted that this latter estimate of biomass and yield did not include any tag recapture data (i.e., number of tagged fish released, tagged fish recaptured or scanned fish) from the south, and was based on the assumption that all fish tagged in the north would have been available for recapture in the south. By subtraction, the catch limit for 88.2C–G (constrained to 4 research blocks) was 419 t which had the added effect of releasing many more tagged fish in the south given the increase in TACC.

The final research plan was approved for two years and had the following components:

- (i) the plan will be in place for 2014/15 and 2015/16. Results of this research plan will be summarised and presented for review by the working groups for further recommendations by the Scientific Committee for the Conservation of Antarctic Marine Living Resources (SC-CAMLR) in 2016
- (ii) the catch limit for SSRU 88.2H will be 200 tonnes
- (iii) the fishing in SSRUs 88.2C–G will be restricted to four fishing grounds



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- (iv) the combined catch limit for SSRUs 88.2C–G will be 419 tonnes, with no more than 200 tonnes to be taken from any one of the fishing grounds in (iii)
- (v) toothfish will be tagged at the rate of 3 fish per tonne in SSRUs 88.2C–G and 1 fish per tonne in SSRU 88.2H

### 4.3 Yield estimates and projections

Yields were estimated for the Ross Sea stock using the methods described in Mormede et al (2013a). For each sample from the posterior distribution estimated for each model, the stock status was projected forward 35 years under a scenario of a constant annual catch (i.e., for the period 2014–2049). Recruitment from 2005–2048 was assumed to be lognormally distributed with a standard deviation of 0.6 with a Beverton-Holt stock-recruitment steepness  $h = 0.75$ . Future catch was assumed to follow the same split between fisheries as that in the years 2011–2013 (i.e. 11%, 75% and 14% of the total future catch was allocated to the shelf, slope and north fisheries respectively). The selectivity shift was assumed to be the average of shifts estimated for previous years.

The decision rules are  $rule_1 = \max(Pr[SSB_i < 0.2 \times B_0]) \leq 0.10$ , where  $i$  is any year in the projection period, and  $rule_2 = Pr[SSB_{+35} < 0.5 \times B_0] \leq 0.50$ . They were evaluated by calculating the maximum future catch that meets both decision rule criteria.

The constant catch for which there was median escapement of 50% of the median pre-exploitation spawning biomass level at the end of the 35-year projection period was 3044 tonnes. At this yield there is a less than 10% chance of spawning biomass dropping to less than 20% of the initial biomass. The allocation method used to set the 2009–10 catch limits for SSRUs in Subarea 88.1 was continued for 2013–14 and 2014–15. In 2014–15 this resulted in 371 tonnes in the north (SSRUs 88.1B, C, G), 2 099 tonnes on the slope (SSRUs 88.1H, I, K) and 306 tonnes on the shelf (SSRUs 88.1J, L). An additional 68 tonnes was set aside from the shelf catch limit for a directed research survey for sub-adult toothfish on the shelf in 2014–15 while a further 200 tonnes was set aside from the total catch limit for a directed 4-vessel multi-member research survey to study toothfish movement and map bathymetry in the north of SSRUs 88.2A and B in 2014–15.

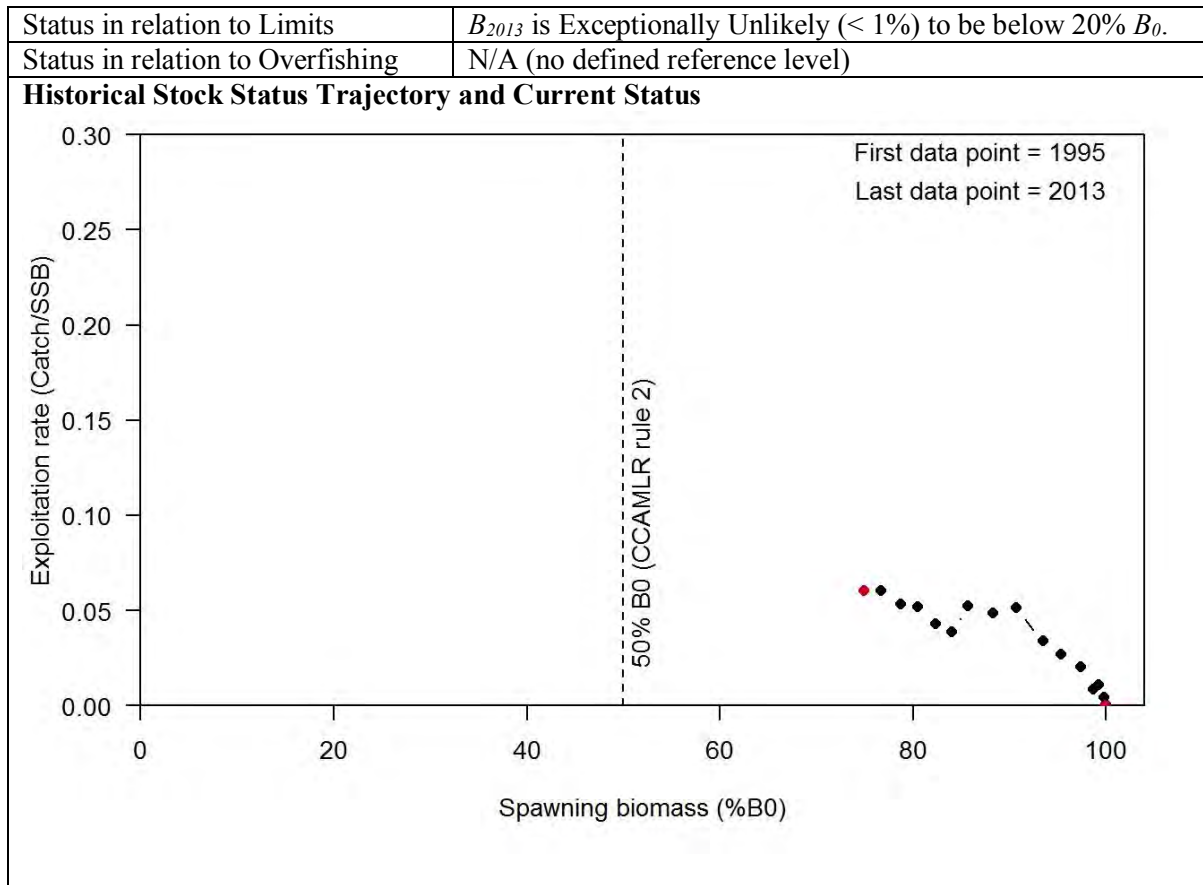
## 6. STATUS OF THE STOCKS

### Stock structure assumptions

Uncertainty remains with respect to spawning dynamics and early life history of Antarctic toothfish. The present hypothesis is that Antarctic toothfish in Subareas 88.1 and 88.2 spawn to the north of the Antarctic continental slope, mainly on the ridges and banks of the Pacific-Antarctic Ridge. It has been recommended that for stock assessment purposes Subarea 88.1 and SSRUs 88.2A and 88.2B be treated as a ‘Ross Sea’ stock, whilst Subarea 88.2 SSRU 88.2C–H be treated as a separate ‘Amundsen Sea’ stock. In 2014, the Commission of CAMLR recognised that whilst there had been a large number of tagged fish recaptured in SSRU 88.2H, very few tags had been recaptured in 88.2C–G and a change in management was required to address this issue. It is also noted that the stock affinity of the toothfish in Subareas 88.1 and 88.2 with toothfish in surrounding areas is not well understood, however the current stock structure used in the stock assessments should be continued.

- **Ross Sea stock**

Stock Status	
Year of Most Recent Assessment	2013
Assessment Runs Presented	A single base case model (R2) was accepted by CCAMLR.
Reference Points	CCAMLR decision rule 1: 20% $B_0$ with $Pr(SSB > 20\% B_0) \geq 0.9$ CCAMLR decision rule 2 <sup>4</sup> : 50% $B_0$ after 35 years with $Pr(SSB > 20\% B_0) \geq 0.9$ for a constant catch harvest strategy
Status in relation to Target	$B_{2013}$ was estimated to be 74.8% $B_0$ . Virtually Certain (> 99%) to be above the long term target (50% $B_0$ )



Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Estimates of biomass have never been below 50% $B_0$ , and the fishery is still in a fish-down phase.
Recent Trend in Fishing Intensity or Proxy	Fishing pressure increased early in the fishery and has stabilised at about target levels.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	The CPUE indices are not deemed to be an index of abundance. The catch-at-age data, although a relatively short time series, is showing indication of truncation of the right-hand limb, which is captured in the stock assessment. A change in the sex ratio in the north is becoming apparent, also captured in the stock assessment. For assessments, the tag-recapture data provide the best information on stock size, but the total number of fish recaptured is small and may introduce bias into the model. Spatial population operating models have indicated that the stock assessment is likely to be negatively biased (precautionary). Although the absolute stock size is uncertain, the available evidence (tag recapture data, catch rates, age frequency data) suggests that the stock has been lightly exploited to date.

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Projections and Prognosis	
Stock Projections or Prognosis	The biomass of the stock is expected to decline slowly over the 35 year projection period to the target level under constant catch.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Exceptionally Unlikely (< 1%)
Probability of Current Catch or TACC causing Overfishing to continue or to commence	N/A (no defined reference level)

Assessment Methodology and Evaluation		
Assessment Type	Level 1 - Quantitative stock assessment	
Assessment Method	Age-structured CASAL model with Bayesian estimation of posterior distributions	
Assessment Dates	Latest assessment: 2013	Next assessment: 2015
Overall assessment quality rank	1 – High Quality	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Multi-year tag-recapture data</li> <li>- Commercial catch-at-age proportions</li> <li>- Sub-adult survey series (2012 onwards) to estimate annual year class strength</li> </ul>	1 – High Quality  1 – High Quality  1 – High Quality
Data not used (rank)	Commercial CPUE	3 – Low Quality
Changes to Model Structure and Assumptions	-	
Major sources of Uncertainty	The model assumes homogenous mixing of tags within the population, which is unlikely to be true in the short term. Other major sources of uncertainty include estimates of initial mortality of tagged fish, detection rates of tagged fish, natural mortality rate, stock structure and migration patterns, stock-recruit steepness and natal fidelity assumptions with respect to other areas.	

Qualifying Comments
For the base case and sensitivity models, current biomass is estimated to be between 71% and 82% $B_0$ . The estimate of long term yield based on the CCAMLR decision rules <sup>4</sup> was 3044 t. At its 2013 meeting CCAMLR agreed to set the catch limit in 2013–14 and 2014–15 to 3044 t for the Ross Sea (CCAMLR 2013).

Fishery Interactions
Main bycatch species are macrourids and rajids for which there are catch limits and move-on rules. Rajids can be released alive.

<sup>4</sup> Yield estimates are calculated by projecting the estimated current status under a constant catch assumption, using the decision rules:

1. Choose a yield,  $\gamma_1$ , so that the probability of the spawning biomass dropping below 20% of its median pre-exploitation level over a 35-year harvesting period is 10% (the depletion probability);
2. Choose a yield,  $\gamma_2$ , so that the median escapement in the SSB at the end of a 35 year period is 50% of the median pre-exploitation level (the level of escapement); and
3. Select the lower of  $\gamma_1$  and  $\gamma_2$  as the yield.

In the models, the depletion probability was calculated as the proportion of samples from the Bayesian posterior where the predicted future spawning stock biomass (SSB) was below 20% of  $B_0$  in that respective sample in any one year, for each year over a 35-year projected period. The level of escapement was calculated as the proportion of samples from the Bayesian posterior where the predicted future status of the SSB was below 50% of  $B_0$  in that respective sample at the end of a 35-year projected period.

- Amundsen Sea stock (Subarea 88.2 SSRUs 88.2C-H)

<b>Stock Status</b>	
Year of Most Recent Assessment	2014
Assessment Runs Presented	An estimate of biomass for the north area (SSRU 88.2H) was available from tag recapture data. An estimate of biomass which could be applied to the total area (SSRUs 88.2C–H) was made from tag recapture data.
Reference Points	No reference points were used for the assessment. Each of the estimates of biomass were multiplied by an exploitation rate based on a general yield model.
Status in relation to Target	Unknown
Status in relation to Limits	Unknown
Status in relation to Overfishing	N/A (no defined reference level)

<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Biomass in the northern hills based on tag recapture data has been trending down. No data are available for the southern area.
Recent Trend in Fishing Intensity or Proxy	Fishing pressure in the northern hills has been increasing as seen by an increased number of tags recovered. No data are available for the southern area.
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	The CPUE indices for the northern area have been declining but are not deemed to be an index of abundance. The catch-at-age data, when age length keys are applied annually, is showing an indication of truncation of the right-hand limb. The paucity of otoliths each year makes annual age length keys uncertain, and is seen as a priority work to improve upon. There has been no change in the sex ratio in this fishery.

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	-
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Unknown
Probability of Current Catch or TACC causing Overfishing to continue or to commence	N/A (no defined reference level)

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	Tag based biomass estimate multiplied by exploitation rate	
Assessment Dates	Latest assessment: 2014	Next assessment: 2016
Overall assessment quality rank	2 – Medium or Mixed Quality for the north and Low Quality for the south	
Main data inputs (rank)	<ul style="list-style-type: none"> <li>- Multi-year tag-recapture data</li> <li>- Commercial catch-at-age proportions</li> <li>- Catch at age from annual age length keys where possible</li> </ul>	1 – High Quality for north and 3 – Low Quality for south 1 – High Quality and 3 – Low quality for south 1 – High Quality and 3 – Low Quality for south
Data not used (rank)	Commercial CPUE	3 – Low Quality

## TOOTHFISH (TOT)

Changes to Model Structure and Assumptions	-
Major Sources of Uncertainty	The estimate of biomass for SSRU 88.2H is moderately reliable. However, the estimate of total biomass for SSRUs 88.2C–H is extremely uncertain because it assumes homogenous mixing of tags within the population (i.e. fish which leave the north are available for recapture in the south). No separate assessment or estimate of abundance is currently available for the southern area (SSRUs 88.2C–G) and this is the priority for further work. Other sources of uncertainty include estimates of initial mortality of tagged fish, detection rates of tagged fish, natural mortality rate, stock structure and migration patterns, stock-recruit steepness and natal fidelity assumptions with respect to other areas

### Qualifying Comments

At its 2014 meeting CCAMLR agreed to set the catch limit in 2014–15 to 200 t in the north (SSRU 88.2H) and 419 t in the south (SSRUs 88.2C–G) (CCAMLR 2014). But note that no separate assessment or estimate of abundance is currently available for the southern area (SSRUs 88.2C–G). This is part of a two-year research plan to develop estimates of abundance in the south.

### Fishery Interactions

Main bycatch species are macrourids and rajids for which there are catch limits and move-on rules. Rajids can be released alive.

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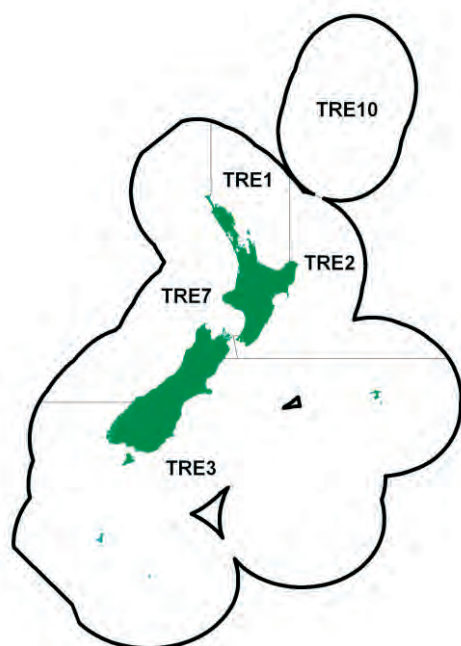
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**TREVALLY (TRE)**

(*Pseudocaranx dentex*)  
Arara



## 1. FISHERY SUMMARY

Trevally was introduced into the QMS in 1986 with five QMAs. A Total Allowable Catch (TAC) was set under the provisions of the 1983 Fisheries Act initially at 3220 t. Since the introduction into the QMS there have been no recreational or customary allocations in TRE 1, 3, 7, or 10, therefore the total allowable commercial catch (TACC) is the same as the TAC. In 2010 TRE 2 was allocated a 100 t recreational catch, 1 t customary catch, and 7 t for other mortality, combining to make a 350 t TAC.

### 1.1 Commercial fisheries

Trevally is caught around the North Island and the north of the South Island, with the main catches from the northern coasts of the North Island. Trevally is taken in the northern coastal mixed trawl fishery, mostly in conjunction with snapper. Since the mid-1970s trevally has been taken by purse seine, mainly in the Bay of Plenty (BoP), in variable but often substantial quantities. Setnet fishermen take modest quantities.

Historical estimated and recent reported trevally landings and TACCs are shown in Tables 1 and 2, while Figure 1 shows the historical and recent landings and TACC values for the main trevally stocks.

Landings from TRE 1 were 1,301 t (86% TACC), below that of 1,408 t in 2010–11, but higher than any landings of the previous decade. For TRE 2, catches have exceeded the TACC in 12 of the last 17 fishing years. Landings from TRE 7 have been under the TACC for the last nine fishing years.



(TRE)

**Table 1: Reported landings (t) for the main QMAs from 1931 to 1982.**

Year	TRE 1	TRE 2	TRE 3	TRE 7	Year	TRE 1	TRE 2	TRE 3	TRE 7
1931-32	9	0	0	0	1957	788	235	0	374
1932-33	6	0	0	0	1958	856	197	1	409
1933-34	30	0	0	3	1959	980	175	0	433
1934-35	27	0	0	3	1960	1141	191	1	686
1935-36	0	0	0	0	1961	1144	368	0	567
1936-37	0	0	0	0	1962	1415	431	0	658
1937-38	20	4	0	4	1963	1284	348	0	769
1938-39	53	10	2	8	1964	1329	395	2	639
1939-40	17	9	0	6	1965	1581	344	2	673
1940-41	12	13	0	7	1966	1568	382	0	1151
1941-42	17	6	0	4	1967	1121	472	1	1512
1942-43	90	1	0	1	1968	1425	504	0	1547
1943-44	190	2	0	1	1969	1428	474	0	1378
1944	401	2	0	19	1970	2010	490	0	1740
1945	307	9	0	23	1971	3060	779	1	2109
1946	316	12	2	19	1972	2738	946	0	2309
1947	317	8	1	28	1973	1950	616	0	2381
1948	432	7	0	34	1974	2365	687	0	2077
1949	291	9	0	39	1975	1470	361	0	1679
1950	402	39	0	60	1976	2659	1026	0	1994
1951	470	57	0	82	1977	3749	558	0	2176
1952	310	73	0	63	1978	3627	518	1	2381
1953	376	90	0	136	1979	2566	449	1	2658
1954	471	132	0	116	1980	1471	330	0	2545
1955	609	120	0	193	1981	1524	229	0	2957
1956	556	124	0	179	1982	2102	135	0	2548

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns; Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings. Data were aggregated to FMA using methods and assumptions described by Francis & Paul (2013).

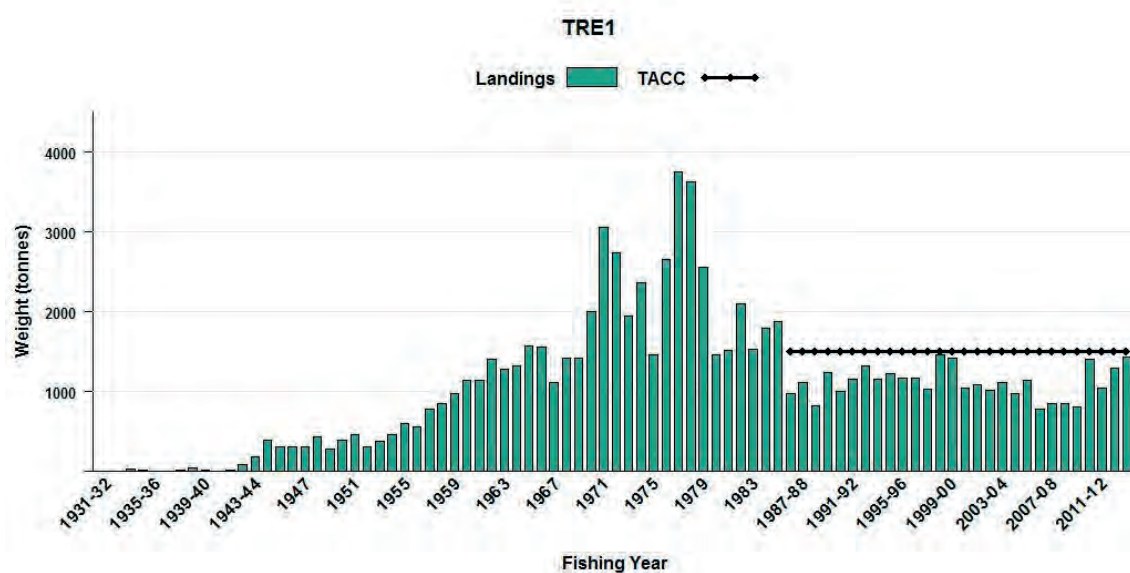
**Table 2: Reported landings (t) of trevally by Fishstock from 1983 to 2013–14 and actual TACCs (t) from 1986–87 to 2013–14. QMS data from 1986–present.**

Fishstock FMA (s)	TRE 1		TRE 2		TRE 3		TRE 7		TRE 10	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1983*	1 534	-	77	-	3	-	2 165	-	0	-
1984*	1 798	-	335	-	1	-	1 707	-	0	-
1985*	1 887	-	162	-	1	-	1 843	-	0	-
1986*	1 431	-	161	-	3	-	1 830	-	0	-
1986–87	982	1 210	237	190	< 1	20	1 626	1 800	0	10
1987–88	1 111	1 210	267	219	< 1	20	1 752	1 800	0	10
1988–89	818	1 413	177	235	< 1	20	1 665	2 010	0	10
1989–90	1 240	1 493	275	237	18	20	1 589	2 146	0	10
1990–91	1 011	1 495	273	238	8	22	2 016	2 153	0	10
1991–92	1 169	1 498	197	238	< 1	22	1 367	2 153	< 1	10
1992–93	1 328	1 505	247	241	< 1	22	1 796	2 153	< 1	10
1993–94	1 162	1 506	230	241	< 1	22	2 231	2 153	0	10
1994–95	1 242	1 506	179	241	< 1	22	2 138	2 153	0	10
1995–96	1 175	1 506	211	241	< 1	22	2 019	2 153	0	10
1996–97	1 174	1 506	317	241	< 1	22	1 843	2 153	0	10
1997–98	1 027	1 506	223	241	3	22	2 102	2 153	0	10
1998–99	1 469	1 506	284	241	24	22	2 148	2 153	0	10
1999–00	1 424	1 506	309	241	3	22	2 254	2 153	0	10
2000–01	1 049	1 506	211	241	< 1	22	1 888	2 153	0	10
2001–02	1 085	1 506	243	241	< 1	22	1 856	2 153	0	10
2002–03	1 014	1 507	270	241	< 1	22	2 029	2 153	0	10
2003–04	1 111	1 507	251	241	< 1	22	2 186	2 153	0	10
2004–05	977	1 507	319	241	< 1	22	1 945	2 153	0	10
2005–06	1 149	1 507	417	241	< 1	22	1 957	2 153	0	10
2006–07	790	1 507	368	241	< 1	22	1 739	2 153	0	10
2007–08	847	1 507	230	241	< 1	22	1 797	2 153	0	10
2008–09	855	1 507	302	241	< 1	22	2 018	2 153	0	10
2009–10	814	1 507	261	241	< 1	22	1 966	2 153	0	10
2010–11	1 408	1 507	245	241	< 1	22	1 922	2 153	0	10
2011–12	1 050	1 507	186	241	< 1	22	1 895	2 153	0	10
2012–13	1 301	1 507	197	241	< 1	22	1 842	2 153	0	10
2013–14	1 431	1 507	303	241	< 1	22	1 610	2 153	0	10

## TREVALLY (TRE)

**Table 2 [Continued]**

FMA (s)	Total	
	Landings	TACC
1983*	3 779	-
1984*	3 841	-
1985*	3 893	-
1986*	3 425	-
1986-87	2 845	2 230
1987-88	3 131	3 259
1988-89	2 651	3 688
1989-90	3 122	3 906
1990-91	3 308	3 918
1991-92	2 733	3 921
1992-93	3 371	3 931
1993-94	3 624	3 932
1994-95	3 559	3 932
1995-96	3 405	3 932
1996-97	3 333	3 932
1997-98	3 355	3 932
1998-99	3 925	3 932
1999-00	3 989	3 932
2000-01	3 148	3 932
2001-02	3 185	3 933
2002-03	3 313	3 933
2003-04	3 548	3 933
2004-05	3 241	3 933
2005-06	3 524	3 933
2006-07	2 897	3 933
2007-08	2 875	3 933
2008-09	3 175	3 933
2009-10	3 042	3 933
2010-11	3 575	3 933
2011-12	3 131	3 933
2012-13	3 340	3 933
2013-14	3 344	3 933



**Figure 1: Historical landings and TACCs for the three main TRE stocks. TRE 1 (Auckland). [Continued on next page]**

(TRE)

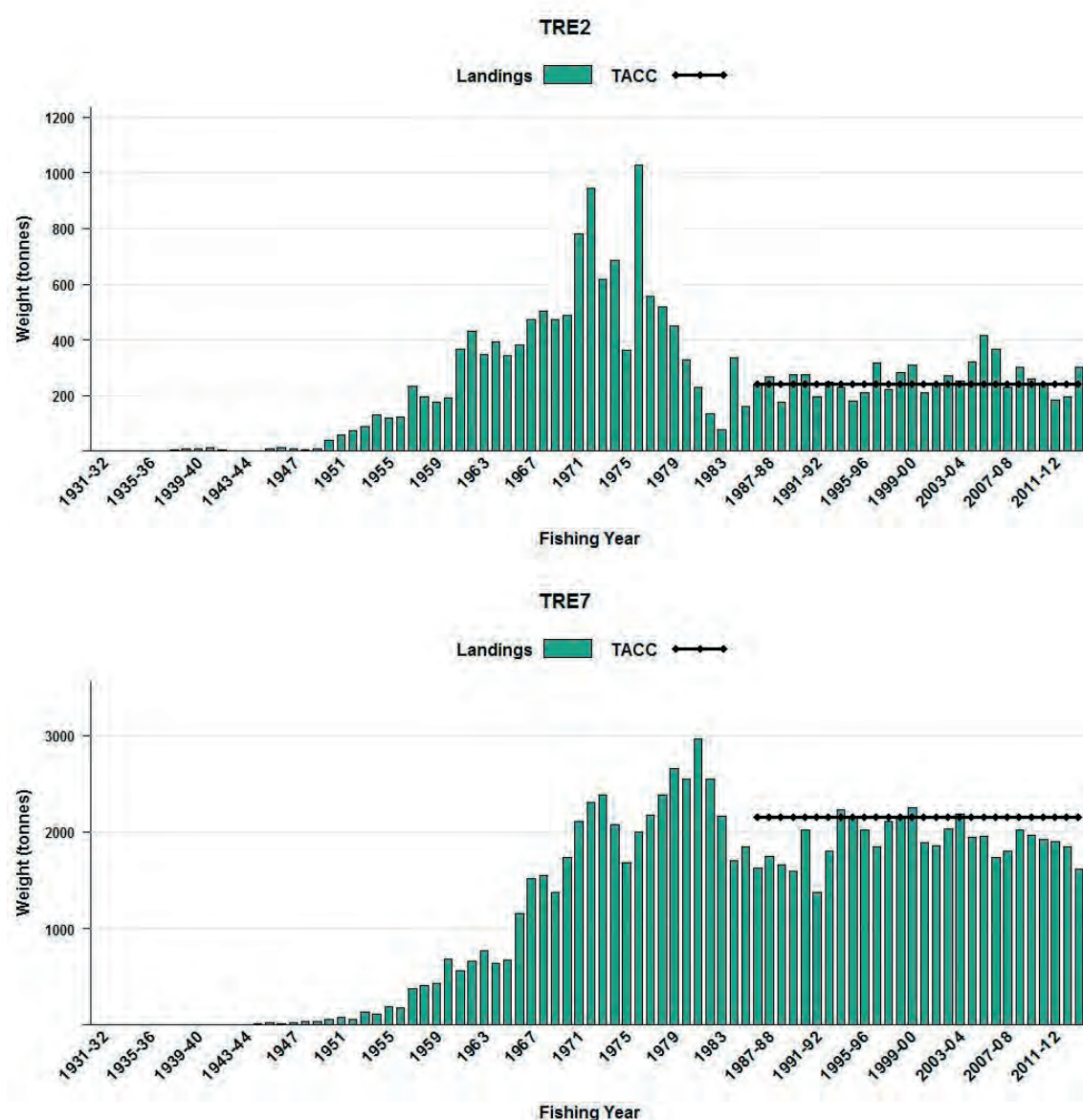


Figure 1: Historical landings and TACCs for the three main TRE stocks. Top: TRE 1 (Auckland), Middle: TRE 2 (Central East), and Lower: TRE 7 (Challenger).

## 1.2 Recreational fisheries

Recreational fishers catch trevally by setnet and line methods. Although highly regarded as a table fish, some trevally may be used as bait.

### 1.2.1 Management controls

The main methods used to manage recreational harvests of trevally are minimum legal size limits (MLS), method restrictions and daily bag limits. Fishers can take up to 20 trevally as part of their combined daily bag limit (except in the South-East and Southland fisheries management areas including the Fiordland Marine Recreational Fishing Area where the limit is 30 within a combined daily bag limit of 30 finfish) and the MLS is 25 cm in all areas.

### 1.2.2 Estimates of recreational harvest

Recreational catch estimates are given in Table 3. There are two broad approaches to estimating recreational fisheries harvest: the use of onsite or access point methods where fishers are surveyed or counted at the point of fishing or access to their fishing activity; and, offsite methods where some form of post-event interview and/or diary are used to collect data from fishers.

## TREVALLY (TRE)

The first estimates of recreational harvest for trevally were calculated using an offsite approach, the offsite regional telephone and diary survey approach. Estimates for 1996 came from a national telephone and diary survey (Bradford 1998). Another national telephone and diary survey was carried out in 2000 (Boyd & Reilly 2005) and a rolling replacement of diarists in 2001 (Boyd & Reilly 2004) allowed estimates for a further year (population scaling ratios and mean weights were not re-estimated in 2001).

The harvest estimates provided by these telephone diary surveys are no longer considered reliable for various reasons. With the early telephone/diary method, fishers were recruited to fill in diaries by way of a telephone survey that also estimates the proportion of the population that is eligible (likely to fish). A “soft refusal” bias in the eligibility proportion arises if interviewees who do not wish to co-operate falsely state that they never fish. The proportion of eligible fishers in the population (and, hence, the harvest) is thereby under-estimated. Pilot studies for the 2000 telephone/diary survey suggested that this effect could occur when recreational fishing was established as the subject of the interview at the outset. Another equally serious cause of bias in telephone/diary surveys was that diarists who did not immediately record their day’s catch after a trip sometimes overstated their catch or the number of trips made. There is some indirect evidence that this may have occurred in all the telephone/diary surveys (Wright et al 2004).

The recreational harvest estimates provided by the 2000 and 2001 telephone diary surveys are thought to be implausibly high for many species, which led to the development of an alternative maximum count aerial-access onsite method that provides a more direct means of estimating recreational harvests for suitable fisheries. The maximum count aerial-access approach combines data collected concurrently from two sources: a creel survey of recreational fishers returning to a subsample of ramps throughout the day; and an aerial survey count of vessels observed to be fishing at the approximate time of peak fishing effort on the same day. The ratio of the aerial count in a particular area to the number of interviewed parties who claimed to have fished in that area at the time of the overflight was used to scale up harvests observed at surveyed ramps, to estimate harvest taken by all fishers returning to all ramps. The methodology is further described by Hartill et al (2007).

This aerial-access method was first employed and optimised to estimate snapper harvests in the Hauraki Gulf in 2003–04. It was then extended to survey the wider SNA 1 fishery in 2004–05 and to provide estimates for other species, including trevally (Hartill et al 2007). This survey was repeated in 2011–12 (Hartill et al 2013).

In response to the cost and scale challenges associated with onsite methods, in particular the difficulties in sampling other than trailer boat fisheries, offsite approaches to estimating recreational fisheries harvest have been revisited. This led to the development and implementation of a national panel survey for the 2011–12 fishing year (Wynn-Jones et al 2014). The panel survey used face-to-face interviews of a random sample of 30,390 New Zealand households to recruit a panel of fishers and non-fishers for a full year. Panel members were contacted regularly about their fishing activities and catch information collected in standardised phone interviews.

The most recent aerial-access survey conducted in QMA 1 in 2011–12 (Hartill et al 2013) provides independent harvest estimates for comparison with those generated from the concurrent national panel survey. Both surveys appear to provide plausible results that corroborate each other TRE 1, and are therefore considered to be broadly reliable (Hartill et al 2013). Note that neither of these estimates includes catch taken on recreational charter vessels, or recreational catch taken under s111 general approvals.

(TRE)

**Table 3: Recreational harvest estimates for trevally stocks ((Bradford 1998, Boyd & Reilly 2005, Boyd et al 2004, Hartill et al 2007, Hartill et al 2013, MPI Wynn-Jones et al 2014). The telephone/diary surveys and earlier aerial-access survey ran from December to November but are denoted by the January calendar year. The surveys since 2010 have run through the October to September fishing year but are denoted by the January calendar year. Mean fish weights were obtained from boat ramp surveys (for the telephone/diary and panel survey harvest estimates).**

Stock	Year	Method	Number of fish	Total weight (t)	CV
TRE 1	1996	Telephone/diary	194 000	234	0.07
	2000	Telephone/diary	701 000	677	0.13
	2001	Telephone/diary	449 000	434	0.19
	2005	Aerial-access	-	105	0.18
	2012	Aerial-access	-	124	0.12
	2012	Panel survey	130 227	154	0.11
TRE 2	1996	Telephone/diary	9 000	13	0.19
	2000	Telephone/diary	153 000	160	0.60
	2001	Telephone/diary	32 000	339	0.23
	2012	Panel survey	8 866	10	0.25
TRE 3	1996	Telephone/diary	2 000	3#	-
	2000	Telephone/diary	10 000	10	0.45
	2001	Telephone/diary	2 000	12	0.46
	2012	Panel survey	864	1	0.73
TRE 7	1996	Telephone/diary	67 000	70	0.11
	2000	Telephone/diary	69 000	81	0.27
	2001	Telephone/diary	107 000	124	0.21
	2012	Panel survey	20 600	29	0.17

#No harvest estimate available in the survey report, estimate presented is calculated as average fish weight for all years and areas multiplied by the number of fish estimated caught.

### 1.3 Customary non-commercial fisheries

Trevally is an important traditional and customary food fish for Maori. No quantitative information is available on the current level of customary non-commercial take.

### 1.4 Illegal catch

No quantitative information is available on the level of illegal trevally catch. An estimate of historical illegal catch is incorporated in the TRE 7 stock assessment model catch history (see Section 4.3.2).

### 1.5 Other sources of mortality

No quantitative estimates are available regarding the impact of other sources of mortality on trevally stocks. Trevally are known to occur in sheltered harbour and estuarine ecosystems particularly as juveniles. Some of these habitats are known to have suffered substantial environmental degradation.

## 2. BIOLOGY

Trevally are both pelagic and demersal in behaviour. Juvenile fish up to 2 years old are found in shallow inshore areas including estuaries and harbours. Young fish enter a demersal phase from about 1 year old until they reach sexual maturity. At this stage adult fish move between demersal and pelagic phases. Schools occur at the surface, in mid-water and on the bottom, and are often associated with reefs and rough substrate. Schools are sometimes mixed with other species such as koheru and kahawai. The occurrence of trevally schools at the surface appears to correlate with settled weather conditions rather than with a specific time of year.

Surface schooling trevally feed on planktonic organisms, particularly euphausiids. On the bottom, trevally feed on a wide range of invertebrates.

Trevally are known to reach in excess of 40 years of age. The growth rate is moderate during the first few years, but after sexual maturity at 32 to 37 cm fork length (FL), the growth rate becomes very slow. The largest fish are typically around 60 cm FL and weigh about 4.5 kg, however much larger fish of 6–8 kg are occasionally recorded.

## TREVALLY (TRE)

Fecundity is relatively low until females reach about 40 cm FL. They appear to be batch spawners, releasing small batches of eggs over periods of several weeks or months during the summer. Biological parameters relevant to stock assessment are shown in Table 4.

**Table 4: Estimates of biological parameters.**

Fishstock	Estimate		Source
1. Natural mortality ( $M$ )	See Section 4.1.4		
2. $\text{Weight} = a(\text{length})^b$ (Weight in g, length in cm fork length).	Both sexes		
	a	b	
TRE 1	0.016	3.064	James (1984)
3. von Bertalanffy growth parameters	Both sexes		
	$L_{\infty}$	k	$t_0$
TRE 1	47.55	0.29	-0.13
TRE 7	46.21	0.28	-0.25

## 3. STOCKS AND AREAS

There are no new data that would alter the stock boundaries given in previous assessment documents.

## 4. STOCK ASSESSMENT

### 4.1 TRE 1

The TRE 1 QMA is believed to contain two biological stocks: East Northland to Hauraki Gulf and Bay of Plenty. Stock assessments for each of these stocks were rejected by the Northern Inshore Working Group in 2015. The Bay of Plenty assessment was rejected on account of strong conflict between previously accepted abundance indices (standardised bottom trawl CPUE and Aerial Sightings) and catch-at-age data (for bottom trawl and purse seine fisheries). The East Northland assessment was not completed as the abundance index, based on standardised bottom trawl CPUE, was not accepted due to conflicting trends in the positive-catch and proportion-of-zero-catch models. Methods for producing an index of abundance for East Northland from bottom trawl catch and effort data are being investigated. There are few aerial sightings data for the East Northland area.

### 4.2 TRE 2

High annual variability in standardised CPUE indices, and narrow confidence intervals (Bentley 2014), led the Northern Inshore Working Group to conclude that trevally in TRE 2 are probably part of the TRE 1 biological stock in the Bay of Plenty, with abundance in TRE 2 fluctuating markedly according to the movement of fish into and out of this QMA. Stock assessments for TRE 2 will in future be done in conjunction with TRE 1.

### 4.3 TRE 7

The TRE 7 stock assessment was revised and updated in 2015 (Langley in prep). Recent analyses have revealed considerable differences in TRE 7 age composition data and trends in CPUE indices among the three main fishing areas within the TRE 7 fishstock; i.e. Ninety Mile Beach (NMB), South Taranaki Bight (STB) and the core area of the fishery between North Taranaki Bight and Tauroa Point (KMNTB). The apparent spatial heterogeneity within TRE 7 indicated that assuming a single stock was not appropriate. Attempts to incorporate spatial structure within the TRE 7 assessment model were not successful due to inadequate historical catch-at-age data from the STB and NMB areas (Langley 2015). The final 2015 stock assessment was limited to the core area of the fishery (KMNTB) only. This area accounted for 60% of the total TRE 7 commercial catch from 1944 to 2012–13 and 70% of the catch from recent years (2010–2011 to 2012–13).

#### 4.3.1 CPUE

A standardised CPUE index of abundance was used in the 2015 assessment (Table 5). The CPUE data set was comprised of catch and effort records from the single bottom trawl fishery targeting trevally or

(TRE)

snapper within the core area of the fishery (KMNTB area) during 1990–91 to 2012–13. Fishing effort records were aggregated by vessel fishing day in a format consistent with the CELR reporting format. The final data set excluded one of the vessels that dominated the fishery in recent years. The trend in catch rate of trevally for this vessel differed considerably from the remainder of the fleet and there were also marked differences in the overall age composition of the trevally catches taken by this vessel. (Langley in prep).

The standardised CPUE analysis included two components: a positive trevally catch component modelled assuming a Weibull error structure and a binomial model of the presence/absence of trevally in the vessel daily catch. The CPUE final index multiplied the annual indices from the separate models to derive a combined index.

The CPUE indices increase markedly after 2007–08. There were considerable changes in the operation of the fishery during that period related to an increased degree of targeting trevally following the reduction in the TACC for snapper in 2005–06. The CPUE standardisation accounts for a component of the change in the operation of the fishery, although it is unknown whether the shift in targeting is fully accounted for in the final CPUE indices.

**Table 5: Standardised single trawl CPUE indices (relative year effects) from 1990–90 to 2012–13 (Langley in prep).**

Fishing year	CPUE index	Fishing year	CPUE index
1989–90	-	2004–05	0.620
1990–91	1.291	2005–06	0.855
1991–92	1.202	2006–07	0.685
1992–93	0.862	2007–08	0.920
1993–94	1.181	2008–09	0.819
1994–95	0.980	2009–10	0.828
1995–96	0.888	2010–11	1.209
1996–97	0.830	2011–12	1.055
1997–98	0.782	2012–13	1.023
1998–99	0.992		
1999–00	0.764		
2000–01	0.678		
2001–02	0.805		
2002–03	0.882		
2003–04	0.783		

#### 4.3.2 Catch history

Commercial catch records for TRE 7 date back to 1944. Before that time the stock is assumed to have been lightly exploited and close to its unexploited state. It is likely that reported catches prior to 1970 are underestimates of the true catch due to large-scale discarding of fish (James 1984). Total annual TRE 7 catches were apportioned by fishery area and fishing method (single and pair bottom trawl) (see Figure 2). The base assessment model included annual catches from the KMNTB area only. A separate fishery was configured to account for the catch by the single dominant vessel operating in the bottom trawl fishery in recent years.

Since 1944, there has also been a recreational and customary catch as well as an illegal or non-reported catch. For the purposes of modelling the KMNTB component of the TRE 7 stock, it is necessary to make allowance for mortality due to discarded fish, recreational catch, customary catch, and non-reported catch. The final catch history included in the assessment model is presented in (Table 6).

#### 4.3.3 Catch at age

A time series of age frequency distributions is available from the target TRE 7 single trawl fishery within KMNTB from 1997–98 to 2012–13 (9 observations). The age sampling data from the recent, dominant single trawl vessel were excluded from the age frequency samples for 2009–10 and 2012–13. There are also some age frequency samples for the pair trawl method from the late 1990s and early 2000s (3 observations). Previous comparisons found no significant difference between the age composition of catches made by pair and single trawl methods (Hanchet 1999).

In addition, two sources of age frequency data are available from the 1970s: (1) a series covering the years 1971–74 derived from research sampling carried out by the vessel *James Cook*, and (2) a series

## TREVALLY (TRE)

derived from market sampling carried out in the 1974–76 and 1978–79 fishing years (5 observations). There is considerable variability amongst the latter series with the result that these data were relatively uninformative in the assessment modelling and, hence, were down-weighted in the final model options.

### 4.3.4 Estimate of natural mortality (*M*)

Following previous assessments, natural mortality was assumed to be 0.10 based on an observed maximum age of about 40 years (using the regression method of Hoenig 1983). Estimates of stock status were sensitive to the value of natural mortality and the final model runs included a sensitivity run using a lower value of 0.083, corresponding to an assumed maximum age of 50 years.

**Table 6: Catch history (t) for the KMNTB area of the TRE 7 fishery including total annual reported commercial catch, estimated discarded (D) commercial catch, estimated non-reported commercial catch, recreational catch, and customary catch. (The year denotes the year at the end of the fishing year).**

Year	Reported landings	D	Under-reported catch	Rec. catch	Cust. catch	Total	Year	Reported landings	D	Under-reported catch	Rec. catch	Cust. catch	Total
1944	14	9	5	14	15	57	1980	1 582	0	317	70	12	1 981
1945	15	10	5	16	15	60	1981	1 833	0	367	70	12	2 282
1946	10	7	3	18	15	53	1982	1 659	0	331	70	12	2 072
1947	11	5	2	20	15	53	1983	1 237	0	247	70	12	1 566
1948	21	10	5	23	15	74	1984	975	0	195	70	12	1 252
1949	23	13	3	25	15	79	1985	1 053	0	211	70	12	1 346
1950	31	16	6	27	15	95	1986	959	0	192	70	12	1 233
1951	37	19	7	29	15	107	1987	929	0	93	70	12	1 104
1952	33	17	6	31	15	102	1988	1 001	0	90	70	12	1 173
1953	90	45	18	33	15	201	1989	951	0	76	70	12	1 109
1954	79	40	16	36	15	186	1990	971	0	68	70	12	1 121
1955	134	67	27	38	15	281	1991	1 065	0	64	70	12	1 211
1956	108	54	22	40	15	238	1992	863	0	43	70	12	988
1957	207		41	42	15	409	1993	1 070	0	43	70	12	1 195
1958	241		49	44	15	470	1994	1 264	0	38	70	12	1 384
1959	228		45	46	15	449	1995	1 106	0	22	70	12	1 210
1960	411	88	82	48	10	639	1996	1 034	0	10	70	12	1 126
1961	346	74	69	51	10	550	1997	892	0	9	70	12	983
1962	411	88	82	53	10	644	1998	1 208	0	12	70	12	1 302
1963	499		99	55	10	770	1999	1 382	0	14	70	12	1 478
1964	429	92	86	57	10	673	2000	1 246	0	13	70	12	1 341
1965	402	86	81	59	10	638	2001	1 189	0	12	70	12	1 283
1966	597	33	119	61	10	820	2002	1 192	0	12	70	12	1 286
1967	595	33	119	64	10	821	2003	1 414	0	14	70	12	1 510
1968	652	36	130	66	10	894	2004	1 314	0	13	70	12	1 409
1969	795	44	159	68	10	1 076	2005	1 190	0	12	70	12	1 284
1970	945	0	189	70	10	1 214	2006	1 461	0	15	70	12	1 558
1971	1 130	0	226	70	10	1 436	2007	1 259	0	12	70	12	1 353
1972	1 233	0	247	70	10	1 560	2008	1 305	0	12	70	12	1 399
1973	1 468	0	294	70	10	1 841	2009	1 460	0	14	70	12	1 556
1974	1 239	0	248	70	10	1 567	2010	1 177	0	12	70	12	1 271
1975	933	0	187	70	10	1 200	2011	1 161	0	11	70	12	1 254
1976	1 102	0	221	70	10	1 403	2012	1 260	0	13	70	12	1 355
1977	1 306	0	261	70	10	1 647	2013	1 429	0	14	70	12	1 525
1978	1 367	0	273	70	10	1 720	2014	1 429	0	14	70	12	1 525
1979	1 653	0	331	70	10	2 064							



(TRE)

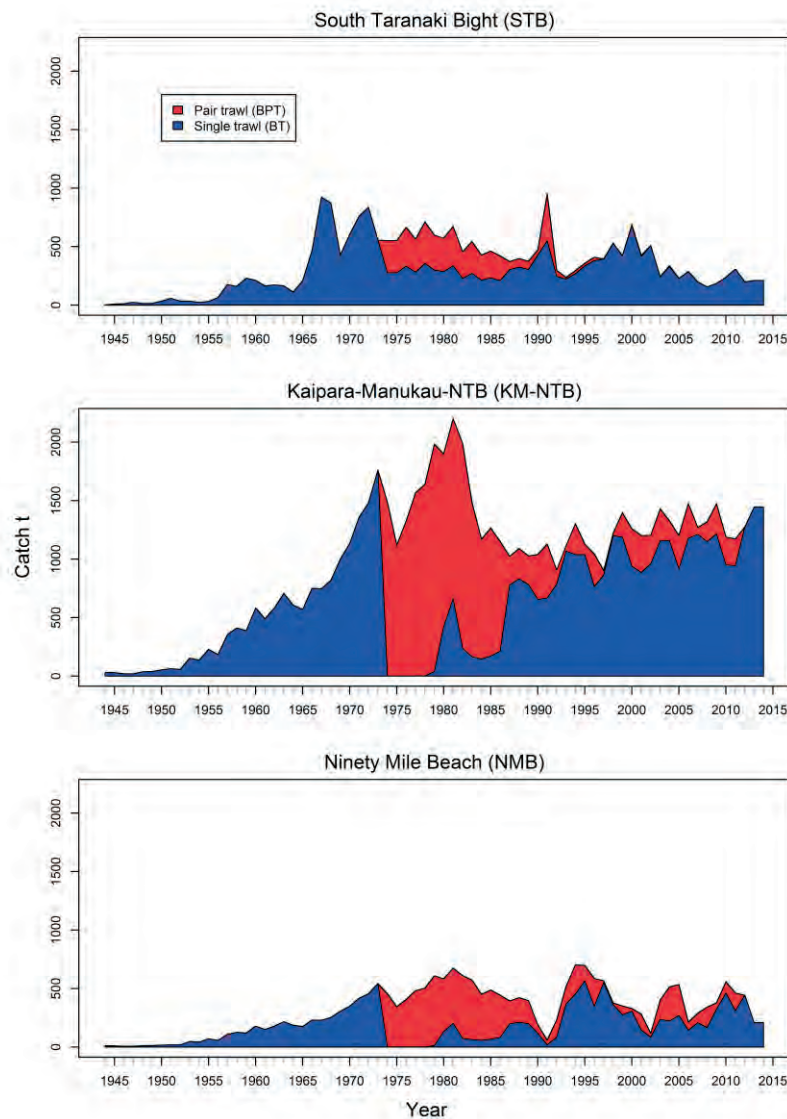


Figure 2. Total TRE 7 commercial catch history formulated for the stock assessment, apportioned by fishing method and sub-area of TRE 7.

#### 4.3.5 Model structure

The age structured population model encompasses the 1944–2014 period. The model structure includes two sexes and 1–40 year age classes, including an accumulating age class for older fish (40+ years). The age structure of the population at the start of the model is assumed to be in an unexploited, equilibrium state. The biological parameters are those used in previous assessments and equivalent for the two sexes (see Table 4). For the base model, natural mortality was invariant with age at a value of 0.1. A Beverton-Holt spawning stock - recruitment relationship (SRR) was assumed with steepness ( $h$ ) fixed at 0.85 and the standard deviation of the natural logarithm of recruitment ( $\sigma_R$ ) was fixed at 0.6. Recruitment deviates were estimated for the 1970–2008 years.

Separate fishery selectivities were estimated for the main bottom trawl fishery (double normal parameterisation) and the pair trawl fishery (logistic), and a double normal selectivity was estimated for the James Cook research trawl age samples. The CPUE indices were linked to the vulnerable biomass of the main bottom trawl fishery.

The model was fitted to: (a) a combined (either trevally or snapper targeted) bottom trawl CPUE index for the years 1990 to 2013, (b) a research sampling proportions-at-age series for 1971 to 1974, (c) a market sampling proportions-at-age series covering 1974 to 1976 and 1978 to 1979, (d) a commercial proportions-at-age series for 1997 to 2013. The weighting of the individual data sets followed the

## TREVALLY (TRE)

approach of Francis (2011). The final assessment model adopted a CV of 16% for the time-series of CPUE indices. The recent bottom trawl age composition data were assigned a moderately high weighting in the likelihood (ESS of about 50).

During model development, a range of options was investigated to examine the key structural assumptions of the model. The most influential assumption was the value of natural mortality, and a lower value of natural mortality (0.083) was used as a key model sensitivity. An additional sensitivity run was conducted assuming a lower value of steepness for the SRR (0.7 compared to 0.85), and with  $M=0.1$ ).

The base model estimates a low selectivity of older fish for the BT fishery. The age composition data appear to be uninformative regarding the selectivity of the oldest age classes and, hence, the selectivity was sensitive to the prior for the associated parameters. An additional selectivity was conducted that assumed a prior value which corresponded to a high selectivity of the older age classes (0.8 for the oldest age class) (*BTselect*).

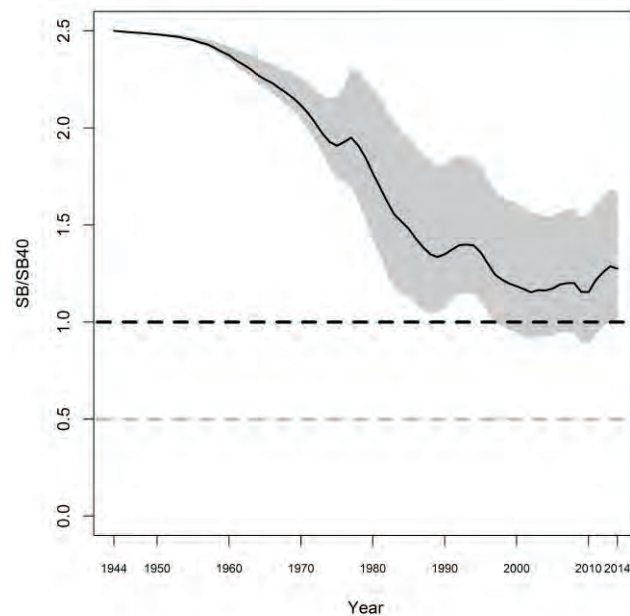
The base model encompassed the KMNTB area only. The spatial stratification of the TRE 7 fishstock was primarily based on differences in the age composition of trevally amongst sub-areas of TRE 7. However, limited sampling has been conducted in the other areas and, while some differences in age structure of the catch are apparent among areas, there are some similarities in the age structures from the three areas. Spatial differences in age composition could be attributable to differences in fishery selectivity and/or variability in the sampled component of the catch. On that basis, an alternative model was formulated based on a single stock hypothesis, including the entire catch from TRE 7 within the framework of the KMNTB model (*AllCatch*). The *AllCatch* model provides estimates of yield that are consistent with the total TRE 7 catch and TACC.

Further model runs were undertaken to explore the influence of two key data sets in the assessment: the recent (2007–2013) CPUE indices and the 1998–2001 BPT age composition data.

Model projections for a five year period (2015–19) were conducted using the *AllCatch* model. These projections were conducted with annual commercial catch assumed to be either at the level of the TACC or equivalent to the annual catch from the 2012–13 fishing year and included additional allowances for customary and recreational catch. In the projection period, recruitment variation was incorporated in the model with the recruitment deviates simply constrained by the assumed variation in the deviates ( $\sigma_R = 0.60$ ). Parameter uncertainty was determined using a Markov chain Monte Carlo (MCMC) approach.

### 4.3.6 Results

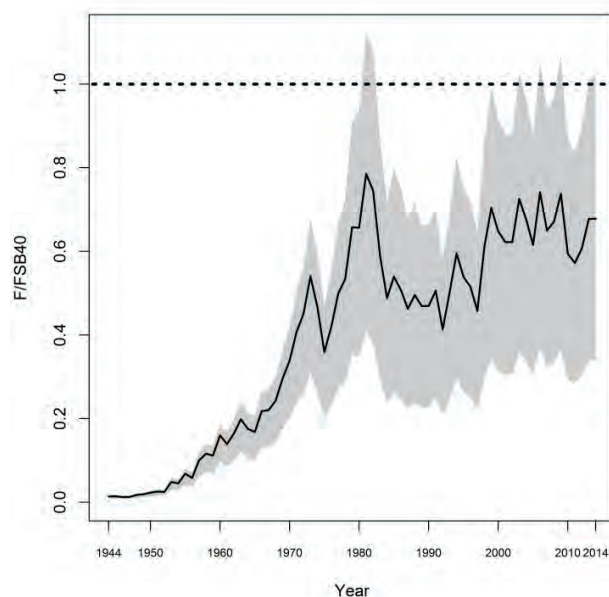
The assessment models indicate that the spawning biomass gradually declined during the 1940s and 1950s. The rate of decline increased in the 1960s and 1970s consistent with the increase in the total annual catch. The extent of the reduction in the spawning biomass during the 1970s was informed by the 1998–2001 age composition data from the BPT fishery. The proportion of older fish included in the age composition provide information regarding the level of fishing mortality in the preceding period. Thus, the estimation of the level of depletion will also be influenced by the assumed value of  $M$  (i.e. higher depletion with lower  $M$ ) (Figure 3). The spawning biomass remained relatively stable during the late 1990s and 2000s.



**Figure 3: Spawning biomass (female only) trajectory from MCMC model fits for the base model, with 95% credibility intervals.**

The stock status of the KMNTB component of TRE 7 has been assessed relative to a default target biomass level of 40%  $SB_0$  and associated soft limit and hard limits of 20% and 10%  $SB_0$  (Ministry of Fisheries 2008). Stock status conclusions are specific to the area encompassed by the base assessment model (i.e. KMNTB). For the base model, spawning biomass was maintained at about 50%  $SB_0$  during the late 1990s and 2000s and there is a very low probability that the biomass declined below the target biomass during that period (Figure 3). The spawning biomass is estimated to have increased from 2010 to 2014 and the base model estimates that current biomass ( $SB_{2014}$ ) is above the target biomass level (Tables 7 and 8).

Current levels of fishing mortality are estimated to be below the  $F_{SB40\%}$  level for all model options with the base level of natural mortality ( $M=0.1$ ). The model sensitivity with the lower  $M$  estimated current fishing mortality to be at about the  $F_{SB40\%}$  level (Table 8 and Figure 4).



**Figure 4: Fishing mortality (female only) relative to the overfishing threshold ( $F_{SB40\%}$ ) (median of MCMCs) for the base model run. 95% credibility intervals were derived from MCMC. The dashed, black horizontal line represents the default overfishing threshold.**

## TREVALLY (TRE)

Stock status from the model sensitivities is comparable to the base model, although the status is less optimistic for the *Low M* sensitivity (Tables 7–9 and Figure 5). For the *Low M* sensitivity, current biomass was estimated to be at about the target biomass level with no associated risk that the stock biomass has approached the biomass limit reference points. The stock status from the *AllCatch* model, that includes all the TRE 7 catch, is very similar to the base model, although the estimate of equilibrium yield is considerably higher, which is consistent with the magnitude of catch included in the *AllCatch* model.

**Table 7: Biomass and yield estimates (medians, with 95% confidence intervals in parentheses) for the base model and sensitivities. Estimates are derived from MCMC analysis. Model results are limited to the KMNTB area of TRE 7, except for the *AllCatch* sensitivity which represents the entire TRE 7 area.**

Model option	$SB_0$	$SB_{2014}$	$SB_{40\%}$	$SB_{2014}/SB_0$	$SB_{2014}/SB_{40\%}$
<b>Base</b>	22 339 (18 493–36 213)	11 526 (73 84–23 808)	8 935 (7 397–14 485)	0.510 (0.393–0.669)	1.275 (0.982–1.672)
<b>M low</b>	21 026 (18 692–26 268)	8 399 (5 774–13 446)	8 410 (7 477–10 507)	0.399 (0.305–0.525)	0.998 (0.762–1.313)
<b>Steep70</b>	23 557 (19 723–39 933)	11 483 (7 384–26 688)	9 423 (7 889–15 973)	0.489 (0.368–0.682)	1.224 (0.92–1.704)
<b>BTselect</b>	20 436 (17 787–27 121)	9 698 (6 708–16 116)	8 174 (7 115–10 848)	0.474 (0.371–0.619)	1.184 (0.927–1.549)
<b>AllCatch</b>	34 363 (29 348–50 375)	16 873 (11 247–32 361)	13 745 (11 739–20 150)	0.49 (0.381–0.66)	1.226 (0.951–1.649)

**Table 8: Estimates of target fishing mortality ( $F_{SB40\%}$ ) and current fishing mortality ( $F_{2014}$ ) relative to the target level (medians, with 95% confidence intervals in parentheses) for the base model and sensitivities. Estimates are derived from MCMC analysis. Model results are limited to the KMNTB area of TRE 7, except for the *AllCatch* sensitivity which represents the entire TRE 7 area.**

Model option	$F_{SB40\%}$	$F_{2014}/F_{SB40\%}$	$Pr(F_{2014} < F_{SB40\%})$
<b>Base</b>	0.0877 (0.0844–0.0904)	0.678 (0.338–1.024)	0.969
<b>M low</b>	0.0768 (0.0742–0.079)	1.067 (0.69–1.517)	0.365
<b>Steep70</b>	0.077 (0.0741–0.0795)	0.776 (0.351–1.183)	0.851
<b>BTselect</b>	0.0885 (0.0855–0.0908)	0.796 (0.49–1.12)	0.902
<b>AllCatch</b>	0.0872 (0.0843–0.0896)	0.591 (0.319–0.862)	0.999

**Table 9: Probability ( $Pr$ ) of the KMNTB component of the TRE 7 stock being above key reference points in 2014. Estimates are derived from MCMC analysis.**

	$Pr(B_{2014} > 0.1B_0)$	$Pr(B_{2014} > 0.2B_0)$	$Pr(B_{2014} > 0.4B_0)$
<b>Base</b>	1.000	1.000	0.961
<b>M low</b>	1.000	1.000	0.492
<b>Steep70</b>	1.000	1.000	0.899
<b>BTselect</b>	1.000	1.000	0.909
<b>AllCatch</b>	1.000	1.000	0.931

(TRE)

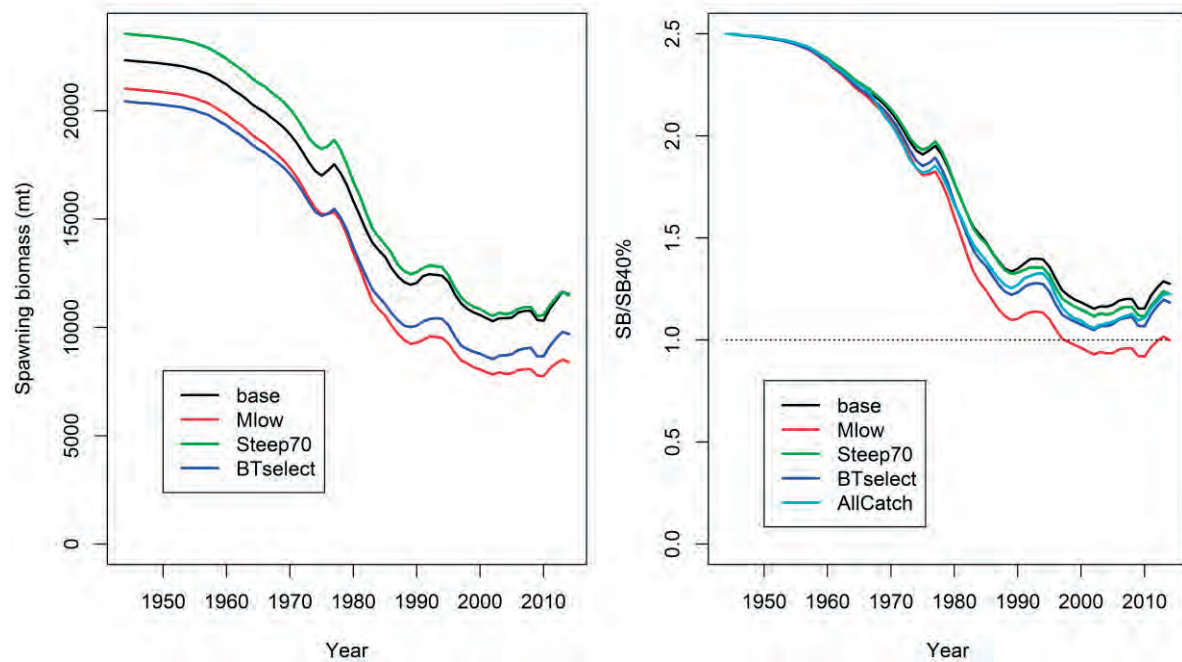


Figure 5: Median spawning biomass (female only) trajectories from MCMC model fits for the base model and sensitivities. The horizontal line in the right panel represents the target biomass level.

Further model runs were undertaken to explore the influence of two key data sets in the assessment. There is some concern regarding the reliability of the recent (2007–2013) CPUE indices due to changes in the targeting behaviour of the trawl fleet. A model trial was conducted that down-weighted the later indices (by increasing the CV to 30%). The BPT age composition data from 1998–2001 are influential in determining the extent of the stock depletion during the preceding period. A model trial was conducted that assigned a high weight (ESS 200) to these BPT age data to ensure the estimated levels of fishing mortality were entirely consistent with the age composition data (i.e. to ensure a good fit to the “plus group” in the age composition). Both model trials resulted in a reduction in the current stock status relative to  $SB_0$  compared to the base model (by approximately 10%) although in both cases current stock status was estimated to be above the target biomass level. On that basis, it was concluded that the overall conclusions of the assessment were not overly sensitive to either set of data.

#### 4.3.7 Yield estimates and projections

Stock projections, for a five-year period, were conducted for the *AllCatch* model. The projections used either the TACC or a constant catch equivalent to the 2013 catch level; i.e., 2153 t for the TACC projection and 1952 t for the 2013 catch projection. For the TACC projection, the spawning biomass is projected to decline slightly (by 3%) during the projection period, although there is a low probability that the biomass will decline below the target biomass level (Table 10). For the constant catch projection, projected biomass is maintained at the current (2014) level. The  $F_{40\%B_0}$  yield at the 2014 biomass level is 2949 t (1987–5557 t) for the *AllCatch* model that includes the entire TRE 7 catch. The current TACC is 2153 t.

Table 10: Stock status in the terminal year (2019) of the five year forecast period for the *AllCatch* model using either the current TACC or the 2013 catch in the projections.

Model option	$SB_{2019}/SB_0$	$\Pr(SB_{2019} > X\%SB_0)$		
		10%	20%	40%
<i>AllCatch</i> (with TACC projection)	0.478 (0.355–0.659)	1.000	1.000	0.863
<i>AllCatch</i> (with 2013 catch projection)	0.494 (0.374–0.671)	1.000	1.000	0.924

## 5. STATUS OF THE STOCKS

### • TRE 1

There is no accepted stock assessment for TRE 1.

### • TRE 2

This is no accepted stock assessment for TRE2. Since trevally in TRE 2 are thought to be part of the biological stock located in the Bay of Plenty (TRE 1), future assessments for TRE 2 will be undertaken in conjunction with TRE 1.

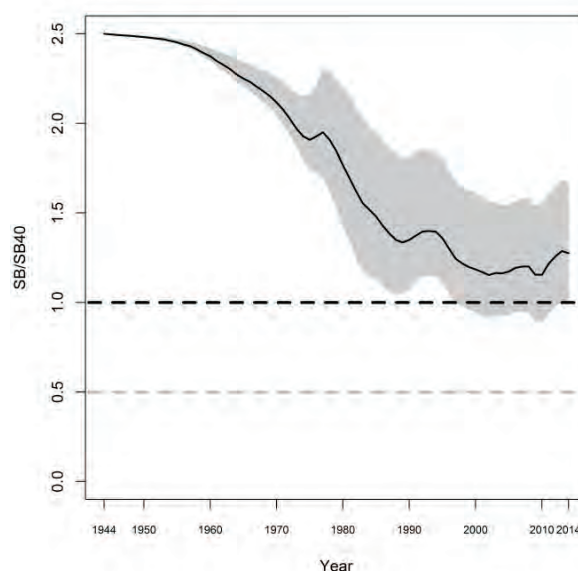
### • TRE 7

#### Stock Structure Assumptions

Trevally occurring along the west coast of the North Island are believed to comprise a single stock.

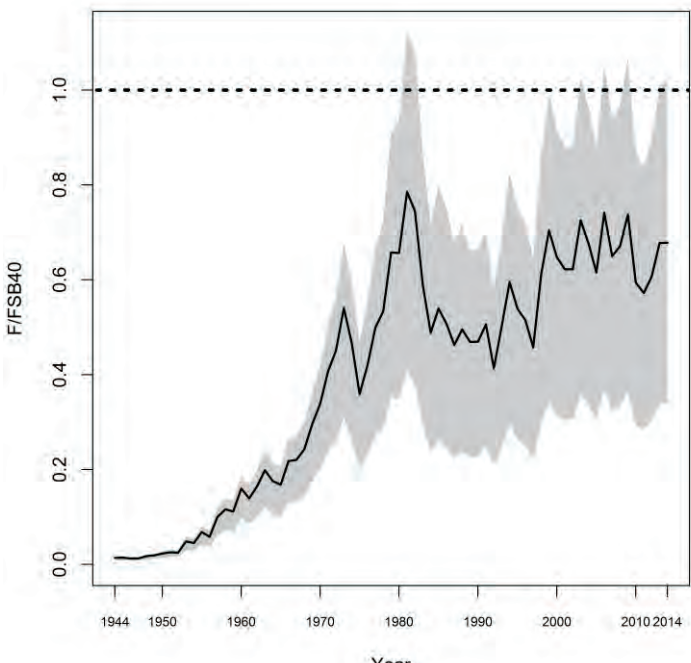
Stock Status	
Year of Most Recent Assessment	2015
Assessment Runs Presented	A base case model based on the main fishery area only (Kaipara-Manukau-Northern Taranaki Bight; KMNTB); this represents about 70% of recent (2010–2011 to 2012–13) TRE 7 catches
Reference Points	Interim Target: 40% $SB_0$ Soft Limit: 20% $SB_0$ Hard Limit: 10% $SB_0$ Overfishing threshold: $F_{40\%B0}$
Status in relation to Target	Very Likely (> 90%) to be at or above the target.
Status in relation to Limits	Soft Limit: Very Unlikely (< 10%) to be below Hard Limit: Exceptionally Unlikely (< 1%) to be below
Status in relation to Overfishing	Overfishing is Very Unlikely (< 10%) to be occurring

#### Historical Stock Status Trajectory and Current Status



Spawning biomass (female only) relative to the interim target biomass ( $SB_{40\%}$ ) (median of MCMCs) for the base model run. 95% credible intervals were derived from MCMC. The dashed, black horizontal line represents the default target biomass level and the grey line represents the default soft limit (20%  $SB_0$ ).



<b>Fishery and Stock Trends</b>	
Recent Trend in Biomass or Proxy	Spawning biomass is estimated to have declined gradually during the 1940s and 1950s. The rate of decline increased from the 1960s to the mid-1980s consistent with the increase in the total annual catch. Since the mid-1990s spawning biomass has remained relatively stable.
Recent Trend in Fishing Mortality or Proxy	<p>Fishing mortality rates are estimated to have been relatively stable since the late 1990s, at a level below <math>F_{SB40\%}</math>.</p>  <p>Annual fishing mortality relative to the level of fishing mortality that corresponds to the default target spawning biomass from the KMNTB base assessment model. The solid line represents the median of the MCMC samples and the shaded area represents the 95% credible interval.</p>
Other Abundance Indices	-
Trends in Other Relevant Indicators or Variables	-

<b>Projections and Prognosis</b>	
Stock Projections or Prognosis	Model projections indicate that the biomass of TRE 7 is About as Likely as Not (40–60%) to decline over the next 5 years (to 2019), but with low probability of dropping below 40% $SB_0$ by 2019.
Probability of Current Catch or TACC causing decline below Limits (5 years)	Exceptionally Unlikely (< 1%) to decline below Soft and Hard Limits
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Very Unlikely (< 10%)

<b>Assessment Methodology and Evaluation</b>		
Assessment Type	Level 1 – Full Quantitative Stock Assessment	
Assessment Method	Age-structured Stock Synthesis model with Bayesian estimation of posterior distributions	
Assessment Dates	Latest assessment: 2015	Next assessment: 2020
Overall assessment quality rank	1 – High Quality	

## TREVALLY (TRE)

Main data inputs (rank)	- Standardised CPUE index of abundance - Proportions at age data from the commercial fisheries and trawl surveys	1 – High Quality  1 – High Quality
Data not used (rank)	- Bottom pair trawl CPUE, 1973–74 to 1984–85	3 – Low Quality: does not index abundance
Changes to Model Structure and Assumptions	The stock assessment was based on data from KMNTB only. The fishery catch, CPUE and age composition data sets were reconfigured accordingly. The model was re-run with the total TRE 7 catch to calculate the total expected yield at $F_{SB40\%}$ . Projections were based on the model for the entire area, using both the 2014 catch and the 2014 TACC.	
Major Sources of Uncertainty	- Reliability of CPUE as an index of stock abundance as a result of recent increases in the degree of targeting of trevally - Whether results for the KMNTB sub-area reflect changes in biomass in the other two sub-areas within TRE 7 - Reliability of the pair trawl age composition data (1998-2001), which strongly influence estimates of $B_0$ and exploitation rates during the period of peak catch	

### Qualifying Comments

- The stock assessment was based on the KMNTB sub-area only, and the extent to which it is reflective of the other two (smaller) sub-areas is unknown.

### Fishery Interactions

Main QMS bycatch species are snapper, red gurnard, John dory and tarakihi.

## 6. FOR FURTHER INFORMATION

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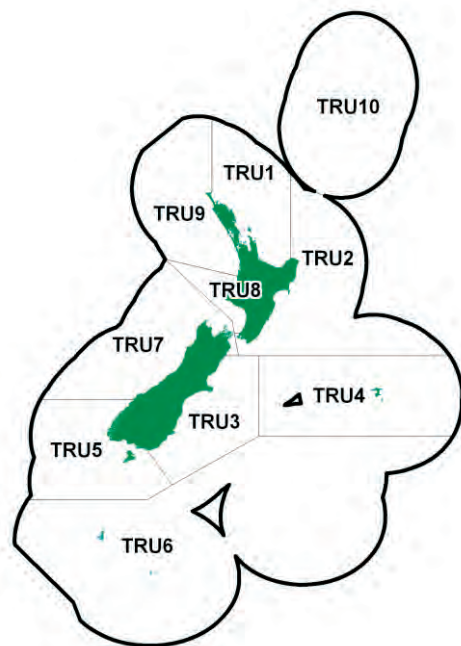


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**TRUMPETER (TRU)***(Latris lineata)*

Kohikohi

**1. FISHERY SUMMARY****1.1 Commercial fisheries**

Historical estimated landings of are shown in Table 1 for the main trumpeter stocks. Total reported landings of trumpeter were generally less than 10 t until the early 1980s, when they increased steadily to reach 162 t in 1995–96 (Tables 2 and 3). Since 1995–96 landings continued to decrease, reaching 25 t in 2000–01 and remaining at that level in 2001–02. Over recent years landings have increased, with over 100 t reported in the 2011–2012 fishing year. Historic under-reporting is probable (Paul 1999).

Most landings of trumpeter have come from the east coast between the eastern Bay of Plenty and Southland. There have been changes over time in contributions from different parts of the east coast, but the reason for this is not known. Until the early 1950s most landings were made in QMA 3. From the mid 1950s until the mid 1980s most landings were in QMA 2. The rapid increase in landings since the mid 1980s has come predominantly from QMAs 3 and 4, reportedly from an increase in line fishing on the outer shelf and in the Mernoo Bank region. Landings in QMA 3 and 4 have declined in the last few years, falling well below the TACC. Figure 1 shows the historical landings for TRU from 1936.

Most trumpeter is taken as bycatch in line-fisheries; a small amount is trawled, and from the 1970s it has also been taken by setnet. Only a small proportion of trumpeter is targeted. Catches are irregular with no seasonal trend and are likely to be driven by fishing activities for other species. No information on changes in fishing effort is available.

Trumpeter have been managed under the Quota Management System in New Zealand since 1 October 1988, at which time an original TACC of 100 t was set. The TACC was increased to 144 t in October 2001 following a period of declining landings. This TACC has never been reached; the 110 t landed in 2010–11 was the highest of the last 15 years. In recent years (2004–05 to 2012–13), significant landings have come only from TRU 4 (Table 3) on the Chatham Rise, with small landings also coming from TRU 2, 3, 5, and 7 (south-eastern North Island and South Island). Trumpeter are also taken by recreational fishers in southern New Zealand, and although good estimates of recreational catch are not available, they may be around one-third to one-half of the commercial catch.

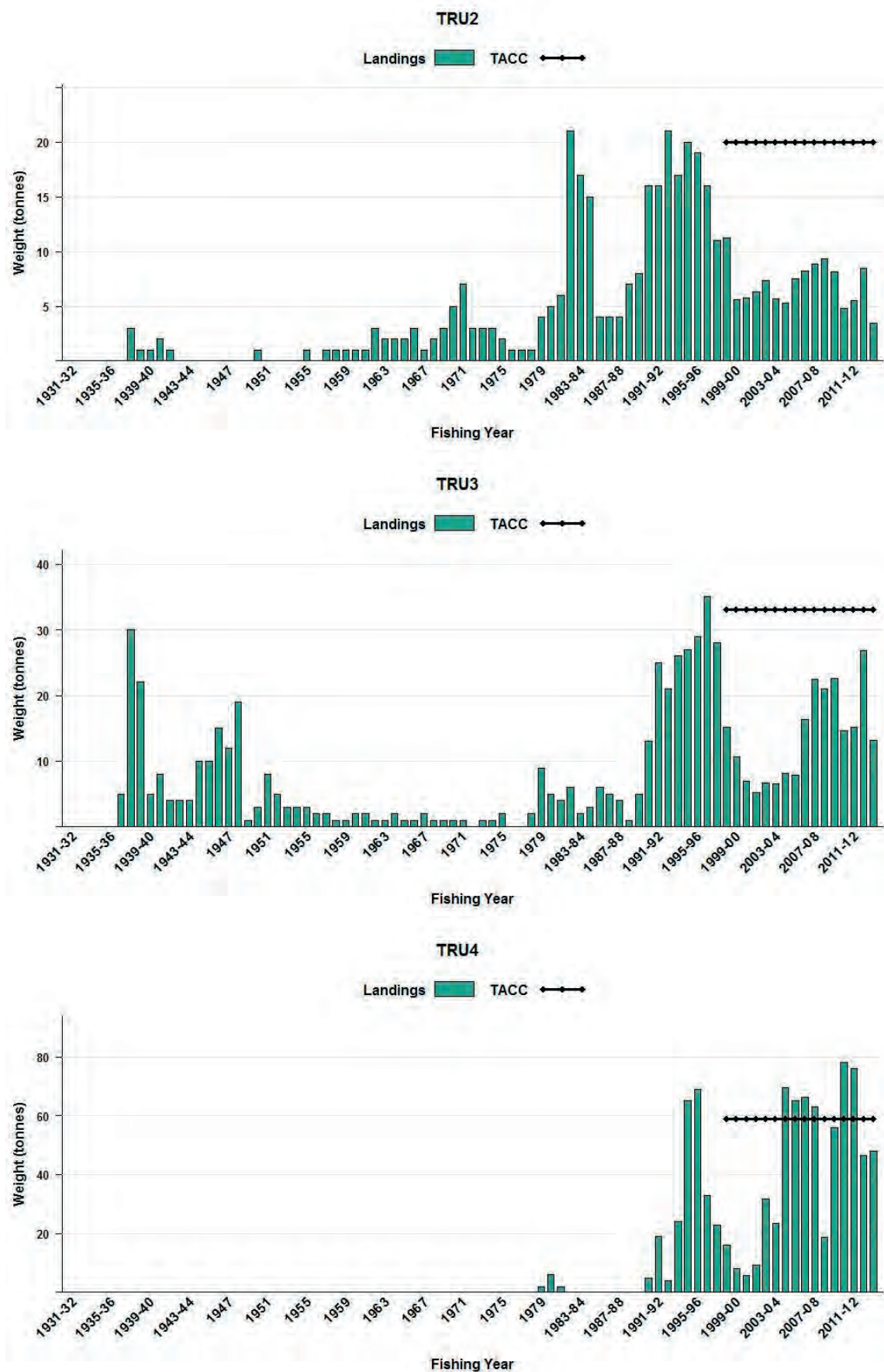


Figure 1: Reported commercial landings and TACCs for the four main TRU stocks. Top to bottom: TRU 2 (Central East), TRU 3 (South East Coast), TRU 4 (South East Chatham Rise), .[Continued on next page]

## TRUMPETER (TRU)

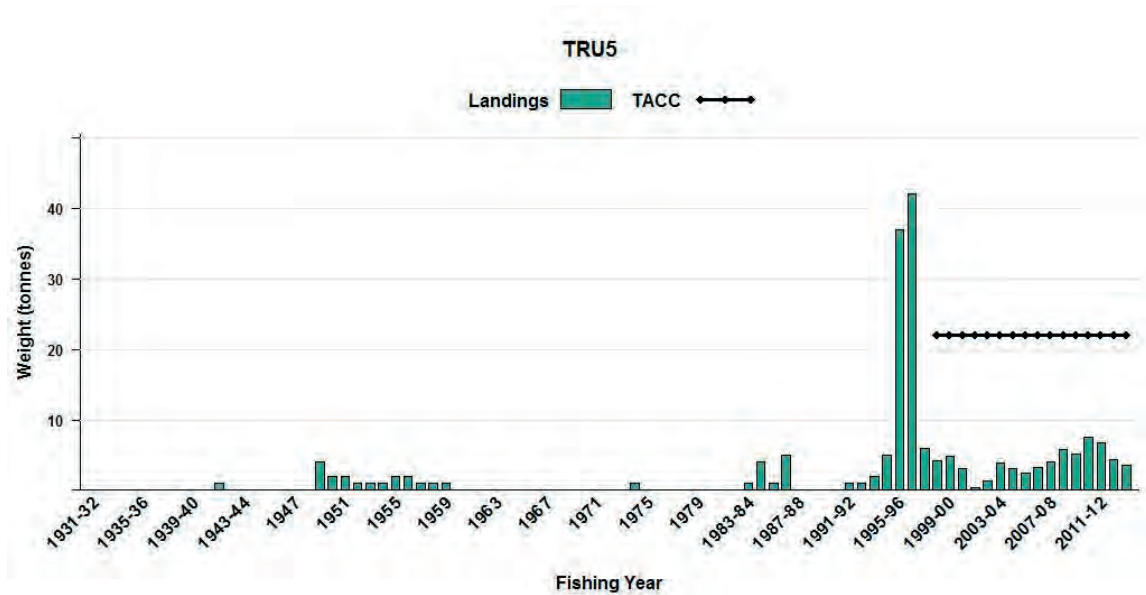


Figure 1: [Continued] Reported commercial landings and TACCs for the four main TRU stocks. TRU 5 (Southland).

Table 2: Reported landings (t) for the main QMAs from 1931 to 1982.

Year	TRU 1	TRU 2	TRU 3	TRU 4	Year	TRU 1	TRU 2	TRU 3	TRU 4
1931-32	0	0	0	0	1957	0	1	2	0
1932-33	0	0	0	0	1958	0	1	1	0
1933-34	0	0	0	0	1959	0	1	1	0
1934-35	0	0	0	0	1960	0	1	2	0
1935-36	0	0	0	0	1961	0	1	2	0
1936-37	0	0	5	0	1962	0	3	1	0
1937-38	0	3	30	0	1963	0	2	1	0
1938-39	0	1	22	0	1964	0	2	2	0
1939-40	0	1	5	0	1965	0	2	1	0
1940-41	0	2	8	0	1966	0	3	1	0
1941-42	0	1	4	0	1967	0	1	2	0
1942-43	0	0	4	0	1968	0	2	1	0
1943-44	0	0	4	0	1969	0	3	1	0
1944	0	0	10	0	1970	0	5	1	0
1945	0	0	10	0	1971	0	7	1	0
1946	0	0	15	0	1972	0	3	0	0
1947	0	0	12	0	1973	0	3	1	0
1948	0	0	19	0	1974	0	3	1	0
1949	0	0	1	0	1975	0	2	2	0
1950	0	1	3	0	1976	0	1	0	0
1951	0	0	8	0	1977	0	1	0	0
1952	0	0	5	0	1978	0	1	2	0
1953	0	0	3	0	1979	0	4	9	2
1954	0	0	3	0	1980	0	5	5	6
1955	0	1	3	0	1981	0	6	4	2
1956	0	0	2	0	1982	2	21	6	0

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings.

Table 3: Reported total landings (t) of trumpeter from 1931 to 1982. Values for 1931 to 1944 are April–March years, listed against the April year. Fisheries Annual Report (1931 to 1974) or FSU data (Paul 1999).

Year	Landings	Year	Landings	Year	Landings	Year	Landings	Year	Landings
1936	20	1946	16	1956	5	1965	4	1974	5
1937	41	1947	13	1957	5	1966	5	1975	4
1938	30	1948	19	1958	3	1967	7	1976	3
1939	37	1949	6	1959	3	1968	5	1977	3
1940	17	1950	6	1960	3	1969	5	1978	6
1941	11	1951	11	1961	3	1970	7	1979	17
1942	5	1952	11	1962	4	1971	10	1980	10
1943	5	1953	5	1963	3	1972	4	1981	12
1944	11	1954	5	1964	3	1973	5	1982	37
1945	11	1955	6						

Table 4: Reported landings (t) of trumpeter by QMA and fishing year, 1983–84 to 2012–13\*.

Fishstock FMA	TRU 1		TRU 2		TRU 3		TRU 4		TRU 5	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982–83	0	-	5	-	3	-	0	-	0	-
1983–84	1	-	17	-	2	-	0	-	1	-
1984–85	0	-	15	-	3	-	0	-	4	-
1985–86	0	-	4	-	6	-	0	-	1	-
1986–87	0	-	4	-	5	-	0	-	5	-
1987–88	0	-	4	-	4	-	0	-	0	-
1988–89	0	-	7	-	1	-	0	-	0	-
1989–90	0	-	8	-	5	-	0	-	0	-
1990–91	3	-	16	-	13	-	5	-	0	-
1991–92	1	-	16	-	25	-	19	-	1	-
1992–93	3	-	21	-	21	-	4	-	1	-
1993–94	3	-	17	-	26	-	24	-	2	-
1994–95	2	-	20	-	27	-	65	-	5	-
1995–96	2	-	19	-	29	-	69	-	37	-
1996–97	2	-	16	-	35	-	33	-	42	-
1997–98	1	-	11	-	28	-	23	-	6	-
1998–99	<1	1	11	9	15	28	16	42	4	18
1999–00	<1	1	6	9	11	28	8	42	5	18
2000–01	<1	1	6	9	7	28	6	42	3	18
2001–02	<1	3	6	20	5	33	9	59	<1	22
2002–03	<1	3	7	20	7	33	32	59	1	22
2003–04	1	3	6	20	7	33	24	59	4	22
2004–05	<1	3	5	20	8	33	70	59	3	22
2005–06	<1	3	7	20	8	33	65	59	3	22
2006–07	<1	3	8	20	16	33	66	59	3	22
2007–08	1	3	9	20	22	33	63	59	4	22
2008–09	<1	3	9	20	21	33	19	59	6	22
2009–10	<1	3	8	20	22	33	56	59	5	22
2010–11	<1	3	5	20	15	33	78	59	8	22
2011–12	<1	3	6	20	15	33	76	59	7	22
2012–13	<1	3	8	20	27	33	47	59	4	22
2013–14	<1	3	3	20	13	33	48	59	4	22

Fishstock FMA	TRU 6		TRU 7		TRU 8		TRU 9		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982–83	0	-	0	-	0	-	0	-	8	-
1983–84	0	-	0	-	0	-	0	-	21	-
1984–85	0	-	0	-	0	-	0	-	22	-
1985–86	0	-	0	-	0	-	0	-	11	-
1986–87	0	-	2	-	0	-	0	-	16	-
1987–88	0	-	0	-	0	-	0	-	8	-
1988–89	0	-	1	-	0	-	0	-	9	-
1989–90	0	-	0	-	1	-	0	-	14	-
1990–91	0	-	7	-	0	-	0	-	44	-
1991–92	0	-	4	-	0	-	0	-	69	-
1992–93	0	-	4	-	2	-	0	-	56	-
1993–94	0	-	6	-	0	-	0	-	78	-
1994–95	0	-	4	-	0	-	0	-	123	-
1995–96	0	-	6	-	0	-	0	-	162	-
1996–97	2	-	3	-	<1	-	<1	-	133	-
1997–98	<1	-	3	-	<1	-	0	-	72	-
1998–99	0	0	3	2	<1	0	0	0	50	100
1999–00	0	0	2	2	<1	0	0	0	33	100
2000–01	0	0	3	2	<1	0	<1	0	25	100
2001–02	0	0	5	6	<1	1	0	0	25	144
2002–03	0	0	3	6	<1	1	<1	0	51	144
2003–04	0	0	2	6	<1	1	<1	0	44	144
2004–05	0	0	4	6	<1	1	0	0	90	144
2005–06	0	0	4	6	<1	1	0	0	88	144
2006–07	0	0	4	6	<1	1	0	0	99	144
2007–08	<1	0	2	6	<1	1	<1	0	101	144
2008–09	0	0	2	6	<1	1	<1	0	63	144
2009–10	0	0	3	6	<1	1	0	0	95	144
2010–11	<1	0	4	6	<1	1	<1	0	110	144
2011–12	<1	0	4	6	<1	1	<1	0	108	144
2012–13	<1	0	6	6	<1	1	<1	1	93	144
2013–14	0	0	5	6	<1	1	<1	0	74	144

\*The data in this table have been updated from those published in previous Plenary Reports by using the data through 1996–97 in table 41 on p. 288 of the “Review of Sustainability Measures and Other Management Controls for the 1998–99 Fishing Year - Final Advice Paper” dated 6 August 1998. There are no landings reported from TRU 10, which has a TAC of 0

## TRUMPETER (TRU)

**Table 5** Estimated number of trumpeter caught by recreational fishers by FMA and survey. Surveys were carried out in different years in MAF Fisheries regions: South in 1991–92, Central in 1992–93, North in 1993–94 and National in 1996 (Bradford 1998).

FMA	Survey	Total	
		Number	CV (%)
1991–92			
FMA 3	South	6 000	29
FMA 5	South	6 000	33
FMA 7	South	8 000	-
1992–93			
FMA 2	Central	1 000	-
FMA 3	Central	3 000	-
FMA 5	Central	1 000	-
FMA 7	Central	0	-
FMA 8	Central	0	-
1993–94			
FMA 1+9	North	0	-
FMA 2	North	1 000	-
FMA 8	North	0	-
1996			
FMA 1	National	< 500	-
FMA 2	National	1 000	-
FMA 3	National	13 000	19
FMA 5	National	21 000	19
FMA 7	National	3 000	-

### 1.2 Recreational fisheries

Results from four separate recreational fishing surveys undertaken in the 1990s are shown in Table 5. Most of the recreational catch was taken in QMA 3, 5 and 7 with a marked increase in catch reported in QMA 5 in 1996 compared to the early 1990s. Provisional estimates of the tonnage of the recreational catch can be derived by multiplying the total number of fish by a mean weight of 1 kg. Note, however, that this mean weight was derived from a sample of mainly small fish and is possibly unrepresentative, so an estimate of the recreational catch by weight may have been underestimated.

### 1.3 Customary non-commercial fisheries

The customary non-commercial take has not been quantified.

### 1.4 Illegal catch

There is no quantitative information on illegal fishing activity or catch.

### 1.5 Other sources of mortality

No quantitative estimates are available regarding the impact of other sources of mortality on trumpeter stocks. Trumpeter principally occur on deep coastal reefs, where they are taken in net and line fisheries targeted at other species.

## 2. BIOLOGY

Trumpeter have a Southern Hemisphere distribution in cool temperate waters. They occur in New Zealand, Australia, the Sub-Antarctic islands of the southern Indian and Atlantic oceans, the Foundation Seamount in the central South Pacific, and possibly off Chile (Roberts 2003, Tracey & Lyle 2005). In New Zealand, trumpeter occur from the Three Kings Islands through all of mainland New Zealand to the Auckland Islands; however they are rare north of East Cape and Cape Egmont (Kingsford et al 1989, Francis 1996, 2001). The greatest concentrations of trumpeter apparently occur on the Chatham Rise and around the southern South Island and Stewart Island.

Trumpeter have an extended larval and post-larval duration of up to 9 months in surface waters (Tracey & Lyle 2005), resulting in extensive drift of young fish among geographic regions. Juveniles are largely sedentary, but some adults are highly migratory with tagged fish travelling 650 km from Tasmania to southern New South Wales, and 5800 km from Tasmania to St Paul Island in the southern Indian Ocean (Lyle & Murphy 2002). This suggests that there is one circum-global genetic stock in the Southern Hemisphere, although analysis of otolith morphometrics from Tasmania and St Paul and Amsterdam Islands showed regional variation (Tracey et al 2006) suggesting that migration and inter-breeding may be limited.

Trumpeter occur mainly over rocky reefs ranging from shallow inshore waters to deep reefs on the central continental shelf. In New Zealand, they apparently range from a depth of a few metres down to about 200 m. In Australia some reports indicate they may go as deep as 300 m (reviewed by Paul 1999). Fish inhabiting inshore reefs tend to be smaller, whereas fish from deep reefs tend to be much larger. Trumpeter initially settle on to inshore reefs at the end of their long postlarval period, where they remain for several years, before migrating into deeper areas as they reach maturity (Tracey & Lyle 2005).

Some biological traits differ between New Zealand and Tasmanian populations. Notably, trumpeter are thought to spawn in winter (July) in New Zealand (Graham 1939b), and late winter to spring in Australia (peaking around September in Tasmania) (Ruwald et al 1991, Furlani & Last 1993, Morehead 1998, Morehead et al 1998, 2000, Furlani & Ruwald 1999). However, the New Zealand data seem to be based on limited sampling, and it is uncertain whether the apparent regional difference is real.

Trumpeter grow to about 110–120 cm fork length (FL) and 25–27 kg weight in New Zealand and Australia (Gomon et al 1994, Paul 1999, Francis 2001). Nothing is known about growth, longevity or maturity in New Zealand waters. However, because of their importance for aquaculture in Australia, a comprehensive study has recently been completed on their age and growth in Tasmania (Tracey & Lyle 2005, Tracey et al 2006). Partial validation of age estimates was completed there by comparison of otolith growth in known-age reared fish and wild fish (enabling validation of the time of formation of the first growth band), and tracking a strong wild cohort over seven years (ages 1+ to 7+). Although full validation was not achieved, the authors considered their ages validated up to and beyond the size and age of habitat transition.

In Australia, trumpeter grow rapidly during the first 4–5 years, reaching about 45 cm FL at that stage, and moving offshore to deeper water (Tracey & Lyle 2005, Tracey et al 2006). At that time, there is a reduction in growth rate. They reach a maximum age of about 43 years (though the largest fish in the samples was 95 cm FL, which is well below the reported maximum length of 120 cm), and there are no clear differences between males and females (although small sample sizes of fish older than 10 years meant that the power to detect differences was low). Similarly, no differences were found in growth rates between fish from Tasmania and St Paul and Amsterdam Islands. Growth rates are seasonally variable, at least for the first few years, with maximum growth in late summer-autumn. It is thought that maturation coincides with the offshore movement to deep habitat.

In New Zealand, the only population information available for trumpeter comes from a 6-year survey (1994–1999) in Paterson Inlet, Stewart Island. Chadderton & Davidson (2003) carried out underwater visual counts, and obtained comprehensive length-frequency distributions from 1065 fish caught by rod at 12–15 different sites. Their length-frequency data show two or three clear juvenile cohorts which progress through time (a strong cohort was also found in Tasmania by Tracey & Lyle (2005)). Chadderton & Davidson (2003) interpreted this as evidence of variable annual recruitment pulses. Their largest fish was 46.9 cm FL with few fish over 40 cm in most years. This is consistent with evidence from Australia of offshore migration at about 45 cm, though the migration may occur at a slightly smaller size in the New Zealand population.

## TRUMPETER (TRU)

### 3. STOCKS AND AREAS

There are no data relevant to stock boundaries in New Zealand. Trumpeter are potentially wide-ranging, and there is one circum-global genetic stock in the Southern Hemisphere, although analysis of otolith morphometrics from Tasmania and St Paul and Amsterdam Islands showed regional variation (Tracey et al 2006) suggesting that migration and inter-breeding may be limited. Therefore there may be localised populations in areas of suitable habitat as they seem to be restricted to rocky reef habitat.

### 4. STOCK ASSESSMENT

#### 4.1 Estimates of fishery parameters and abundance

No estimates are available.

#### 4.2 Biomass estimates

No estimates are available.

#### 4.3 Yield estimates and projections

No estimate of *MCY* is available.

The level of risk to the stock by harvesting trumpeter at recent catch levels cannot be determined.

No estimates of current biomass, fishing mortality, or other information are available which would permit the estimation of *CAY*.

#### 4.4 Other factors

There is anecdotal information from Australia and New Zealand that localised populations of trumpeter can be quickly depleted.

### 5. STATUS OF THE STOCKS

No estimates of current and reference biomass are available. It is not known if recent catch levels are sustainable.

TACCs and reported landings of trumpeter for the 2013–14 fishing year are summarised in Table 6.

**Table 6: Recreational and customary non-commercial allowances (t), Total Allowable Commercial Catches (TACC, t) and Total Allowable Catch (TAC, t), along with reported landings (t) of trumpeter for the most recent fishing year.**

		FMA	TAC	TACC	Customary	Recreational	2013–14 Reported Landings
Fishstock							
TRU 1	Auckland (East)	1	5	3	1	1	< 1
TRU 2	Central (East)	2	22	20	1	1	3
TRU 3	South-east (Coast)	3	53	33	7	13	13
TRU 4	South-east (Chatham)	4	59	59	0	0	48
TRU 5	Southland	5	54	22	11	21	4
TRU 6	Sub-Antarctic	6	0	0	0	0	0
TRU 7	Challenger	7	11	6	2	3	5
TRU 8	Central (West)	8	1	1	0	0	<1
TRU 9	Auckland (West)	9	0	0	0	0	<1
TRU 10	Kermadec	10	0	0	0	0	0
Total			205	144	22	39	74



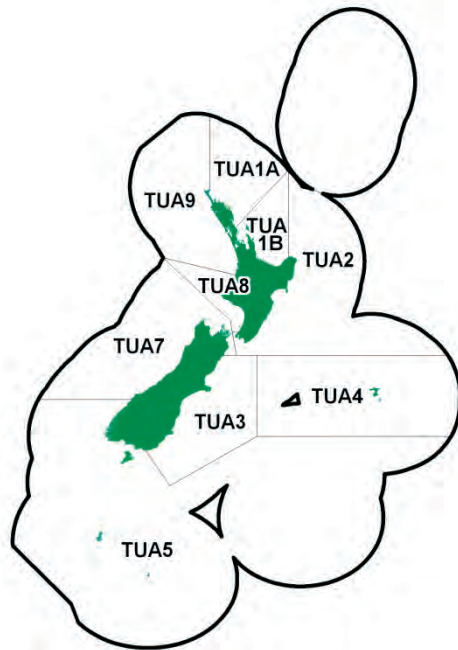
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## TUATUA (TUA)

*(Paphies subtriangulata)*

Tuatua



## 1. FISHERY SUMMARY

Tuatua (*Paphies subtriangulata*) were introduced into the QMS on 1 October 2005. The fishing year runs from 1 October to 30 September, and commercial catches are measured in greenweight. In October of 2005 all TUA QMAs were allocated customary and recreational catch allowances. A breakdown of each QMA Total Allowable Catch (TAC) is listed in Table 1.

### 1.1 Commercial fisheries

QMA boundaries for tuatua were set the same as those established for FMAs, except for FMA 1 (the area between North Cape and Cape Runaway), which was divided into two QMAs, TUA 1A and TUA 1B, on either side of Te Arai Point (Pakiri Beach). The formerly specified historic commercial areas within TUA 1B (Papamoa domain to Maketu Beach, Bay of Plenty) and TUA 9 (i.e., Ninety Mile Beach, Hokianga Harbour to Maunganui Bluff, and specific areas between Maunganui Bluff to the North Head of the Kaipara Harbour) were revoked, and regulations were amended to remove the commercial daily catch limits for tuatua, which were no longer applicable. Commercial fishing was allowed to continue only in TUA 9 in the specified commercial area of the Kaipara Harbour entrance. A TACC of 43 t, which reflected the average of the reported landings taken from the Kaipara fishery between 1990–91 and 2003–04, was allocated to the TUA 9 stock in recognition that commercial tuatua fishing was constrained to the Kaipara Harbour entrance.

There is no minimum legal size (MLS) for tuatua, although fishers probably favor large individuals. Tuatua are available for harvest year-round, so there is no apparent seasonality in the fishery. Significant landings since 1989–90 have been reported from TUA 9 only (Table 2), and there have been no reported landings from TUA 5, TUA 6, and TUA 8. Landings from TUA 9 reached a peak of 192 t in 1997–98, and subsequently decreased, ranging from 4 to 76 t (average 32 t) between 1998–99 and 2003–04. This decline in commercial catches from the Kaipara bed is probably related to historic participants retiring from the fishery. The commercial effort had greatly reduced by 1992, post moratorium implementation, and catches have been influenced by the fact that commercial fishing is intermittent with only one or two fishers involved. No landings were reported from TUA 9 for 2004–05 to 2010–11. Landings of 4.881 t were recorded for TUA 9 in the 2011–12 fishing year.

**Table 1: Recreational, customary, and other mortality allowances (t); Total Allowable Commercial Catches (TACC, t) and Total Allowable Catch (TAC, t) declared for TUA in October 2005.**

Fishstock	Recreational Allowance	Customary non-commercial Allowance	Other Mortality	TACC	TAC
TUA 1A	40	40	4	0	84
TUA 1B	60	60	6	0	126
TUA 2	3	3	1	0	7
TUA 3	3	3	1	0	7
TUA 4	1	1	1	0	3
TUA 5	1	1	1	0	3
TUA 7	1	1	1	0	3
TUA 8	1	1	1	0	5
TUA 9	26	26	7	43	102

**Table 2: Reported landings (t) of tuatua (*Paphies subtriangulata*) by Fishstock from 1989–90 to the present day. Data up to 2003–04 taken from page 163 of MFish's Initial Position Paper (IPP), dated 31 March 2005, data since from CELR and CLR (early CELR and CLR data erroneously record commercial landings from FMA 9 as FMA 1 because permit holders were not filling in the forms correctly). There have been no reported landings of tuatua in TUA 5, TUA 6, and TUA 8. There were no landings reported from 2004–05 to 2010–11. Tuatua were introduced into the QMS on 1 October 2005; a TACC of 43 t was allocated (to TUA 9 only), and FMA 1 was divided into TUA 1A and TUA 1B.**

Year	TUA 1	TUA 2	TUA 3	TUA 4	TUA 7	TUA 9	Total	TACC
1989–90	0	0	0	0	0	69.015	69.015	-
1990–91	0	0	0	0	0.176	68.245	68.421	-
1991–92	0	0	0	0	1.667	82.002	83.669	-
1992–93	0	0	0	0	0.891	109.280	110.171	-
1993–94	0	0	0.042	0	0	177.165	177.207	-
1994–95	0	0	0	0	0	182.262	182.262	-
1995–96	0	0	0	0	0	100.016	100.016	-
1996–97	0	0	0.125	0	0.005	68.575	68.705	-
1997–98	0	0	0.184	0	0	192.262	192.446	-
1998–99	0	0	0	0	0	76.205	76.205	-
1999–00	0	0	0	0	0	44.450	44.450	-
2000–01	0	0	0	0	0	16.150	16.150	-
2001–02	0	0	0	0	0	4.900	4.900	-
2002–03	0	0	0	0	0	36.160	36.160	-
2003–04	0	0	0.054	0	0	34.336	34.390	-
2004–05	0	0	0	0	0	0	0	-
2005–06	0	0	0	0	0	0	0	43
2006–07	0	0	0	0	0	0	0	43
2007–08	0	0	0	0	0	0	0	43
2008–09	0	0	0	0	0	0	0	43
2009–10	0	0	0	0	0	0	0	43
2010–11	0	0	0	0	0	0	0	43
2011–12	0	0	0	0	0	4.881	4.881	43
2012–13	0	0	0	0	0	5.294	5.294	43
2013–14	0	0	0	0.02	0	0	0.02	43

## 1.2 Recreational fisheries

Tuatua support an extensive recreational fishery, with harvesting occurring in all stocks wherever there are accessible beds, particularly in the upper North Island. Tuatua are harvested entirely by hand gathering, and there is no MLS (although large tuatua are preferred).

There is a recreational daily catch limit of 150 tuatua per person, except in the Auckland - Coromandel region where the limit has been 50 per day per person since November 1999.

Currently, there are no reliable estimates of the recreational harvest of tuatua. Estimates of tuatua catch by recreational fishers have been made on three occasions (1996, 1999–2000, and 2000–01) as part of national recreational fishing (telephone and diary) surveys. These estimates indicate that the majority of recreational tuatua harvests were taken from FMA 1, moderate harvests were taken from QMA 9, and smaller quantities were taken from other areas. A review by the Marine Recreational Fisheries Technical Working Group concluded that these estimates were not likely to be reliable. The current

## TUATUA (TUA)

level of recreational harvest and its impact on the status of tuatua beds are unknown. There are concerns about the depletion of popular tuatua beds in some areas, whereas in other areas it appears they are in a healthy state.

### 1.3 Customary non-commercial fisheries

In common with many other intertidal shellfish, tuatua are an important customary species taken as kaimoana. Both oral tradition and the numerous middens of *P. triangulata* shells around the coastline clearly show that this fishery has been an important one to Maori for at least several hundred years. However, no quantitative information on the level of customary non-commercial take is available.

### 1.4 Illegal catch

The illegal catch of tuatua is probably significant in some areas, with some recreational fishers exceeding their bag limit, but no quantitative information on the level of illegal catch is available.

### 1.5 Other sources of fishing-related mortality

No quantitative information on the level of other sources of mortality is available. Tuatua are generally sedentary and beds are susceptible to localised depletion, not only by harvesting pressure, but also by habitat disturbance and degradation. Incidental mortality of tuatua is likely in the Kaipara Harbour dredge fishery if tuatua are damaged during encounters with the dredge. Changes in bank stability could arise from dredging operations and might cause additional incidental mortality. However, the level of dredge-related mortality is unknown. As suspension feeders, tuatua may also be adversely affected by high sedimentation loads in the water column. In some areas, such as Ninety Mile Beach, Dargaville and Muriwai, vehicles driven along the beach pass directly over tuatua beds, increasing mortality either directly by damaging tuatua or indirectly by adversely modifying surface sand conditions leading to desiccation of tuatua.

## 2. BIOLOGY

Tuatua (*Paphies subtriangulata*) belong to the family Mesodesmatidae, a group of moderate to large wedge-shaped surf clams that include toheroa (*Paphies ventricosum*), deepwater tuatua (*Paphies donacina*), and pipi (*Paphies australis*). *P. subtriangulata* is extensively distributed around New Zealand in localised abundant populations, but mainly occurs around the North Island, and at more scattered locations in the northern South Island, Stewart Island, and the Chatham Islands.

Tuatua are ecological markers of fine, clean, fluid sands on ocean beaches with moderate wave exposure. The densest beds are found in the zone from the low intertidal to the shallow subtidal (down to about 4 m depth). The tuatua is a suspension feeder with short siphons. It is usually wedged only a few centimetres into the sand, with the straight siphonal end often characteristically exposed and discoloured by a green or brown algal film. Individuals are often dragged about the surface and redistributed by swash and backwash before actively burrowing back into the sand.

Tuatua have separate sexes (1:1 sex ratio) and reproduce by broadcast spawning, synchronously releasing eggs and sperm into the water column for external fertilisation. In north-eastern New Zealand, two main spawning periods have been documented, one between September and November, the other between February and April. Spawning events have been observed *in situ* at high water on a number of occasions, with only a small proportion of the population participating in each event. These spawning events were synchronous with pipi spawning in the same area.

Planktonic larval development takes about two to three weeks, so larvae have the potential to disperse widely if conditions allow. Larval settlement is thought to occur high in the intertidal, but spat and juveniles are highly mobile, moving around with the tidal flow before reburying themselves rapidly. Tuatua appear to migrate down the beach to occupy the lower intertidal and shallow subtidal as they grow larger. Growth appears to be rapid but variable, with tuatua reaching 40–70 mm shell length in about 3 years. Maximal length is variable among areas, ranging from about 50 to 80 mm, and the maximum age is probably about 5 or more years. Highly variable recruitment has been observed on the northwest coast

of the North Island, and this is likely to occur in other areas. As in other surf clams, natural mortality is likely to be high.

A length-weight relationship has been estimated for tuatua sampled from East Auckland, and a southern population (probably Dunedin) where weight (in g) =  $a (\text{length (in mm)})^b$ , where  $a = 0.2 \times 10^{-3}$  and  $b = 2.927$ . Data source: D. Allen unpublished data. Because the samples were from one northern and one southern population, the estimated relationship may not be representative of other populations.

### 3. STOCKS AND AREAS

Little is known of the stock structure of tuatua. There have been no biological studies directly relevant to the identification of separate stocks of *P. subtriangulata* around New Zealand, although “stocks” are likely to be linked by larval dispersal. For management purposes stock boundaries are based on FMAs, with the exception of TUA 1, which was divided into TUA 1A and TUA 1B on either side of Te Arai Point because there are likely to be significant differences in the state and use of the tuatua beds between the Northland and Hauraki Gulf / Bay of Plenty areas, and the respective alignment of recreational and customary fishing interests to those management areas. The circulation patterns that maintain the separation of the surf zone habitat to form a self contained ecosystem also retain planktonic larvae of surf clams probably isolating surf clams genetically as well as ecologically.

### 4. STOCK ASSESSMENT

#### 4.1 Estimates of fishery parameters and abundance

There are no estimates of fishery parameters or abundance for any tuatua fishstock.

#### 4.2 Biomass estimates

There is no time series of biomass surveys for tuatua either in the bed in the Kaipara Harbour entrance where commercial harvesting by dredge occurs now, or anywhere else that would indicate whether tuatua populations are changing in response to past and current levels of harvesting.

#### 4.3 Yield estimates and projections

MCY has not been estimated for *P. subtriangulata*.

CAY has not been estimated for *P. subtriangulata*.

### 5. STATUS OF THE STOCKS

There are no estimates of biomass or sustainable yields of tuatua for any tuatua stock and the status of all stocks is unknown. Because natural mortality is high and recruitment is variable, the biomass of tuatua is likely to be highly variable.

- TUA - *Paphies subtriangulata*

Stock Status	
Year of Most Recent Assessment	No formal assessment conducted for any of the stocks
Assessment Runs Presented	Recruited biomass (shells $\geq 50\text{mm}$ )
Reference Points <sup>4</sup>	Target: Undefined Soft Limit: 20% $B_0$ Hard Limit: 10% $B_0$
Status in relation to Target	Unknown
Status in relation to Limits	Unknown

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Fishery and Stock Trends		
Recent Trend in Biomass or Proxy	Unknown	
Recent Trend in Fishing Mortality or Proxy	Unknown	
Other Abundance Indices	-	
Trends in Other Relevant Indicators or Variables	Landings are less than a quarter of the TACC and have generally been declining since 2002–03.	
Projections and Prognosis		
Stock Projections or Prognosis	-	
Probability of Current Catch or TACC causing decline below Limits	Soft Limit: Unknown Hard Limit: Unknown	
Assessment Methodology		
Assessment Type	-	
Assessment Method	-	
Main data inputs	-	
Period of Assessment	-	Next assessment: Unknown
Changes to Model Structure and Assumptions	-	
Major Sources of Uncertainty	-	

Qualifying Comments
Landings are thought to have been declining in recent times because of economic rather than biological reasons.

Fishery Interactions
There are concerns about the potential impacts of dredge fishing on complex habitats.

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## WHITE WAREHOU (WWA)

(*Seriolella caerulea*)

Warehou



### 1. FISHERY SUMMARY

#### 1.1 Commercial fisheries

White warehou are predominantly taken as bycatch from target trawl fisheries on hoki and silver warehou, and to a lesser extent, hake, ling and scampi. White warehou are mostly caught in 150 to 800 m depth by larger vessels owned or chartered by New Zealand fishing companies.

Prior to the establishment of the EEZ on 1 March 1978, white warehou landings were combined with both silver and blue (or common) warehou as 'warehou'. An estimate of total white warehou catches for 1970 to 1977 calendar years has been made (Table 1). From 1978–79 to 1982–83 annual catches of up to 900 t during the fishing year were reported, mainly from Southland and the Chatham Rise (Table 2).

Annual catches of white warehou have been variable (i.e., ranging from 315 t in the 1978–79 fishing year to 3694 t in 1996–97, Tables 2 and 3). The main areas of fishing are the Southland area, with some extension into the Sub-Antarctic area since 1990–91, and the Chatham Rise. The annual catch from other fisheries has been relatively small; the west coast South Island catch is usually less than 100 t and the North Island catch rarely exceeds 50 t. Figure 1 shows the historical landings and TACC values for the main white warehou stocks.

Target fishing on white warehou has been reported from around Mernoo Bank, the Stewart-Snares shelf, Puysegur Bank and on the west coast of the South Island, with the best catch rates recorded in the southern areas. Target fisheries accounted for only 8% of the total white warehou catch for the years from 1988–89 to 1994–95. Most catches are taken from 300–700 m by bottom trawls targeted on hoki, squid, ling and silver warehou (Ballara & Baird 2012)

White warehou was introduced into the QMS on 1 October 1998. The TACCs for each QMA are given in Table 3. A nominal allowance of 1 t was made for both recreational and customary catch in each of WWA 2–7.

TACCs were increased from 1 October 2006 in WWA 3 to 583 t, in WWA 4 to 330 t, and in WWA 7 to 127 t. In these stocks landings were above the TACC for a number of years and the TACCs have been increased to the average of the previous 7 years plus an additional 10%. Despite this change the catch in WWA 3 in 2006–07 was well above the new TACC, but has been under the TACC since 2007–08. From 1 October 2007 WWA 5 was merged with WWA 6 to create WWA 5B.

**Table 1: Estimated catch (t) of white warehou for years 1970 to 1977.**

Vessel nationality	1970*	1971*	1972	1973	1974	1975	1976	1977
Japanese	17	25	222	447	234	1 453	1 558	334
Russian	NA	NA	1 300	1 200	1 480	40	440	1 260
Korean	-	-	-	-	-	-	-	400
Total	17	25	1 522	1 647	1 714	1 493	1 998	1 994

\* Japanese data only.

**Table 2: Reported landings (t) of white warehou by fishing year and area, by foreign licensed and joint venture vessels, 1978–79 to 1983–83. The EEZ areas correspond approximately to the QMAs as indicated. Fishing years are from 1 April to 31 March. The 1983–83 is a six month transitional period from 1 April to 30 September. No data are available for the 1980–81 fishing year.**

EEZ area	B	C(M)	C(1)	D	E(B)	E(P)	E(C)	E(A)	F(E)	F(W)	G	H	Total
QMA area	1 & 2		3	4				6		5	7	8 & 9	
1978–79	1	20	10	1	0	5	0	141	86	26	20	6	315
1979–80	2	8	5	230	57	5	4	312	34	97	42	0	795
1980–81	-	-	-	-	-	-	-	-	-	-	-	-	-
1981–82	0	41	2	53	0	2	5	153	27	248	10	1	542
1982–83	0	375	1	88	0	11	0	198	39	137	33	0	882
1983–83	0	167	5	49	0	0	0	12	9	34	24	0	300

Note: The EEZ area E(A) also included part of QMA 5, south of 48°30' S.

**Table 3: Reported landings (t) of white warehou by fishstock and fishing year, 1982–83 to 2012–13. The data in this table has been updated from that published in previous Plenary Reports by using the data through 1996–97 in table 44 on p. 296 of the “Review of Sustainability Measures and Other Management Controls for the 1998–99 Fishing Year - Final Advice Paper” dated 6 August 1998. Data since 1997–98 are based on catch and effort returns. There are no landings reported from QMA 10. [Continued on next page].**

Fishstock FMA	WWA 1		WWA 2		WWA 3		WWA 4		WWA 5(5B)*	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982–83	0	-	35	-	179	-	69	-	248	-
1983–84	0	-	28	-	111	-	33	-	282	-
1984–85	0	-	2	-	123	-	39	-	150	-
1985–86	0	-	5	-	589	-	61	-	277	-
1986–87	0	-	10	-	239	-	29	-	167	-
1987–88	< 1	-	9	-	431	-	26	-	113	-
1988–89	6	-	1	-	118	-	43	-	843	-
1989–90	1	-	9	-	484	-	16	-	555	-
1990–91	2	-	12	-	695	-	88	-	568	-
1991–92	6	-	22	-	589	-	113	-	833	-
1992–93	2	-	13	-	281	-	106	-	560	-
1993–94	6	-	34	-	197	-	23	-	1 235	-
1994–95	4	-	41	-	327	-	243	-	1 936	-
1995–96	2	-	68	-	566	-	137	-	1 555	-
1996–97	3	-	89	-	508	-	220	-	2 309	-
1997–98	2	-	31	-	516	-	153	-	1 217	-
1998–99	< 1	4	34	73	398	399	120	220	1 269	2 127
1999–00	< 1	4	48	73	559	399	277	220	1 112	2 127
2000–01	< 1	4	21	73	661	399	303	220	703	2 127
2001–02	0	4	8	73	446	399	262	220	921	2 127
2002–03	< 1	4	20	73	852	399	397	220	1 462	2 127
2003–04	< 1	4	47	73	458	399	365	220	1 141	2 127
2004–05	< 1	4	24	73	347	399	365	220	1 568	2 127
2005–06	< 1	4	35	73	589	399	312	220	1 176	2 127
2006–07	< 1	4	10	73	733	583	304	330	1 484	2 127
2007–08	< 1	4	43	73	345	583	207	330	*1 431	*2 617
2008–09	< 1	4	22	73	302	583	85	330	*1 644	*2 617
2009–10	< 1	4	7	73	355	583	179	330	*1 106	*2 617
2010–11	< 1	4	12	73	391	583	81	330	*787	*2 617
2011–12	< 1	4	3	73	204	583	112	330	*978	*2 617
2012–13	< 1	4	6	73	174	583	117	330	1 037	2 617
2013–14	< 1	4	8	73	302	583	110	330	1 373	2 617

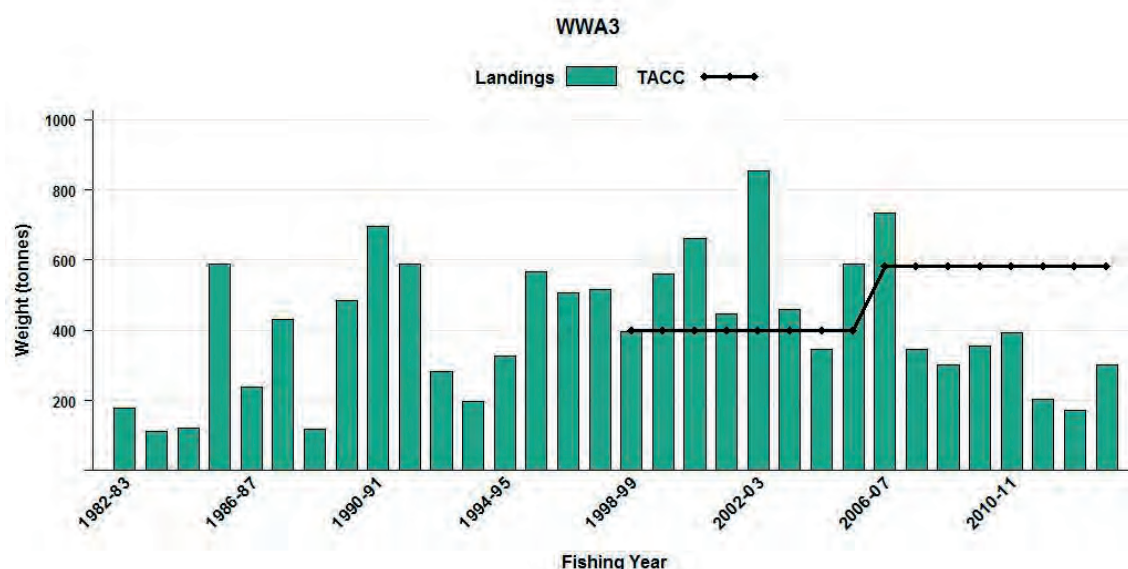


# WHITE WAREHOU (WWA)

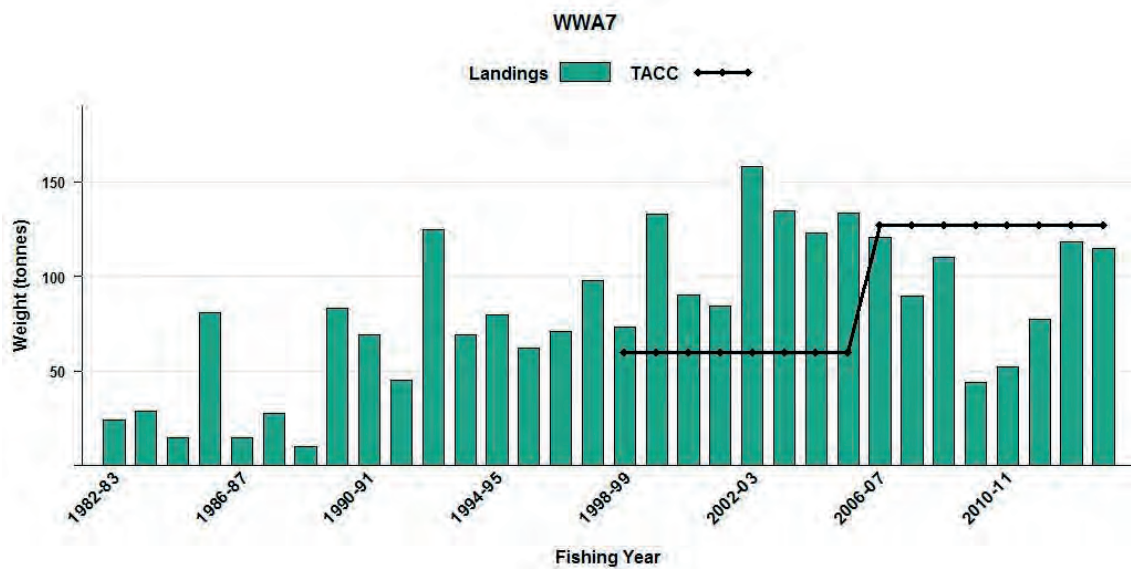
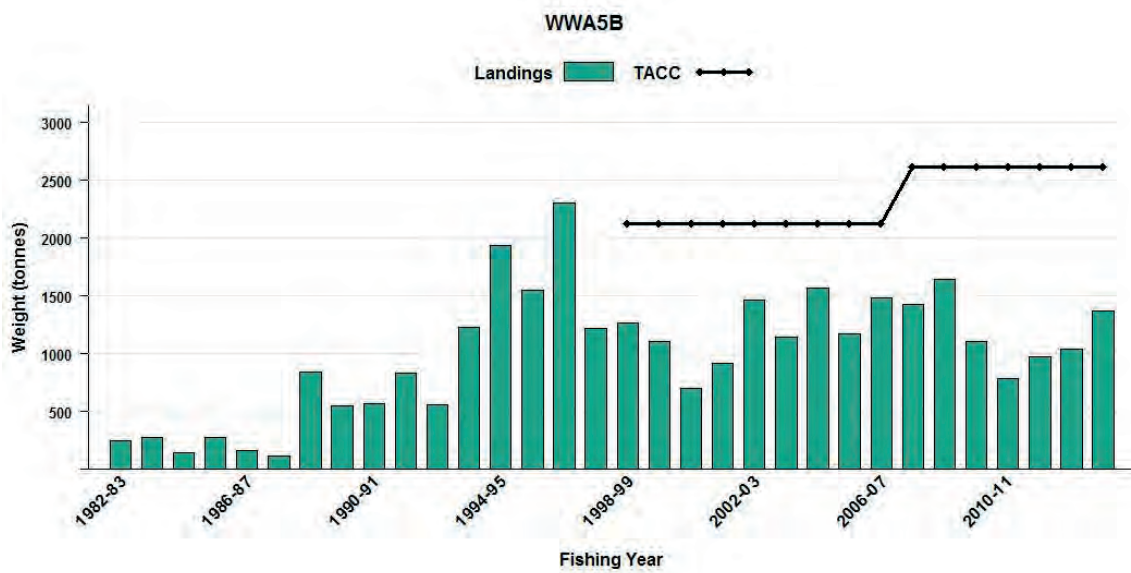
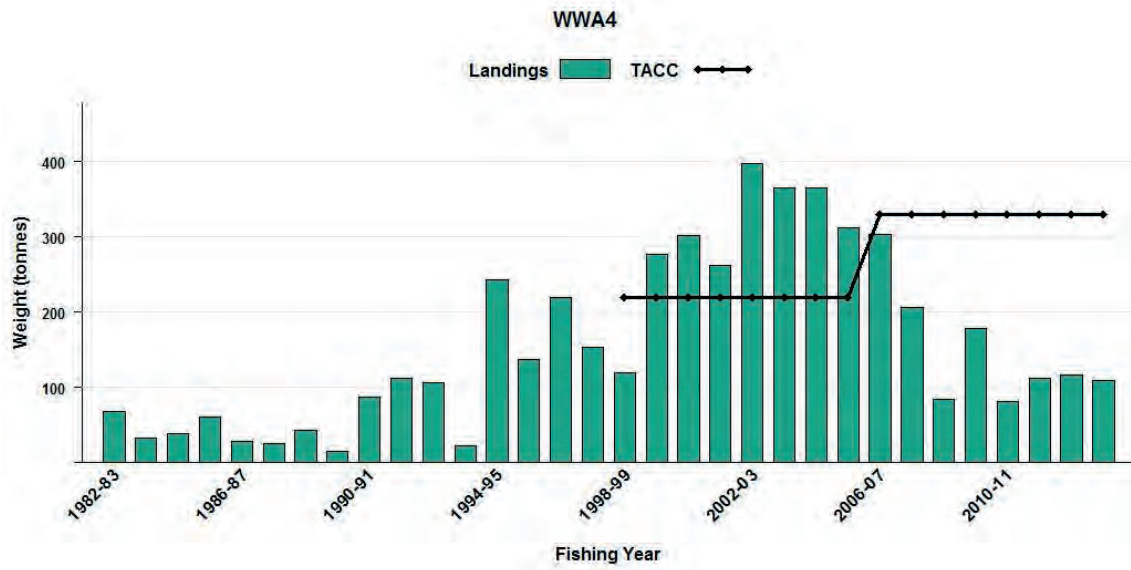
**Table 3 continued:**

Fishstock FMA	WWA 6		WWA 7		WWA 8		WWA 9		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982-83	7	-	24	-	<1	-	0	-	562	-
1983-84	24	-	29	-	<1	-	0	-	510	-
1984-85	12	-	15	-	<1	-	0	-	342	-
1985-86	43	-	81	-	<1	-	0	-	1 058	-
1986-87	144	-	15	-	<1	-	0	-	573	-
1987-88	20	-	28	-	<1	-	0	-	629	-
1988-89	16	-	10	-	0	-	0	-	1 040	-
1989-90	291	-	83	-	0	-	0	-	1 438	-
1990-91	278	-	69	-	1	-	0	-	1 713	-
1991-92	1 028	-	45	-	0	-	0	-	2 636	-
1992-93	645	-	125	-	2	-	0	-	1 734	-
1993-94	592	-	69	-	0	-	0	-	2 156	-
1994-95	185	-	80	-	0	-	0	-	2 816	-
1995-96	50	-	62	-	0	-	0	-	2 440	-
1996-97	494	-	71	-	0	-	0	-	3 694	-
1997-98	126	-	98	-	<1	-	<1	-	2 155	-
1998-99	412	490	73	60	<1	1	0	0	2 306	3 374
1999-00	211	490	153	60	<1	1	0	0	2 351	3 374
2000-01	119	490	90	60	<1	1	0	0	1 897	3 374
2001-02	219	490	85	60	<1	1	<1	0	1 941	3 374
2002-03	457	490	158	60	0	1	0	1	3 346	3 374
2003-04	211	490	135	60	0	1	0	1	2 357	3 374
2004-05	436	490	123	60	<1	1	0	1	2 863	3 374
2005-06	250	490	133	60	0	1	0	1	2 495	3 374
2006-07	563	490	121	127	0	1	0	0	3 215	3 735
2007-08	N/A	N/A	90	127	0	1	<1	0	2 116	3 735
2008-09	N/A	N/A	110	127	<1	1	<1	0	2 164	3 735
2009-10	N/A	N/A	44	127	<1	1	0	0	1 691	3 735
2010-11	N/A	N/A	52	127	<1	1	0	0	1 324	3 735
2011-12	N/A	N/A	77	127	<1	1	<1	0	1 375	3 735
2012-13	N/A	N/A	118	127	<1	1	0	0	1 452	3 735
2013-14	N/A	N/A	115	127	<1	1	<1	0	1 908	3 735

\* In 2007-08 WWA 5 was merged with WWA 6 to create WWA 5B. The landings and TACC for WWA 5B are presented after 2007-08 in the WWA 5(5B)\* column.



**Figure 1 [Continued]:** Reported commercial landings and TACC for the four main WWA stocks. WWA 3 (South East Coast). [Continued on next page].



## WHITE WAREHOU (WWA)

**Figure 1 [Continued]: Reported commercial landings and TACC for the four main WWA stocks. WWA 3 (South East Coast), WWA 4 (South East Chatham Rise), WWA 5B\* (Southland, Sub-Antarctic), and WWA 7 (Challenger).**

### 1.2 Recreational fisheries

The recreational take of white warehou is likely to be very small given its distribution and depth preferences.

### 1.3 Customary non-commercial fisheries

No quantitative information is available on the current level of customary non-commercial take.

### 1.4 Illegal catch

Silver warehou were reported as white warehou when the latter was a non QMS species. Compliance investigations in 1988 successfully proved that substantial quantities of silver warehou were reported as white warehou, but catch statistics were not altered as a result. The true extent of misreporting is unknown and thus the accuracy of annual catch records cannot be determined.

### 1.5 Other sources of mortality

No information is available on other sources of mortality.

## 2. BIOLOGY

Adult white warehou range between 40 and 60 cm fork length (FL) and reach a maximum length and weight of 67 cm and 5.7 kg respectively. Sexual maturity is reached at an age of about 3 or 4 years at a length of approximately 38–47 cm. The length at age for the first three years appears to be similar to that described for silver and blue warehou (Gavrilov 1979, Horn & Sutton 1995, 1996).

White warehou were aged by Gavrilov (1979) who gives the maximum age as 12 years. Horn & Sutton (1996) suggested that Gavrilov underestimated the maximum age of silver warehou (as 10–11 years) because he read whole otoliths and scales. They determined a maximum age of 23 years for silver warehou using sectioned otoliths. The maximum age of white warehou is therefore uncertain. Without validated ageing and population age structures it is not possible to estimate mortality for white warehou.

Sex ratio data derived from scaled length frequencies appear to show a slight bias towards males. On the Chatham Rise sex ratios vary from 1.0 : 1 to 1.4 : 1 (males to females). In the southern area, ratios vary from 0.7 : 1 to 4.2 : 1, but sample sizes at either extreme of the range are very small. There are insufficient data to enable detection of any changes in sex ratio with season.

Feeding records from the MPI research database *trawl* show salps as the predominant prey item observed in white warehou stomachs. Occasional records of fish and euphausiids have also been made. Gavrilov & Markina (1979) noted salps (*Iasis*) and the tunicate *Pyrosoma* as major food items.

## 3. STOCKS AND AREAS

The existence of three possible spawning areas for white warehou, (Mernoo Bank, Puysegur Bank and the west coast of the South Island) at the same time of year, suggests the possibility of three separate stocks. Bagley & Hurst (1997) proposed the following Fishstock areas: WWA 1 (QMAs 1, 2, 3 and 4), WWA 5 (QMAs 5 and 6) and WWA 7 (QMAs 7, 8 and 9) for white warehou. However, TACs were set for each QMA (1–9) in 1998 and each Fishstock is managed separately (note WWA 5 and WWA 6 were merged to form Fishstock WWA 5B in 2007-08).

## 4. STOCK ASSESSMENT

No assessments are available for any stocks for white warehou, therefore estimates of biomass and yield are not available.

### 4.1 Estimates of fishery parameters and abundance

No estimates of fishing parameters are available for white warehou.

Several time series of relative abundance estimates are available from trawl surveys, but these estimates are not reliable indicators of relative abundance because of large fluctuations between years and moderate to high CVs. The larger biomass estimates are generally associated with moderate to high CVs (i.e., over 40%), having resulted from one or two large catches. Smaller biomass estimates have lower CVs, but this could be because the survey missed the main white warehou schools.

### 4.2 Biomass estimates

No biomass estimates are available for white warehou.

### 4.3 Yield estimates and projections

*MCY* cannot be determined. Problems with mis-reporting of silver warehou as white warehou and the lack of consistent catch histories make *MCY* estimates based on catch data alone unreliable. Also the amount of effort on white warehou relates very closely to effort on other target species such as hoki and silver warehou. Large fluctuations in the availability of white warehou to the trawl, as indicated by trawl surveys, are also likely to apply to commercial fishing operations. Estimates of *M* need to be determined.

*CAY* cannot be estimated because of the lack of current biomass estimates.

### 4.4 Other factors

None

## 5. STATUS OF THE STOCKS

It is not known whether recent catches are sustainable or if they are at levels that will allow the stock to move towards a size that will support the maximum sustainable yield.

TACCs were increased from 1 October 2006 in WWA 3 to 583 t, in WWA 4 to 330 t, and in WWA 7 to 127 t. In these stocks landings were above the TACC for a number of years and the TACCs have been increased to the average of the previous 7 years plus an additional 10%.

TACCs and reported landings for the 2013–14 fishing year are summarised in Table 4.

**Table 4: Summary of TACCs (t), and reported landings (t) of white warehou for the most recent fishing year.**

		2013–14	
		Actual	Reported
Fishstock	FMA	TACC	landings
WWA 1 Auckland (East)	1	4	< 1
WWA 2 Central (East)	2	73	8
WWA 3 South-east (Coast)	3	583	302
WWA 4 South-east (Chatham)	4	330	110
WWA 5B Southland, Sub-Antarctic	5 & 6	2 617	1 372
WWA 7 Challenger	7	127	115
WWA 8 Central (West)	8	1	< 1
WWA 9 Auckland (West)	9	0	< 1
WWA 10 Kermadec	10	0	0
Total		3 735	1 908

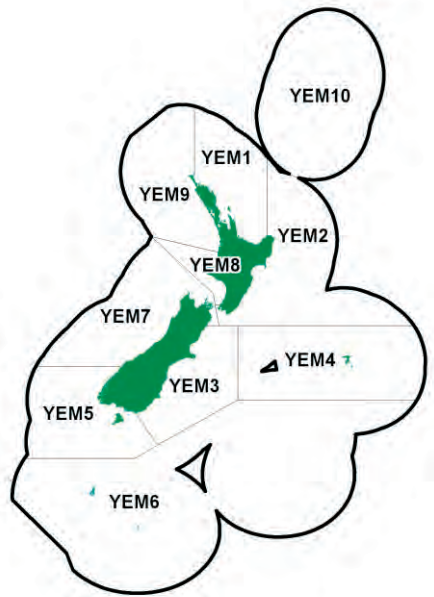
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## YELLOW-EYED MULLET (YEM)

*(Aldrichetta forsteri)*

Aua



## 1. FISHERY SUMMARY

### 1.1 Commercial fisheries

Yellow-eyed mullet entered the Quota Management System (QMS) on 1 October 1998. There is very little published information on the commercial fishery for yellow-eyed mullet apart from brief comments about its use as bait. From 1934 to 1972 information from catch records indicate that yellow-eyed mullet was taken by “other nets”, meaning nets other than trawl or Danish seine. Catch by gear-type data from the Fisheries Statistics Unit (FSU) records between 1982–83 and 1988–89 show a predominant use of setnets and gillnets (about 95.5% of total catch) over beach seine and drag net (about 4.5% of total catch).

There is the potential for incorrect assignment of yellow-eyed mullet in landings records because of similarity in the common names of grey mullet and yellow-eyed mullet and the possibility that some fishers refer to both as *mullet*. A second possible classification error may arise from erroneous use of the names *herring* or *sprat*. The level of error in the landings data due to misidentification is not known.

Before 1960 the majority of the recorded catch of yellow-eyed mullet was taken in Northland. Between 1960 and 1968, there was a marked increase in landings from Lake Ellesmere. Regular records are also available for Napier beginning in 1941, and Manukau Harbour. Apart from Lake Ellesmere, records for the South Island are generally incomplete.

Pre-1980, landings of yellow-eyed mullet by QMA were low, perhaps as a result of under-reporting. Landings increased in the early 1980s due to an increase in landings in QMA 9, and to a lesser extent in QMA 1. In the 1990s landings in QMA 1 equaled and often exceeded landings in QMA 9. Landings have remained below 20 t in QMA 9 during the past fourteen years, with the exception of the 1999–00 catch, which was almost triple that of the previous year and more than double the catch recorded in QMA 1.

The high landings recorded since the mid 1980s most likely reflect increased fishing in the Auckland area in response to an increase in market demand for yellow-eyed mullet. Since the peak total landings

## YELLOW-EYED MULLET (YEM)

in 1996–97 the catch fluctuated around an average of 37 t between 1996–97 and 1999–2000. Catches have fluctuated over time with a high of 68 t being recorded in 1986–87. The last five years have seen catches averaging 27 t, slightly below the long-term (30 year) average of 28 t. Strong seasonal trends are evident in the catch data for each QMA with annual peaks mostly in July–August indicating a winter fishery.

A breakdown of the current Total Allowable Catch (TAC) is shown in Table 1. Historical estimated and recent reported yellow eyed mullet landings and TACCs are shown in Tables 2 and 3, while Figure 1 shows the historical landings and TACC values for the main YEM stocks.

Commercial catches of yellow-eyed mullet have been well below the TACC in each QMA since it was introduced into the QMS on 1 October 1998.

**Table 1: Recreational and customary non-commercial allowances (t), Total Allowable Commercial Catches (TACC, t) and Total Allowable Catches (TAC, t) declared for YEM.**

Fishstock		FMA	TAC	TACC	Customary	Recreational
YEM 1	Auckland (East)	1	50	20	15	15
YEM 2	Central (East)	2	14	2	4	8
YEM 3	South-east (Coast)	3	14	8	2	4
YEM 4	South-east (Chatham)	4	0	0	0	0
YEM 5	Southland	5	2	0	1	1
YEM 6	Sub-Antarctic	6	0	0	0	0
YEM 7	Challenger	7	20	5	5	10
YEM 8	Central (West)	8	18	3	5	10
YEM 9	Auckland (West)	9	38	30	4	4
Total			156	68	36	52

**Table 2: Reported landings (t) for the main QMAs from 1931 to 1982.**

Year	YEM 1	YEM 9	Year	YEM 1	YEM 9
1931-32	0	0	1957	19	0
1932-33	0	0	1958	22	0
1933-34	0	0	1959	20	0
1934-35	0	0	1960	9	0
1935-36	0	0	1961	20	0
1936-37	0	0	1962	19	1
1937-38	0	0	1963	8	1
1938-39	1	0	1964	9	0
1939-40	0	0	1965	6	3
1940-41	0	0	1966	4	5
1941-42	0	0	1967	23	4
1942-43	0	0	1968	19	2
1943-44	1	0	1969	17	2
1944	0	0	1970	17	1
1945	9	0	1971	14	1
1946	52	0	1972	7	1
1947	65	0	1973	0	0
1948	71	0	1974	0	0
1949	81	0	1975	11	0
1950	31	0	1976	11	0
1951	36	0	1977	2	0
1952	13	0	1978	1	0
1953	13	0	1979	1	0
1954	15	0	1980	2	1
1955	28	0	1981	5	4
1956	28	0	1982	4	2

Notes:

1. The 1931–1943 years are April–March but from 1944 onwards are calendar years.
2. Data up to 1985 are from fishing returns: Data from 1986 to 1990 are from Quota Management Reports.
3. Data for the period 1931 to 1982 are based on reported landings by harbour and are likely to be underestimated as a result of under-reporting and discarding practices. Data includes both foreign and domestic landings.

**Table 3: Reported landings (t) of yellow-eyed mullet by fishstock and fishing year, 1983–84 to 2012–13. The data in this table has been updated from that published in previous Plenary Reports using the data through to 1996–97 in table 47 on p. 304 of the “Review of Sustainability Measures and Other Management Controls for the 1999–2000 Fishing Year - Final Advice Paper” dated 6 August 1998. There are no landings from FMA 10, which has a TACC of 0.**

Fishstock FMA	YEM 1		YEM 2		YEM 3		YEM 4		YEM 5	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982–83	2	-	35	-	3	-	0	-	0	-
1983–84	2	-	28	-	5	-	0	-	0	-
1984–85	12	-	2	-	1	-	0	-	0	-
1985–86	24	-	5	-	7	-	0	-	0	-
1986–87	14	-	10	-	4	-	0	-	0	-
1987–88	11	-	9	-	9	-	0	-	0	-
1988–89	3	-	1	-	4	-	0	-	0	-
1989–90	1	-	9	-	17	-	0	-	0	-
1990–91	21	-	12	-	13	-	0	-	0	-
1991–92	15	-	22	-	23	-	0	-	0	-
1992–93	32	-	13	-	1	-	1	-	0	-
1993–94	53	-	34	-	2	-	0	-	0	-
1994–95	32	-	41	-	1	-	0	-	0	-
1995–96	19	-	68	-	2	-	0	-	0	-
1996–97	32	-	89	-	7	-	< 1	-	0	-
1997–98	10	-	31	-	< 1	-	0	-	0	-
1998–99	16	10	34	1	7	6	0	0	0	0
1999–00	10	10	48	1	7	6	0	0	0	0
2000–01	9	10	21	1	5	6	0	0	0	0
2001–02	6	20	8	2	< 1	8	0	0	0	0
2002–03	9	20	< 1	2	4	8	0	0	0	0
2003–04	4	20	< 1	2	6	8	0	0	0	0
2004–05	4	20	< 1	2	1	8	0	0	< 1	0
2005–06	3	20	1	2	3	8	0	0	0	0
2006–07	5	20	< 1	2	5	8	0	0	< 1	0
2007–08	3	20	< 1	2	3	8	0	0	0	0
2008–09	6	20	< 1	2	< 1	8	0	0	0	0
2009–10	15	20	< 1	2	4	8	0	0	0	0
2010–11	10	20	< 1	2	7	8	0	0	0	0
2011–12	9	20	< 1	2	5	8	0	0	0	0
2012–13	14	20	< 1	2	3	8	0	0	0	0
2013–14	15	30	< 1	2	4	8	0	0	< 1	0

Fishstock FMA	YEM 6		YEM 7		YEM 8		YEM 9		Total	
	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC	Landings	TACC
1982–83	0	-	0	-	5	-	5	-	17	-
1983–84	0	-	0	-	5	-	26	-	26	-
1984–85	0	-	3	-	3	-	33	-	33	-
1985–86	0	-	4	-	2	-	61	-	61	-
1986–87	0	-	6	-	0	-	68	-	68	-
1987–88	0	-	4	-	0	-	43	-	43	-
1988–89	0	-	5	-	0	-	21	-	21	-
1989–90	0	-	0	-	3	-	11	-	11	-
1990–91	0	-	10	-	0	-	21	-	21	-
1991–92	0	-	14	-	1	-	25	-	25	-
1992–93	0	-	2	-	5	-	31	-	31	-
1993–94	0	-	3	-	4	-	20	-	20	-
1994–95	0	-	8	-	2	-	18	-	18	-
1995–96	0	-	4	-	0	-	10	-	10	-
1996–97	0	-	5	-	2	-	11	-	58	-
1997–98	0	-	0	-	0	-	2	-	12	-
1998–99	0	0	2	4	< 1	2	9	33	34	56
1999–00	0	0	1	4	< 1	2	26	33	44	56
2000–01	0	0	< 1	4	< 1	2	12	33	28	56
2001–02	0	0	3	5	0	3	15	30	24	68
2002–03	0	0	< 1	5	< 1	3	19	30	34	68
2003–04	0	0	1	5	0	3	11	30	22	68
2004–05	0	0	0	5	< 1	3	7	30	13	68
2005–06	0	0	0	5	4	3	4	30	14	68
2006–07	0	0	< 1	5	3	3	9	30	23	68
2007–08	0	0	< 1	5	2	3	9	30	17	68
2008–09	0	0	2	5	2	3	10	30	20	68
2009–10	0	0	2	5	3	3	5	30	30	68
2010–11	0	0	2	5	2	3	17	30	38	68
2011–12	0	0	< 1	5	2	3	13	30	29	68
2012–13	0	0	< 1	5	2	3	5	30	25	68
2013–14	0	0	< 1	5	< 1	3	11	30	31	68



## YELLOW-EYED MULLET (YEM)

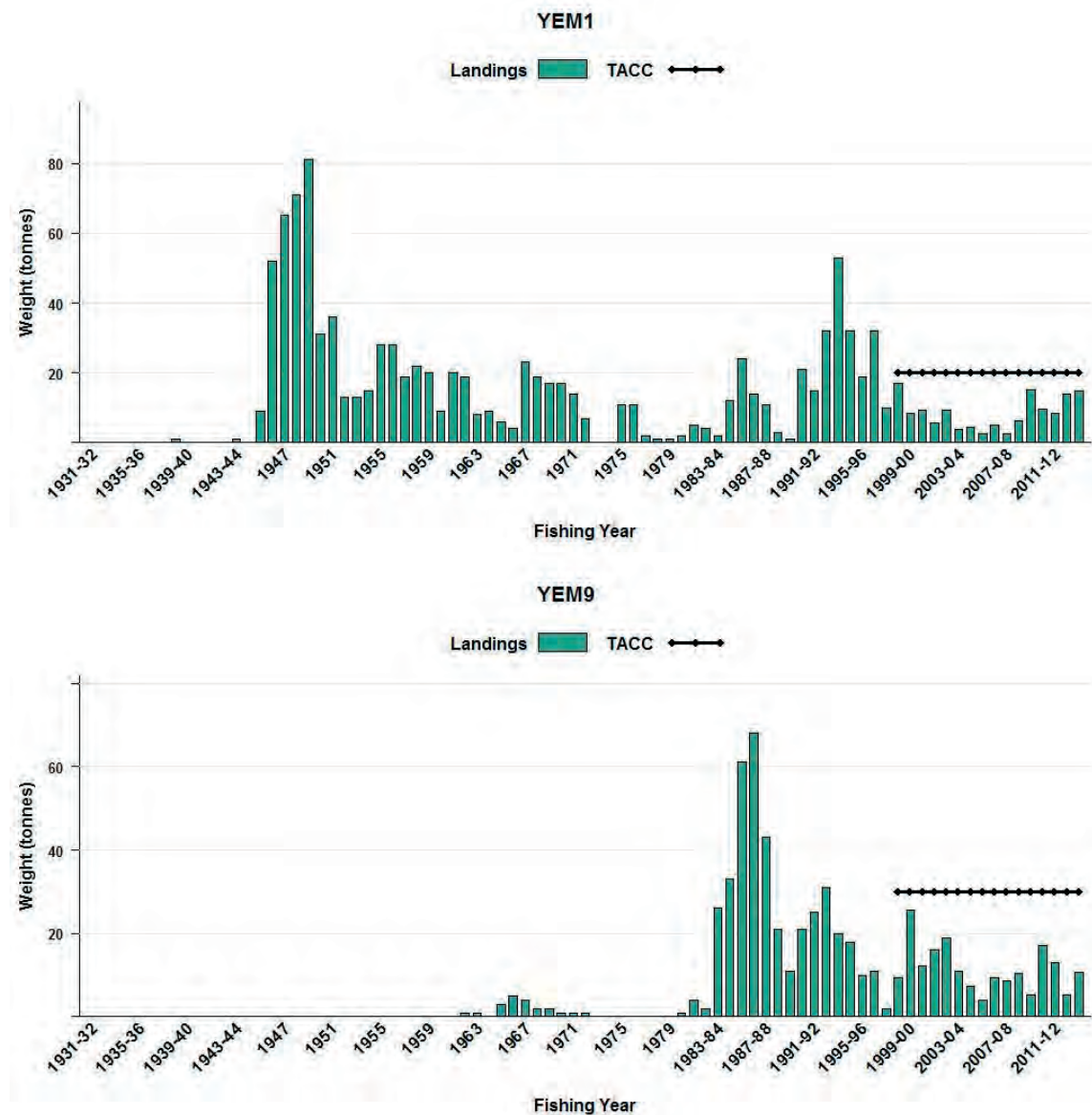


Figure 1: Reported commercial landings and TACCs for the two main YEM stocks. YEM 1 (Auckland East) and YEM 9 (Auckland West).

### 1.2 Recreational fisheries

Yellow-eyed mullet are a popular recreational species throughout New Zealand, particularly in QMA 1. Estimated numbers of fish and harvest tonnages for yellow-eyed mullet taken by recreational fishers are presented in Table 3

The survey data have a number of sources of uncertainty. For example, there is a level of misidentification arising from similarity in the common names grey mullet and yellow-eyed mullet, and erroneous use of the names *herring* or *sprat*. The level of assignment to the general mullet category “MUU” is also unknown. Estimates of the number of fish and harvest tonnage are presented for MUU in Table 4.

A key component of the estimating recreational harvest from diary surveys is determining the proportion of the population that fish. The Recreational Technical Working Group concluded that the harvest estimates from the diary surveys should be used only with the following qualifications: a) they may be very inaccurate; b) the 1996 and earlier surveys contain a methodological error; and c) the 2000 and 2001 estimates are implausibly high for many important fisheries. The 1999–00 Harvest estimates for each Fishstock should be evaluated with reference to the coefficient of variation.

**Table 4: Estimated number of yellow-eyed mullet and unassigned mullet (MUU) harvested by recreational fishers by Fishstock and survey. Surveys were carried out in different years in MAF Fisheries regions: South in 1991–92, Central in 1992–93, North in 1993–94 (Bradford 1996) and National in 1996 (Bradford 1998) and 1999–00 (Boyd & Reilly 2005). Estimates of CV and harvest tonnages are not presented where sample sizes are considered too small. The mean weight (100 g) used to convert numbers to catch weight is from Manikiam (1963) and considered the best available estimate. Survey tonnages are presented as a range to reflect the uncertainty in the estimate. It is assumed that some proportion of unassigned mullet are yellow-eyed mullet.**

Fishstock	Survey	Total	CV (%)	Estimated Harvest Range (t)	Point Estimate (t)
		Number			
1991–92					
QMA 1	South	1 000	34	1–5	
QMA 3	South	29 000			
QMA 7	South	3 000			
QMA 9	South	2 000			
1992–93					
QMA 1	Central	14 000			
QMA 2	Central	57 000			
1993–94					
QMA 1	North	289 000	15	25–33	
QMA 2	North	7 000	33	2–8	
QMA 8	North	1 000			
QMA 9	North	52 000			
1996					
<i>Yellow eyed mullet</i>					
QMA 1	National	91 000	14	5–15	9
QMA 2	National	80 000	-	-	-
QMA 3	National	38 000	-	-	-
QMA 5	National	2 000	-	-	-
QMA 7	National	66 000	19	5–10	7
QMA 8	National	74 000	21	5–10	7
QMA 9	National	31 000	-	-	-
<i>Unassigned mullet</i>					
QMA 1	National	43 000	23	3–5	4
QMA 2	National	1 000	-	-	-
QMA 3	National	6 000	-	-	-
QMA 7	National	16 000	-	-	-
QMA 8	National	5 000	-	-	-
QMA 9	National	1 000	-	–	-
1999–00					
YEM 1	National	342 000	28	12–21	-
YEM 2	National	432 000	72	6–36	-
YEM 3	National	168 000	29	6–11	-
YEM 5	National	7 000	88	0–1	-
YEM 7	National	86 000	37	3–6	-
YEM 8	National	89 000	33	3–6	-
YEM 9	National	127 000	53	3–10	-

### 1.3 Customary non-commercial fisheries

No quantitative information is available on the current level of customary non-commercial take.

### 1.4 Illegal catch

No quantitative information is available on the level of illegal catch.

### 1.5 Other sources of mortality

No quantitative estimates are available about the impact of other sources of mortality on yellow-eyed mullet stocks. Yellow-eyed mullet principally occur in sheltered harbour and estuarine ecosystems. Some of these habitats are known to have suffered environmental degradation.

## 2. BIOLOGY

## YELLOW-EYED MULLET (YEM)

The yellow-eyed mullet, *Aldrichetta forsteri* (Cuvier & Valenciennes 1836), is a member of the Mugilidae family (mulletts). It is found in New Zealand, Norfolk Island and Australia. Its range extends from North Cape to Stewart Island in New Zealand and from the Murchison River in Western Australia, across South Australia and around Tasmania, to the Hawkesbury River in New South Wales. It is typically a schooling species that occurs commonly along coasts, in estuaries and in lower river systems, with juveniles sometimes observed in freshwater where they have been observed feeding on algae. In New Zealand, the species is widely but erroneously known as herring.

Yellow-eyed mullet are omnivorous and feed on a wide range of food types including algae, crustaceans, diatoms, molluscs, insect larvae, fish, polychaetes, coelenterates, fish eggs and detritus.

Egg development begins in July and maturity occurs by late December. Generally, spawning is during summer from late December to mid-March although there is some evidence in females from Canterbury to suggest biennial spawning, with peaks in winter and summer. Yellow-eyed mullet appear to leave their estuarine habitat to spawn in coastal waters, with eggs and larvae being found in surface waters up to 33 km offshore. There is no information available on the age of recruitment into estuarine systems of New Zealand waters.

Within estuaries and river systems, yellow-eyed mullet are separated to some extent by age, with older fish preferring more saline water and juveniles sometimes found in freshwater. The larger fish also prefer deeper water than juveniles.

$M$  was estimated from the equation  $M = \log_e 100/\text{maximum age}$ , where maximum age is the age to which 1% of the population survives in an unexploited stock. Using 7 years for the maximum age results in an estimate of  $M = 0.66$ . The maximum age used here is for a yellow-eyed mullet taken in Wellington Harbour in 1963.

Biological parameters relevant to stock assessment are shown in Table 5.

**Table 5: Estimates of biological parameters of yellow-eyed mullet.**

Fishstock	Estimate		Source
<u>1. Natural mortality (<i>M</i>)</u>	<u>Both Sexes</u>		NIWA (unpub. Data)
Wellington Harbour	0.66		
<u>2. Weight = <i>a</i>(length)<sup><i>b</i></sup> (Weight in g, length in cm fork length).</u>	<u>Both Sexes</u>		Gorman (1962)
	<u>a</u>	<u>b</u>	
Lake Ellesmere	0.0068	3.2	

### 3. STOCKS AND AREAS

No information is available to determine the stock structure of yellow-eyed mullet in New Zealand waters. Because catches are generally taken locally within harbours and estuarine systems that are relatively easy to identify, boundaries for Fishstocks take this natural division into account.

### 4. STOCK ASSESSMENT

#### 4.1 Estimates of fishery parameters and abundance

No estimates of fishery parameters or stock abundance are available for yellow-eyed mullet.

#### 4.2 Biomass estimates

Biomass estimates are not available for any stocks.

### 4.3 Yield estimates and projections

Estimates of *MCY* are not available.

No estimates of current biomass, fishing mortality, or other information are available which would permit the estimation of *CAY*.

### 4.4 Other factors

Because of the highly localised nature of the fishery and the relatively high landings taken recently, particularly in the Manukau Harbour, yellow-eyed mullet may be susceptible to localised depletion.

Concern has been expressed by the Working Group about the effects of the small-meshed nets used to fish yellow-eyed mullet on other species within estuarine systems. For example, species such as grey mullet may suffer increased pressure as a consequence of increased target fishing for yellow-eyed mullet.

## 5. STATUS OF THE STOCKS

Estimates of current and reference biomass are not available. It is not known if recent catch levels are sustainable.

TACCs and reported landings for the 2013–14 fishing year are summarised in Table 5.

**Table 5: Summary of TACs (t), and reported landings (t) of yellow-eyed mullet for the most recent fishing year.**

Fishstock		FMA	2013–14 Actual TACC	2013–14 Reported landings
YEM 1	Auckland (East)	1	20	15
YEM 2	Central (East)	2	2	0.2
YEM 3	South-east (Coast)	3	8	4
YEM 4	South-east (Chatham)	4	0	0
YEM 5	Southland	5	0	0.04
YEM 6	Sub-Antarctic	6	0	0
YEM 7	Challenger	7	5	0.3
YEM 8	Central (West)	8	3	0.9
YEM 9	Auckland (West)	9	30	11
Total			68	31

## 6. FOR FURTHER INFORMATION

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